# Biodiversity collapse in a phase transition between neutral and

### 2 niche communities

- <sup>3</sup> Leonardo A. Saravia <sup>1</sup>, Jordi Bascompte <sup>2</sup>, Fernando R. Momo <sup>1</sup>
- 1. Instituto de Ciencias Básicas
- 5 Universidad Nacional de General Sarmiento
- <sub>6</sub> J.M. Gutierrez 1159 (1613), Los Polvorines
- 7 Buenos Aires, Argentina.
- s lsaravia@ungs.edu.ar
- 2. Institute of Evolutionary Biology and Environmental Studies University of Zurich
- Winterthurerstrasse 190, 8057 Zurich Switzerland
- ii jordi.bascompte@ieu.uzh.ch

### 12 Abstract

- The dynamics of ecological communities can be described by two contrasting models: the first assumes that the individuals of all species are identical and do not have competitive interactions, the second assumes that species are different, adapted to particular habitat conditions and have strong interactions. These represent extremes of a continuum: the first is the neutral and the later the niche model of communities. Real communities are actually a mixture of both dynamics. Here I study the simplest model of neutral-niche communities where niche dynamics is represented as a competitive hierarchy. The competition intensity is defined as a parameter that modulates the transition between these extremes. We use a stochastic cellular 19 automata to show that there is a phase transition between the neutral and niche model with a spanning cluster formed by the most abundant species. The transition implies a sharp fall of species diversity but the richness shows a gentle decline with increasing competitive intensity. As this kind of multi-species critical transition have not been described previously we suggest new early warning signals: the rate of exponential decay in the patch distribution of the non-dominant species. This rate decreases when the community approaches the critical point and increases when the community crosses it. The critical point is at a very low value of competitive intensity and the same was reported for different high diversity real communities, this suggest the possibility that this kind of communities live near the critical point.
- Short title: A spatial phase transition between neutral and niche communities

### 1 Introduction

principle the emphasis were on deterministic processes based on niche differences between species; the niche theory assumes that different species are regulated by different environmental factors and infer that diversity originates from spatial and temporal environmental heterogeneity [1–3]. More recently the emphasis shifted to stochastic mechanisms in the form of the Neutral theory of Biodiversity and Biogeography [4]. The neutral theory assumes that individuals of all species are equivalent and it proposes that diversity originates from a balance between immigration, speciation, and extinction. Neutral theory has been proposed as a parsimonious formulation that can provide new insight into the patterns of community assembly [5], besides this simplification it can predict some community metrics very well [6,7], mainly the species abundance distribution (SAD). 11 Finally arises a unified view that accepts that both kinds of mechanisms are present at the same time and tries to quantify the importance of these in natural communities [8-11]. The main point is to understand which species level traits are important for community dynamics and which ones are unimportant [12], and this is related to the scale of observation. The problems of pattern and scale are critical in ecology [13,14], because patterns that seem stochastic at one scale may reveal structure at another scale. The concept of pattern is 16 related to some sort of repetition that our brain can detect, when this pattern repeats at different scales we 17 talk about scale invariance or self-similarity, characterized by power laws. These patterns could be produced by critical phase transitions described by percolation theory [15]. This kind of spatial phase transitions were first introduced in ecology in the framework of landscape ecology [16] and habitat fragmentation [17]. Percolation is characterized by the presence of two phases defined by some macroscopic features, e.g. the presence of vegetation or a desert in arid ecosystems [18]. These phases are linked by a critical point were a 22 sudden transition happens and a large spatial pattern emerges. In a two dimensional landscape where each site is connected to the nearest neighbors with some probability p, if you start with a small p there will be some connected sites that forms a cluster or patch. When you increase p there is a point where appears a cluster of sites spanning the entire landscape. This spanning cluster has a self-similar structure and is produced by local interactions [19]. Several different ecological spatial models exhibit critical behavior related to the degree of disturbance [20]. Some of these models showed robust criticality: a particular kind of criticality discovered for ecological systems, where self-similarity is present for a wide range of parameters and does not necessarily involves drastic changes in the biological variables of interest [21]. More important is that this kind of criticality has

Much effort has been devoted to understand the mechanisms of community assembly and dynamics. In

- been documented for arid ecosystems [22]; here the sudden shift towards a desert condition might occur when
- <sup>2</sup> rainfall decrease [23] or also with more intense grazing [18]. The mechanism producing self-similarity is the
- positive effect produced by local facilitation, the chance of a new seedling to become established is higher
- 4 near the parent plant.
- 5 Another example of an ecosystem exhibiting criticality are savannas, where the transition occurs between
- tree and grass cover [24]. In critical phenomena the transition is produced by the capacity of the system
- <sub>7</sub> to transmit some signal or information, in savannas the proportion of 60% grass 40% trees is linked to the
- s threshold needed for fire to spread. The increase in the proportion of trees, due to a change in environmental
- 9 conditions, can create positive feedback mechanisms resulting in the encroachment of savanna ecosystems
- 10 [24].
- 11 Neutral models can produce species patches with power law distributions without been near a critical state
- 12 [25], so the detection of this kind of patterns do not imply a phase transition. Moreover phase transitions are
- 13 also observed in non-spatial models, some studies detected the presence of a sharp transition between neutral
- 14 and niche dynamics in spatially implicit models [26] and Fisher et al. [27] demonstrated the presence of a
- phase transition for this kind of neutral-niche models.
- 16 Here we study a different kind of ecological spatial phase transition that is not related to disturbance or fire,
- 17 is the transition between a neutral and a niche community. We used the simplest model of neutral-niche
- communities where niche dynamics is represented as a competitive hierarchy [28]. This spatially explicit
- 19 model unifies the Tilman's model of hierarchical competition with the classical neutral model using one
- 20 parameter: the competition intensity. This parameter is represented as the probability that one species
- 21 replace another and modulates the transition between the neutral phase and niche phase.
- <sup>22</sup> Our first aim is to demonstrate the existence of a spatial phase transition in neutral-niche models, our second
- 23 objective is to explore the dependence of the critical point with the parameters that are more important for
- 24 the spatial pattern and to suggest some possible early warnings of the transition.

