

THEOREM 10: EIGENVALUES OF UNITARY OPERATORS HAVE MODULUS 1

i.e., $\hat{U}|\omega\rangle = \omega|\omega\rangle, \hat{U}^\dagger\hat{U} = \hat{I} \Rightarrow |\omega|=1 \Rightarrow \omega = e^{i\phi}$ IS A PURE PHASE ($\phi \in [0, 2\pi)$)

THEOREM 11: EIGENVECTORS OF A UNITARY OPERATOR ARE MUTUALLY ORTHOGONAL (ASSUMING NO DEGENERACY)

BOTH THEOREMS 10, 11 FOLLOW SIMPLY FROM THE FACT THAT $\hat{U} \equiv e^{i\hat{H}}$, WHERE $\hat{H}^\dagger = \hat{H}$ (NOT PROVEN HERE)

\Rightarrow WILL RETURN TO THIS WHEN WE STUDY FUNCTIONS OF OPERATORS

PROOF: $\hat{U}|\omega_i\rangle = \omega_i|\omega_i\rangle \xrightarrow{\text{ADJOINT}} \langle\omega_i|\omega_i\rangle^* = \langle\omega_i|\hat{U}^\dagger$

$\therefore \langle\omega_j|\hat{U}^\dagger\hat{U}|\omega_i\rangle = \langle\omega_j|\omega_i\rangle = \langle\omega_j|\omega_i\rangle \omega_j^* \omega_i$

a) $i=j \Rightarrow |\omega_i|^2 = 1$ IF $\langle\omega_i|\omega_i\rangle > 0$ [WLOG, $\langle\omega_i|\omega_i\rangle = 1$]

b) $i \neq j \Rightarrow \langle\omega_j|\omega_i\rangle = (\omega_j^* \omega_i) \langle\omega_j|\omega_i\rangle$

$\neq 1$ IF NO DEGENERACY

$\Rightarrow \therefore \langle\omega_i|\omega_j\rangle = \delta_{ij}$ ✓

\Rightarrow EIGENVECTORS OF \hat{U} CAN BE TAKEN TO FORM AN ORTHONORMAL BASIS.

DIAGONALIZING HERMITIAN MATRICES

CONSIDER $\hat{\Omega}^\dagger = \hat{\Omega}$. MATRIX ELEMENTS $\Omega_{ij} \equiv \langle i|\hat{\Omega}|j\rangle$ IN SOME ORTHONORMAL BASIS $\langle i|j\rangle = \delta_{ij}$

$\hat{\Omega}|\omega_i\rangle = \omega_i|\omega_i\rangle \quad (\omega_i = \omega_i^*)$

WE KNOW THAT $\{|\omega_i\rangle\}$ CAN BE USED TO FORM AN ORTHONORMAL BASIS

LET $|\omega_i\rangle \equiv \hat{U}|i\rangle, \hat{U}^\dagger\hat{U} = \hat{I}$ \hat{U} "ROTATES" OLD BASIS VECTOR $|i\rangle$ INTO NEW VECTOR $|\omega_i\rangle$

WHAT IS \hat{U} ? \Downarrow IN THE ORIGINAL $\{|1\rangle, |2\rangle, \dots, |n\rangle\}$ BASIS

$$\begin{bmatrix} \omega_{i,1} \\ \omega_{i,2} \\ \vdots \\ \omega_{i,i} \\ \vdots \\ \omega_{i,n} \end{bmatrix} = \begin{bmatrix} \langle 1|\hat{U}|i\rangle \\ \langle 2|\hat{U}|i\rangle \\ \vdots \\ \langle i|\hat{U}|i\rangle \\ \vdots \\ \langle n|\hat{U}|i\rangle \end{bmatrix} \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix} \leftarrow i^{\text{TH}} \text{ PLACE}$$

 \uparrow i^{TH} COLUMN

$\therefore \hat{U} \Rightarrow \begin{bmatrix} | & | & | & | \\ \langle \omega_1| & \langle \omega_2| & \langle \omega_3| & \dots & \langle \omega_n| \\ | & | & | & | \end{bmatrix}$

