

Database Systems: Design, Implementation, and Management Tenth Edition

Chapter 3 *The Relational Database Model*

Objectives

In this chapter, students will learn:

- That the relational database model offers a logical view of data
- About the relational model's basic component: relations
- That relations are logical constructs composed of rows (tuples) and columns (attributes)
- That relations are implemented as tables in a relational DBMS

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Objectives (cont'd.)

- About relational database operators, the data dictionary, and the system catalog
- How data redundancy is handled in the relational database model
- Why indexing is important

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A Logical View of Data

- Relational model
 - View data logically rather than physically
- Table
 - Structural and data independence
 - Resembles a file conceptually
- Relational database model is easier to understand than hierarchical and network models

Tables and Their Characteristics

- Logical view of relational database is based on relation
 - Relation thought of as a table
- Table: two-dimensional structure composed of rows and columns
 - Persistent representation of logical relation
- Contains group of related entities (entity set)

TABLE 3.1 Characteristics of a Relational Table

| | |
|---|---|
| 1 | A table is perceived as a two-dimensional structure composed of rows and columns. |
| 2 | Each table row (tuple) represents a single entity occurrence within the entity set. |
| 3 | Each table column represents an attribute, and each column has a distinct name. |
| 4 | Each intersection of a row and column represents a single data value. |
| 5 | All values in a column must conform to the same data format. |
| 6 | Each column has a specific range of values known as the attribute domain . |
| 7 | The order of the rows and columns is immaterial to the DBMS. |
| 8 | Each table must have an attribute or combination of attributes that uniquely identifies each row. |

FIGURE 3.1 STUDENT table attribute values

Table name: STUDENT Database name: Ch03_TinyCollege

| STU_NUM | STU_LASTNAME | STU_FIRSTNAME | STU_MIDINITIAL | STU_DOB | STU_HRS | STU_CLASS | STU_GPA | STU_TRANSFER | DEPT_CODE | STU_PHONE | PROF_NUM |
|---------|--------------|---------------|----------------|-------------|---------|-----------|---------|--------------|-----------|-----------|----------|
| 32421 | Davis | William | C | 11-Feb-1975 | 45 | So | 2.89 | Yes | SOA | 2114 | 200 |
| 32427 | Douglas | Anna | N | 16-Mar-1981 | 87 | Jr | 3.27 | Yes | COB | 2706 | 200 |
| 32428 | Duncan | Joanette | J | 22-May-1968 | 36 | So | 2.26 | Yes | ACCT | 2276 | 200 |
| 32429 | Edwards | William | M | 16-Sep-1976 | 68 | Jr | 3.89 | Yes | COB | 2714 | 200 |
| 32437 | Smith | Anna | D | 30-Oct-1964 | 102 | So | 2.11 | Yes | ENGL | 2279 | 199 |
| 32434 | Farago | Raymond | P | 21-Oct-1978 | 114 | So | 3.15 | No | ACCT | 2287 | 200 |
| 32435 | Anderson | Gerardo | F | 09-Apr-1972 | 130 | So | 3.67 | No | ENGL | 2267 | 200 |
| 32439 | Smith | John | B | 30-Mar-1988 | 15 | Fs | 2.52 | Yes | ACCT | 2315 | 230 |

STU_NUM = Student number
 STU_LASTNAME = Student last name
 STU_FIRSTNAME = Student first name
 STU_MIDINITIAL = Student middle initial
 STU_DOB = Student date of birth
 STU_HRS = Credit hours earned
 STU_CLASS = Student classification
 STU_GPA = Grade point average
 STU_TRANSFER = Student transferred from another institution
 DEPT_CODE = Department code
 STU_PHONE = 4-digit campus phone extension
 PROF_NUM = Number of the professor who is the student's advisor

SOURCE: Course Technology/Cengage Learning

Keys

- Each row in a table must be uniquely identifiable
- Key: one or more attributes that determine other attributes
 - Key's role is based on determination
 - If you know the value of attribute A, you can determine the value of attribute B
 - Functional dependence
 - Attribute B is functionally dependent on A if all rows in table that agree in value for A also agree in value for B

TABLE 3.2 Student Classification

| HOURS COMPLETED | CLASSIFICATION |
|-----------------|----------------|
| Less than 30 | Fr |
| 30-59 | So |
| 60-89 | Jr |
| 90 or more | Sr |

Types of Keys

- Composite key
 - Composed of more than one attribute
- Key attribute
 - Any attribute that is part of a key
- Superkey
 - Any key that uniquely identifies each row
- Candidate key
 - A superkey without unnecessary attributes

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Types of Keys (cont'd.)

