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## Neurobiology of Alzheimer's Disease

Philip C. Wong, Alena Savonenko, Tong Li, Donald L. Price

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## ALZHEIMER'S DISEASE IS THE MOST PREVALENT NEURODEGENERATIVE DISEASE OF THE ELDERLY

Alzheimer's disease (AD) manifests as progressive memory loss and cognitive impairments (Perrin et al., 2009; Price et al., 1998; Price & Sisodia, 1998; Citron, 2010; Buckner et al., 2008; Querfurth et al., 2010; McKhann et al., 1984; Golde et al., 2011; Ashe et al., 2010; Bishop et al., 2010). AD affects more than 4 million elderly individuals in the United States (Brookmeyer et al., 1998; Ashe et al., 2010). The syndrome results from dysfunction and death of specific populations of neurons, particularly those in neural systems involved in memory, cognition and self-reflection (McKhann et al., 1984; Buckner et al., 2008; Perrin et al., 2009). In AD, CNS abnormalities are demonstrable

by laboratory assessments of levels of  $A\beta$  (beta amyloid; decreased) and of tau (increased) in CSF (Perrin et al., 2009) and by imaging studies (Perrin et al., 2009; Buckner et al., 2008; Klunk et al., 2004). The neuropathology of AD is characterized by intracellular and extracellular protein aggregates (tau- and  $A\beta$ -related abnormalities) in neurofibrillary tangles (NFT) and neuritic amyloid plaques, respectively (Morris & Price, 2001; Braak et al., 1991; Goedert et al., 2006; Ballatore et al., 2007; Price et al., 1998; Price & Sisodia, 1998; Perrin et al., 2009; Brookmeyer et al., 1998; Ashe et al., 2010j; Querfurth et al., 2010; Golde et al., 2011).

Genetic evidence indicates that the inheritance of mutations in several genes. Those for amyloid precursor protein (*APP*), presentilins 1 and 2 (*PS1* and *PS2*), cause autosomal dominant familial AD (fAD) (Bertram et al., 2008). In contrast,

the presence of certain alleles of the apolipoprotein E (ApoE) are significant dose-dependent risk factors for putative sporadic disease (*ApoE4*), while the presence of others (*ApoE2*) shows lower risk for AD (Bertram et al., 2008; Bu, 2009; Kim et al., 2009a). Other genes may represent additional risk factors for AD, but some 95% of cases are classified as sporadic AD and many details of pathogenesis remain poorly understood.

Due to increased life expectancy and the postwar baby boom, the elderly are the most rapidly growing segment of our society and over the next several decades, the number of persons with AD in the United States will triple (Brookmeyer et al., 1998). Because of prevalence, the paucity of mechanism-based treatments, the expense of care and the significant impacts on individuals, caregivers, and society-at-large, AD is one of the most challenging diseases in medicine (Bertram et al., 2008; Frisardi et al., 2010; Perrin et al., 2009).

## The clinical syndrome, ranging from mild cognitive impairments to severe dementia, reflects biochemical and cellular abnormalities in specific regions and circuits in the brain

The disease often initially manifests as a syndrome termed mild cognitive impairment (MCI), which is usually characterized by memory complaints and mild impairments of cognition on formal testing, with intact general cognition, preserved daily activities and absence of overt dementia (Petersen et al., 2001; Perrin et al., 2009; Morris et al., 2001; Petersen, 2003; McKhann et al., 1984). MCI is regarded as a transitional stage between normal aging and early AD. The clinical manifestations of symptomatic AD include increasing difficulties with memory and other cognitive functions (executive skills, language, attention, judgment, sense of self, orientation, fund of general information, etc.) (Perrin et al., 2009h; Petersen et al., 2001; Petersen, 2003; Morris et al., 2001; Buckner et al., 2008). Some patients develop psychotic symptoms. As the disease evolves, a variety of mental functions and activities of daily living become increasingly impaired (Perrin et al., 2009; Buckner et al., 2008; Golde et al., 2011; McKhann et al., 1984). In the late stages, affected individuals become profoundly demented and usually die of intercurrent illnesses. For a diagnosis of AD, clinicians rely on histories; physical, neurological and psychiatric examinations; neuropsychological tests; and a variety of laboratory studies (Perrin et al., 2009; Buckner et al., 2008; Sunderland et al., 2003; Klunk et al., 2004; McKhann et al., 1984; Morris & Price, 2001; Golde et al., 2011; Nestor et al., 2004).

## Advances in laboratory measurements and imaging are of value in establishing the diagnosis of AD

In cases of AD, the levels of  $A\beta$  peptides in CSF are often low, and levels of tau may be higher than in controls (Sunderland et al., 2003; Perrin et al., 2009). Values vary between individuals and serial measures may be of great diagnostic value. Over time, the clinical profile, in concert

with laboratory assessments and results from imaging studies (described below), allow the clinicians to make a diagnosis of possible or probable AD (McKhann et al., 1984; Perrin et al., 2009).

In cases of AD, functional magnetic resonance imaging (fMRI) often discloses progressive evidence of brain atrophy, particularly involving hippocampus and entorhinal cortex (Nestor et al., 2004). Rates of atrophy may correlate with changes in clinical status and may have predictive value for diagnosis. Positron emission tomography (PET) with <sup>18</sup>F deoxyglucose or single photon emission computerized tomography (SPECT) can be utilized to demonstrate decreased glucose utilization and reductions in regional blood flow in the parietal and temporal lobes of patients with AD.

Recent advances in imaging have influenced our thinking about AD. First, PET imaging, using radiolabeled Pittsburgh Compound B (PIB), which is a brain penetrant <sup>11</sup>C-labeled uncharged thioflavin derivative that binds to AB with high affinity, was used to visualize AB amyloid burden in vivo (Klunk et al., 2004). The patterns of PIB labeling are interpreted to reflect the amounts and distributions of  $A\beta$  in the brain (Klunk et al., 2004; Buckner et al., 2008; Perrin et al., 2009). In comparison with controls, subjects with AD show retention of label in areas of brain found to accumulate amyloid in postmortem AD brain. This approach should prove useful for enhancing accuracy of diagnosis, particularly in early stages of the disease, and, potentially, allow assessments of the efficacies of new anti-amyloid therapeutics (Perrin et al., 2009; Bateman et al., 2006; Bateman et al., 2009; Citron, 2010; Frisardi et al., 2010; Golde et al., 2011).

Second, in 2001, Raichle and colleagues, using fMRI approaches (Zhang & Raichle, 2010), delineated an integrated system of circuits and hubs, termed the "default mode network" (DMN), which exhibits high levels of synchronous neuronal activity occurring while individuals are engaged in internally focused tasks, including recall of past events; envisioning the future; and considering the perspectives of other persons (Buckner et al., 2008; Perrin et al., 2009; Sperling et al., 2009). Subsequently, correlations were shown to exist between the neuroanatomical distributions of the default network and the localization of amyloid in the brain (Buckner et al., 2008). Because the formation of A $\beta$  appears to be linked to synaptic activity (i.e., in simple terms, activity increases amyloid) (Buckner et al., 2008; Perrin et al., 2009; Zhang & Raichle, 2010), it has been hypothesized that the intrinsic high levels of synaptic activity and the increased metabolism of the DMN might play a critical role in the formation and release of AB at synaptic terminals in the circuits of this system. Buckner and colleagues have shown that A\beta amyloid accumulates in brain regions of this network (Buckner et al., 2008). Elevated levels of amyloid have been documented to occur in the default network of asymptomatic and minimally impaired older individuals (Sperling et al., 2009). Increasingly, results from these several imaging approaches will be combined with information from analyses of biomarkers in CSF (Bateman et al., 2006; Bateman et al., 2009; Golde et al., 2011; Perrin et al., 2009; Sunderland et al., 2003) and, possibly, of new markers in plasma (Reddy et al., 2011) to help establish the diagnoses of early AD and to assess outcomes of therapy (Bateman et al., 2006; Bateman et al., 2009; Perrin et al., 2009).