FOR $|\omega_i\rangle = \hat{U}|i\rangle$, COLUMNS OF \hat{U} IN $\{|i\rangle\}$ BASIS ARE EIGENVECTORS $\{|\omega_i\rangle\}$

INSTEAD OF "ACTIVE" TRANSFORMATION ON BASIS, CONSIDER "PASSIVE"

TRANSFORMATION OF $\hat{\Omega}$:

$$\hat{\Omega} \rightarrow \hat{\Omega}_D \equiv \hat{U}^\dagger \hat{\Omega} \hat{U} = \begin{bmatrix} \omega_1 & & 0 \\ & \omega_2 & \\ 0 & & \ddots \\ & & & \omega_n \end{bmatrix}$$
 DIAGONAL MATRIX OF EIGENVALUES!

ex): $\hat{\Omega} \Rightarrow \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix} = \hat{\Omega}^\dagger$; EIGENANALYSIS: $\det(\hat{\Omega} - \omega \hat{\mathbb{I}}) = \det \begin{bmatrix} -\omega & 0 & 1 \\ 0 & -\omega & 0 \\ 1 & 0 & -\omega \end{bmatrix} = -\omega^3 + 0 + 0 + \omega - 0 - 0 = 0$

USING STANDARD METHOD:

$$|\omega_1=0\rangle = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \quad |\omega_2=1\rangle = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}, \quad |\omega_3=-1\rangle = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix}$$

$$\therefore \hat{U} \Rightarrow [|\omega_1\rangle |\omega_2\rangle |\omega_3\rangle] = \begin{bmatrix} 0 & 1/\sqrt{2} & 1/\sqrt{2} \\ 1 & 0 & 0 \\ 0 & 1/\sqrt{2} & -1/\sqrt{2} \end{bmatrix}$$

$$\hat{U}^\dagger \hat{\Omega} \hat{U} = \begin{bmatrix} 0 & 1 & 0 \\ 1/\sqrt{2} & 0 & 1/\sqrt{2} \\ 1/\sqrt{2} & 0 & -1/\sqrt{2} \end{bmatrix} \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1/\sqrt{2} & 1/\sqrt{2} \\ 1 & 0 & 0 \\ 0 & 1/\sqrt{2} & -1/\sqrt{2} \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix} = \begin{bmatrix} \omega_1 & 0 & 0 \\ 0 & \omega_2 & 0 \\ 0 & 0 & \omega_3 \end{bmatrix} \checkmark$$

THEOREM 12: IF $\hat{\Omega}$ AND $\hat{\Lambda}$ ARE TWO HERMITIAN OPERATORS THAT COMMUTE:

IMPORTANT FOR
QUANTUM
MEASUREMENTS!

$$[\hat{\Omega}, \hat{\Lambda}] = 0 \quad \text{AND} \quad \hat{\Omega}^\dagger = \hat{\Omega}, \quad \hat{\Lambda}^\dagger = \hat{\Lambda}$$

THEN: THERE EXISTS A COMMON EIGENBASIS THAT DIAGONALIZES BOTH $\hat{\Omega}$ AND $\hat{\Lambda}$.

PROOF: ASSUME $\hat{\Omega}$ IS NON-DEGENERATE; $\hat{\Omega} |\omega_i\rangle = \omega_i |\omega_i\rangle$; $\hat{\Lambda} \hat{\Omega} |\omega_i\rangle = \omega_i \hat{\Lambda} |\omega_i\rangle$

$$[\hat{\Omega}, \hat{\Lambda}] = 0 \Rightarrow \hat{\Omega} [\hat{\Lambda} |\omega_i\rangle] = \omega_i [\hat{\Lambda} |\omega_i\rangle] \Rightarrow \hat{\Lambda} |\omega_i\rangle = \text{CONST.} \times |\omega_i\rangle = \lambda_i |\omega_i\rangle$$

$$\therefore \begin{aligned} \hat{\Omega} |\omega_i\rangle &= \omega_i |\omega_i\rangle \\ \hat{\Lambda} |\omega_i\rangle &= \lambda_i |\omega_i\rangle \end{aligned} \Rightarrow |\omega_i\rangle \text{ IS A SIMULTANEOUS EIGENVECTOR OF } \hat{\Omega} \text{ AND } \hat{\Lambda}$$

