

# ENERGY EIGENSTATES FOR ROT. INVARIANT HAMILTONIANS

SPINLESS NON-RELATIVISTIC PARTICLE MOVING IN A CENTRAL POTENTIAL:

$$\hat{H} = \frac{\hat{\vec{P}}^2}{2\mu} + \hat{V}(|\hat{\vec{r}}|),$$

$\mu$  = PARTICLE MASS (OR REDUCED MASS FOR 2-BODY PROBLEM WITH CENTRAL FORCES ONLY — DISCUSSED IN 301)

$$\hat{\vec{P}} = \sum_{a=1}^3 \hat{P}_a \vec{n}_a ; \quad \hat{\vec{r}} = \sum_{a=1}^3 \hat{X}_a \vec{n}_a$$

$\hat{H}$  IS ROTATIONALLY INV.:

$$e^{+i\frac{\hat{\vec{L}} \cdot \vec{\theta}}{\hbar}} \hat{H} e^{-i\frac{\hat{\vec{L}} \cdot \vec{\theta}}{\hbar}} = \hat{H} \rightarrow [\hat{H}, \hat{L}_z] = [\hat{H}, \hat{L}^2] = 0$$

•• CAN FIND SIMULTANEOUS EIGENSTATES OF  $\hat{H}, \hat{L}^2, \hat{L}_z$ :  $|E\ell m\rangle$ ;  $\langle \vec{r} | E\ell m \rangle \equiv \psi_{E\ell m}(\vec{r})$

WRITE  $\psi_{E\ell m}(r, \theta, \phi) \equiv R_{E\ell}(r) Y_{\ell m}(\theta, \phi) \Leftarrow \langle \theta, \phi | \ell m \rangle$  SPHERICAL HARMONIC

•  $\hat{L}^2 | \ell m \rangle = \hbar^2 \ell(\ell+1) | \ell m \rangle$   
 •  $\hat{L}_z | \ell m \rangle = m\hbar | \ell m \rangle$

↑  
 RADIAL PART  
 • CAN DEPEND ON  $\ell$  [TOTAL ANG. MOM.  $\hat{L}^2 = \hbar^2 \ell(\ell+1)$ , PART OF KINETIC ENERGY]  
 • DOES NOT CHANGE UNDER A ROTATION  $\Rightarrow$  INDEPT. OF  $m$ !

$$\left[ -\frac{\hbar^2}{2\mu} \nabla^2 + V(r) \right] R_{E\ell}(r) Y_{\ell m}(\theta, \phi) = E R_{E\ell}(r) Y_{\ell m}(\theta, \phi)$$

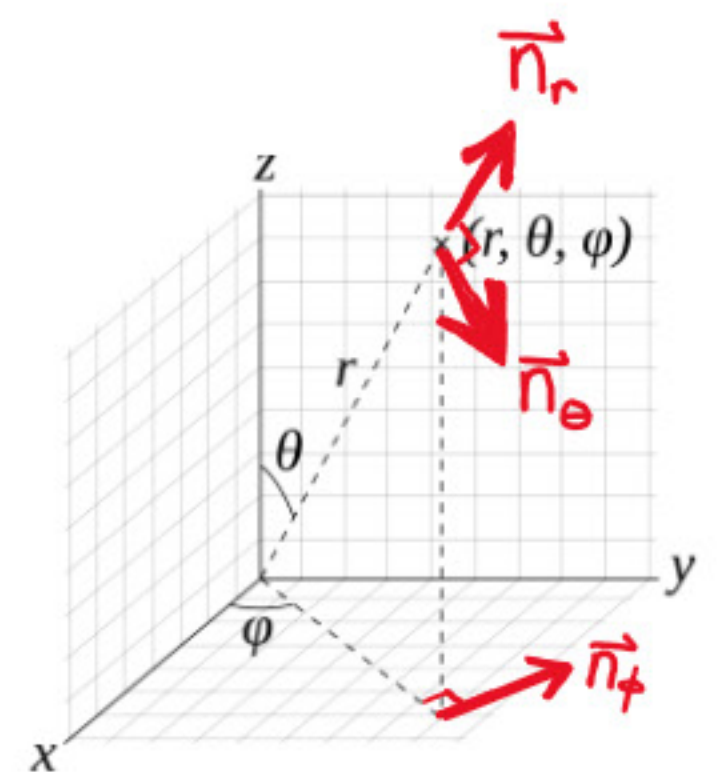
## LAPLACIAN IN SPH. POLAR COORDINATES

$$\nabla^2 = \vec{n}_r \frac{\partial}{\partial r} + \vec{n}_\theta \frac{1}{r} \frac{\partial}{\partial \theta} + \vec{n}_\phi \frac{1}{r \sin \theta} \frac{\partial}{\partial \phi}$$