## Familial forms of AD are associated with mutations in select genes inherited as autosomal dominants, while variants in other genes can lead to increased risk of sporadic AD

Genetic risk factors for AD include mutations in APP (chromosome 21); mutations in presenilin 1 (PS1) (chromosome 14) and PS2 (chromosome 1); and different susceptibility alleles of ApoE (chromosome 19) (Bu, 2009; Bertram et al., 2008; Kim et al., 2009a). Other influences include variant haplotypes of sortilin-related receptor 1 (SORLI); and variants of clusterin (CLU) and phosphatidylinositol-binding clathrin assembly protein (PICALM) (Harold et al., 2009; Rogaeva et al., 2007).

Autosomal dominant mutations in APP, PS1 and PS2 usually cause disease in younger people than those who acquire the sporadic disease. The majority of mutations in APP, PS1 and PS2 influence BACE1 (β-site APP cleaving enzyme 1) and  $\gamma$ -secretase cleavages to increase the levels of all A $\beta$  species or the relative amounts of toxic A $\beta$ 42 (Citron, 2010; Ashe et al. 2010; Bertram et al., 2008; Golde et al., 2011; Price et al., 1998). Individuals with trisomy 21 or Down syndrome (DS) have an extra copy of APP (and other genes) in the putative obligate DS region; these individuals develop AD pathology relatively early in life. Some families have duplications of APP (Bertram et al., 2008; Price et al., 1998). The presence of Apo E4 predisposes to later onset sporadic AD and in some cases to late-onset fAD (Kim et al., 2009a; Bertram et al., 2008; Bu, 2009). Recent research has identified other loci that confer risk (Bertram et al., 2008; Harold et al., 2009; Rogaeva et al., 2007).

### APP Mutations are Linked to fAD

Encoded by a gene on chromosome 21, APP—a type I transmembrane protein existing as several isoforms—is abundant in the nervous system, enriched in neurons, and transported anterograde in axons to nerve terminals (Buxbaum et al., 1998; Koo et al., 1990; Sisodia et al., 1993). The specific functions of APP remain to be defined (Cao et al., 2001; Kamenetz et al., 2003). As described below, APP is cleaved by the enzymatic activities of BACE1 (β-site APP-cleaving enzyme 1) and the  $\gamma$ -secretase complex, which generate the N- and C- termini of Aβ peptides, respectively (Cai et al., 2001; De Strooper, 2003; Haass, 2004; Iwatsubo, 2004; Marjaux et al., 2004; Selkoe & Kopan, 2003; Takasugi et al., 2003; Vassar et al., 1999). The APPswe mutation, a double mutation involving codons 670 and 671, enhances many-fold the BACE1 cleavage at the N-terminus of A\beta; the result is substantial elevation in levels of all A\beta peptides. With APP717 mutations, γ-secretase cleavages are altered, leading to increased secretion of A $\beta$ 42, which is a neurotoxic peptide. Thus, some of the APP mutations linked to fAD can change the processing of APP and influence the biology of A $\beta$  by altering the production of A $\beta$  peptides or the amounts of the more toxic A $\beta$ 42; other APP mutations may promote local fibril formation.

#### Mutations in PS1 and PS2 are Linked to fAD

Encoded by two genes, PS1 and PS2, two highly homologous and conserved 43- to 50-kDa multi-pass transmembrane proteins (Doan et al., 1996; Price et al., 1998; Sherrington et al., 1995; De Strooper, 2003; Haass, 2004; Iwatsubo, 2004; Marjaux

et al., 2004; Selkoe & Kopan, 2003), are involved in the Notch 1 signaling pathways critical for many developmental functions, including cell fate decisions (Selkoe & Kopan, 2003; Shen et al., 1997; Sisodia et al., 2002; Wong et al., 1997). These proteins are endoproteolytically cleaved to form an N-terminal ~28-kDa fragment and a C-terminal ~18-kDa fragment (Doan et al., 1996; Thinakaran et al., 1997). PS, along with several other proteins described below, are critical components of the γ-secretase complex (De Strooper, 2003; Haass, 2004; Iwatsubo, 2004; Marjaux et al., 2004; Selkoe & Kopan, 2003; Takasugi et al., 2003; Wolfe, 2002).

Nearly 50% of cases of early-onset fAD are linked to one of more than 90 different mutations in PS1 (Bertram et al., 2008; Price et al., 1998; Sherrington et al., 1995; Sisodia et al., 2002). A small number of PS2 mutations cause autosomal dominant fAD (Bertram et al., 2008; Price et al., 1998; Sherrington et al., 1995; Sisodia et al., 2002). The majority of abnormalities in PS genes are single amino acid missense mutations that alter  $\gamma$ -secretase activities and increase the ratio of the A $\beta$ 42/A $\beta$ 40 peptides.

ApoE carries cholesterol and other lipids in the blood. In humans, three alleles exist: ApoE2, ApoE3 and ApoE4 (Bertram et al., 2008; Bu, 2009; Kim et al., 2009a). The ApoE3 allele is most common in the general population (frequency of 0.78), whereas the allelic frequency of ApoE4 is 0.14. However, in clinic-based studies, the ApoE4 allelic frequency in patients with AD (onset after 65 years of age) is 0.50 (Bertram et al., 2008; Bu, 2009; Kim et al., 2009a). Thus, the presence of apoE4 increases the risk for AD. Significant differences exist in the abilities of ApoE isoforms to bind A $\beta$  and these features are hypothesized to differentially influence aggregation, deposition and/or clearance of A $\beta$  by the different ApoE isoforms (Bertram et al., 2008; Bu, 2009; Kim et al., 2009a).

## Multiple neurotransmitter circuits and brain networks are damaged in AD

The clinical signs of AD reflect the distributions of abnormalities among different populations of neurons in brain regions and systems critical for memory, learning and cognitive performance. Circuits damaged by the disease include basal forebrain cholinergic system, monoamine neurons in the brain stem, hippocampus, entorhinal cortex, limbic cortex and neocortex. In these regions and circuits, neurodegeneration is reflected by the abundance of NFT and neuritic plaques involving these systems. These lesions are the results of cytoskeletal abnormalities, particularly involving conformational alterations in tau, hyperphosphorylated tau or fragments of tau, leading to formation of PHF in neurons (Braak et al., 1991; Ballatore et al., 2007; Goedert et al., 2006) and the presence of neurites around Aβ plaques (sites of synaptic disconnection) in brain regions receiving inputs from these neurons. Both generic and transmitter-specific synaptic markers are reduced in the target fields of these cells (Price & Sisodia, 1998) and there is evidence of death of neurons in these regions (Ballatore et al., 2007; Braak et al., 1991; Goedert et al., 2006; Whitehouse et al.,1981). Local glial and inflammatory responses are associated with the plaques. Disruption of synaptic communications between cells and circuits—associated with degeneration of axons and, eventually, cell bodies of neurons—has profound clinical consequences. Abnormalities that damage the circuits involving the entorhinal cortex, medial temporal cortex and hippocampus are thought to contribute significantly to memory impairments. Pathology in the neocortex is reflected by deficits in higher cognitive functions, such as disturbances in language, calculation, problem solving and judgment. The cellular pathology involving these regions is linked to the loss of functions performed by these circuits. Alterations in the basal forebrain cholinergic system may contribute to difficulties in memory, arousal and attention (Whitehouse et al., 1981), while involvement of the DMN, limbic cortex, amygdala, thalamus and monoaminergic systems results in a variety of disturbances in emotion, thought (Buckner et al., 2008; Perrin et al., 2009; Sperling et al., 2009; Zhang & Raichle, 2010) and sense of self.