★ PROOF ASSUMES NO DEGENERACY. IF A SUBSPACE $V^d(\mathbb{C})$ SPANNED BY AN ORTHONORMAL (BUT DEG.) BASIS $\{|\omega,1\rangle, |\omega,2\rangle, \dots, |\omega,d\rangle\}$, $\hat{\Omega} |\omega,i\rangle = \omega |\omega,i\rangle$; $\langle \omega,i | \omega,j \rangle = \delta_{ij}$
THEN: CAN FIND COMMON EIGENBASIS FOR $\hat{\Omega}, \hat{\Lambda}$ IN THIS SUBSPACE BY DIAGONALIZING $\hat{\Lambda}$ IN V^d

• IN OTHER WORDS,

IF TWO HERMITIAN OPERATORS COMMUTE, THERE EXISTS A COMMON EIGENBASIS.

WITH DEGENERACY, MUST CHOOSE COMMON EIGENSTATES TO DIAGONALIZE BOTH.

→ OFTEN USEFUL IN QUANTUM, BUT IT IS ALSO ADVANTAGEOUS TO RECOGNIZE DIFF. CHOICES ARE POSSIBLE.

EX: SHOW THAT $[\hat{\Omega}, \hat{\Lambda}] = 0$ AND FIND COMMON EIGENBASIS

$$\hat{\Omega} \Rightarrow \begin{bmatrix} 1 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 1 \end{bmatrix}; \hat{\Lambda} \Rightarrow \begin{bmatrix} 2 & 1 & 1 \\ 1 & 0 & -1 \\ 1 & -1 & 2 \end{bmatrix} \quad \hat{\Omega}\hat{\Lambda} \Rightarrow \begin{bmatrix} 1 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 1 \end{bmatrix} \begin{bmatrix} 2 & 1 & 1 \\ 1 & 0 & -1 \\ 1 & -1 & 2 \end{bmatrix} = \begin{bmatrix} 3 & 0 & 3 \\ 0 & 0 & 0 \\ 3 & 0 & 3 \end{bmatrix} = \hat{\Lambda}\hat{\Omega} \checkmark$$

EIGENVALUES OF $\hat{\Omega}$: $\omega_1 = 2$; $\omega_2 = \omega_3 = 0$

$$|\omega_1\rangle = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}; \hat{\Omega}|\omega_{2,3}\rangle = 0 \Rightarrow \begin{bmatrix} 1 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 1 \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \\ v_3 \end{bmatrix} = 0 \Rightarrow |\omega_2\rangle \equiv \alpha_2 \begin{bmatrix} 1 \\ a \\ -1 \end{bmatrix}, |\omega_3\rangle \equiv \alpha_3 \begin{bmatrix} 1 \\ -\frac{2}{a} \\ -1 \end{bmatrix} \Rightarrow \langle \omega_2 | \omega_3 \rangle \propto 2 - \left(\frac{2}{a}\right)a = 0$$

NORMALIZATION

WANT TO CHOOSE a S.T. $|\omega_{2,3}\rangle$ ARE EIGENVECTORS OF $\hat{\Lambda}$

① $|\omega_1\rangle$:

$$\hat{\Lambda}|\omega_1\rangle \Rightarrow \frac{1}{\sqrt{2}} \begin{bmatrix} 2 & 1 & 1 \\ 1 & 0 & -1 \\ 1 & -1 & 2 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} = \frac{1}{\sqrt{2}} \begin{bmatrix} 3 \\ 0 \\ 3 \end{bmatrix} \Rightarrow 3|\omega_1\rangle \checkmark$$