- Entity integrity
 - Each row (entity instance) in the table has its own unique identity
- Nulls
 - No data entry
 - Not permitted in primary key
 - Should be avoided in other attributes

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Types of Keys (cont'd.)

- Can represent:
 - An unknown attribute value
 - A known, but missing, attribute value
 - A “not applicable” condition
- Can create problems when functions such as COUNT, AVERAGE, and SUM are used
- Can create logical problems when relational tables are linked

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Types of Keys (cont'd.)

- Controlled redundancy
 - Makes the relational database work
 - Tables within the database share common attributes
 - Enables tables to be linked together
 - Multiple occurrences of values not redundant when required to make the relationship work
 - Redundancy exists only when there is unnecessary duplication of attribute values

FIGURE 3.2 An example of a simple relational database

Table name: PRODUCT
Primary key: PROD_CODE
Foreign key: VEND_CODE

| PROD_CODE | PROD_DESCRIPTION | PROD_PRICE | PROD_ON_HAND | VEND_CODE |
|------------|---------------------------------|------------|--------------|-----------|
| 001-278-AB | Crew hammer | 12.95 | 25 | 232 |
| 123-214-XY | Household chain saw, 16-in. bar | 199.99 | 6 | 235 |
| 009-34256 | Sledge hammer, 16-lb. head | 18.83 | 6 | 231 |
| 99E-65763 | Pruning file | 2.99 | 15 | 232 |
| 22U-02450 | Steel tape, 12-ft. length | 6.79 | 8 | 235 |

Link

Table name: VENDOR
Primary key: VEND_CODE
Foreign key: name

| VEND_CODE | VEND_CONTACT | VEND_ADDRESS | VEND_PHONE |
|-----------|--------------------|--------------|------------|
| 230 | Shelly K. Smithson | 608 | 555-1234 |
| 231 | James Johnson | 615 | 123-4568 |
| 232 | Annaliese Crydall | 608 | 224-2134 |
| 233 | Candice Wallace | 904 | 342-6567 |
| 234 | Arthur Jones | 615 | 123-3214 |
| 235 | Henry Orlando | 615 | 888-3425 |

SOURCE: Course Technology/Cengage Learning

Types of Keys (cont'd.)

- Foreign key (FK)
 - An attribute whose values match primary key values in the related table
- Referential integrity
 - FK contains a value that refers to an existing valid tuple (row) in another relation
- Secondary key
 - Key used strictly for data retrieval purposes

TABLE 3.3 Relational Database Keys

| KEY TYPE | DEFINITION |
|---------------|---|
| Superkey | An attribute or combination of attributes that uniquely identifies each row in a table |
| Candidate key | A minimal (irreducible) superkey; a superkey that does not contain a subset of attributes that is itself a superkey |
| Primary key | A candidate key selected to uniquely identify all other attribute values in any given row; cannot contain null entries |
| Foreign key | An attribute or combination of attributes in one table whose values must either match the primary key in another table or be null |
| Secondary key | An attribute or combination of attributes used strictly for data retrieval purposes |

Integrity Rules

- Many RDBMs enforce integrity rules automatically
- Safer to ensure that application design conforms to entity and referential integrity rules
- Designers use flags to avoid nulls
 - Flags indicate absence of some value