# Neuritic plaques, one of the pathological hallmarks of AD, are composed of swollen neurites, extracellular deposits of A $\beta$ 40-42 peptides derived from $\beta$ - and $\gamma$ -secretase cleavages of APP

Neuritic Aβ plagues are characterized by deposits of amyloid, extracellular β-pleated sheet fibrillar Aβ peptides, surrounded by swollen neurites (nerve terminals) and reactive glial cells. A $\beta$  amyloid peptides, derived by  $\beta$ - and  $\gamma$ -secretase cleavages of APP to generate A\u03b31-40, 42 and 11-40, 42 peptides, accumulate in the extracellular space of the neuropil of the neocortex and hippocampus. In neurons, APP is carried by fast anterograde axonal transport to nerve terminals (Buxbaum et al., 1998; Koo et al., 1990; Sisodia et al., 1993). At these sites, APP in endocytic compartments is cleaved by  $\beta$  and  $\gamma$ -secretases that liberate extracellular monomeric A $\beta$  peptides into the extracellular space (Buxbaum et al., 1998). Moreover, some  $A\beta$  may be derived from postsynaptic processes.  $A\beta$ multimers assemble into  $\beta$  sheets, into protofilaments and into amyloid fibrils (Caughey et al., 2003; Ashe et al., 2010; Golde et al., 2011; Gong et al., 2003; Iwatsubo et al., 1994; Lue et al., 1999; Selkoe, 2002); these fibrillar aggregates are birefringent when stained with Congo Red or thioflavin dyes and viewed in polarized light or fluorescence illumination, respectively. Considerable debate exists concerning the Aβ species and conformational state exhibiting the greatest toxicity. Previously, plaques, fibrils and protofibrils were proposed as principal offenders (Caughey et al., 2003; Gong et al., 2003; Ashe et al., 2010; Iwatsubo et al., 1994; Lue et al., 1999; Selkoe, 2002) but it is now thought that multimers, sometimes termed Aβ-derived diffusible ligands (ADDLs), are the principal toxic entities (Caughey et al., 2003; Gong et al., 2003; Iwatsubo et al., 1994; Lue et al., 1999; Selkoe, 2002).

Because APP and pro-amyloidogenic secretases are present in neurons and transported to synapses, it is thought that neuronal APP is a major source of APP that gives rise to  $A\beta$  occurring in proximity to terminals (Wong et al., 2001; Buxbaum et al., 1998; Koo et al., 1990; Sisodia et al., 1993). At these sites, BACE1 cleaves APP in endocytic compartments to form amyloidogenic C-terminal derivatives, which are then cleaved by  $\gamma$ -secretase to generate secreted  $A\beta$  40, 42, 43 peptides. Released normally at terminals,  $A\beta$  may influence synaptic

functions (Kamenetz et al., 2003), perhaps behaving as a modulator depressing activity at excitatory, glutaminergic synapses via influences on the glutamate receptors (Kamenetz et al., 2003). With increasing accumulations of A $\beta$ 42 multimers at terminals, synaptic functions, including long-term potentiation (LTP), are disrupted. In this scenario, neuritic amyloid plaques are complex structures, representing sites of A $\beta$ -mediated damage to synapses associated with disconnection of terminals from their targets and degeneration of neurites. Plaques are surrounded by astrocytes and microglia, which produce cytokines, chemokines and other factors (including complement components) involved in inflammatory processes. The degree to which these cells and their products are beneficial or damaging is the subject of ongoing investigations.

# Neurofibrillary tangles (NFT), another characteristic feature of AD, are composed of intracellular bundles of paired helical filaments (PHF), which represent aggregated $\beta$ -pleated sheet assemblies of tau peptides

While mounting evidence indicates that accumulation of Aβ amyloid is the major initiator of disease in the pathogenesis of AD, available evidence suggests that the presence of neurofibrillary pathology appears to correlate more closely with cognitive deficits in AD than does the amount of amyloid. NFT are fibrillar intracytoplasmic inclusions in cell bodies/ proximal dendrites of affected neurons, while neuropil threads and neurites are predominantly swollen, filament-containing dendrites and distal axons/terminals, respectively (Ballatore et al., 2007; Braak et al., 1991; Goedert et al., 2006). These intracellular lesions are rich in PHF, which consists of poorly soluble tau, a low-molecular-weight microtubule-associated protein (Ballatore et al., 2007; Goedert et al., 2006). In human brain, alternative splicing from a single gene leads to formation of six tau isoforms, consisting of three isoforms of three-repeat tau (3-R) and three isoforms of four-repeat (4-R) tau, the latter derived by inclusion of exon 10 in the transcript (Ballatore et al., 2007; Goedert et al., 2006). Normally tau, synthesized in neuronal cell bodies, is transported anterograde in axons, where it interacts via repeat regions with tubulin to stabilize tubulin polymers critical for microtubule assembly and stability (Edbauer et al., 2002). Containing one more microtubule-binding domain, 4R tau shows a higher affinity for microtubules than 3R tau (Ballatore et al., 2007; Goedert et al., 2006). Binding of tau to microtubules is also regulated by post-translational modifications such as phosphorylation, glycosylation, glycation, ubiquitylation, sumoylation, nitration and proteolysis (Ballatore et al., 2007) (see Chs 1, 7, 8).

Aggregation of tau peptide fragments and full-length tau occurs not only in AD but also in a number of other neuro-degenerative diseases, including frontotemporal dementia (FTD), Pick disease, corticobasal degeneration, progressive supranuclear palsy and dementia pugilistica (Ballatore et al., 2007; Goedert et al., 2006) (see also Chs. 41, 47). In these various disorders, collectively termed tauopathies, aberrations of tau are the principal pathological features. Post-translationally

modified tau in NFT, which exhibits abnormal conformations, differs somewhat in the different tauopathies: in cases of AD, the PHF are composed of six isoforms of tau; in contrast, the fibrillar inclusions occurring in cases of progressive supranuclear palsy (PSP) and cortical basal degeneration (CBD) are characterized by 4-R tau, while the inclusions seen in individuals with Pick disease (PD) are enriched in 3-R tau (Ballatore et al., 2007; Goedert et al., 2006). The understanding of perturbations in the biology of tau in neurodegenerative diseases was dramatically advanced by the discovery of mutations in the tau gene in FTD patients (Ballatore et al., 2007; Goedert et al., 2006; Gotz et al., 2008). Among these mutations, more than half involve changes in the pre-mRNA splicing of exon 10 alone, which appear to be associated with changes of R4/ R3 ratio of tau isoforms (Ballatore et al., 2007; Goedert et al., 2006). Other mutations can increase the degree of phosphorylation, alter the ability of tau to bind to microtubules, change the stability of microtubules, or increase the formation of filamentous tau (Goedert et al., 2006; Ballatore et al., 2007). While neurofibrillary pathology appears to correlate with cognitive decline more significantly than does the Aß amyloid pathology, the preponderance of evidence suggests that Aβ amyloidosis is an early event in the disease process of AD.