## Methods

- 26 First we define the spatial explicit neutral-hierarchical model, then we explain how we characterized its
- 27 critical behavior in terms of percolation theory and how we made simulations. We refer to interested readers
- to more extensive introductions to percolation theory in an ecological context [Reference 19;Oborny2007].

# 1 The spatial stochastic model

- <sup>2</sup> This model represent a continuum between hierarchical and neutral model in the same spirit as in [29–31].
- 3 The model is a stochastic cellular automata (CA) or also called interactive particle system [32]. In these kind
- 4 of models space is discretized into a grid and only one individual can occupy a particular position. Each
- 5 position represents an area fixed by the investigator to mimic the real system. Time is continuous so the
- 6 update of the model is asynchronous. Sites are chosen at random to be updated and to perform one complete
- $\sigma$  time interval cJ sites have to be updated, where c is a constant that describes the overall rate at which
- transitions are occurring and J is the size of the grid [32].
- We use periodic boundary conditions, which makes the landscape a torus. It means that sites on the top edge
- of the grid are neighbors of those on the bottom edge, and sites on the right edge are neighbors of those on
- the left. With this choice we can avoid edge effects and is equivalent to thinking that the grid is embedded
- in a large community. The size of the community is given by  $J = dimX \times dimY$ , where dimX and dimY
- $_{13}$  are the dimension of the grid. Thus J is the maximum number of individuals in the simulated area. As
- in a classical neutral model there is a metacommunity i.e. a regional species pool assumed very large and
- invariant in ecological time scales [4]. All individuals have the same parameters, besides they should belong
- to different species [4], and each species is assigned with a number. There are only two possible differences
- 17 between species:

22

- They may have a different frequency  $X_i$  in the metacommunity and also different abundances in the
- local community.
- Hierarchical competition: species with lower numbers have a probability to replace species with higher
- numbers as in [33]. Thus a species with number 1 have a probability to replace species with number
  - 2 and greater. The species with number 2 can replace species starting from 3. The probability of
- replacement  $(\rho)$  is a parameter, when it is 0 there is no replacement and the model behaves like a
- neutral model without competitive hierarchy.
- 25 The colonization-competition and other possible trade-offs are not explicitly included in the model. But a
- 26 colonization-competition trade-off can be established if species numbering is arranged in inverse order as
- $_{27}$  it's frequency  $X_i$  in the metacommunity, the most competitive species (with number 1) will have the lowest
- 28 migration rate and the less competitive will have the highest migration rate.
- 29 There are four processes included in the model: death, local dispersal, and migration, starting with an empty
- 30 site the following events can happen:

- 1 (1) With probability m an individual of a species i can migrate from the metacommunity at a rate proportional to its frequency  $X_i$  in the metacommunity.
- 3 (2) When the grid is not full, individuals give birth with rate 1 to a new individual that disperse to the 4 neighborhood with a dispersal kernel, here I use an inverse power kernel [34]:
- $d(x) = \frac{\alpha 1}{x_{min}} \left(\frac{x}{x_{min}}\right)^{-\alpha}$  with  $mean = \frac{\alpha 1}{\alpha 2} x_{min}$  where  $\alpha > 1$  and  $x \ge x_{min}$ .
- where d(x) is the probability that an individual disperse a distance x from the parent. In all cases I used  $x_{min} = 1$ .
- $_{8}$  (3) Individuals die a rate  $\mu$
- When an individual dies it is replaced by a migrant from metacommunity with probability m and with probability 1-m by an individual from the neighborhood. The neighborhood is established using the dispersal kernel with average distance d. Once the grid is full it keeps full, because when an individual dies is immediately replaced by another. This is called the zero-sum assumption in neutral models.
- (5) If the individual does not die it can be replaced by an individual from the metacommunity or neighborhood as in (4), but an individual of species with number k can replace and individual of a species k+1with probability  $\rho$ . Thus a hierarchical ordering of species is established. When this probability is zero the model behavior is neutral.
- The model was developed using the C++ programing language and its source code is available at https://dx.doi.org/10.6084/m9.figshare.969692.

### 19 Percolation and simulations

- To characterize our model in terms of percolation theory we need to define an order parameter that depends on an external control or tunning parameter that can be continuously varied. Thus we defined as tunning
- parameter the replacement probability  $\rho$ , and the order parameter as the probability that a patch of one
- species connects the landscape, this is called the spanning cluster probability  $SC_p$ .
- 24 In our model percolation is produced when there is at least one patch of one species that spans from one edge
- 25 of the system to the opposite edge. To detect species patches we used a modified Hoshen–Kopelman cluster
- labeling algorithm [35] with a neighborhood defined by the four nearest sites (Von Neumann neighborhood)
- 27 available at github https://github.com/lsaravia/Clusters. The percolation point is defined as the value of
- the tunning parameter  $\rho$  at which  $SC_p$  is 0.5 and the patch size distributions were measured after 5000