TABLE 3.4 Integrity Rules

| ENTITY INTEGRITY | DESCRIPTION |
|-----------------------|--|
| Requirement | All primary key entries are unique, and no part of a primary key may be null. |
| Purpose | Each row will have a unique identity, and foreign key values can properly reference primary key values. |
| Example | No invoice can have a duplicate number, nor can it be null. In short, all invoices are uniquely identified by their invoice number. |
| REFERENTIAL INTEGRITY | DESCRIPTION |
| Requirement | A foreign key may have either a null entry, as long as it is not a part of its table's primary key, or an entry that matches the primary key value in a table to which it is related. (Every non-null foreign key value must reference an existing primary key value.) |
| Purpose | It is possible for an attribute <i>not</i> to have a corresponding value, but it will be impossible to have an invalid entry. The enforcement of the referential integrity rule makes it impossible to delete a row in one table whose primary key has mandatory matching foreign key values in another table. |
| Example | A customer might not yet have an assigned sales representative (number), but it will be impossible to have an invalid sales representative (number). |

TABLE 3.5 A Dummy Variable Value Used as a Flag

| AGENT_CODE | AGENT_AREACODE | AGENT_PHONE | AGENT_INAME | AGENT_YTD_SLS |
|------------|----------------|-------------|-------------|---------------|
| -99 | 000 | 000-0000 | None | \$0.00 |

FIGURE 3.3 An illustration of integrity rules

Table name: CUSTOMER Database name: Ch03_InsureCo
 Primary key: CUS_CODE
 Foreign key: AGENT_CODE

| CUS_CODE | CUS_NAME | CUS_PHONE | CUS_INITIAL | CUS_BIRTHDATE | AGENT_CODE |
|----------|----------|-----------|-------------|---------------|------------|
| 10010 | Parnell | Attn: A | | 06-Apr-2012 | 901 |
| 10011 | Dwyer | Levin | A | 16-Jun-2012 | 901 |
| 10012 | Smith | Paul | A | 29-Jan-2013 | 902 |
| 10013 | Olewell | Paul | F | 14-Oct-2012 | 901 |
| 10014 | Olewell | Marion | | 26-Dec-2012 | 901 |
| 10016 | Olewell | Ann | B | 22-Sep-2012 | 903 |
| 10016 | Dwyer | James | B | 26-Mar-2012 | 902 |
| 10017 | Williams | George | B | 17-Jul-2012 | 901 |
| 10018 | Farrar | Anna | B | 03-May-2012 | 901 |
| 10019 | Smith | Donna | B | 14-Mar-2013 | 902 |

Table name: AGENT (only five selected fields are shown)
 Primary key: AGENT_CODE
 Foreign key: none

| AGENT_CODE | AGENT_NAME | AGENT_PHONE | AGENT_INITIAL | AGENT_BIRTHDATE |
|------------|------------|-------------|---------------|-----------------|
| 901 | T. J. | 238-1249 | Atty | 1307-09-75 |
| 902 | G. S. | 802-1244 | John | 1906-07-26 |
| 903 | G. S. | 123-9889 | Chris | 1290-01-46 |

SOURCE: Course Technology/Cengage Learning.

Relational Set Operators

- Relational algebra
 - Defines theoretical way of manipulating table contents using relational operators
 - Use of relational algebra operators on existing relations produces new relations:
 - SELECT
 - PROJECT
 - JOIN
 - INTERSECT
 - UNION
 - DIFFERENCE
 - PRODUCT
 - DIVIDE

FIGURE 3.4 SELECT

Original table

| P_CODE | P_DESCRIPTION | PRICE |
|--------|---------------|-------|
| 123456 | Flashlight | 5.98 |
| 123457 | Lamp | 25.95 |
| 123458 | Box Fan | 10.99 |
| 213345 | 3x battery | 1.90 |
| 254467 | 100W bulb | 1.47 |
| 311452 | Power drill | 34.95 |

SELECT ALL yields

SELECT only PRICE less than \$2.00 yields

SELECT only P_CODE = 311452 yields

New table

| P_CODE | P_DESCRIPTION | PRICE |
|--------|---------------|-------|
| 123456 | Flashlight | 5.98 |
| 123457 | Lamp | 25.95 |
| 123458 | Box Fan | 10.99 |
| 213345 | 3x battery | 1.90 |
| 254467 | 100W bulb | 1.47 |
| 311452 | Power drill | 34.95 |

SOURCE: Course Technology/Cengage Learning.