Studies of cell cultures and transgenic mouse models indicate that A\beta accumulation can initiate or accelerate tau pathology. In one hypothetical model linking A $\beta$  and phosphorylated tau, Aβ42 damage to terminals leads to synaptic disconnection, which in turn leads to retrograde signaling, which ultimately triggers the activation of kinases (or the suppression of phosphatases) whose activities lead to hyperphosphorylation of tau at certain residues. Subsequently, conformational changes in the tau protein or peptide fragments (see below) are associated with the formation of PHF. Since the cytoskeleton is essential for maintaining cell geometry and for the intracellular trafficking and transport of proteins and organelles, disturbances of the cytoskeleton can lead to alterations in axonal transport which, in turn, can compromise the functions and viability of neurons. Eventually, affected nerve cells die (possibly by apoptosis) (Braak et al., 1991; Goedert et al., 2006; Whitehouse et al., 1981): extracellular tangles remain as "tombstones" of the nerve cells destroyed by disease. See also discussion of tau in Ch. 7, 8 and 47.

While hyperphosphorylation is a notable modification of tau in cases of AD, recent studies suggest that proteolysis of tau may initiate formation of PHF and play an important role at an early stage of neuronal degeneration. Since tau is a natively unfolded protein, it is susceptible to protease digestion (Wang et al., 2010). The truncation of tau at Asp421 by caspase 3 has been validated both *in vitro* and *in vivo*; cleavage of tau by caspase could initiate tangle formation, with tau peptide fragments recruiting normal tau to misfold and to form PHF-NFT (de Calignon et al., 2010; Golde et al., 2011). Interestingly, treatment of cultured neurons with fibrillar  $A\beta$  appears to induce cleavage of tau by caspases, which makes fibrilogenic tau (Gamblin et al., 2003), indicating a potential connection between pathologies of  $A\beta$  and tau.

In addition to caspases and calpain, other not-yet-defined proteases may also be involved in cleavages of tau. For example, mutant tau harboring deletion of Lys280 (tauRD $\Delta$ K) can be sequentially cleaved around the repeat domain to generate

small amyloidogenic tau fragments, which in turn can induce the aggregation of tauRD $\Delta$ K or full-length tau, leading to cytotoxicity (Khlistunova et al., 2006; Wang et al., 2007). Blocking the generation of these amyloidogenic tau fragments by mutating the residues at the cleavage site disrupts the aggregation of tauRD $\Delta$ K, indicating that the limited proteolysis is necessary for tau aggregation in this cell model (Wang et al., 2007).

In one hypothetical model linking Aβ and phosphorylated tau, elevated concentrations of A\u03b342 damage terminals, resulting in synaptic disconnections that lead to a retrograde signal that ultimately triggers the activation of kinases (or the suppression of phosphatases) whose activities ultimately produce excessive phosphorylation of tau at certain residues. Subsequently, conformational changes in the protein cause the formation of PHF, in this view. Since the cytoskeleton is essential for maintaining cell geometry and for the intracellular trafficking and transport of proteins and organelles, disturbances of the cytoskeleton can lead to alterations in axonal transport which, in turn, can compromise the functions and viability of neurons. Eventually, affected nerve cells die (possibly by apoptosis) (Koo et al., 2004; Whitehouse et al., 1981) and extracellular tangles remain as "tombstones" of the nerve cells destroyed by disease (see Chs 7, 8).

## Aspartyl proteases carry out the $\beta$ - and $\gamma$ -secretase cleavages of APP to generate A $\beta$ peptides

APP is processed by  $\beta$ - and  $\gamma$ -secretase enzymes, resulting in release of the soluble ectodomain of APP (APPs), the production of a cytosolic fragment termed APP intracellular domain (AICD), and the generation of several A $\beta$  peptides. In the CNS, Aβ peptides are generated by sequential endoproteolytic cleavages of neuronal APP by two membrane-bound enzymes: as described below, BACE1 cleaves APP at the A\beta +1 and +11 sites to generate APP-\beta carboxyl terminal fragments (APPβCTFs) (Cai et al., 2001; Citron, 2010; Luo et al., 2001; Vassar et al., 1999; Yan et al., 1999), while  $\gamma$ -secretase complex cleaves, via regulated intramembranous proteolysis, APP-βCTFs at several sites, including A $\beta$  40, 42, and 43, to form these peptides (Citron, 2010; De Strooper, 2003; Haass, 2004; Iwatsubo, 2004; Yan et al., 1999; Ma et al., 2005; Marjaux et al., 2004; Takasugi et al., 2003). The  $\gamma$ -secretase cleavages of APP- $\beta$ CTF or  $\alpha$ CTF release AICD, which forms a multimeric complex with Fe65, a nuclear adaptor protein (Cao et al., 2001). It has been suggested that the complex of Fe65 and AICD or Fe65 alone (in a new conformation), enters the nucleus and binds to histone acetyltransferase Tip60 to influence gene transcription (Cao et al., 2001) (see histone acetylation in Ch.27). A signaling mechanism analogous to that occurring in the Notch1 pathway follows the S3 cleavage of NEXT to produce NICD (Iwatsubo, 2004; Selkoe & Kopan, 2003). In other cells or other organs, APP can also be cleaved endoproteolytically within the Aβ sequence through alternative, non-amyloidogenic pathways involving α-secretase (TNF-alpha converting enzyme or TACE) or BACE2 (Farzan et al., 2000; Haass, 2004). The  $\alpha$ -secretase and BACE2 cleavages, which occur in non-neural tissues, preclude the formation of  $A\beta$  peptides and thus are thought to protect these organs from  $A\beta$  amyloidosis (Wong et al., 2001).

BACE1 and BACE2, encoded by genes on chromosomes 11 and 21, respectively, are transmembrane aspartyl proteases that are directly involved in the cleavages of APP (Citron, 2010; Cai et al., 2001; Farzan et al., 2000; Haass, 2004; Luo et al., 2001; Vassar et al., 1999; Yan et al., 1999). Analyses of cells and brains from BACE1-/- mice (Cai et al., 2001; Luo et al., 2001) disclose that A $\beta$ 1-40/42 and A $\beta$ 11- 40/42 are not secreted in these samples (Cai et al., 2001; Luo et al., 2001). BACE1 is expressed in the CNS; immunoreactivity is visualized in some synaptic regions. BACE1 preferentially cleaves APP at the +11 > +1 sites of A $\beta$ in APP (Cai et al., 2001) and this enzyme is essential for the generation of A\beta (Cai et al., 2001; Luo et al., 2001). Significantly, APPswe is cleaved perhaps 100-fold more efficiently at the +1 site than is wild-type APP. Thus, the presence of this mutation greatly increases BACE1 cleavage and accounts for the elevation of A $\beta$  species in the presence of this mutation. It has been reported that the expression of BACE1 is increased in certain regions of brain from some cases of sporadic AD (Li et al., 2004; Yang et al., 2003). All available evidence indicates that BACE1 is the principal neuronal  $\beta$ -secretase and is responsible for the critical penultimate pro-amyloidogenic cleavage of APP. Although BACE1 mRNA is present in a variety of tissues (particularly the pancreas), levels of this protein are low in most non-neural tissues. Interestingly, in the pancreas, BACE1 mRNA is high, but the transcript is alternatively spliced to produce a smaller protein incapable of cleaving APP.