- time iterations. We used one snapshot of the spatial pattern to make our results more compatible with field
- 2 studies.
- The size of the lattice affects the value of the critical point  $\rho_c$  at which the transition occurs; in small lattices
- $SC_p$  is non-zero for values of  $\rho$  below the  $\rho_c$ , because patches that connect the entire lattice appears only
- by chance. Therefore, in order to obtain an asymptotic estimate for  $\rho_c$  we performed a finite size scaling
- analysis. For this, we run simulations for different lattice sizes (Side = 100, 150, 256, 512) and obtained
- asymptotic values by regressing  $\rho_c$  against 1/N, the intercept becomes an estimate for a lattice of infinite
- s size  $(\rho_c^{\infty})[15,36]$ .
- 9 We determined critical points for two different metacommunities: a) One with a logseries species abundance
- distribution, the most common distribution that fits experimental data [37]. With this metacommunity we
- included a competition-colonization trade-off by arranging species numbers in inverse order as it's frequency
- $X_i$  in the metacommunity. b) A uniform species distribution, all species have the same probability to colonize
- the local community, this is analogous to simulate the apparition of a new species by evolution thus the
- <sup>14</sup> migration parameter m would represent an speciation rate. The values of the m parameter (Table 1) were
- 15 at least two orders of magnitude higher than realist speciation rates [38] as is not our aim to interpret the
- 16 results in an evolutionary framework, only to show the influence of different metacommunities.
- All simulations started with an empty lattice that is colonized by migrants mimicking the assembly of a
- 18 new community, for each parameter combination we made 30 simulations. Thus we calculate the  $SC_p$  as
- 19 the number of times we observed a spanning cluster divided by the number of simulations. The range of
- 20 parameters used were compatible with published results in tropical forest [39–41], It was suggested that
- 21 fat-tail dispersal kernels give more realistic results [38,42] so we used an inverse power law distribution with an
- exponents always greater than two—so the mean exist (Table 1). The parameter  $\rho$  is varied in all the range
- between 0 and 1 to determine the critical point, in the region where we suspect the  $\rho_c$  will be located (near 0)
- the steps were very small (0.0001) and greater (0.1) in the region were we don't expect the  $\rho_c$ . The analysis of
- the model output were done in the R statistical statistical language [43] and the scripts are available at github
- https://github.com/lsaravia/CriticalTransition and figshare http://dx.doi.org/10.6084/m9.figshare.XXXXXX.
- We calculated the sensitivity of critical point for infinite lattices  $(\rho_c^{\infty})$  varying three parameters of the model,
- one at a time: the migration m, the dispersal distance and the number of species on the metacommunity. To
- change the dispersal distance we vary the power exponent of the dispersal kernel  $\alpha$ . The range of parameters
- we used is in table 1, we used as a base the first row of the table, so if we vary m, the other parameters are
- fixed at  $\alpha = 2.04$  and the metacommunity number of species equal to 64.

## 1 Communities and early warnings signals

least 20 patches and 5 different patch sizes.

To compare communities change near and far from the  $\rho_c$  we measured the species abundance distribution (SAD) and calculated the rank abundance diagrams (RAD). These diagrams are equivalent to cumulative distributions and thus are a robust way to visualize the SAD without losing information [44]. We also calculated richness (S) and the Shannon diversity index (H) for each simulation. The patch size distribution has been suggested as an early warnings for ecological transitions [45], besides a more in-depth study is necessary to reliably identify early warnings we present these as a first step in this new kind of models. The difference with previous models of phase transition in ecology [46], is that they have one or two species patches and we have from 16 to 256 different species. We already know that the patch size distributions of species in neutral models follow a power law [25], besides we added to the neutral model a competitive interaction we expect that the patch size distribution follows a power law  $(f(x) = x^{-\alpha})$  or due to the finite size of the simulations a power law with exponential cutoff  $(f(x) = x^{-\alpha}e^{-\lambda x})[47,48]$ . As 12 an alternative model we fitted an exponential distribution  $(f(x) = e^{-\lambda x})$ . Thus before the critical point we fitted the patch distribution of the most abundant species and of all species that are not the most abundant clumped together. Near the critical point and after it we fitted the patch distribution of the spanning cluster species and the distribution of all other species aggregated. For each of these species or set of species, we fitted the mentioned 3 discrete distributions using maximum 17 likelihood methods [49]. After that we calculated the Akaike information criteria corrected for small samples  $(AIC_c)$ , to select the best model [50,51]. To characterize the variation of fitted parameters against  $\rho$ , we used quantile regression [52]. All the analysis were made using the R statistical language [43], the fitting of patch distributions was made using mainly the package poweRlaw [53] and quantile regressions with quantreg [54]. 21 We measured the patch size distribution from simulations in a range of  $\rho$  from neutral to niche communities, 22 using a smaller set than the ones we used to estimate the critical point:  $\rho = \{0.0000, 0.0005, 0.0010, 0.0020,$ 23 0.0030, 0.004, 0.005, 0.01, the other parameters were from the first row of table 1 and a Side of 256 sites. The simulations run up to time 5000 and them we collected the patches every 40 time intervals for 400 time intervals more each. In some simulations the spanning cluster occupies a great proportion of the landscape in consequence very few patches remains to estimate a distribution. We only fitted a model when there are at

