# CSCI E-89B Introduction to Natural Language Processing

Harvard Extension School

Dmitry Kurochkin

Fall 2024

Lecture 4

- Bag of Words (BoW)
  - BoW Representation
  - Hands-On: BoW in Python
    - Sklearn
    - NLTK
    - SpaCy
  - Limitations of BoW
- Introduction to n-grams
  - n-grams Overview
  - Creating n-grams in Python: Sklearn, NLTK, and SpaCy
  - Limitations of n-grams
- 3 Convolutional Neural Networks (CNN)
  - Convolution
  - CNN Layers
    - Convolutional Layers
    - MaxPooling Layer
  - Building CNN in Python



- Bag of Words (BoW)
  - BoW Representation
  - Hands-On: BoW in Python
    - Sklearn
    - NLTK
    - SpaCy
  - Limitations of BoW
- Introduction to n-grams
  - n-grams Overview
  - Creating n-grams in Python: Sklearn, NLTK, and SpaCy
  - Limitations of n-grams
- 3 Convolutional Neural Networks (CNN)
  - Convolution
  - CNN Layers
    - Convolutional Layers
    - MaxPooling Layer
  - Building CNN in Python



## Bag of Words (BoW) Representation

### Bag of Words (BoW)

- Bag of Words (BoW) is a technique to represent text data as numerical vectors.
- ▶ It serves as a basic and yet powerful approach for text representation.
- ► Each document is considered as a collection of words, disregarding grammar and word order.

#### Application of BoW

- Facilitates text classification and clustering.
  - Creates a feature space for documents used in various machine learning algorithms.
- Supports sentiment analysis and topic modeling.
  - Useful for analyzing document sentiment and classifying documents into topics.
- Simplifies text data into quantifiable features.
  - Converts qualitative text data into quantitative vectors for use in ML models.

## Steps in Creating a BoW Representation

#### Tokenization:

- ▶ Divide the text into individual units (tokens): phrases, words, subwords, or characters
- Example:

```
"Henry Ford introduced the Model T. Ford Model T was revolutionary."
```

- → ['Henry', 'Ford', 'introduced', 'the', 'Model', 'T', 'was',
- 'revolutionary']

#### Vocabulary Creation:

- ▶ Build a vocabulary of unique words from the entire text corpus
- Example:

```
{ "Henry", "Ford", "introduced", "the", "Model", "T", "was",
"revolutionary" }
```

### Frequency Counting:

- ▶ For each document, count the frequency of each word from the vocabulary
- Example:

```
"Henry Ford introduced the Model T."
```

```
\rightarrow { "Henry": 1, "Ford": 2, "introduced": 1, "the": 1, "Model": 2,
"T": 2, "was": 1, "revolutionary": 1}
```

- Bag of Words (BoW)
  - BoW Representation
  - Hands-On: BoW in Python
    - Sklearn
    - NLTK
    - SpaCy
  - Limitations of BoW
- 2 Introduction to n-grams
  - n-grams Overview
  - Creating n-grams in Python: Sklearn, NLTK, and SpaCy
  - Limitations of n-grams
- 3 Convolutional Neural Networks (CNN)
  - Convolution
  - CNN Layers
    - Convolutional Layers
    - MaxPooling Layer
  - Building CNN in Python



### Hands-On: BoW in Python Using Sklearn

```
from sklearn.feature extraction.text import CountVectorizer
# Example text
corpus = ["Henry Ford introduced the Model T. Ford Model T was revolutionary."]
# Initialize CountVectorizer
vectorizer = CountVectorizer()
# Fit and Transform the corpus
X = vectorizer.fit transform(corpus)
# Display the Vocabulary
print("Vocabulary:\n", vectorizer.get feature names out(),"\n")
# Display the BoW Representation
print("BoW Representation:", X.toarrav())
Vocabulary:
['ford' 'henry' 'introduced' 'model' 'revolutionary' 'the' 'was']
BoW Representation: [[2 1 1 2 1 1 1]]
```