BACE2 mRNA, present in a variety of organs, is expressed at very low levels in neural tissues, except for scattered nuclei in the hypothalamus and brainstem. BACE2 activity appears to be virtually undetectable in brain regions involved in AD. BACE2 is responsible for generation of anti-amyloidogenic cleavages at +19/+20 of A $\beta$  (Farzan et al., 2000). Thus, BACE2 is an anti-amyloidogenic enzyme, acting like  $\alpha$ -secretase (ADAM10 or TACE) that cleaves between residues 16 and 17 of the A $\beta$  peptide (Sisodia et al., 1990; Sisodia et al., 2002) and reducing production of amyloidogenic forms of A $\beta$ .

γ-Secretase, essential for the regulated intramembranous proteolysis of a variety of transmembrane proteins, is a multiprotein catalytic complex that includes PS; Nicastrin (Nct), a type I transmembrane glycoprotein (De Strooper, 2003; Edbauer et al., 2002; Li et al., 2003; Sisodia et al., 2002); and Aph-1 and Pen-2, which are two multipass transmembrane proteins (De Strooper, 2003; Edbauer et al., 2002; Li et al., 2003; Sisodia et al., 2002; Goutte et al., 2002; Haass, 2004; Iwatsubo, 2004; Ma et al., 2005; Marjaux et al., 2004; Selkoe & Kopan, 2003; Steiner et al., 2002; Takasugi et al., 2003). PS1 is isolated with γ-secretase under specific detergent soluble conditions; it is selectively cross-linked or photoaffinity-labeled by transition state inhibitors (Esler et al., 2000; Li et al., 2000). Substitutions of aspartate residues at D257 in TM 6 and at D385 in TM 7 reduce the secretion of A\beta and the cleavage of Notch1 in vitro; PS1-/- cells show decreased levels of secretion of Aβ (De Strooper, 2003; Li et al., 2003; Naruse et al., 1998; Takasugi et al., 2003). Aph-1 and Pen-2 (De Strooper, 2003; Goutte et al., 2002; Takasugi et al., 2003) are novel transmembrane proteins: Aph-1 has seven predicted transmembrane domains, and Pen-2 has two predicted transmembrane regions (De Strooper, 2003; Takasugi et al., 2003).

The functions of these proteins and their interactions with each other in the complex and in  $\gamma$ -secretase activity are not yet fully defined. PS1 initially was proposed to act as an aspartyl protease itself, to function as a co-factor critical for the activity of  $\gamma$ -secretase or to play a role in trafficking of APP or proteins critical for enzyme activity to the proper compartment for  $\gamma$ -secretase cleavage (Esler et al., 2000; Naruse et al., 1998; Wolfe, 2002). Recent reconstitution studies of recombinant PS1 and PEN2 or PS1DE9 in liposomes have demonstrated that PS1 is the  $\gamma$ -secretase and that PEN2, which converts the PS1 zymogen, is necessary and sufficient to activate the endoproteolysis of PS1 to generate the active enzyme (Ahn et al., 2010).

Significantly,  $\gamma$ -secretase cleaves both Notch-1 and APP generating intracellular peptides termed NICD and AICD. (Cao et al., 2001; Selkoe & Kopan, 2003) As described above, the AICD is believed to interact with FE65, a cytosolic adapter. This interaction leads to a signal that influences transcription (Cao et al., 2001; Selkoe & Kopan, 2003). Results of targeting of PS1, Nct and Aph-1 in mice (Cao et al., 2001; Li et al., 2003; Ma et al., 2005; Selkoe & Kopan, 2003; Shen et al., 1997; Wong et al., 1997), which are described below, are consistent with the concept that PS1, Nct and Aph-1 (Capell et al., 2000; De Strooper, 2003; Haass, 2004; Takasugi et al., 2003), along with PEN2, are critical components of the  $\gamma$ -secretase complex. The phenotypes of targeted PS1, Nct and APH-1 mice are interpreted to be the result of impaired Notch1 signaling (Li et al., 2003; Ma et al., 2005; Shen et al., 1997; Wong et al., 1997).

## Transgenic strategies have been used to create models of $A\beta$ amyloidosis and tauopathies

In mice, expression of APPswe or APP717 minigenes (Chui et al., 1999) leads to an A $\beta$  amyloidosis in the CNS (Sturchler-Pierrat et al., 1997), with the severity of pathology influenced by the nature and levels of the expressed transgene and the specific mutations. Mice expressing both mutant APP and PS1 develop accelerated disease. In these animals, levels of Aβ (particularly A $\beta$ 42) in the brain are elevated, and diffuse A $\beta$ deposits and neuritic plaques appear in the hippocampus and cortex. In lines of transgenic mice generated by Borchelt and associates (Borchelt et al., 1996; Borchelt et al., 1997; Jankowsky et al., 2004), the pathology evolves in stages. Levels of Aβ peptides are elevated in the brain and increase with age; over time, Aβ deposits become increasingly abundant; swollen neurites appear in proximity to these deposits; and, as neuritic plaques evolve, they are associated with glial responses. In these mice, aggregated tau and tangles are not detectable. The density of synaptic terminals is reduced and several neurotransmitter markers are decreased; in some lines of mice, these abnormalities appear linked to deficiencies in synaptic transmission (Hsia et al., 1999). Moreover, some lines of mice show degeneration of subsets of neurons. (Liu et al., 2008) Amyloid has been routinely detected in vivo by invasive techniques (Bacskai et al., 2003), but advances in MRI and PET imaging have made it possible to detect Aβ in the animals in vivo (Maeda et al., 2007; Wengenack et al., 2011).

Recently developed transgenic models of  $A\beta$  amyloidosis employ inducible expression of a transgene based on

tetracycline-regulated promoters. In several of these lines memory formation is influenced by regulated expression of a CaMKII-driver transgene. These conditional transgenic mouse models exhibit high levels of transgene expression; the levels can be reduced by several orders of magnitude by treatment with doxycycline (Kistner et al., 1996). A number of transgenic lines expressing different levels of mutated APP have been developed by Borchelt and Jankowsky (Jankowsky et al., 2005). Swedish and Indiana APP mutations have been incorporated into the APP transgene to alter processing of the mutated protein by  $\beta$ - and  $\gamma$ -secretases, respectively. The suppression of APP expression and A $\beta$  production is achieved in these models by feeding APP swe/ind transgenic mouse diets containing doxycycline. These models allow for testing of the potential for highly toxic effects of inhibiting production of Aβ and the influence of these manifestations on the evolution of amyloid pathology and cognitive deficits. It also allows investigators to ask whether amyloid pathology can be reversed following such treatment. In these conditional models, longterm (3–6 months) suppression of Aβ production discloses that many amyloid deposits are stable and persist for months after expression is inhibited (Jankowsky et al., 2005).

Despite successes in modeling amyloidosis, tau-related pathology was not observed in these amyloidogenic models. The paucity of tau abnormalities in the various lines of mutant APP and PS1 mice may be related to differences in tau isoforms expressed in mice versus those in primates. Early efforts to express mutant tau transgenes in mice did not lead to striking clinical phenotypes or pathology. Some lines of mice overexpressing tau show clinical signs, attributed to degeneration of motor axons (Lee et al., 2001) (see Ch. 47). For example, when the prion or Thy1 promoters are used to drive tauP301L (a mutation linked to autosomal dominant frontotemporal dementia with parkinsonism), tangles develop in neurons of the brain and spinal cord (Gotz et al., 2001; Gotz et al., 2008). Mice expressing APPswe/tauP301L exhibit enhanced tanglelike pathology in limbic system and olfactory cortex. (Lewis et al., 2001) Moreover, when A\u00e342 fibrils are injected into specific brain regions of tauP301L mice, the number of tangles is increased in those neurons projecting to sites of injection of Aβ. A triple transgenic mouse (3xTg-AD), created by microinjecting APPswe and tauP301L into single cells derived from monozygous PS1M146V knock-in mice (Oddo et al., 2003) develop age-related plaques and tangles as well as deficits in long-term potentiation (LTP), which appear to antedate overt pathology. (Oddo et al., 2003) However, mice bearing both mutant tau and APP (or APP/PS1) or mutant tau mice injected with Aβ are not fully faithful models of fAD because the presence of the tau mutation alone is associated with the development of tangles.