$$\vec{n}_r = \frac{\vec{r}}{r} = \cos \phi \sin \theta \vec{n}_x + \sin \phi \sin \theta \vec{n}_y + \cos \theta \vec{n}_z$$

$$\vec{n}_\theta = \cos \phi \cos \theta \vec{n}_x + \sin \phi \cos \theta \vec{n}_y - \sin \theta \vec{n}_z$$

$$\vec{n}_\phi = -\sin \phi \vec{n}_x + \cos \phi \vec{n}_y$$



$$\vec{n}_\alpha \cdot \vec{n}_\beta = \delta_{\alpha, \beta}$$

$$\alpha, \beta \in \{r, \theta, \phi\}$$



$$\therefore \nabla^2 = \nabla \cdot \nabla = \frac{\partial^2}{\partial r^2} + \frac{1}{r^2} \frac{\partial^2}{\partial \theta^2} + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2}{\partial \phi^2} + \left\{ \text{TERMS THAT ARISE FROM DERIVATIVES OF BASIS VEC.S} \right\}$$

• SEE LEC 15, P.4 FOR 2D EXAMPLE ( $\nabla^2$  IN 2D POLAR COORDS)

• 3D SPHERICAL POLAR RESULT:

$$\nabla^2 \psi = \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial \psi}{\partial r} \right) + \frac{1}{r^2} \left[ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial \psi}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2 \psi}{\partial \phi^2} \right]$$

• (LAIM HW!):  $\hat{L}^2 \Rightarrow -\hbar^2 \left[ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2}{\partial \phi^2} \right]$

$$\therefore \left[ \frac{-\hbar^2}{2\mu} \nabla^2 + V(r) \right] R_{El}(r) Y_{lm}(\theta, \phi)$$

$$= \left\{ \frac{-\hbar^2}{2\mu} \left[ \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} \right) - \frac{\hat{L}^2}{\hbar^2 r^2} \right] + V(r) \right\} R_{El}(r) Y_{lm}(\theta, \phi)$$

$$= \left\{ \frac{-\hbar^2}{2\mu} \left[ \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} \right) - \frac{l(l+1)}{r^2} \right] + V(r) \right\} R_{El}(r) Y_{lm}(\theta, \phi)$$

THE SCHRÖDINGER EQN. REDUCES TO THE EFFECTIVE RADIAL EQUATION

$$\left\{ \frac{-\hbar^2}{2\mu} \left[ \frac{1}{r^2} \frac{d}{dr} \left( r^2 \frac{d}{dr} \right) - \frac{l(l+1)}{r^2} \right] + V(r) \right\} R_{El}(r) = E R_{El}(r)$$

NORMALIZATION INTEGRAL:

$$\langle Elm | Elm \rangle = \int d^3 \vec{r} \psi_{Elm}^*(\vec{r}) \psi_{Elm}(\vec{r})$$

$$= \int_0^\infty r^2 dr \int_0^{2\pi} d\phi \int_0^\pi d\theta \sin \theta |R_{El}(r)|^2 |Y_{lm}(\theta, \phi)|^2$$

$$= \int_0^\infty r^2 dr |R_{El}(r)|^2$$

$\Rightarrow$  WE CAN MAKE THIS LOOK LIKE A NORM. INTEGRAL IN 1D IF WE DEFINE

$$r R_{El}(r) \equiv u_{El}(r)$$



$$\begin{aligned}\frac{1}{r^2} \frac{d}{dr} \left( r^2 \frac{d}{dr} \right) R_{El}(r) &= \frac{1}{r^2} \frac{d}{dr} \left( r^2 \frac{d}{dr} \left[ \frac{U_{El}}{r} \right] \right) = \frac{1}{r^2} \frac{d}{dr} (r U'_{El} - U_{El}) \\ &= \frac{1}{r^2} (U_{El} + r U''_{El} - U_{El}) \\ &= \frac{1}{r} \frac{d^2}{dr^2} U_{El}\end{aligned}$$

$$\therefore \left[ -\frac{\hbar^2}{2\mu} \frac{d^2}{dr^2} + V_{\text{eff}}(r) \right] U_{El}(r) = E U_{El}(r); \quad V_{\text{eff}}(r) = \frac{\hbar^2 l(l+1)}{2\mu r^2} + V(r)$$

