



# Alfred P. Sloan FOUNDATION

**Leisle Lin**

Senior Vice President, Finance & Operations

630 Fifth Avenue, Suite 2200, New York, NY 10111  
212.649.1649 | [lin@sloan.org](mailto:lin@sloan.org) | [www.sloan.org](http://www.sloan.org)

October 18, 2016

James Vasile  
Vice President, Grant Operations  
Fiscal Sponsorship  
Brave New Software  
333 East 102nd Street  
Suite 409  
New York, New York, 10029

Grant Number: G-2016-7252

Dear Mr. Vasile:

It is a pleasure to inform you that the Foundation has authorized a grant of \$124,770.00 to Brave New Software for the following purpose: To improve the discovery, assessment of value, and impact of open source software. Benjamin Nickolls has already been advised of this grant by Joshua Greenberg.

Please ensure that this grant award is executed (on last page) by an appropriate officer of Brave New Software and then returned to us via email.

When reviewing the grant summary page, please pay particular attention to the dates when you are required to submit reports. We expect that all expenses incurred will be consistent with your institution's policy on such expenditures and expense reimbursements. If any of the key personnel identified in the grant application leave the institution(s) to which the grant was made, the Alfred P. Sloan Foundation must be notified within 30 days of that person's departure.

When reviewing the grant conditions, please note the condition regarding acknowledgement of support. As a condition for granting an interview for any Foundation-supported project, grantees are responsible for assuring in advance that Foundation support is explicitly acknowledged in any print or online publication or in any other media. Please see the General Conditions, Article 11, for specific guidelines.

In all correspondence with us concerning this grant, reference should be made to the grant number assigned by the Foundation. As part of our follow-up process, we would like to receive copies of articles and press releases relating to this project or the principal investigator.

We wish Brave New Software every success in the use of the grant funds.

Sincerely,

Leisle Lin  
Senior Vice President  
Finance and Operations

cc: Mr. Benjamin Nickolls  
Enclosure: Grant Form

**ALFRED P. SLOAN FOUNDATION  
GRANT SUMMARY**

Grant Number: G-2016-7252

<b>Approved on:</b>	October 5, 2016	
<b>Program Director:</b>	Joshua Greenberg	
<b>Grantee:</b>	Brave New Software	
<b>Tax Status:</b>	501(c)(3) Public Charity	
<b>Principal Investigator / Primary Contact:</b>	Benjamin Nickolls	
<b>Purpose:</b>	To improve the discovery, assessment of value, and impact of open source software	
<b>Duration:</b>	25 months   11/1/2016 - 11/30/2018	
<b>Amount:</b>	\$124,770.00	
<b>Payment Schedule:</b>	\$124,770.00	Date: 11/15/2016
<b>Check Payable to:</b>	Brave New Software  Mail to: Brave New Software 3715 Tacoma Avenue Los Angeles, CA 90065	
<b>Grant Agreement and Report Due Dates:</b>	Grant Agreement	Date: 10/31/2016
	Financial Interim	Date: 11/1/2017
	Substantive Interim	Date: 11/1/2017
	Substantive Final	Date: 2/1/2019
	Financial Final	Date: 2/1/2019

Please upload reports at [sloan.fluxx.io](http://sloan.fluxx.io)

## GENERAL CONDITIONS

- Purpose:** This grant is provided only for the purpose described on the grant summary and the grantee agrees to use grant funds only for this purpose. The grant may be terminated if the Foundation, in its sole discretion, determines that the grantee failed to comply with the purpose or the terms and conditions of the grant.
- Accounting and Audit:** The grantee will record the receipt of this grant, together with any expenditures that relate to it, in such a manner (e.g. in a separate ledger account) as to enable the Foundation to verify that the funds received have been expended for the purpose for which the grant was made. All expenditures must be in accordance with the established policies of the grantee.
- Records:** Records pertaining to this grant, along with copies of reports submitted to the Foundation, must be retained in the grantee's files for four years after the termination of the grant. The Foundation reserves the right to audit these records during and after the term of the grant or to have an audit made by independent auditors.
- Budget:** If this grant is made on the basis of an approved budget, it is understood that no substantial variations will be made in the budget without the Foundation's prior approval.
- Unused Funds:** Upon termination of the grant, funds in excess of U.S. \$100 not expended for the purposes of this grant shall be returned to the Foundation in U.S. dollars. Unused funds of U.S. \$100 or less may be retained and used for general purposes.

6. **Tax Exemption:** The grantee will notify the Foundation immediately of any change or any expected change in its status as a not-for-profit tax-exempt organization or as an organization not classified as a private foundation as defined in the Internal Revenue Code.

7. **Reporting:** Within 30 days after the end of the grant period, or the completion of the use of the grant funds, whichever comes sooner, the grantee agrees to give the Foundation a final written report (in English) summarizing the work done and appraising the results. The grantee also agrees to give the Foundation a final financial report (in U.S. dollars) containing a statement of payments received, and expenditures made or incurred. If for any reason the period of the grant exceeds one year, the grantee agrees to give the Foundation interim narrative reports of progress to date and interim financial reports. The grant summary notes the dates by which the Foundation should receive these reports.

8. **Lobbying:** The Grantee agrees that no portion of these funds will be used for any attempt to influence legislation, to influence the outcome of any specific election or to carry on directly or indirectly any voter registration drive. Should the results of the project be used for technical assistance, the Grantee agrees that it shall be at the written request of such body or duly constituted committee thereof, and the results will be made available to the entire body.

9. **CounterTerrorism:** The Grantee agrees that it will use the grant in compliance with all applicable antiterrorist financing and asset control laws and regulations.

10. **Key Personnel:** If any of the key personnel identified in the grant application leave the institution(s) to which the grant was made, the Alfred P. Sloan Foundation must be notified within 30 days of that person's departure.

11. **Acknowledgment of Support:** The grantee will acknowledge the Foundation and its contribution to the project supported by the grant (the \*Project\*) in any materials (in any media) produced in connection with the Project (\*Materials\*) and in any public statements made regarding the Project, including but not limited to interviews, speeches, press releases, Web site announcements and publications (\*Media Releases\*). The grantee agrees to establish the Foundation's acknowledgment rule prior to interviews or other promotional efforts. The grantee also agrees to provide the Foundation the form of its acknowledgment in all Materials and Media Releases prior to distribution of any Materials or Media Release. Acknowledgments in all Media Releases and Materials will refer to the Foundation by its full name \*Alfred P. Sloan Foundation.\*

12. **Use of Name:** Neither party shall use the name, trade name, trademark or other designation of the other party or its affiliates in connection with any products, promotion or advertising without the prior written permission of the other party.

13. **Termination:** This grant may be terminated by either party upon thirty (30) days prior written notice to the other party. The Foundation shall reimburse the Grantee for any non-cancellable obligations incurred by the Grantee prior to termination.

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New York, NY 10111  
[www.sloan.org](http://www.sloan.org)

Grant Number: G-2016-7252

The Alfred P. Sloan Foundation has authorized a grant to

**Brave New Software**

Subject to the stated terms and conditions of this document and upon signing by an appropriate officer of the grantee:



Senior Vice President, Alfred P. Sloan Foundation

Purpose: To improve the discovery, assessment of value, and impact of open source software

Grant Amount: \$124,770.00

Accepted and Agreed by Grantee: Brave New Software

**Accepted and Agreed**

Brave New Software

Officer's Full Name:

Title

Signature

Date