Learning deficits, problems in object recognition and fear memory, and difficulties in performing tasks assessing spatial reference and working memory have been identified in some of the lines of mutant mice with high levels of expression of mutant transgenes (Oddo et al., 2003; Chapman et al., 1999; Chen et al., 2000). Some of the behavioral abnormalities are hypothesized to be associated with disconnection of synaptic terminals from their targets. Although these mice do not fully recapitulate the complete phenotype of AD, they are useful subjects for research designed to examine disease mechanisms and to test novel therapies.

## Gene targeting approaches have identified and validated targets for therapy

To begin to understand the functions of some proteins thought to play roles in the biology of APP and in Aβ amyloidogenesis, investigators have targeted a variety of genes encoding APP and members of the APP family of amyloid precursor-like proteins (APLPs) (Heber et al., 2000; Walsh & Selkoe, 2004) and by knocking out genes encoding the secretase proteins (BACE1, PS,; Nct; and Aph-1) (Heber et al., 2000; Walsh & Selkoe, 2004). Homozygous APP-/- mice are viable and fertile, but appear to have subtle decreases in locomotor activity and forelimb grip strength (Heber et al., 2000). The absence of substantial phenotypes in APP-/- mice appears to be related, in part, to functional redundancy of two amyloid precursor-like proteins (APLP1 and APLP2) (Walsh & Selkoe, 2004) that are homologous to APP. APLP2-/- mice appear relatively normal, while APLP1-/- mice exhibit a postnatal growth deficit (Heber et al., 2000). APLP1-/- mice are viable, but APP/APLP2-/- mice and APLP1/APLP2-/mice do not survive the perinatal period (Heber et al., 2000). These observations support the concept that some redundancy exists between members of this interesting family of proteins (Heber et al., 2000).

BACE1-/- mice are viable, have no obvious phenotype or pathology and can mate successfully (Cai et al., 2001; Luo et al., 2001). Importantly, cortical neurons cultured from BACE1-/- embryos do not show cleavages at the +1 and +11 sites of A $\beta$ , and the secretion of A $\beta$  peptides is abolished even in the presence of elevated levels of exogenous wild-type (wt) or mutant APP (Cai et al., 2001). The brains of BACE1-/mice appear morphologically normal and Aβ peptides are not produced (Cai et al., 2001; Luo et al., 2001). These results establish that BACE1 is the neuronal β-secretase required to generate the N-termini of A\beta (Xia et al., 2010). Significantly, behavioral studies of the BACE1-/- mice indicate that these animals show altered performance on some tests of cognition and emotion (Savonenko et al., 2008). Moreover, BACE1-null mice exhibit hypomyelination, attributed to the developmental influence of neuregulin (NRG), which requires BACE1 for normal processing. Nevertheless, BACE1 appears to be an outstanding target for development of an anti-amyloidogenic therapy (Citron, 2010; Vassar et al., 1999; Vassar et al., 2009; Wong et al., 2002).

In contrast to BACE1-/- mice, PS1-/- mice do not survive beyond the early postnatal period and show severe developmental abnormalities of the axial skeleton, ribs and spinal ganglia; this outcome resembles a partial Notch 1-/- phenotype (Shen et al., 1997; Wong et al., 1997) because PS1, along with Nct, Aph-1 and Pen-2, are components of the  $\gamma$ -secretase complex that carries out the S3 intramembranous cleavage of Notch1 (De Strooper et al., 1999; De Strooper, 2003; Edbauer et al., 2002; Esler et al., 2002; Li et al., 2003; Selkoe & Kopan, 2003; Takasugi et al., 2003) Without this cleavage, the NICD is not released from the plasma membrane and the appropriate signal does not reach the nucleus to initiate transcriptional processes essential for cell fate decisions. PS2-/- mice are viable and fertile, though they develop age-associated mild pulmonary fibrosis and hemorrhages. Mice lacking PS1 and PS2 die midway through gestation, showing a Notch1-/- phenotype.

Nct-/- embryos die by embryonic day 10.5 and exhibit several developmental patterning defects, including abnormal segmentation of somites; the phenotype closely resembles that seen in embryos lacking Notch1 or PS (Li et al., 2003). Importantly, secretion of A $\beta$  peptides is abolished in Nct-/- fibroblasts, whereas it is reduced by ~50% in Nct+/- cells (Li et al., 2003). The failure to generate A $\beta$  peptides in Nct-/- cells is accompanied by apparent destabilization of the  $\gamma$ -secretase complex and by accumulation of APP C-terminal fragments. Moreover, analysis of APP trafficking in Nct-/- fibroblasts discloses a significant delay in the rate of APP reinternalization compared with that of control cells.

Three murine Aph-1 alleles—termed Aph-1a, Aph-1b and Aph-1c—encode four distinct Aph-1 isoforms: Aph-1aL and Aph-1aS, derived from differential splicing of Aph-1a; Aph-1b; and Aph-1c (Ma et al., 2005). To determine the contributions of various mammalian Aph-1 homologues in formation of functional γ-secretase complexes, our laboratory generated Aph-1a-/- mice (Ma et al., 2005). As compared to littermate controls, the development of Aph-1a/- embryos was dramatically retarded by embryonic day 9.5 and these animals exhibited patterning defects that resemble, but are not identical to those of Notch1, Nct or PS-/- embryos. Moreover, in immortalized Aph-1a-/- fibroblasts, the levels of Nct, PS fragments and Pen-2 are dramatically decreased. Consequently, deletion of Aph-1a results in significant reductions in levels of the highmolecular-weight  $\gamma$ -secretase complex and in the secretion of Aβ. Importantly, complementation analysis reveals that all mammalian Aph-1 isoforms are capable of restoring the levels of Nct, PS and Pen-2 in Aph-1a-/- cells. Taken together, the findings establish that Aph-1a is the major mammalian Aph-1 homologue present in PS-dependent  $\gamma$ -secretase complexes during embryogenesis; these data support the view that mammalian Aph-1 isoforms define a set of functional  $\gamma$ -secretase complexes. Significantly, as mentioned earlier, in vitro reconstitution experiments indicate that PS and PEN2 are critical for  $\gamma$ -secretase activity (Ahn et al., 2010). (see Box)

## Transgenic mouse models are being used to test a variety of novel therapies

Many experimental therapeutic efforts have focused on influencing AB production (by inhibiting or modulating secretase activities), blocking the aggregation of or enhancing the clearance of AB and targeting the neurotoxicity of Aβ42 (Citron, 2010; Monsonego et al., 2003; Ashe et al., 2010a; Bard et al., 2000; Brody et al., 2008; Chow et al., 2010a; Chow et al., 2010b; Dodart et al., 2002; Golde et al., 2011; Kim et al., 2009b; Kotilinek et al., 2002; Kounnas et al., 2010c; Morgan et al., 2000; Perrin et al., 2009v; Sagare et al., 2011; Schenk et al., 1999; Wong et al., 2002; Walsh & Selkoe, 2004). Although mutant transgenic mice do not recapitulate the full phenotype of AD, they represent excellent models of Aβ amyloidosis and are highly suitable for identification of therapeutic targets and for testing new treatments in vivo. Because it is not possible to discuss all treatment efforts in transgenic mice, we focus on a few selected studies to illustrate experimental therapeutic strategies, including  $\gamma$ -secretase inhibitors, BACE1 inhibitors, immunotherapy and other agents influencing clearance.

