



CFTC Submissions

↓ (#Additional Information) *Find Additional Information* (#Additional Information)


Reference No.	Submission	Date
#2018-04	Self-Certification of Amendments to Exchange Rulebook (/EE/Submission-11.15.18.pdf)	November 15, 2018
#2018-03	Self-Certification of Amendments to Exchange Rulebook (/EE/Rulebook_Self_Cert_22_June_2018_FILED.pdf)	June 22, 2018
#2018-02	Self Certification of Buyside Volume Partnership Program (/EE/Eris_Exchange_LLC_Buyside_Volume_Partnership_Program_%28Public%29.pdf)	June 18, 2018
#2018-01	Self-Certification of Extension to Volume Discount Program (/EE/Eris_Exchange_LLC_Volume_Discount_Program_01112018.pdf)	January 11, 2018
#2017-06	Self Certification of Proprietary Trading Group Volume Incentive Program (/EE/PTG_Volume_Incentive_Program_Public_5Dec2016.pdf)	December 7, 2017
#2017-05	Self-Certification of Extension to Broker Rebate Program (/EE/Broker_Rebate_Extension_2017_Oct_9.pdf)	October 9, 2017
#2017-04	Self-Certification of Extension to Liquidity Provider Program (/EE/Eris_Exchange_LLC_Liquidity_Provider_Program.pdf)	August 15, 2017
#2017-03	Algorithmic Execution Rebate Program (/EE/Algo_IP_26_Apr_2017.pdf)	April 26, 2017
#2017-02	Weekly Notification of Rule Amendments (/EE/Eris_Exchange_Weekly_Notification_of_Rule_Amendment.pdf)	January 18, 2017
#2017-01	Self-Certification of Extension to Volume Discount Program (/EE/Eris_Exchange_LLC_Volume_Discount_Program.pdf)	January 13, 2017
#2016-11	Self-Certification of Extension to Broker Rebate Incentive Program (/EE/Broker_Rebate_Incentive_Program_Extension.pdf)	October 10, 2016
#2016-10	Self-Certification of Ownership and Control Reporting (OCR) Requirements (/EE/2016-10_Self_Certification_OCR.pdf)	September 12, 2016
#2016-09	Self-Certification of Onboarding Incentive Program (/EE/Onboarding_Incentive_Program.pdf)	August 23, 2016
#2016-08	Self-Certification of New TPH Incentive Program (/EE/New_TPH_Fee_Incentive_Program.pdf)	July 27, 2016
#2016-07	Self-Certification of Amendment to Eris Exchange Fees Schedule (/EE/Eris_Exchange_LLC_-_Amendment_to_Eris_Exchange_Fees_Schedule_2016-07.pdf)	June 10, 2016
#2016-06	Self-Certification of Adjustment to the Volume Discount Program (/EE/Adjustment_to_the_Volume_Discount_Program_2016-06.pdf)	June 10, 2016
#2016-05	Self-Certification of Adjustment to Time for Calculating and Applying PAI (/EE/Self_Certification_Adjustment_to_PAI.pdf)	March 14, 2016
#2016-04	Weekly Notification of Rule Amendments (/EE/Weekly_Notification_for_Eris_Primitives.pdf)	January 15,