Relational Set Operators (cont'd.)

- Natural join
 - Links tables by selecting rows with common values in common attributes (join columns)
- Equijoin
 - Links tables on the basis of an equality condition that compares specified columns
- Theta join
 - Any other comparison operator is used

Relational Set Operators (cont'd.)

- Inner join
 - Only returns matched records from the tables that are being joined
- Outer join
 - Matched pairs are retained, and any unmatched values in other table are left null

FIGURE 3.10 Two tables that will be used in join illustrations

| Table name: CUSTOMER | | | |
|----------------------|-----------|---------|------------|
| CUS_CODE | CUS_NAME | CUS_ZIP | AGENT_CODE |
| 1132445 | Prodan | 32145 | 231 |
| 1217782 | Adams | 32145 | 125 |
| 1312243 | Rakovski | 34129 | 187 |
| 1321242 | Rodriguez | 39134 | 125 |
| 1542311 | Smithson | 32134 | 421 |
| 1657399 | Varico | 32145 | 231 |

| Table name: AGENT | |
|-------------------|-------------|
| AGENT_CODE | AGENT_PHONE |
| 125 | 6152435657 |
| 187 | 6153426779 |
| 231 | 6152431124 |
| 333 | 9041234445 |

SOURCE: Course Technology/Cengage Learning

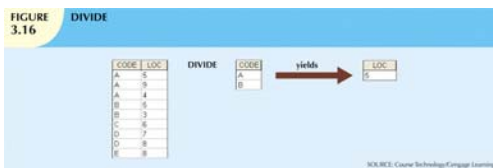
Relational Set Operators (cont'd.)

- Left outer join
 - Yields all of the rows in the CUSTOMER table
 - Including those that do not have a matching value in the AGENT table
- Right outer join
 - Yields all of the rows in the AGENT table
 - Including those that do not have matching values in the CUSTOMER table

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FIGURE 3.16



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The Data Dictionary and System Catalog

- Data dictionary
 - Provides detailed accounting of all tables found within the user/designer-created database
 - Contains (at least) all the attribute names and characteristics for each table in the system
 - Contains metadata: data about data
- System catalog
 - Contains metadata
 - Detailed system data dictionary that describes all objects within the database

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TABLE 3-6 A Sample Data Dictionary

| TABLE NAME | ATTRIBUTE NAME | CONTENTS | TYPE | FORMAT | RANGE | REQUIRED | PK or FK | PK REFERENCED TABLE |
|------------|-----------------|---------------------------------|-------------|--------------|-------------|----------|----------|---------------------|
| CUSTOMER | CUS_CODE | Customer account code | CHAR(3) | 99999 | 10000-99999 | Y | | |
| | CUS_LASTNAME | Customer last name | VARCHAR(20) | XXXXXXXX | | Y | FK | AGENT_CODE |
| | CUS_FNAME | Customer first name | VARCHAR(20) | XXXXXXXX | | Y | | |
| | CUS_INITIAL | Customer initial | CHAR(1) | X | | Y | | |
| | CUS_RENEW_DATE | Customer insurance renewal date | DATE | dd-mm-yyyy | | | | |
| AGENT | AGENT_CODE | Agent code | CHAR(3) | 999 | | Y | | |
| | AGENT_CODE | Agent code | CHAR(3) | 999 | | Y | FK | |
| | AGENT_AREA_CODE | Agent area code | CHAR(3) | 999 | | Y | | |
| | AGENT_PHONE | Agent telephone number | CHAR(15) | 999-9999 | | Y | | |
| | AGENT_LASTNAME | Agent last name | VARCHAR(20) | XXXXXXXX | | Y | | |
| | AGENT_YTD_SLS | Agent year-to-date sales | NUMBER(9,2) | 9,999,999.99 | | | | |

FK = Foreign key
 PK = Primary key
 CHAR = Fixed character length data (1-255 characters)
 VARCHAR = Variable character length data (1-2,000 characters)
 NUMBER = Numeric data (NUMBER(9,2) are used to specify numbers with two decimal places and up to nine digits, including the decimal places. Some RDBMSs permit the use of a MONEY or CURRENCY data type.)