### 3D ROT. INV. PROBLEM $\Rightarrow$ EFFECTIVE 1D SCHRÖDINGER EQ.

• SOLUTION TO 3D PROBLEM:  $\psi_{Elm}(r, \theta, \phi) = \frac{U_{El}(r)}{r} \times Y_{lm}(\theta, \phi)$

### • EFFECTIVE 1D (RADIAL) ENERGY EIGENVALUE PROBLEM:

① NORMALIZATION IS OVER HALF-LINE  $r \geq 0$ :

$$\langle U_{El} | U_{El} \rangle = \int_0^\infty dr |U_{El}(r)|^2$$

② EFFECTIVE POTENTIAL INCLUDES CENTRIFUGAL BARRIER TERM

$$V_{\text{eff}}(r) = V(r) + \frac{\hbar^2 l(l+1)}{2\mu r^2} \left. \begin{array}{l} \text{COMPARE TO CLASSICAL MECHANICS:} \\ \text{CENTRAL-FORCE MOTION REDUCES TO} \\ \text{RADIAL ONE WITH EFFECTIVE POTENTIAL} \end{array} \right\}$$

Phys 301  $\Rightarrow V_{\text{eff}}(r) = V(r) + \frac{L^2}{2\mu r^2} \checkmark$

③ BOUNDARY CONDITIONS FOR  $U_{El}(r)$  AT  $r=0, r \rightarrow \infty$

WE WANT THE EFFECTIVE RADIAL HAMILTONIAN  $\hat{H}_u^{(l)}$  TO BE HERMITIAN

FOR SQUARE-NORMALIZABLE  
(AND DIRAC- $\delta$  NORMALIZABLE)

WAVE FUNCTIONS OVER  $0 \leq r < \infty$

$$\hat{H}_u^{(l)\dagger} = \hat{H}_u^{(l)}; \quad \hat{H}_u^{(l)} \Rightarrow -\frac{\hbar^2}{2\mu} \frac{d^2}{dr^2} + V_{\text{eff}}(r)$$



$$\langle u_1 | \hat{H}_u^{(k)} | u_2 \rangle^* = \langle u_2 | \hat{H}_u^{(k)\dagger} | u_1 \rangle = \int_0^\infty dr \, u_1(r) \left[ -\frac{\hbar^2}{2\mu} \frac{d^2}{dr^2} + V_{\text{eff}}(r) \right] u_2^*(r)$$

↓ INTEGRATE BY PARTS TWICE

$$= \int_0^\infty dr \, u_2^*(r) \left[ -\frac{\hbar^2}{2\mu} \frac{d^2}{dr^2} + V_{\text{eff}}(r) \right] u_1(r) - \frac{\hbar^2}{2\mu} \left[ u_1(r) \frac{du_2^*}{dr} - u_2^*(r) \frac{du_1}{dr} \right] \Big|_0^\infty$$

$$\therefore \langle u_2 | \hat{H}_u^{(k)\dagger} | u_1 \rangle = \langle u_2 | \hat{H}_u^{(k)} | u_1 \rangle \quad \text{IF} \quad \left[ u_1(r) \frac{du_2^*}{dr} - u_2^*(r) \frac{du_1}{dr} \right] \Big|_0^\infty = 0 \quad \text{CF. LEC. 7, p. 2-3}$$

BOUNDARY TERM

(A)  $r \rightarrow \infty$  BEHAVIOR

CAN IGNORE BOUNDARY TERM FOR ①  $\lim_{r \rightarrow \infty} u(r) = 0$ , EXPECTED FOR BOUND STATES

②  $\lim_{r \rightarrow \infty} u(r) = e^{\pm ikr}$ , EXPECTED FOR CONTINUUM STATES

? WHY DOES THIS NOT CONTRIBUTE TO THE BOUNDARY TERM ABOVE?

• OSCILLATIONS IN  $kr$  "CANCEL OUT" AS  $r \rightarrow \infty$

• MORE RIGOROUS ARGUMENT: SEC. 1.10 IN SHANKAR

NOTE:  $R_{\text{EL}}(r) = \frac{u_{\text{EL}}(r)}{r} \simeq \frac{e^{\pm ikr}}{r}$  } **OUTGOING (+) OR INCOMING (-) SPHERICAL WAVE!**

PROBABILITY TO FIND PARTICLE BETWEEN RADII  $r$  AND  $r+dr$ :  $|R_{\text{EL}}(r)|^2 r^2 dr \simeq dr$ , INDEPT. OF  $r$

