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## Exchange Advisory

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TO: Eris Exchange Market Participants  
FROM: Eris Exchange Control Center and Market Regulation Department  
ADVISORY: #13-03  
DATE: April 5, 2013  
SUBJECT: Eris Exchange Rule Self-Certification: Amendment to Rule 902.

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### Background

This Exchange Advisory serves to notify Participants of Eris Exchange, LLC (“Eris Exchange” or “Exchange”) that:

- I. The Exchange is amending Rule 902 to clarify that the minimum capital requirement for Clearing Firms is determined by the Clearing House.
- II. The Exchange has filed a notification with the Commodity Futures Trading Commission to amend Exchange Rule 902. **These amendments will be effective on April 22, 2013.**

The amended Rule 902 is attached in redline format (Attachment A), as well as in final form (Attachment B).

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## ATTACHMENT A

### **RULE 902. Criteria for Becoming a Clearing Firm (excerpt)**

(b) Applicants for Clearing Firms of the Exchange must satisfy the following criteria:

- (1) be a Clearing Firm of the Clearing House in good standing
- (2) meet the minimum capital requirement ~~of \$50 million or such other amount~~, as determined by the Clearing House and
- (3) satisfy the Clearing Firm provisions set forth in Rule 903.

## ATTACHMENT B

### **RULE 902. Criteria for Becoming a Clearing Firm (excerpt)**

- (b) Applicants for Clearing Firms of the Exchange must satisfy the following criteria:
- (1) be a Clearing Firm of the Clearing House in good standing;
  - (2) meet the minimum capital requirement, as determined by the Clearing House; and,
  - (3) satisfy the Clearing Firm provisions set forth in Rule 903.

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