② $|\omega_2\rangle$:

$$\hat{\Lambda}|\omega_2\rangle \Rightarrow \alpha_2 \begin{bmatrix} 2 & 1 & 1 \\ 1 & 0 & -1 \\ 1 & -1 & 2 \end{bmatrix} \begin{bmatrix} 1 \\ a \\ -1 \end{bmatrix} = \alpha_2 \begin{bmatrix} 1+a \\ 2 \\ -1-a \end{bmatrix} = \alpha_2 \lambda_2 \begin{bmatrix} 1 \\ a \\ -1 \end{bmatrix}$$

$$\Rightarrow \begin{matrix} 1+a = \lambda_2 \cdot 1 \\ 2 = \lambda_2 \cdot a \end{matrix} \quad \therefore \quad 1+a = \frac{2}{a} \quad \text{or} \quad (a+2)(a-1) = 0$$

$$a = -2 : \lambda_2 = \frac{2}{a} = -1$$

$$a = 1 : \lambda_3 = \frac{2}{a} = 2$$

$$\therefore |\omega_2, \lambda_2\rangle \equiv |0, -1\rangle = \frac{1}{\sqrt{6}} \begin{bmatrix} 1 \\ -2 \\ -1 \end{bmatrix}$$

$$|\omega_3, \lambda_3\rangle \equiv |0, 2\rangle = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 \\ 1 \\ -1 \end{bmatrix}$$

$\therefore |\omega_2, \lambda_2\rangle, |\omega_3, \lambda_3\rangle$ ARE SIMULT. EIGENSTATES OF $\hat{\Omega}, \hat{\Lambda}$

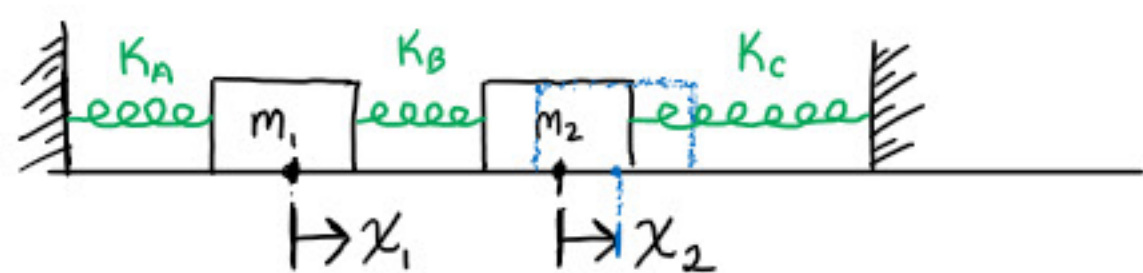
HOWEVER, $|v\rangle \equiv \alpha |\omega_2, \lambda_2\rangle + \beta |\omega_3, \lambda_3\rangle$ IS

• AN EIGENSTATE OF $\hat{\Omega}$ WITH $\omega_2 = \omega_3 = 0$

• NOT AN EIGENSTATE OF $\hat{\Lambda}$

$$\hat{\Lambda}|v\rangle = -\alpha |\omega_2, \lambda_2\rangle + 2\beta |\omega_3, \lambda_3\rangle \neq (\text{CONST.}) \times |v\rangle$$

EIGENANALYSIS IN A SIMPLE CLASSICAL SYSTEM



- TWO MASSES CAN SLIDE WITHOUT FRICTION, CONNECTED TO EACH OTHER AND TO WALLS WITH IDEAL SPRINGS
- LET $x_1 = 0$ ($x_2 = 0$) LOCATE THE MECHANICAL (ZERO FORCE) POSITION OF MASS m_1 (MASS m_2)

SPRINGS HAVE SPRING CONSTANTS $K_{A,B,C}$
ZERO REST LENGTH

GIVEN THAT $x_1 = 0$ AND $x_2 = 0$ LOCATE EQUILIBRIUM, NEWTON'S 2ND LAW YIELDS

$$\textcircled{1} \quad m_1 \ddot{x}_1 = -K_A x_1 + K_B(x_2 - x_1)$$

$$\textcircled{2} \quad m_2 \ddot{x}_2 = -K_B(x_2 - x_1) - K_C x_2$$

SIMPLIFICATION: CHOOSE $m_1 = m_2 \equiv m$ [OTHERWISE, GENERALIZED EIGENANALYSIS \rightarrow 301]