## $_{\scriptscriptstyle 1}$ Results

We observed a typical pattern of a second order continuous phase transition (Figure 1) which means that at the critical point  $\rho_c$  one species percolates through the lattice: a patch spreads from side to side. The terms patch and cluster mean the same for us: a mono-specific aggregation of individuals. As expected from percolation theory [15,36] the probability of a spanning cluster  $(SC_p)$  is greater than 0 for  $\rho < \rho_c$  and small lattice sizes, for bigger lattice sizes  $SC_p$  is 0 for  $\rho < \rho_c$  and jumps quickly to 1 for  $\rho > \rho_c$  and this clearly define the two phases. Consequently the critical point for smaller lattices is lower than for bigger lattices Table 2). The size of the spanning cluster is between 0.28-0.37 in proportion of the lattice for logseries metacommunity, and 0.34-0.36 for uniform metacommunity. This suggest that communities with a species patch around these areas are candidates to be near a critical point. 10 When the competitive intensity surpasses the critical point, the space left for the most abundant species 11 quickly diminish so the Shannon diversity (H) collapses (Figure 2) but some individuals can escape the 12 competitive displacement thus richness shows a more gentle fall (Figure 3). We can observe the effect of 13 the competition-colonization trade-off in the logseries metacommunities: a very small degree of competition produces more diverse (H) communities than purely neutral ones. This is because in the long term the SAD 15 from a neutral community will match the metacommunity SAD [25], thus a small degree of competition lowers the density of colonizers and raises H before the critical point. The effect of the trade-off also can be 17 observed in richness, logseries communities have in general less species and the decrease in richness is slower than with uniform communities (Figure 3). 19 The differences between logseries and uniform communities are also observed looking at the RADs (Figure 4). Before the critical point logseries communities have the effect of the trade-off: neutral ones ( $\rho = 0$ ) have a greater negative slope and less species, then the slope is more flat ( $\rho$  around 0.0005), and approaching the critical point it began to fall again but the shape of the RADs are different, the curvature is inverted. For uniform communities the shape of the RAD is constant while the dominance of competitive species raises, 24 the number of species keep constant until we reach  $\rho$ =0.1. This explain why we observed a sharp drop in H and a gentle decrease in S when we raise  $\rho$ . The change in the RAD with  $\rho$  also suggest a method to find communities were the trade-offs are important or not. The critical point is the value of the intensity of competition where the phase transition occurs  $\rho_c$ . We observed that in general the transition happened at very low  $\rho$  values (Figure 5). That means that low levels of competition are needed to change the phase and sharply decrease diversity, this is observed for both logseries and uniform metacommunities. The effect of the colonization-competition trade-off in communities

with logseries metacommunities is to slightly increase  $\rho_c^{\infty}$ . Thus logseries communities can have slightly

higher levels of competition than uniform communities before the transition to the niche phase.

We analyzed how the critical point changes varying model parameters in ranges suggested by previous studies, thus the validity of our findings is restricted to these ranges (Table 1). The migration parameter m had the widest range and the biggest relative influence (0.9) on the critical point  $\rho_c^{\infty}$  (Appendix Table 2). When m is greater we have more influence of the metacommunity on the local community, this lowers the effect of competition intensity and makes the  $\rho_c^{\infty}$  higher (Figure 5). The effect becomes important when m = 0.016which results in the biggest  $\rho_c^{\infty}$ , approximately 0.01 which is an order of magnitude greater than all the other cases (Online appendix Table 2). The number of species in the metacommunity have a strong influence on  $\rho_c^{\infty}$  and we observed different relative responses for logseries (0.29) and uniform (0.39) metacommunities. For higher number of species in the metacommunity we obtained higher  $\rho_c$ , this means that when we have less 11 species the effect of competition is stronger, and this is buffered by the effect of the competition-colonization 12 trade-off present in logseries communities. Finally dispersal distance have a weak effect in the range we used 13 (relative variation of 0.1).

14

The patch size distributions have been used to detect the closeness of the critical point when the spatial 15 patterns are non periodic or irregular as in our case [45]. In our simulations the most frequent best model 16 with lower  $AIC_c$ —was the power law with exponential cutoff (70%), the pure power law was found best in 8% of the cases and the exponential model never was found the best model (Appendix Table 3). The rest of the cases (22%) correspond to simulations with  $\rho$  greater than  $\rho_c$  where one big spanning patch exist and 19 there are few other patches of the same species, so a distribution model can not be fitted following the criteria 20 we stated in methods. Thus we adopted as a possible indicators of the phase transition the parameters of the power law with exponential cutoff model:  $\alpha$  the power exponent and  $\lambda$  the exponential decay rate. We fitted quantil regressions using three quartiles: 0.25, 0.50 and 0.75. For the most abundant and the spanning species  $\alpha$  has an increasing tendency but for some the tendency is weak and non-significative at 5% level in near 60% of the cases (Appendix Table 4 and appendix Figure 1), The  $\lambda$ s are also non-significative in near 60% and do not have consistent patterns for all the cases: a decreasing pattern for logseries communities; for uniform communities most abundant species have negative slopes and spanning species have positive slopes (Appendix Figure 2). The most clear and consistent patterns are observed for the patches of other species clumped, which have for  $\lambda$  only 1 case non-significative (8%) but for  $\alpha$  also have 58% of cases non-significative (appendix table 4). Before the critical point the  $\lambda$  have a negative slope and after the critical point have a positive slope, we also observed that the median of  $\lambda$  reaches a minimum right after the critical point  $(\rho_c$  between 0.0011-0.0013). Thus the slope of  $\lambda$  could be used as an indicator of the critical point. If the

- community is approaching the critical point the slope will be negative and if it is past the critical point the
- 2 slope will be positive.