### Hands-On: BoW in Python Using NLTK

```
import nltk
from nltk.tokenize import word tokenize
nltk.download('punkt') # This will download the required tokenizer models
# Example text
text = "Henry Ford introduced the Model T. Ford Model T was revolutionary."
# Tokenize the text
tokens = word tokenize(text)
# Create vocabulary (unique tokens)
vocabulary = list(set(tokens))
# Create Bag of Words representation
bow vector = [tokens.count(word) for word in vocabularv]
# Display results
print("Vocabulary:\n", vocabulary)
print("BoW Representation:", bow vector, "\n")
Vocabulary:
['T', 'was', 'Ford', 'introduced', 'Henry', 'T.', '.', 'the',
'revolutionary', 'Model']
```

BoW Representation: [1, 1, 2, 1, 1, 1, 1, 1, 2]

### Hands-On: BoW in Python Using SpaCy

```
import spacy
# Load SpaCv model
nlp = spacy.load("en_core_web_sm")
# Example text
text = "Henry Ford introduced the Model T. Ford Model T was revolutionary."
# Process the text with SpaCv to create a Doc object
doc = nlp(text)
# Tokenize the text
tokens = [token.text for token in doc]
# Create vocabulary (unique tokens)
vocabulary = list(set(tokens))
# Create Bag of Words representation
bow vector = [tokens.count(word) for word in vocabularv]
# Display results
print("Vocabulary:", vocabulary, "\n")
print("BoW Representation: ". bow vector)
Vocabulary: ['T', 'was', 'Ford', 'introduced', 'Henry',
'T.', '.', 'the', 'revolutionary', 'Model']
```

BoW Representation: [1, 1, 2, 1, 1, 1, 1, 1, 2]

- Bag of Words (BoW)
  - BoW Representation
  - Hands-On: BoW in Python
    - Sklearn
    - NLTK
    - SpaCy
  - Limitations of BoW
- 2 Introduction to n-grams
  - n-grams Overview
  - Creating n-grams in Python: Sklearn, NLTK, and SpaCy
  - Limitations of n-grams
- 3 Convolutional Neural Networks (CNN)
  - Convolution
  - CNN Layers
    - Convolutional Layers
    - MaxPooling Layer
  - Building CNN in Python



# Limitations of Bag of Words (BoW)

#### Limitations

- Ignores word order and context.
- Creates sparse representations with high-dimensional vectors.
- ▶ Treats words as independent features, losing semantic meaning.
- ► Inefficient for large vocabularies due to high storage and computational requirements.

#### Alternatives

- Use embeddings or context-aware models.
  - \* Example: Word2Vec, GloVe, BERT, Transformers.
  - \* Capture semantic meaning and context.
- ▶ Use Recurrent Neural Networks (RNNs).
  - ★ Capture sequential dependencies and context over time.
  - Suitable for tasks like language modeling, text generation, and sentiment analysis.
- Use Convolutional Neural Networks (CNNs).
  - ★ Capture local patterns and features in text sequences.
  - ★ Effective for text classification, sentiment analysis, and other tasks.

- Bag of Words (BoW)
  - BoW Representation
  - Hands-On: BoW in Python
    - Sklearn
    - NLTK
    - SpaCy
  - Limitations of BoW
- Introduction to n-grams
  - n-grams Overview
  - Creating n-grams in Python: Sklearn, NLTK, and SpaCy
  - Limitations of n-grams
- 3 Convolutional Neural Networks (CNN)
  - Convolution
  - CNN Layers
    - Convolutional Layers
    - MaxPooling Layer
  - Building CNN in Python

### n-grams Overview

#### n-grams

- Consecutive sequences of n items from a text.
- ► Types include unigrams (1-gram), bigrams (2-gram), trigrams (3-gram), and higher n-grams.
- Capture adjacent word relationships.

#### Importance of n-grams in NLP

- Capture local context around words.
- Aid in creating language models.
- Enhance text classification and generation algorithms.