BACE1 is the principal β-secretase in neurons in vitro, and BACE1-deficient neurons fail to secrete Aβ even when coexpressing the APPswe and mutant PS1 genes (Luo et al., 2001). Significantly, BACE1-/-; APPswe; PS1 E9 mice do not develop the AB deposits and age-associated abnormalities in working memory that occur in the APPswe; PS1 $\Delta$ E9 model of Aβ amyloidosis (Borchelt et al., 1996). Similarly, BACE1-/-TG2576 mice appear to be rescued from age-dependent memory deficits and physiological abnormalities (Ohno et al., 2004). These data indicate that BACE1 is a very attractive therapeutic target. However, BACE1-/- mice develop abnormalities in performance of tasks assessing cognition and emotion, which can be rescued if APP is overexpressed in brain (Savonenko et al., 2008). In trials, it will be important to critically examine the influences of BACE1 inhibitors on memory, cognition and emotion.

The  $\gamma$ -secretase complex catalyzes the final cleavage of APP, which liberates the A\beta peptide into the extracellular space (amyloid deposits). As demonstrated by the gene targeting strategies described above, this complex is critically dependent upon the presence of PS and Pen-2 (as well as Nct, Aph-1a). Because reductions in levels of these  $\gamma$ -secretase components decrease levels of A\beta, the enzyme is a significant target for therapy. In cell-free and cell-based systems and in mutant mice with A $\beta$  amyloidosis, inhibition of  $\gamma$ -secretase activity decreases production of A $\beta$ . However  $\gamma$ -secretase activity is also essential for Notch processing critical for lineage specification and cell growth during embryonic development. Therefore,  $\gamma$ -secretase inhibitors can also influence these latter activities. For example, LY – 411, 575 not only reduces production of A $\beta$ , but it also has effects on T and B cell development and on the appearance of intestinal mucosa (proliferation of goblet cells, increased mucin in gut lumen and crypt necrosis). Moreover, Nct+/mice develop skin cancers with age (related to the importance of notch signaling as tumor suppression in the skin). Clinicians will have to be alert to the problem. It is hoped that  $\gamma$ -secretase modulator will circumvent some of the problems (Citron, 2010; Golde et al., 2011). Thus, several adverse affects could occur following inhibition of these secretase enzymes, and it will be important for investigators to be alert for these effects.

It is likely that AD will require combinatorial therapy initiated in the earliest stages of disease (Ashe et al. 2010; Brody et al., 2008; Chow et al., 2010; Citron, 2010; Golde et al., 2011; Perrin et al., 2009). For example, a combination genetic approach to reduce  $\beta$ - and  $\gamma$ -secretase activities demonstrated that moderate reductions of either the  $\gamma$ -secretase or BACE1 activities provided modest benefits and were not associated with major mechanism-based toxicities (Chow et al., 2010). Decreasing the levels of both enzymes had significant beneficial effects on amyloid burden and on cognitive performance with no associated evidence of adverse influences. On the basis of these experiments, we suggest that lowering levels of both  $\gamma$ -secretase and BACE1 activities may provide an effective and safe treatment of the human disease. Moreover, lowering of enzyme activities can be complemented by strategies designed to promote clearance (see below) (Brody et al., 2008; Bertram et al., 2008). A variety of companies have attempted to identify and develop potent and selective \gamma-secretase inhibitors that lower the formation of A $\beta$  in the brain. In addition to focusing attention on CONCLUSIONS 823

brain penetration and efficacy of these agents, investigators are concerned about the potential adverse impacts of lowering  $\gamma$ -secretase cleavages involved the processing of Notch and other important signaling proteins that are cleaved by this enzyme, but some progress has been made in identifying selective inhibitor that preserve Notch cleavage while reducing APP cleavage.

Many pharmaceutical and biotechnology companies and some academic laboratories are using high-throughput screening and molecular modeling strategies to discover compounds that inhibit (or modulate) these enzyme activities (Citron, 2010; Marjaux et al., 2004; Walsh & Selkoe, 2004; Wolfe, 2002). Once lead compounds are identified, medicinal chemists have modified these compounds to enhance efficacy, to allow entry through the blood–brain barrier and to reduce any potential toxicities.

In a recent study (Kounnas et al., 2010), the authors describe the screening (in cell-based assays) for modulators of  $\gamma$ -secretase activity (GSMs). They identified small molecules that were able to preferentially decrease the levels of Aβ42 without impacting on the ε-cleavage sites. A library (10,000) of diverse composition was screened for compounds that decreased A\beta 42, did not alter levels of Aβ40, and increased levels of Aβ37 and 38 without impacting on  $\epsilon$ -cleavage. Selected aminothiazole agents, which were bioavailable following oral administration, were tested for efficacy in TG 2576 mice; levels of Aβ42 were decreased without inhibiting  $\epsilon$ -site cleavage or altering the generation of APP and Notch intracellular domains. Oral administration of one of these potent GSM to TG 2576 mice showed a dose response lowering of plasma membrane Aß 42. Moreover, chronic daily administration of this compound was associated with significant decrements in both diffuse and neuritic plaques. There was no evidence of Notch-related abnormalities that often confound use of  $\gamma$ -secretase inhibitors. These aminothiazole  $\gamma$ -secretase modulators are termed AGSM's (Kounnas et al., 2010) are intriguingly, similar to other compounds of a similar class being developed for the treatment of abnormalities of the prion protein (PrP), i.e. the generation of PrPsc associated with prion diseases (Ghaemmaghami et al., 2010).

Active and passive  $A\beta$  immunotherapy and other approaches have been used in both prevention and treatment trials in mutant mice (Perrin et al., 2009; Brody et al., 2008). Both Aβ immunization and passive transfer of anti-Aβ antibodies reduce levels of Aβ and plaque burden in mutant APP and APP/PS1 transgenic mice (Jankowsky et al., 2005; Ashe et al., 2010c; Golde et al., 2011; Perrin et al., 2009; Bard et al., 2000; DeMattos et al., 2002; Dodart et al., 2002; Kotilinek et al., 2002; Monsonego et al., 2003; Morgan et al., 2000; Schenk et al., 1999). Efficacy seems to be related to antibody titer. The mechanisms of enhanced clearance are not certain, but at least two not mutually exclusive hypotheses have been suggested: (1) A small amount of anti-A $\beta$  antibody reaches the brain, binds to A $\beta$  peptides, promotes disassembly of fibrils, and, via the Fc antibody domain, encourages activated microglia to enter the affected region and remove A $\beta$  (Schenk et al., 1999); and/or (2) serum antibodies serve as a sink to bind the amyloid peptides exiting from brain into the circulation, thus changing the equilibrium of Aβ in different compartments and promoting removal of  $\ensuremath{\mathrm{A}\beta}$  from the brain (Cirrito et al., 2003; DeMattos et al., 2002; Dodart et al., 2002; Morgan et al., 2000). Significantly, immunotherapy

in transgenic mice is successful in partially clearing  $A\beta$ , and attenuating learning and behavioral deficits in at least a number of mutant APP mice (Jankowsky et al., 2005; Ashe et al. 2010i; Golde et al., 2011; Perrin et al., 2009; Bard et al., 2000; DeMattos et al., 2002; Dodart et al., 2002; Kotilinek et al., 2002; Monsonego et al., 2003; Morgan et al., 2000; Schenk et al., 1999).