		2016
#2016-03	Certification of LBT and ULBT Eris Standard Invoice Swap Future (/EE/LB_ULB_ISF_Spec.pdf)	January 7, 2016
#2016-03	Certification of 10T and U10T Eris Standard Invoice Swap Future (/EE/10T_U10T_ISF_Spec.pdf)	January 7, 2016
#2016-03	Certification of 5T Eris Standard Invoice Swap Future (/EE/5T_ISF_Spec.pdf)	January 7, 2016
#2016-03	Certification of 2T Eris Standard Invoice Swap Future (/EE/2T_ISF_Spec.pdf)	January 7, 2016
#2016-02	Certification of 30Y Eris Standard Ultra Forward Swap Future (/EE/30Y_Ultra.pdf)	January 7, 2016
#2016-02	Certification of 20Y Eris Standard Ultra Forward Swap Future (/EE/20Y_Ultra_Self_Cert.pdf)	January 7, 2016
#2016-02	Certification of 15Y Eris Standard Ultra Forward Swap Future (/EE/15Y_Ultra_Self_Cert.pdf)	January 7, 2016
#2016-02	Certification of 12Y Eris Standard Ultra Forward Swap Future (/EE/12Y_Ultra_Self_Cert.pdf)	January 7, 2016
#2016-02	Certification of 10Y Eris Standard Ultra Forward Swap Future (/EE/10Y_Ultra_Self_Cert.pdf)	January 7, 2016
#2016-02	Certification of 7Y Eris Standard Ultra Forward Swap Future (/EE/7Y_Ultra_Self_Cert.pdf)	January 7, 2016
#2016-02	Certification of 5Y Eris Standard Ultra Forward Swap Future (/EE/5Y_Ultra_Self_Cert.pdf)	January 7, 2016
#2016-02	Certification of 4Y Eris Standard Ultra Forward Swap Future (/EE/4Y_Ultra_Self_Cert.pdf)	January 7, 2016
#2016-02	Certification of 3Y Eris Standard Ultra Forward Swap Future (/EE/3Y_Ultra_Self_Cert.pdf)	January 7, 2016
#2016-02	Certification of 2Y Eris Standard Ultra Forward Swap Future (/EE/2Y_Ultra_Self_Cert.pdf)	January 7, 2016
#2016-01	Certification of 20Y Eris Primary Standard Swap Future (/EE/20Y_Primary_Self_Cert.pdf)	January 7, 2016
#2016-01	Certification of 15Y Eris Primary Standard Swap Future (/EE/15Y_Primary_Self_Cert.pdf)	January 7, 2016
#2016-01	Certification of 12Y Eris Primary Standard Swap Future (/EE/12Y_Primary_Self_Cert.pdf)	January 7, 2016
#2016-01	Certification of 4Y Eris Primary Standard Swap Future (/EE/4Y_Primary_Self_Cert.pdf)	January 7, 2016
#2016-01	Certification of 3Y Eris Primary Standard Swap Future (/EE/3Y_Primary_Self_Cert.pdf)	January 7, 2016
#2015-10	Self-Certification of Extension to Volume Discount Program (/EE/Eris_Exchange_LLC_Volume_Discount_Program%202015_10_24_Dec_2015_PUBLIC_DOCUMENT_.pdf)	December 24, 2015
#2015-09	Self-Certification of Regulatory Services Agreement (/EE/NFA_RSA_2015-09_PUBLIC_DOCUMENT.pdf)	October 20, 2015
#2015-08r	Self-Certification of Broker Rebate Incentive Program (/EE/Broker_Rebate_Incentive_Program_2015-08r.pdf)	October 13, 2015
#2015-07	Self-Certification of Block Market Maker Fee (/EE/Block_Market_Maker_Fee_2015_07_%28PUBLIC_DOCUMENT%29.pdf)	October 13,

		2015
#2015-06	Self-Certification of Form 102A and 102B (Submissions for OCR) (Withdrawn) (/EE/2015-06_Self_Certification_of_Form_102A_and_102B_Submissions_for_OCR_wit....pdf)	September 17, 2015
#2015-05	Self-Certification of Adjustments to Minimum Block Sizes (RTH) (/EE/2015_05_Self_Certification_of_Adjustments_to_Minimum_Block_Size_RTH.pdf)	September 17, 2015
#2015-04	Self-Certification of Adjustments to Minimum Block Sizes (OTH) (http://erisfutures.com/EE/2015_04_Self_Certification_Adjustments_to_Minimum_Block_Sizes.pdf)	May 29, 2015
#2015-03	Self-Certification of Adjusted Time for Calculating and Applying PAI (/EE/Submission_2015_03_Self_Certification_Adjusted_Time_for_Calculating_and_Applying_PAI.pdf)	May 22, 2015
#2015-02	Self-Certification of Extension to Liquidity Provider Program (/EE/Submission_2015_02_Self_Certification_Liquidity_Provider_Program.pdf)	February 13, 2015
#2014-06	Self-Certification of Extended Trading Hours (/EE/Submission_2014_06_Extension_of_Regular_Trading_Hours.pdf)	Decemeber 8, 2014
#2014-05	Self-Certification of Volume Discount Program (/EE/Submission_2014_05_Self_Certification_of_Volume_Discount_Program.pdf)	October 22, 2014
#2014-04	Weekly Notification of Rule Amendments (/EE/Submission_2014_04_Weekly_Notification_of_Rule_Amendment.pdf)	June 6, 2014
#2014-01	Self-Certification of Extended Trading Hours (/EE/Submission_2014-01_Rule_Self_Certification_Extended_Trading_Hours.pdf)	May 2, 2014
#2013-13	Self-Certification of the 7YR Standard Swap Future (/EE/Submission_2013_13_Self_Certification_of_7Y_Standard_Swap_Future.pdf)	September 13, 2013
#2013-12	Weekly Notification of Rule Amendments (/EE/Submission_2013_12_Weekly_Notification_of_Rule_Amendment.pdf)	June 10, 2013
#2013-11	Self-Certification of Rule Amendments Rule 533 and Rule 1101 (/EE/Submission_2013_11_Rule_Self_Certification_100k_Contract_Filing.pdf)	May 16, 2013
#2013-10	Self-Certification of Rule Amendments Rule 404 and Rule 408 (/EE/Submission_2013_10_Rule_Self_Certification_FCM_Reporting_Requirements.pdf)	May 3, 2013
#2013-08	Weekly Notification of Rule Amendments (/EE/Submission_2013_08_Weekly_Notification_of_Rule_Amendment.pdf)	April 22, 2013
#2013-07	Rule Amendment Self-Certification Rule 902 (Criteria for Becoming a Clearing Firm) (/EE/Submission_2013_07_Rule_Self_Certification_Clearing_Firm_Capital.pdf)	April 5, 2013
#2013-06	Weekly Notification of Rule Amendments (/EE/Submission_2013_06_Weekly_Rule_Amendment_Notification.pdf)	March 25, 2013
#2013-05	Rule Amendment Self-Certification Rule 532 (Large Trader Reporting) (/EE/Submission_2013_06_Weekly_Rule_Amendment_Notification_0.pdf)	March 25, 2013
#2013-02	Eris Exchange Liquidity Provider Program (2013-01) (Eris Exchange, LLC Submission #2013-02) (/EE/Submission_2013_02_Rule_Self_Certification_Liquidity_Provider_Program.pdf)	January 11, 2013
#2013-01	Eris Exchange Rule Amendment Self-Certification (Rule 101 (Definitions); Rule 305 (Dues, Assessments and Fees); and, Rule 601 (Block Trades) (/EE/Submission_2013_01_Rule_Self_Certification_Privileges_of_Membership_Definition.pdf)	January 8, 2013
#2012-22	Weekly Notification of Rule Amendments (/EE/Submission_2012_22_Weekly_Rule_Amendment_Notification.pdf)	December 17, 2012
#2012-21	Weekly Notification of Rule Amendments (/EE/Submission_2012_21_Weekly_Rule_Amendment_Notification.pdf)	December 10, 2012
#2012-20	Certification of Eris Exchange Standard Interest Rate Swap Futures Product (/EE/Submission_2012_20_Product_Certification_Standards.pdf)	December 5, 2012
#2012-18	Weekly Notification of Rule Amendments (/EE/Submission_2012_18_Weekly_Rule_Amendment_Notification.pdf)	November 27, 2012