#### Practical Use Cases

- Text prediction in keyboards and search engines.
- Spell checkers and grammar correctors.
- Machine translation systems: Translate sequences of words rather than isolated words.
- Sentiment analysis: Capture sentiment-carrying phrases for better analysis.

- Bag of Words (BoW)
  - BoW Representation
  - Hands-On: BoW in Python
    - Sklearn
    - NLTK
    - SpaCy
  - Limitations of BoW
- Introduction to n-grams
  - n-grams Overview
  - Creating n-grams in Python: Sklearn, NLTK, and SpaCy
  - Limitations of n-grams
- 3 Convolutional Neural Networks (CNN)
  - Convolution
  - CNN Layers
    - Convolutional Layers
    - MaxPooling Layer
  - Building CNN in Python

### Creating 2-grams in Python: Sklearn

```
from sklearn.feature extraction.text import CountVectorizer
# Example text
corpus = ["Henry Ford introduced the Model T. Ford Model T was revolutionary."]
# Initialize CountVectorizer with ngram range for bigrams
vectorizer = CountVectorizer(ngram range=(2, 2))
# Fit and Transform the corpus
X = vectorizer.fit transform(corpus)
# Display the Vocabulary
print("Vocabulary:\n", vectorizer.get feature names out(),"\n")
# Display the BoW Representation
print("BoW Representation:\n", X.toarrav())
Vocabulary:
['ford introduced', 'ford model', 'henry ford', 'introduced the',
'model ford', 'model was', 'the model', 'was revolutionary']
BoW Representation:
 [[1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1]]
```

# Creating n-grams in Python: NLTK

```
import nltk
from nltk.tokenize import word_tokenize
from nltk.util import ngrams
from collections import Counter
nltk.download('punkt')
# Example text
text = "Henry Ford introduced the Model T. Ford Model T was revolutionary."
# Tokenize the text
tokens = word_tokenize(text)
# Generate bigrams
bigrams = list(ngrams(tokens, 2))
# Create vocabulary (unique bigrams)
vocabulary = list(set(bigrams))
# Create Bag of Words representation for bigrams
bow vector = [bigrams.count(bigram) for bigram in vocabularv]
# Display results
print("Vocabulary (Bigrams):\n", vocabulary, "\n")
print("BoW Representation (Bigrams):", bow vector)
```

### Creating n-grams in Python: NLTK (Continued)

```
Vocabulary (Bigrams):
[('was', 'revolutionary'), ('T.', 'Ford'), ('Ford', 'Model'),
('revolutionary', '.'), ('Ford', 'introduced'), ('the', 'Model'),
('Model', 'T.'), ('introduced', 'the'), ('Model', 'T'),
('Henry', 'Ford'), ('T', 'was')]

Bow Representation (Bigrams): [1, 1, 1, 1, 1, 1, 1, 1, 1, 1]
```

# Creating n-grams in Python: SpaCy

```
import spacy
from collections import Counter
from itertools import tee
# Load SpaCy model
nlp = spacy.load("en_core_web_sm")
# Example text
text = "Henry Ford introduced the Model T. Ford Model T was revolutionary."
# Process the text with SpaCy to create a Doc object
doc = nlp(text)
# Tokenize the text and filter out unwanted tokens
tokens = [token.text for token in doc if not token.is punct and not token.is space]
# Function to generate bigrams
def generate_bigrams(tokens):
   iterables = tee(tokens, 2)
    for i, iter in enumerate(iterables):
       for _ in range(i):
           next(iter. None)
    return zip(*iterables)
# Generate bigrams
bigrams = list(generate bigrams(tokens))
# Create vocabulary (unique bigrams)
vocabulary = list(set(bigrams))
# Create Bag of Words (BoW) representation for bigrams
bow_vector = [bigrams.count(bigram) for bigram in vocabulary]
```