ASSUME: SOLUTIONS WITH WELL-DEFINED FREQUENCY EXIST, AS IN STRING PROBLEM IN LECTURE 1

$$\Rightarrow \text{CAN WRITE } x_1(t) = A_1 e^{-i\omega t}, \quad x_2(t) = A_2 e^{-i\omega t}$$

- "ANSATZ" INSPIRED BY STRING EXAMPLE
- MORE FORMAL: $\textcircled{1}, \textcircled{2}$ CONSTITUTE A SYSTEM OF COUPLED LINEAR EQUATIONS THAT DO NOT DEPEND EXPLICITLY ON TIME
- \hookrightarrow IMPLIES THAT SOLUTION CAN BE OBTAINED VIA FOURIER (OR LAPLACE) TRANSFORM: TIME DOMAIN \downarrow
FREQ. DOMAIN
- WE WILL STUDY FOURIER TRANSFORMS IN POSITION SPACE, AS A BASIS CHANGE IN FUNCTION SPACE (LATER...)

USING ANSATZ, REWRITE $\textcircled{1}, \textcircled{2}$ AS A MATRIX EQUATION:

$$-m\omega^2 \begin{bmatrix} A_1 \\ A_2 \end{bmatrix} = \begin{bmatrix} -K_A - K_B & K_B \\ K_B & -K_B - K_C \end{bmatrix} \begin{bmatrix} A_1 \\ A_2 \end{bmatrix} \quad \text{OR} \quad \hat{K} |A\rangle = -m\omega^2 |A\rangle$$

$$\hat{K}^\dagger = \hat{K}$$

\therefore THIS IS A HERMITIAN OPERATOR EIGENVALUE PROBLEM

SIMPLE VERSION: $K_A = K_B = K_C \equiv K \Rightarrow \hat{K} \Rightarrow K \begin{bmatrix} -2 & 1 \\ 1 & -2 \end{bmatrix}$

• PHYSICAL INTERPRETATION OF BASIS VECTORS

$|1\rangle \Rightarrow \begin{bmatrix} 1 \\ 0 \end{bmatrix}$ FIRST MASS DISPLACED
 2ND MASS AT $x_2 = 0$

$|2\rangle \Rightarrow \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ FIRST MASS AT $x_1 = 0$
 2ND MASS DISPLACED


$$\hat{K}|A\rangle = -m\omega^2|A\rangle, \quad \hat{K} \Rightarrow K \begin{bmatrix} -2 & 1 \\ 1 & -2 \end{bmatrix} = K(-2\hat{I} + \hat{\sigma}^1)$$


2x2 MATRIX NOTATION OF LEC. 3, p.1

$$\hat{I} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \quad \hat{\sigma}^1 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

NOTE: $[\hat{I}, \hat{\sigma}^1] = 0$

\Rightarrow EIGENVECTORS OF \hat{K} ARE EIGENVECTORS OF $\hat{\sigma}^1$

$$\hat{\sigma}^1|S\rangle = (+1)|S\rangle \quad |S\rangle \Rightarrow \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 1 \end{bmatrix} \quad \text{"SYMMETRIC" MODE: BOTH MASSES DISPLACE IN SAME DIRECTION:}$$


$$\hat{\sigma}^1|A\rangle = (-1)|A\rangle \quad |A\rangle \Rightarrow \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \end{bmatrix} \quad \text{"ANTISYMMETRIC" MODE: MASSES DISPLACE IN OPPOSITE DIRECTIONS:}$$


EIGENFREQUENCIES:

① $|S\rangle$: $-m\omega_s^2|S\rangle = \hat{K}|S\rangle = K(-2\hat{I} + \hat{\sigma}^1)|S\rangle = -K|S\rangle \Rightarrow \omega_s = \sqrt{\frac{K}{m}}$

② $|A\rangle$: $-m\omega_A^2|A\rangle = \hat{K}|A\rangle = K(-2\hat{I} + \hat{\sigma}^1)|A\rangle = -3K|A\rangle \Rightarrow \omega_A = \sqrt{\frac{3K}{m}} > \omega_s$