• THIS IS A STATEMENT OF **PROBABILITY CONSERVATION** FOR AN INCOMING OR OUTGOING WAVE; IMPORTANT FOR 3D SCATTERING THEORY (CF. LEC. 18)

(B)  $r \rightarrow 0$  BEHAVIOR

$$\hat{H}_u^{(k)\dagger} = \hat{H}_u^{(k)} \quad \text{IF WE RESTRICT TO} \quad \text{①} \quad \lim_{r \rightarrow 0} u_{\text{EL}}(r) = 0$$

OR

$$\text{②} \quad \lim_{r \rightarrow 0} \frac{du_{\text{EL}}}{dr} = 0 \Rightarrow \lim_{r \rightarrow 0} u_{\text{EL}}(r) = C \quad (\text{CONST.})$$

• CONSIDER CASE ②;  $R_{\text{EL}}(r) \simeq \frac{C}{r}$  **BLOWS UP. NOT NECESSARILY A PROBLEM,**  
 $\int_0^\infty r^2 dr |R_{\text{EL}}(r)|^2$  DOES NOT DIVERGE AT  $r \rightarrow 0$ .



$$\psi_{Elm}(r, \theta, \phi) = R_{El}(r) Y_{lm}(\theta, \phi) ; \lim_{r \rightarrow 0} \psi_{Elm} \approx \frac{c}{r}$$

Full S.E. (p1):

$$\left[ -\frac{\hbar^2}{2\mu} \nabla^2 + V(r) \right] \psi = E \psi ; \text{ PROBLEM: } -\nabla^2 \frac{1}{r} = 4\pi \delta^{(3)}(\vec{r})$$

$\Downarrow r \rightarrow 0$

$$\frac{\hbar^2}{2\mu} 4\pi c \delta^{(3)}(\vec{r}) + V(r) \psi(r) = E \psi(r)$$

$\therefore V(r)$  MUST CONTAIN TERM  $\sim -C \delta^{(3)}(\vec{r})$

I.E.,  $1/r$  IS THE GREEN'S FUNCTION FOR THE COULOMB POTENTIAL IN 3D.

GAUSS'S LAW:  $-\nabla^2 \Phi = 4\pi \rho$   
ELECTRIC POTENTIAL  $\uparrow$  CHARGE DENSITY  $\uparrow$

$$\Phi(r) = \frac{q}{r} \Rightarrow -\nabla^2 \Phi = q \delta^{(3)}(\vec{r}) = \rho \checkmark$$

$\rightarrow$  IF  $V(r)$  DOES **NOT** CONTAIN A  $\delta$ -FCN PIECE AT THE ORIGIN (AND IT USUALLY WON'T),

MUST SET  $C \rightarrow 0$ .  $\therefore \lim_{r \rightarrow 0} U_{El}(r) = 0$ .

## SUMMARY: EIGENERGY SPECTRUM FOR ROT. INVARIANT HAMILTONIAN

$$\hat{H} |Elm\rangle = E |Elm\rangle$$

$$\hat{L}^2 |Elm\rangle = \hbar^2 l(l+1) |Elm\rangle$$

$$\hat{L}_z |Elm\rangle = \hbar m |Elm\rangle$$

$0 \leq |m| \leq l$

$$\langle \vec{r} | Elm \rangle = \psi_{Elm}(r, \theta, \phi) \equiv \frac{U_{El}(r)}{r} Y_{lm}(\theta, \phi)$$

EFFECTIVE RADIAL S.E.:

$$\left[ -\frac{\hbar^2}{2\mu} \frac{d^2}{dr^2} + V_{\text{eff}}(r) \right] U_{El}(r) = E U_{El}(r)$$

$$V_{\text{eff}}(r) = \underbrace{\frac{\hbar^2 l(l+1)}{2\mu r^2}}_{\text{CENTRIFUGAL BARRIER}} + \underbrace{V(r)}_{\text{ACTUAL POTENTIAL ENERGY}}$$

### ① NORMALIZATION

$$\langle u_1 | u_2 \rangle = \int_0^\infty dr u_1^*(r) u_2(r)$$

### ② BOUNDARY CONDITIONS

$$\textcircled{A} \lim_{r \rightarrow \infty} U_{El}(r) \Rightarrow \begin{cases} 0, & \text{BOUND STATES} \\ e^{\pm ikr}, & \text{CONTINUUM STATES (Sph. WAVES)} \end{cases}$$

$$\textcircled{B} \lim_{r \rightarrow 0} U_{El}(r) = 0.$$