#2012-16	Eris Exchange Self-Certification (/EE/Submission_2012_16_Rule_Certification_Emergency_Rules.pdf)	November 2, 2012
#2012-14	Eris Exchange Rule Amendment Certification (/EE/Submission_2012_14_Rule_Certification_New_DCM_CP.pdf)	October 1, 2012
#2012-11	Eris Exchange Rule Self-Certification of Eris Exchange Rule 614 (Consent to Exchange Jurisdiction) (/EE/Submission_2012_11_Rule_Self_Certification_Jurisdiction.pdf)	August 3, 2012
#2012-09	Eris Exchange Rule Self-Certification of amendments to Rule 601 (Block Trades) (/EE/Submission_2012_09_Rule_Self_Certification_Block_Trade_Rules_CFTC.pdf)	June 13, 2012
#2012-08	Rule 40.6(d) Weekly Notification of Rule Amendments (/EE/Submission_2012_08_Rule_40.6d_Weekly_Notification_of_Rule_Amendments.pdf)	June 7, 2012
#2012-07	Rule 40.6(d) Weekly Notification of Rule Amendments (/EE/Submission_2012_07_Rule_40.6d_Weekly_Notification_of_Rule_Amendments.pdf)	May 29, 2012
#2012-04	Eris Exchange Rule Self-Certification of adjustments related to and amendments to Rule 1101 (Eris Interest Rate Swap Futures Contract Specifications) and amendments to Rule 524(d) (Recordkeeping Requirements for Entering Orders into the Eris Trading System) (/EE/Submission_2012_04_Rule_Self_Certification_Day_Count_Convention.pdf)	April 17, 2012
#2012-03	CME Clearing Portfolio Margining for Eris Exchange and CME Interest Rate Futures Advisory and Related Eris Exchange Advisory (/EE/Submission_2012_03_Rule_Self_Certification_Margin_Offsets.pdf)	April 12, 2012
#2012-02	Eris Exchange Trading Hours for Good Friday Holiday (/EE/Submission_2012_02_Rule_Self_Certification_Trading_Hours.pdf)	March 5, 2012
#2012-01	Modifications to the Liquidity Provider Program (/EE/Submission_2012_01_Rule_Self_Certification_Modifications_to_the_Liquidity_Provider_Program.pdf)	February 6, 2012

Additional Information

 (/EE/ERIS_EXCHANGE_LLC_-_RULEBOOK.pdf) Eris Exchange Rulebook (/EE/ERIS_EXCHANGE_LLC_-_RULEBOOK.pdf)

 (/market-notices) Market Notices (/market-notices)

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[BlueCrest and Virtu Join Eris as Equity Partners](#)
(/EE/Bluecrest_and_Virtu_Join_Eris_As_Equity_Partners.pdf)

[May Newsletter](#) (https://conta.cc/2LKvM12)

[CME Group to List USD Eris Interest Rate Swap Futures](#)
(/EE/CME-Group-to-List-USD-Eris-IR-Swap-Futures.pdf)

[Vela Adds Eris Exchange to DMA Platform](#)
(/EE/Vela-adds-Eris-Exchange-to-DMA-platform.pdf)



[March Newsletter](#) (https://conta.cc/2JyE76K)

[January Newsletter](#) (http://conta.cc/2FHp6wZ)


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