GENERAL SOLUTION: $|X(t)\rangle = \alpha e^{-i\omega_s t}|S\rangle + \beta e^{-i\omega_A t}|A\rangle, \quad \alpha, \beta \in \mathbb{C}$
[PHASOR NOTATION]

EXPLICITLY: $\begin{bmatrix} x_1(t) \\ x_2(t) \end{bmatrix} = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 1 \end{bmatrix} \underbrace{\text{Re}[\alpha e^{-i\omega_s t}]}_{=|\alpha|\cos(\omega_s t + \phi_\alpha)} + \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \end{bmatrix} \underbrace{\text{Re}[\beta e^{-i\omega_A t}]}_{=|\beta|\cos(\omega_A t + \phi_\beta)}$

- TAKING THE REAL PART OF THIS SOLUTION IS SPECIFIC TO THIS CLASSICAL PHYSICS PROBLEM. WE WILL NOT DO THIS WHEN WE SOLVE SCHRÖDINGER'S EQN. IN QUANTUM
- REAL PHYSICAL OBSERVABLES OBTAINED A DIFFERENT WAY IN QUANTUM (LATER...)

THEOREM 13: A UNITARY CHANGE OF BASIS PRESERVES

IMPORTANT !!

① HERMITICITY, AND

② UNITARITY

OF LINEAR OPERATORS

} IN OTHER WORDS, THESE ARE
BASIS-INDEPT.
ATTRIBUTES!

WE HAVE ALREADY SEEN HOW TO DIAGONALIZE A HERMITIAN OPERATOR $\hat{\Omega} = \hat{\Omega}^\dagger$ BY CONSTRUCTING UNITARY OP. \hat{U} , SUCH THAT

IF $\hat{\Omega} |w_i\rangle = \omega_i |w_i\rangle$, $\hat{U} |i\rangle = |w_i\rangle$ (PAGE 1 OF THIS LEC. 5)

↑
OLD
BASIS
VECTOR

↑
EIGENBASIS OF $\hat{\Omega}$

THEN $\hat{\Omega}_D \equiv \hat{U}^\dagger \hat{\Omega} \hat{U} \Rightarrow \begin{bmatrix} \omega_1 & & & 0 \\ & \omega_2 & & \\ & & \ddots & \\ 0 & & & \omega_n \end{bmatrix}$

NOW, SUPPOSE WE "PASSIVELY" TRANSFORM ALL OPERATORS IN THIS FASHION:

$$\hat{L} \rightarrow \hat{L}' \equiv \hat{U}^\dagger \hat{L} \hat{U}$$

● THIS CORRESPONDS TO CHANGING FROM $\langle i | \hat{L} | j \rangle$ MATRIX ELEMENTS TO $\langle w_i | \hat{L} | w_j \rangle$ ELEMENTS

① $\hat{L} = \hat{L}^\dagger$. CLAIM: $\hat{L}'^\dagger = \hat{L}'$

PROOF: $\hat{L}'^\dagger = (\hat{U}^\dagger \hat{L} \hat{U})^\dagger = \hat{U}^\dagger \hat{L}^\dagger \hat{U} = \hat{L}' \checkmark$

LEC. 3, P7:
 $(\hat{A} \hat{B} \hat{C} \dots \hat{N})^\dagger$
 $= \hat{N}^\dagger \hat{M}^\dagger \dots \hat{C}^\dagger \hat{B}^\dagger \hat{A}^\dagger$

② $\hat{L}^\dagger \hat{L} = \hat{I}$. CLAIM: $\hat{L}'^\dagger \hat{L}' = \hat{I}$

PROOF: $\hat{L}'^\dagger \hat{L}' = (\hat{U}^\dagger \hat{L} \hat{U})^\dagger (\hat{U}^\dagger \hat{L} \hat{U}) = (\hat{U}^\dagger \hat{L}^\dagger \hat{U}) (\hat{U}^\dagger \hat{L} \hat{U})$
 $= \hat{U}^\dagger \hat{L}^\dagger \underbrace{\hat{U} \hat{U}^\dagger}_{=\hat{I}} \hat{L} \hat{U} = \hat{U}^\dagger \hat{L}^\dagger \hat{L} \hat{U} = \hat{I} \checkmark$