# Knowledge Graphs and Retrieval-Augmented Generation (RAG)

April 15, 2025

## 1 Introduction to Knowledge Graphs

A **knowledge graph** represents a network of entities (objects, events, situations, or concepts) and illustrates the relationship between them. It is typically stored in a graph database and visualized as a graph structure.

- Node: person/place/thing
- Edge: relationship between the nodes

### **Utility:**

- Discern the meaning of homographs (same spelling, different meaning)
- Understand hidden underlying connections between nouns to process context

A knowledge graph is a structured representation of text, often stored in the format:

(Subject, Predicate, Object)

This format captures relationships between two entities.

# 2 Retrieval-Augmented Generation (RAG)

Retrieval-Augmented Generation (RAG) is a technique used to enhance the accuracy of generative models using external data sources.

### Without RAG

The large language model (LLM) takes user input and generates a response based only on its training data.

### With RAG

- a) The user input is used to retrieve information from an external data source.
- b) The query and the retrieved context are both fed into the LLM.
- c) The LLM combines the new knowledge with its internal training to generate more accurate responses.

### Components of RAG

- Create External Data: Data can come from APIs, databases, or documents. Embedding language models convert this data into vectors and store it in a vector database.
- Retrieve Relevant Information: The user query is vectorized and matched with stored vectors. Example: A chatbot answering HR questions might retrieve leave policy documents and an employee's past leave record.
- Augment the LLM Prompt: The prompt is enriched with relevant retrieved data using prompt engineering techniques.
- Update External Data: To avoid staleness, documents and their embeddings should be periodically updated (real-time or batch processes).

### RAG Pipeline

- 1. Prompt
- 2. Query database
- 3. Extract most relevant information
- 4. Combine prompt with retrieved information
- 5. Generate response

# 3 RAG with Knowledge Graphs

Integrating knowledge graphs into the RAG pipeline has demonstrated improved multi-hop reasoning.

- Train a LLM to extract a knowledge graph from unstructured text
- Insert this graph into the RAG pipeline as a structured intermediary

# 4 KGGen: Text to Knowledge Graph

**KGGen** is a system that uses language models to generate knowledge graphs from unstructured text.

### **Process Overview**

### 1. Entity and Relation Extraction

- Input text is processed to detect entities
- A separate model extracts (Subject, Predicate, Object) triples

#### 2. Aggregation

- Unique triples are collected to form the graph
- Normalization: all entities and edges are lowercased to avoid redundancy

#### 3. Clustering

- Cluster similar entities (e.g., USA, America, United States)
- Deterministic unsupervised clustering algorithm (e.g., KNN, HCA)

### Paper's Novel Idea: LLM as Judge

### **Handling Nodes**

- (1) The LLM receives clusters and performs binary classification to validate the groupings.
- (2) If the input cluster passes the check, it is accepted as a valid group and labeled.
- (3) The label is chosen to best represent the entire group.
- (4) Steps 1–3 are repeated either for a fixed number of iterations or until no new valid clusters are found.
- (5) The remaining unclustered entities are processed in batches. For each batch, the LLM checks whether any entities should be added to existing groups.
- (6) This batch-to-cluster association step is repeated until all remaining entities are handled.

#### **Handling Edges**

- The same LLM-based classification is used to group edges.
- Prompts are modified to focus on relationships instead of entity clustering.