### Discussion

- 4 We described for the first time a spatial phase transition between neutral and niche ecological communities.
- 5 The power laws of patch sizes distributions observed in this model are not only produced at the critical
- point but are present over the whole range of the control parameter  $\rho$ . There are some ecological models
- 7 that display this kind of behavior and this have been termed robust criticality, because of the permanence
- 8 of scaling laws [20]. These previous models all included some kind of disturbances or stress, and with the
- <sub>9</sub> increase in disturbance levels an increase in the exponential decay  $(\lambda)$  of patch sizes is observed. This results
- is due to the switch from a power law to a power law with exponential cutoff model [46], or by an increase of
- $(\lambda)$  in the power law with exponential cutoff model [47]. In our model model the competition intensity  $\rho$  can
- play the same role of the stress and a very small degree of stress produce a critical transition from a neutral
- phase to a niche phase and we observed a different pattern of variation in  $\lambda$  with  $\rho$ .
- The sequence of an increasing  $\lambda$  when the critical point is approached is not observed but a decrease of it
- when the system goes through the critical point, and then an increase. When increase of  $\lambda$  starts we already
- have a biodiversity collapse, thus the pattern that can be used for an early warning is the decrease in  $\lambda$  fitted
- from the patches of species that are not the most abundant.
- 18 The existence of a patch of one dominant species that covers near 30% of the area can also point us that the
- 19 system is near the critical point. This the patch that extends from side to side of the system —also called
- the spanning cluster—have a variable size depending on the size of the system (from 28% to 38%). Thus it
- can not be used alone, the size of the biggest mono-specific patch should be used in conjunction with the
- decrease of  $\lambda$ , if the size of the biggest patch is around 10% the system should not be close to the critical
- point, if it is more than 30% is more probable that the system is near a critical transition.
- A commonly used pattern to characterize ecological communities is the species abundance distribution that
- 25 we used in the form of rank abundance diagrams (RAD). We found that there is a change in the RAD when
- the critical point is approached but these changes are slow and will probably go undetected [28]. The shape
- of the RAD is highly dependent on the metacommunity which is difficult to estimate as a baseline, thus
- there is no RAD characteristic of a community near the critical point. The species richness and Shannon
- diversity of the community are both resumed versions of the species abundance distribution. Richness keeps
- 30 fluctuating around a more or less constant value when the community goes through the critical transition.

- Shannon diversity depend on the metacommunity and on the existence of trade-off: in some cases increases
- <sup>2</sup> before the critical point and in other cases starts to decrease. Thus these two indices can be a misleading
- 3 indicators of the proximity of a critical transition.
- 4 In any case the use of several indicators is recommended [45], and a detailed study of early warnings signals
- 5 developed for other kinds of systems with spatial structure should be done. The mean field model that can be
- 6 derived from this spatially explicit could be analytically solved and used to fit communities time series data,
- 7 this should be combined with spatial information to give a more accurate assessment of the current state
- 8 of an ecosystem, and the proximity or not of a critical transition. The assessment of several early warning
- 9 indicators is fundamental to detect phase shifts earlier [45].
- 10 A recently published model related the savanna-forest transition to a phase transition between persistent
- species in a neutral model without including any niche effect [24]. In our model we observed the apparition of
- a spanning cluster in the neutral phase only at the smallest lattice sizes (100-150 sites), the spanning cluster
- that is produced in percolation at the critical point is theoretical infinite [15,36] but the spanning cluster
- have a non-zero probability to appear in small lattices due to chance. Thus we did not observe a critical
- transition for neutral communities, this is in contrast with [24] that reported a critical phase transition for
- a neutral model using a lattice with sides from 10 to 100. A fundamental difference is that in their model
- each site is occupied by a local community with 2500 individuals, in our model each site is occupied by one
- $_{18}$  individual. Thus besides the range of the total number of individuals they use is bigger than in our model
- they considered a very coarse approximation to define the patches, and that could be the reason they found a
- 20 critical transition for a purely neutral model.
- Using an spatially implicit model Fisher & Metha [27] described a phase transition between a neutral and
- 22 niche communities. The they used a stochastic Lokta-Volterra model for niche communities where neutral
- 23 dynamics was added as Gaussian noise. Their mechanism is similar to ours but generalized to different
- 24 kinds of interactions. Their model predicts that under stress a community will suffer a biodiversity collapse
- <sub>25</sub> produced by a shift towards neutrality, this means that disturbed less diverse communities should have
- 26 neutral dynamics. This prediction is contrary to most of the models and experimental data that suggest that
- 27 niche dynamics dominate low-diversity communities while neutral dynamics will be more common in high
- diversity communities [26]. This is coincident with our results: the critical point  $\rho_c$  is lower for low diversity
- 29 communities this means that they are more sensitive to changes in environmental conditions, a small change
- 30 can make them to shift to a niche phase.
- 31 The results our model and similar works in spatially implicit models implies that most ecosystems will
- exhibit patterns of diversity that are either strongly niche-structured or indistinguishable from neutral

[26,27]. Anyway there is another option, several field studies have demonstrated that weak interactions is general phenomenon for species rich communities [9] which is also observed for natural food webs [56] so it is possible that these communities live near the critical point between neutrality and niche. This suggest the existence of mechanism similar to critical self organization as hypothesized by Solé et al. [57] called self-organized instability. In this general mechanism the immigration of new species species increase diversity and connectivity, we argue that it also increase interaction strength, until the critical point is reached then diversity diminish. Thus only the communities with weak interactions can maintain high diversity in the long term. The mechanism included in this model are generic, the only differences between species are its competitive ability and its frequency in the metacommunity, the habitat is homogeneous so niche partition mechanisms are not included but the final effect could be the same at the end: the lowering of the intensity of interactions.