# Creating n-grams in Python: SpaCy (Continued)

```
# Display results
print("Vocabulary (Bigrams):\n", vocabulary, "\n")
print("BoW Representation (Bigrams):", bow_vector)

Vocabulary:
['T', 'was', 'Ford', 'introduced', 'Henry', 'T.', '.', 'the',
'revolutionary', 'Model']

BoW Representation: [1, 1, 2, 1, 1, 1, 1, 1, 2]
```

- Bag of Words (BoW)
  - BoW Representation
  - Hands-On: BoW in Python
    - Sklearn
    - NLTK
    - SpaCy
  - Limitations of BoW
- 2 Introduction to n-grams
  - n-grams Overview
  - Creating n-grams in Python: Sklearn, NLTK, and SpaCy
  - Limitations of n-grams
- 3 Convolutional Neural Networks (CNN)
  - Convolution
  - CNN Layers
    - Convolutional Layers
    - MaxPooling Layer
  - Building CNN in Python

### Limitations of n-grams

#### Limitations

- Data sparsity issues as n increases.
- Limited by local context and unable to capture long-range dependencies.
- ▶ High storage and computational requirements for large n-grams.

#### Alternative

- Use sequences of tokens in combination with more sophisticated models.
  - ★ Example: Recurrent Neural Networks (RNNs) and Transformers.

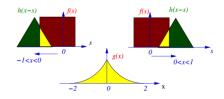
- Bag of Words (BoW)
  - BoW Representation
  - Hands-On: BoW in Python
    - Sklearn
    - NLTK
    - SpaCy
  - Limitations of BoW
- 2 Introduction to n-grams
  - n-grams Overview
  - Creating n-grams in Python: Sklearn, NLTK, and SpaCy
  - Limitations of n-grams
- 3 Convolutional Neural Networks (CNN)
  - Convolution
  - CNN Layers
    - Convolutional Layers
    - MaxPooling Layer
  - Building CNN in Python

#### Convolution

Convolution of two functions f(s) and h(s) is defined as follows:

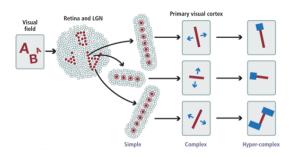
$$(f*h)(x) \doteq \int_{-\infty}^{+\infty} f(s)h(x-s)ds$$

#### Example:



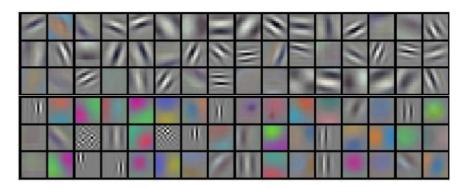
- Bag of Words (BoW)
  - BoW Representation
  - Hands-On: BoW in Python
    - Sklearn
    - NLTK
    - SpaCy
  - Limitations of BoW
- 2 Introduction to n-grams
  - n-grams Overview
  - Creating n-grams in Python: Sklearn, NLTK, and SpaCy
  - Limitations of n-grams
- 3 Convolutional Neural Networks (CNN)
  - Convolution
  - CNN Layers
    - Convolutional Layers
    - MaxPooling Layer
  - Building CNN in Python

#### Visual Cortex



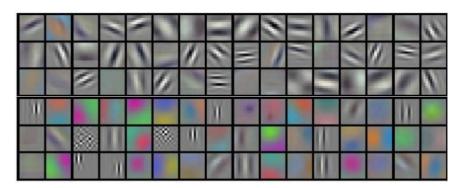
- In the Visual Cortex, simple cells become active when they are subjected to stimuli such as edges.
- Complex cells then combine the information from several simple cells to detect the position and orientation of a structure.
- Hypercomplex cells detect endpoints and crossing lines using this position and orientation information, which is then used in the brain's secondary cortex for information association.