Another approach to the clearance of  $A\beta$  from the brain has utilized delivery of low-density lipoprotein receptor-related protein 1 (LRP) into the circulation (Sagare A et al., 2011). This strategy promotes clearance of A $\beta$  from the brain by soluble circulating LRP acting as sink for Aß in the plasma. Another approach takes advantage of the observations that apolipoprotein E (APOE) is a genetic risk factor for AD (Bu, 2009; Kim et al., 2009a) and that this protein plays a role in the pathogenesis of AD, possibly by influencing aggregation and/or clearance of A\(\beta\). Thus, experimental manipulations of APOE metabolism may allow insights into potential therapeutic targets for regulating levels of A\beta (Bu, 2009; Kim et al., 2009b; Kim et al., 2009a). Because LDLR, a member of the L D L receptor family, binds to APOE, it has been hypothesized that overexpression of LDLR might decrease levels of APOE and enhance the clearance of A $\beta$  and decrease levels of amyloid (Bu, 2009; Kim et al., 2009b; Kim et al., 2009a). To test this hypothesis, mice were generated to overexpress LDLR in the brain; the levels of APOE were decreased in these mice (Dodart et al., 2002). Additional experiments showed that mice overexpressing LDLR showed significant reductions in aggregation of Aβ and enhancement of Aβ clearance from the brain (Kim et al., 2009b). Moreover, inflammatory responses associated with plaques were reduced in these mice. The authors suggest that approaches to increase the levels of LDLR may have value in developing new treatments for the disease (Kim et al., 2009b).

Presently available therapies for patients with AD include cholinesterase inhibitors, agents that influence glutamate neurotransmission, neuroprotective approaches and pharmacological agents, which are useful for behavioral disturbances. However, these approaches do not directly influence the disease process, and new disease-modifying treatments are the major unmet need in AD. In addition to the selected studies described briefly above, a variety of other treatments are being tested in model systems.

#### CONCLUSIONS

The lines of research described above have greatly enhanced our understanding of AD. We are now on the threshold of implementation of novel treatments based on an understanding of the pathogenesis of amyloidogenic mechanisms leading to the illness. Progress is being made in understanding the tauopathies. We anticipate that future discoveries will lead to the design of new mechanism-based therapies that can be tested in models of AD and that eventually these approaches, if effective and safe, can be introduced into clinical settings for the benefit of patients with this devastating disease. We are confident that parallel strategies will provide similar benefits for the treatment of other neurodegenerative diseases of the nervous system. A major problem in designing therapy or

#### $\gamma$ -SECRETASE AND PRESENILINS: NOT JUST FOR A $\beta$ AND ALZHEIMER'S ANYMORE

### Scott T. Brady

For most people, the presenilins are familiar from their roles in Alzheimer's disease (AD) pathogenesis. Not only are Presenilin 1 and 2 (PSEN1 and PSEN2) often mutated in patients with familial AD, but the role of presenilins as components of the  $\gamma$ -secretase proteolytic complex also makes them essential for the production of A $\beta$  peptides from APP. However, the biological roles of the presenilins extend well beyond APP processing. Evidence for a more extensive list of functions is seen in the fact that the PSEN1 knockout is embryonic lethal (Wong et al., 1997), while the phenotype of the APP null mouse is mild (Zheng et al., 1995).

As noted in the text,  $\gamma$ -secretase is a complex of four polypeptides with an aspartyl protease activity (Small, et al., 2010). The  $\gamma$ -secretase complex has the unusual property of working on membrane proteins and producing intramembranous cleavages in a select set of proteins (Hass, et al., 2009). There may be more than 90 type I integral membrane protein substrates of  $\gamma$ -secretase (Lleo et al., 2011). There is no clear consensus sequence for cleavage and the example of APP indicates that  $\gamma$ -secretase cleavages can be imprecise, yielding multiple distinct fragments. There is none-theless selectivity, which may be based on conformation of the transmembrane domain. The functional significance of  $\gamma$ -secretase cleavage is uncertain for many of these substrates, but known functions include signaling and regulation of protein functions. Several of these substrates are of particular interest.

The best-known  $\gamma$ -secretase substrates after APP are notch and its ligands, delta and jagged (Woo, et al., 2009). Notch is a four member gene family in humans that plays an important role in neurogenesis, neurite growth, and differentiation. Notch is also implicated in synaptic plasticity as well as learning and memory. Notch is subject to multiple regulatory proteolytic events. The critical one is  $\gamma$ -secretase cleavage following ligand binding to release the notch intercellular cytoplasmic domain (NICD), which translocates to the nucleus and binds DNA to regulate transcription. The notch ligands Delta and Jagged are also substrates for  $\gamma$ -secretase (Lleo et al., 2011). The loss of notch signaling is considered to be a major barrier to the use of  $\gamma$ -secretase inhibitors for treatment of Alzheimer's. However, other substrates that may also present complications.

There are other receptors important for differentiation of cells in the nervous system that are processed by  $\gamma$ -secretase. Two receptors of particular interest are ErbB4 and some members of the Ephrin receptor family (Lleo et al., 2011). Both are receptor tyrosine kinases (see chapter 26) that play key roles in the nervous system. ErbB4 and its ligands, the neuregulins, are important for control of glial development and myelination (Newbern et al., 2010). The Eph/Ephrin pathway plays important roles in synaptic development and plasticity (Lai et al., 2009). Several Eph receptors (A4, B2 and B4) as well as some of their Ephrin ligands (B1, B2) can be processed by  $\gamma$ -secretase. As with APP and Notch, the action of  $\gamma$ -secretase on these receptors is to generate an intracellular cytoplasmic domain involved in signaling either to modulate transcription or through Rac1.

LDL receptor related proteins are particularly interesting substrates given the identification of ApoE4 as a major risk factor for late onset Alzheimer's disease (Bu, 2009). Several of the family members (LRP1, ApoER2, VLDL and megalin can be processed by  $\gamma$ -secretase (Lleo et al., 2011). Much like APP and Notch, cleavage of LRP1 gives rise to an intracellular cytoplasmic domain that can be translocated to the nucleus and affect transcription (Bu, 2009). LRP1 and ApoER2 also interact with APP, while ligand ApoE can bind A $\beta$ . The extent to which LDL receptor processing by  $\gamma$ -secretase contributes to AD pathology is a matter of speculation at present.

Although these examples touch on only a fraction of the substrates cleaved by the presenilins and  $\gamma$ -secretase, they illustrate the complexities of presenilin biology in the brain. For example, some familial AD mutations of PSEN1 can differentially affect processing of some of substrates, but not others. Consistent with this, a recent report identified a  $\gamma$ -secretase activating protein (GSAP) that selectively enhances APP processing and A $\beta$  production without altering Notch processing (He et al., 2010). Before  $\gamma$ -secretase inhibitors can be used to treat AD, we need to understand the biology of these proteases. Such information may provide new strategies as well as imposing constraint on therapeutics.

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prevention is presented by the growing realization that the earliest neurocellular damage begins possibly decades before any clinical symptoms appear. Thus, research into clinical biomarkers that may identify the earliest stage in the pathogenesis of Alzheimer's disease is crucial.

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