Habitat fragmentation produce more isolated communities [58] were less effective space is available to species
thus disregarding the effect of the spatial pattern of habitat destruction this is equivalent to a community
composed of less sites. Then it is probable than fragmentation shift the critical value to lower values making
the communities more sensitive to environmental changes, at the same time these communities would have
a stronger niche effect and a high probability of biodiversity collapse. The distribution of habitable and
non-habitable sites produced by fragmentation could result per se in percolation and critical phenomena.
The interplay between these two critical transitions can be more complex that our previous arguments [59],
so the combined effects should be thoroughly studied.

An extension to different kinds of interactions including food webs, mutualistic communities and intransitive competition [60] is a fundamental next step, but great care should be given to the method of simulate the interaction coefficients to recreate realistic communities [Rohr2014].

The novelty of this critical transition is that it occurs previously than the produced by habitat loss, deforestation, land use changes, increased grazing, fragmentation, etc., and it only needs a change in the environmental conditions that strengthen the interaction between species. Most ecosystems of the biosphere are under pressures produced by human-induced activities, as a result of these global-scale forcings systems that are relatively pristine can change besides they are not under a direct influence of human activities [61]. This kind of changes can be represented by the phase transition described here before the ecosystem is under a direct degradation, and the detection of this kind of transition this could be used as a signal to a global state shift in the biosphere.

## References

- 2 1. Hutchinson GE (1957) Concluding remarks. Cold Spring Harbor Symposia on quantitative Biology 22:
- з 415–427.
- <sup>4</sup> 2. Tilman D (1982) Resource Competition and Community Structure. Princeton, New Jersey: Princeton
- 5 University Press.
- 6 3. Chesson P (2000) Mechanisms of Maintenance of Species Diversity. Annual Review of Ecology and
- y Systematics 31: 343–366. Available: http://www.jstor.org/stable/221736.
- <sup>8</sup> 4. Hubbell SP (2001) The unified neutral theory of biodiversity and biogeography. Princeton University
- 9 Press.. Available: http://books.google.com.ar/books?id=EIQpFBu84NoC.
- 5. Hubbell SP (2005) Neutral theory in community ecology and the hypothesis of functional equivalence.
- Functional Ecology 19: 166–172. Available: http://dx.doi.org/10.1111/j.0269-8463.2005.00965.x.
- 6. Volkov I, Banavar JR, Hubbell SP, Maritan A (2007) Patterns of relative species abundance in rainforests
- and coral reefs. Nature 450: 45–49. Available: http://www.nature.com/nature/journal/v450/n7166/full/
- 14 nature06197.html.
- 7. Rosindell J, Hubbell SP, He F, Harmon LJ, Etienne RS (2012) The case for ecological neutral theory...
- 16 Trends in ecology & evolution 27: 203–208. Available: http://dx.doi.org/10.1016/j.tree.2012.01.004.
- 8. Leibold MA, McPeek MA (2006) Coexistence of the Niche and Neutral Perspectives in Community Ecology.
- Ecology 87: 1399–1410. Available: http://www.jstor.org/stable/20069089.
- 9. Martorell C, Freckleton RP (2014) Testing the roles of competition, facilitation and stochasticity on
- 20 community structure in a species-rich assemblage. Journal of Ecology 102: 74–85. Available: http://
- onlinelibrary.wiley.com/doi/10.1111/1365-2745.12173/abstract.
- 22 10. Vergnon R, Dulvy NK, Freckleton RP (2009) Niches versus neutrality: uncovering the drivers of diversity
- in a species-rich community. Ecology Letters 12: 1079–1090. Available: http://onlinelibrary.wiley.com/doi/
- <sup>24</sup> 10.1111/j.1461-0248.2009.01364.x/abstract.
- 25 11. Kalyuzhny M, Seri E, Chocron R, Flather CH, Kadmon R, et al. (2014) Niche versus neutrality: a
- dynamical analysis. The American Naturalist 184: 439-446. doi:10.1086/677930.
- 27 12. Matthews TJ, Whittaker RJ (2014) Neutral theory and the species abundance distribution: recent
- developments and prospects for unifying niche and neutral perspectives. Ecology and Evolution 4: 2263–2277.
- <sup>29</sup> doi:10.1002/ece3.1092.