The Data Dictionary and System Catalog (cont'd.)

- Homonym
 - Indicates the use of the same name to label different attributes
- Synonym
 - Opposite of a homonym
 - Indicates the use of different names to describe the same attribute

Relationships within the Relational Database

- 1:M relationship
 - Relational modeling ideal
 - Should be the norm in any relational database design
- 1:1 relationship
 - Should be rare in any relational database design

Relationships within the Relational Database (cont'd.)

- M:N relationships
 - Cannot be implemented as such in the relational model
 - M:N relationships can be changed into 1:M relationships

The 1:M Relationship

- Relational database norm
- Found in any database environment

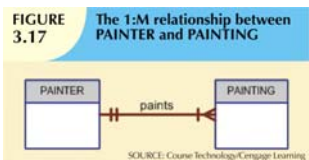


FIGURE 3.18 The implemented 1:M relationship between PAINTER and PAINTING

Table name: PAINTER
Primary key: PAINTER_NUM
Foreign key: none

| PAINTER_NUM | PAINTER_LNAME | PAINTER_FNAME | PAINTER_INITIAL |
|-------------|---------------|---------------|-----------------|
| 123 | Ross | Georgina | P |
| 126 | Ito | Julia | O |

Database name: Ch03_Museum

Table name: PAINTING
Primary key: PAINTING_NUM
Foreign key: PAINTER_NUM

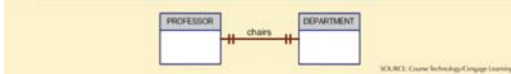
| PAINTING_NUM | PAINTING_TITLE | PAINTER_NUM |
|--------------|--------------------------|-------------|
| 1330 | Down Thunder | 123 |
| 1339 | Vanilla Roses To Nowhere | 123 |
| 1340 | Tired Founders | 126 |
| 1341 | Hasty Exit | 123 |
| 1342 | Plastic Paradise | 126 |

SOURCE: Course Technology/Cengage Learning

The 1:1 Relationship

- One entity related to only one other entity, and vice versa
- Sometimes means that entity components were not defined properly
- Could indicate that two entities actually belong in the same table
- Certain conditions absolutely require their use

FIGURE 3.21 The 1:1 relationship between PROFESSOR and DEPARTMENT



SOURCE: Course Technology/Cengage Learning.

The M:N Relationship

- Implemented by breaking it up to produce a set of 1:M relationships
- Avoid problems inherent to M:N relationship by creating a composite entity
 - Includes as foreign keys the primary keys of tables to be linked

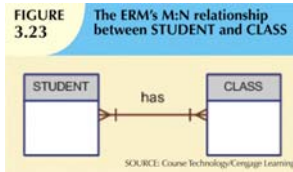


FIGURE 3.25 Converting the M:N relationship into two 1:M relationships

Table name: STUDENT
Primary key: STU_NUM
Foreign key: name

| STU_NUM | STU_NAME |
|---------|----------|
| 321452 | Bowser |
| 324257 | Sniffson |

Database name: Ch03_College1b2

Table name: ENROLL
Primary key: CLASS_CODE + STU_NUM
Foreign key: CLASS_CODE, STU_NUM

| CLASS_CODE | STU_NUM | ENROLL_GRADE |
|------------|---------|--------------|
| 10014 | 321452 | C |
| 10014 | 324257 | B |
| 10019 | 321452 | A |
| 10019 | 324257 | B |
| 10021 | 321452 | C |
| 10021 | 324257 | C |

Table name: CLASS
Primary key: CLASS_CODE
Foreign key: CRJ_CODE

| CLASS_CODE | CRJ_CODE | CLASS_SECTION | CLASS_TIME | CLASS_ROOM | PROF_NUM |
|------------|----------|---------------|--------------------|------------|----------|
| 10014 | ACCT-211 | 3 | TH 2:30-3:45 p.m. | BUS252 | 342 |
| 10019 | OS-220 | 2 | MoW 9:00-9:50 a.m. | HLR211 | 114 |
| 10021 | GM-261 | 1 | MoW 9:00-9:50 a.m. | HLR200 | 114 |