### Convolutional Layers: Filters



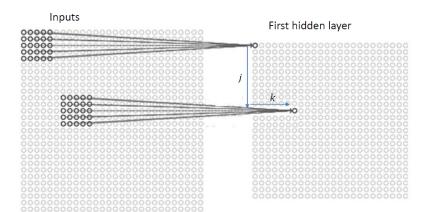
- ullet In early work on CNNs by Krizhevsky et al., they used 96 filters of size 11 imes 11. Each filter detected certain features such as horizontal edges, vertical edges, slanted edges, etc.
- It eventually became apparent that it is not necessary to preselect the filters.
   Instead, the neural network training process can determine the shape of the filters.

### Convolutional Layers: Filters

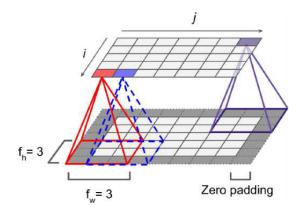


- ullet In early work on CNNs by Krizhevsky et al., they manually designed 96 filters of size  $11 \times 11$ . Each filter detects certain feature: horizontal edge, vertical edge, slanted edge, etc.
- Eventually, it became apparent that it is not necessary to preselect the filters, but that we could let neural network training process determine the shape of those filters.

# Convolutional Layers: Padding

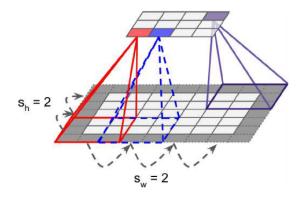


## Convolutional Layers: Padding



Keras: padding can be set to either 'SAME' or 'VALID'

### Convolutional Layers: Strides

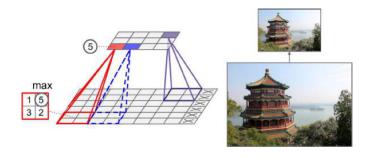


Keras: strides can be set to  $(s_w, s_h)$ 

# MaxPooling Layer

#### Example:

Max pooling layer with  $2 \times 2$  filter, strides= 2, and padding='VALID'



- Bag of Words (BoW)
  - BoW Representation
  - Hands-On: BoW in Python
    - Sklearn
    - NLTK
    - SpaCy
  - Limitations of BoW
- 2 Introduction to n-grams
  - n-grams Overview
  - Creating n-grams in Python: Sklearn, NLTK, and SpaCy
  - Limitations of n-grams
- 3 Convolutional Neural Networks (CNN)
  - Convolution
  - CNN Layers
    - Convolutional Layers
    - MaxPooling Layer
  - Building CNN in Python

### Building CNN in Python

```
import tensorflow as tf
from tensorflow.keras.models import Sequential
from tensorflow.keras.layers import Conv2D. MaxPooling2D. Flatten. Dense. Dropout
# Build the CNN model
model = Sequential([
    Conv2D(32, (3, 3), activation='relu', input shape=(32, 32, 3)),
    MaxPooling2D((2, 2)),
    Conv2D(64, (3, 3), activation='relu'),
    MaxPooling2D((2, 2)),
    Conv2D(128, (3, 3), activation='relu'),
    Flatten().
    Dense(128, activation='relu').
    Dropout (0.5).
    Dense(10, activation='softmax')
1)
# Compile the model
model.compile(optimizer='adam', loss='categorical_crossentropy', metrics=['accuracy'])
model.summary()
```

### Building CNN in Python (Continued)

Model: "sequential"

Layer (type)	Output Shape	Param #
conv2d (Conv2D)	(None, 30, 30, 32)	896
max_pooling2d (MaxPooling2D)	(None, 15, 15, 32)	0
conv2d_1 (Conv2D)	(None, 13, 13, 64)	18,496
max_pooling2d_1 (MaxPooling2D)	(None, 6, 6, 64)	0
conv2d_2 (Conv2D)	(None, 4, 4, 128)	73,856
flatten (Flatten)	(None, 2048)	0
dense (Dense)	(None, 128)	262,272
dropout (Dropout)	(None, 128)	0
dense_1 (Dense)	(None, 10)	1,290

Total params: 356,810 (1.36 MB)

Trainable params: 356,810 (1.36 MB)

Non-trainable params: 0 (0.00 B)