- 1 13. Chave J (2013) The problem of pattern and scale in ecology: what have we learned in 20 years?. Ecology
- <sup>2</sup> Letters 16: 4–16. Available: http://onlinelibrary.wiley.com/doi/10.1111/ele.12048/abstract.
- <sup>3</sup> 14. Levin SA (1992) The problem of pattern and scale in ecology. Ecology 73: 1943–1967.
- 4 15. Stauffer D, Aharony A (1994) Introduction To Percolation Theory. London: Tayor & Francis.
- 5 16. Loehle C, Li B-L, Sundell RC (1996) Forest spread and phase transitions at forest-praire ecotones in
- 6 Kansas, U.S.A. Landscape Ecology 11: 225–235.
- 7 17. Bascompte J, Solé RV, Sole RV (1996) Habitat fragmentation and extinction threholds in spatially
- 8 explicit models. Journal of Animal Ecology 65: 465–473. Available: http://www.jstor.org/discover/10.
- $9 2307/5781?uid=3737512\\T1\text{textbackslash}{\wid=2\\T1\text{textbackslash}{\wid=4\\T1\text{textbackslash}}}$
- 10 sid=21104438818541.
- 11 18. Kéfi S, Rietkerk M, Alados CL, Pueyo Y, Papanastasis VP, et al. (2007) Spatial vegetation
- patterns and imminent desertification in Mediterranean arid ecosystems. Nature 449: 213–217. Available:
- http://dx.doi.org/10.1038/nature06111 http://www.nature.com/nature/journal/v449/n7159/abs/nature06111.html.
- 19. Solé RV, Bascompte J (2006) Self-organization in complex ecosystems. New Jersey, USA.: Princeton
- University Press.. Available: http://books.google.com.ar/books?id=v4gpGH6Gv68C.
- 20. Pascual M, Guichard F (2005) Criticality and disturbance in spatial ecological systems.. Trends in
- 17 Ecology & Evolution 20: 88–95. Available: http://www.ncbi.nlm.nih.gov/pubmed/16701348.
- <sup>18</sup> 21. Roy M, Pascual M (2003) Broad scaling region in a spatial ecological system. Complexity 8: 19–27.
- Available: http://onlinelibrary.wiley.com/doi/10.1002/cplx.10096/abstract.
- 22. Solé R (2007) Scaling laws in the drier. Nature 449: 151–153. Available: http://www.nature.com/nature/
- 21 journal/v449/n7159/full/449151a.html.
- 22 23. Scanlon TM, Caylor KK, Levin SA, Rodriguez-iturbe I (2007) Positive feedbacks promote power-law
- clustering of Kalahari vegetation. Nature 449: 209–212. doi:10.1038/nature06060.
- <sup>24</sup> 24. Abades SR, Gaxiola A, Marquet PA (2014) Fire, percolation thresholds and the savanna forest transition:
- a neutral model approach. Journal of Ecology 102: 1386–1393. Available: http://onlinelibrary.wiley.com/doi/
- 26 10.1111/1365-2745.12321/abstract.
- 27 25. Houchmandzadeh B, Vallade M (2003) Clustering in neutral ecology. Physical Review E 68: 1–7.
- <sup>28</sup> doi:10.1103/PhysRevE.68.061912.
- 29 26. Chisholm RA, Pacala SW (2011) Theory predicts a rapid transition from niche-structured to neutral

- biodiversity patterns across a speciation-rate gradient. Theoretical Ecology 4: 195–200. Available: http://doi.org/10.1001/patterns.2001.0001.
- <sup>2</sup> //link.springer.com/article/10.1007/s12080-011-0113-5.
- <sup>3</sup> 27. Fisher CK, Mehta P (2014) The transition between the niche and neutral regimes in ecology. Proceedings of
- 4 the National Academy of Sciences 111: 13111–13116. Available: http://www.pnas.org/content/111/36/13111.
- <sup>5</sup> 28. Saravia L a (2015) A new method to analyse species abundances in space using generalized dimensions.
- 6 Methods in Ecology and Evolution. Available: http://doi.wiley.com/10.1111/2041-210X.12417.
- <sup>7</sup> 29. Gravel D, Canham CD, Beaudet M, Messier C (2006) Reconciling niche and neutrality: the continuum
- 8 hypothesis. Ecology letters 9: 399–409. Available: http://www.ncbi.nlm.nih.gov/pubmed/16623725.
- 9 30. Chisholm RA, Pacala SW (2010) Niche and neutral models predict asymptotically equivalent species
- 10 abundance distributions in high-diversity ecological communities. Proceedings of the National Academy of
- Sciences 107: 15821–15825. Available: http://www.pnas.org/content/107/36/15821.abstract.
- 12 31. Zhou S-R, Zhang D-Y (2008) A nearly neutral model of biodiversity. Ecology 89: 248–258.
- 32. Durrett R, Levin SA (1994) Stochastic spatial models: a user's guide to ecological aplications. Philosophical
- transactions of the Royal Society of London Series B 343: 329–350.
- 15 33. Tilman D (1994) Competition and biodiversity in spatially structured habitats. Ecology 75: 2–16.
- 16 34. Marco DE, Montemurro MA, Cannas SA (2011) Comparing short and long-distance dispersal: modelling
- and field case studies. Ecography 34: 671–682. Available: http://doi.wiley.com/10.1111/j.1600-0587.2010.
- 18 06477.x.
- 19 35. Hoshen J, Kopelman R (1976) Percolation and cluster distribution. I. Cluster multiple labeling technique
- and critical concentration algorithm. Physical Review B 14: 3438-3445. Available: http://link.aps.org/doi/
- 21 10.1103/PhysRevB.14.3438.
- 22 36. Sornette D (2000) Critical Phenomena in Natural Sciences Chaos, Fractals, Selforganization and
- 23 Disorder: Concepts and Tools. Berlin / Heidelberg: Springer.
- 24 37. White EP, Thibault KM, Xiao X (2012) Characterizing species-abundance distributions across taxa and
- ecosystems using a simple maximum entropy model. Ecology. Available: http://dx.doi.org/10.1890/11-2177.1.
- 26 38. Rosindell J, Cornell SJ (2009) Species-area curves, neutral models, and long-distance dispersal. Ecology
- 27 90: 1743–1750. Available: http://www.ncbi.nlm.nih.gov/pubmed/19694124.
- 28 39. Anand M, Langille A (2010) A model-based method for estimating effective dispersal distance in tropical
- plant populations. Theoretical Population Biology 77: 219–226. Available: http://www.sciencedirect.com/