SOURCE: Course Technology/Cengage Learning

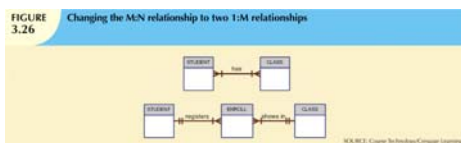
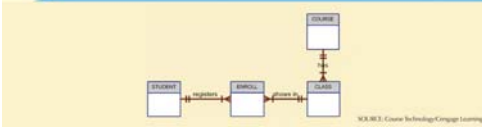


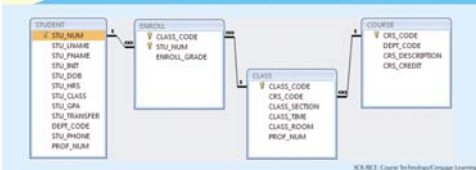
FIGURE 3.27 The expanded entity relationship model



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FIGURE 3.28 The relational diagram for the Ch03_TinyCollege database



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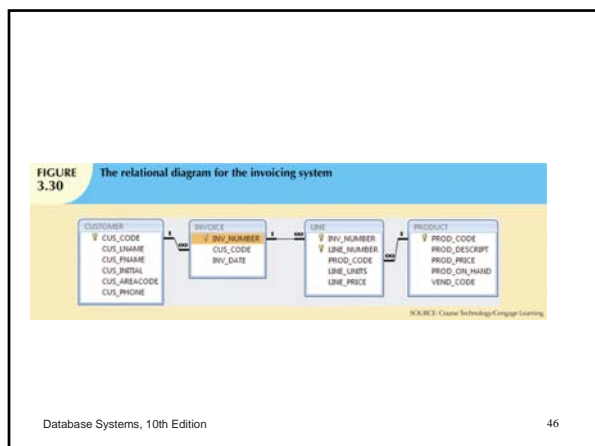
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Data Redundancy Revisited

- Data redundancy leads to data anomalies
 - Can destroy the effectiveness of the database
- Foreign keys
 - Control data redundancies by using common attributes shared by tables
 - Crucial to exercising data redundancy control
- Sometimes, data redundancy is necessary

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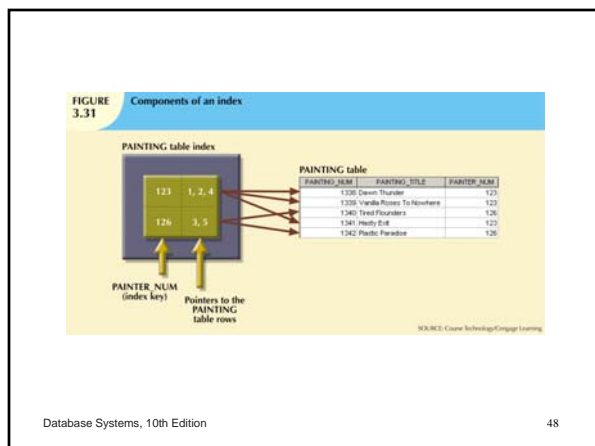
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Indexes

- Orderly arrangement to logically access rows in a table
- Index key
 - Index's reference point
 - Points to data location identified by the key
- Unique index
 - Index in which the index key can have only one pointer value (row) associated with it
- Each index is associated with only one table

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Codd's Relational Database Rules

- In 1985, Codd published a list of 12 rules to define a relational database system
 - Products marketed as “relational” that did not meet minimum relational standards
- Even dominant database vendors do not fully support all 12 rules

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Summary

- Tables are basic building blocks of a relational database
- Keys are central to the use of relational tables
- Keys define functional dependencies
 - Superkey
 - Candidate key
 - Primary key
 - Secondary key
 - Foreign key

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Summary (cont'd.)

- Each table row must have a primary key that uniquely identifies all attributes
- Tables are linked by common attributes
- The relational model supports relational algebra functions
 - SELECT, PROJECT, JOIN, INTERSECT
UNION, DIFFERENCE, PRODUCT, DIVIDE
- Good design begins by identifying entities, attributes, and relationships
 - 1:1, 1:M, M:N

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