- science/article/pii/S0040580910000158.
- <sup>2</sup> 40. Condit R, Pitman N, Jr. EGL, Chave J, Terborgh J, et al. (2002) Beta-Diversity in Tropical Forest Trees.
- 3 Science 295: 666–669. Available: http://www.sciencemag.org/content/295/5555/666.
- 4 41. Etienne RS (2007) A neutral sampling formula for multiple samples and an "exact" test of neutrality.
- <sup>5</sup> Ecology Letters 10: 608–618. Available: http://onlinelibrary.wiley.com/doi/10.1111/j.1461-0248.2007.01052.
- 6 x/abstract.
- <sup>7</sup> 42. Seri E, Maruvka, Shnerb NM (2012) Neutral Dynamics and Cluster Statistics in a Tropical Forest.. The
- 8 American Naturalist 180: E161. Available: http://www.jstor.org/stable/10.1086/668125.
- 9 43. R Core Team (2015) R: A Language and Environment for Statistical Computing. Vienna, Austria: R
- Foundation for Statistical Computing.. Available: http://www.r-project.org/.
- 44. Newman MEJ (2005) Power laws, Pareto distributions and Zipf's law. Contemporary Physics 46: 323–351.
- 12 Available: http://www.tandfonline.com/doi/abs/10.1080/00107510500052444.
- 45. Kéfi S, Guttal V, Brock WA, Carpenter SR, Ellison AM, et al. (2014) Early Warning Signals of Ecological
- Transitions: Methods for Spatial Patterns. PLoS ONE 9: e92097. Available: http://dx.doi.org/10.1371/
- journal.pone.0092097.
- <sup>16</sup> 46. Kéfi S, Rietkerk M, Roy M, Franc A, de Ruiter PC, et al. (2011) Robust scaling in ecosystems
- and the meltdown of patch size distributions before extinction. Ecology Letters 14: 29–35. Available:
- 18 http://onlinelibrary.wiley.com/doi/10.1111/j.1461-0248.2010.01553.x/abstract.
- 19 47. Weerman EJ, Van Belzen J, Rietkerk M, Temmerman S, Kéfi S, et al. (2012) Changes in diatom patch-size
- distribution and degradation in a spatially self-organized intertidal mudflat ecosystem. Ecology 93: 608–618.
- Available: http://www.esajournals.org/doi/abs/10.1890/11-0625.1.
- 48. Pueyo S (2011) Desertification and power laws. Landscape Ecology: 305–309. doi:10.1007/s10980-010-
- <sup>23</sup> 9569-8.
- <sup>24</sup> 49. Clauset A, Shalizi C, Newman M (2009) Power-Law Distributions in Empirical Data. SIAM Review 51:
- 25 661–703. Available: http://epubs.siam.org/doi/abs/10.1137/070710111.
- 50. Burnham K, Anderson DR (2002) Model selection and multi-model inference: A practical information-
- theoretic approach. 2nd ed. New York: Springer-Verlag.
- 28 51. Burnham K, Anderson D, Huyvaert K (2011) AIC model selection and multimodel inference in behavioral
- ecology: some background, observations, and comparisons. Behavioral Ecology and Sociobiology 65: 23–35.
- Available: http://dx.doi.org/10.1007/s00265-010-1029-6.

- <sup>1</sup> 52. Cade BS, Noon BR (2003) A gentle introduction to quantile regression for ecologists. Frontiers in Ecology
- and the Environment 1: 412–420. Available: http://www.esajournals.org/doi/abs/10.1890/1540-9295(2003)
- 3 001%5B0412%3AAGITQR%5D2.0.СО%3B2.
- 4 53. Gillespie CS (2015) Fitting Heavy Tailed Distributions: The poweRlaw Package. Journal of Statistical
- 5 Software 64: 1–16. Available: http://www.jstatsoft.org/v64/i02/.
- <sup>6</sup> 54. Koenker R (2013) quantreg: Quantile Regression. Available: http://cran.r-project.org/package=quantreg.
- <sup>7</sup> 55. Volkov I, Banavar JR, Hubbell SP, Maritan A (2009) Inferring species interactions in tropical forests.
- Proceedings of the National Academy of Sciences 106: 13854–13859. Available: http://www.ncbi.nlm.nih.
- 9 gov/pmc/articles/PMC2728984/.
- 56. Wootton JT, Emmerson M (2005) Measurement of interaction strength in nature. Annual Review of
- <sup>11</sup> Ecology, Evolution, and Systematics 36: 419–444.
- 12 57. Solé RV, Alonso D, McKane A (2002) Self-organized instability in complex ecosystems. Philosophical trans-
- actions of the Royal Society of London Series B 357: 667–681. Available: http://rstb.royalsocietypublishing.
- org/content/357/1421/667.
- 58. Haddad NM, Brudvig LA, Clobert J, Davies KF, Gonzalez A, et al. (2015) Habitat fragmentation and its
- lasting impact on Earth's ecosystems. Science Advances 1: e1500052. Available: http://advances.sciencemag.
- org/cgi/doi/10.1126/sciadv.1500052.
- 18 59. Oborny B, Szabó G, Meszéna G (2007) Survival of species in patchy landscapes: percolation in space and
- 19 time. Scaling Biodiversity. Cambridge University Press. pp. 409–440. Available: http://dx.doi.org/10.1017/
- 20 CBO9780511814938.022.
- 21 60. Soliveres S, Maestre FT, Ulrich W, Manning P, Boch S, et al. (2015) Intransitive competition is
- 22 widespread in plant communities and maintains their species richness. Ecology Letters 18: 790–798. Available:
- http://onlinelibrary.wiley.com/doi/10.1111/ele.12456/abstract.
- 24 61. Barnosky AD, Hadly EA, Bascompte J, Berlow EL, Brown JH, et al. (2012) Approaching a state shift in
- Earth's biosphere. Nature 486: 52–58. Available: http://www.nature.com/nature/journal/v486/n7401/full/nature11018.html
- http://dx.doi.org/10.1038/nature11018.