# Lecture 13: Output Feedback and Observers Part II: Nonlinear Observers

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#### The Extended Observer

Consider the nonlinear system:

$$\dot{x} = f(x, u)$$

$$y = h(x)$$

An extended observer imitates the structure of the Luenberger observer used for linear systems:

$$\dot{\hat{x}} = f(\hat{x}, u) + \kappa(\hat{x}, y - h(\hat{x}))$$

where  $\kappa$  is a function to be determined. The dynamics of the estimation error are

$$\dot{\tilde{x}} = \dot{x} - \dot{\hat{x}} = f(x, u) - f(x - e, u) + \kappa(x - e, h(x) - h(x - e))$$

#### The Extended Observer...

Note that  $\kappa(x,0)$  needs to be zero for any x for e=0 to be an equilibrium point of the error dynamics. The extended observer will be successful in tracking the state if e=0 can be made an asymptotically stable equilibrium point.

A condition called *exponential detectability* guarantees that we can find a function  $\kappa$  with the required characteristics. For details, see Kwatny and Blankenship, *Nonlinear Control and Analytical Mechanics*, Birkhäuser 2000.

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## Example

Consider the system

$$\dot{x}_1 = -x_2 
\dot{x}_2 = -x_1^3 + x_1 + u 
y_1 = x_1^3 + x_2$$

Develop an extended observer using a linear function for  $\kappa$ . Determine the allowable ranges for the coefficients of  $\kappa$ . Simulate. Is convergence global?

#### A High-Gain Observer for Manipulators

This observer structure was first proposed by Nicosia and Tomei in 1990. Recall the state-space version of the robot dynamic equation:

$$\dot{z}_1 = z_2 
\dot{z}_2 = -M^{-1}Cz_2 - M^{-1}g + M^{-1}\tau 
y = z_1$$

So it has been assumed that only  $z_1$  (joint position) is available as a measurement. The observer is given by

$$\dot{\hat{z}}_1 = \hat{z}_2 + \frac{1}{\varepsilon} H_p(y - \hat{z}_1)$$

$$\dot{\hat{z}}_2 = \frac{1}{\varepsilon^2} H_v(y - \hat{z}_1)$$

where  $\varepsilon$  is a small number (hence the name "high-gain observer".  $H_p$  and  $H_v$  need to satisfy a restriction.

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#### High-Gain Observer...

Matrices  $H_p$  and  $H_v$  need to be positive-definite and such that

$$H = \left[ \begin{array}{cc} -H_p & I \\ -H_v & 0 \end{array} \right]$$

is Hurwitz. As an example, the observer is tested on the two-link RP manipulator used earlier.

#### Sliding Mode Observer

The use of switching terms for control and/or estimation in robotics started with Slotine and Li in the late 1980's. The robust inverse dynamics and passivity-based robust approaches studied earlier include a switching term in the control input.

Canudas de Wit and Fixot proposed a kind of sliding mode observer in the early 1990's intended to produce a joint velocity estimate to be used in conjunction with the passivity-based adaptive control studied in this course. The observer is given by

$$\begin{split} \dot{\hat{z}}_1 &=& \hat{z}_2 - \Gamma_1 \tilde{z}_1 - \Lambda_1 \; \mathrm{sign} \; (\tilde{z}_1) \\ \dot{\hat{z}}_2 &=& -\Lambda_2 \; \mathrm{sign} \; (\tilde{z}_1) - W(z_1, v, \hat{\Theta}) (r' - \Lambda_1 \; \mathrm{sign} \; (\tilde{z}_1)) + \nu \end{split}$$

where  $W(z_1, v, \hat{\Theta}) = -\hat{M}(z_1) + \hat{C}(z_1, v) - K$ ,  $\Lambda_1$  and  $\Lambda_2$  are positive-definite matrices and r' is similar to the definition of r used for the passivity-adaptive controller, but replacing  $\dot{q}$  by its estimate:

$$r'=\hat{z}_2-v$$
 
$$v=\dot{q}_d-\Lambda ilde{q}$$
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#### Sliding Mode Observer...

The switching term  $\nu$  is defined as

$$\nu = \begin{cases} -\frac{\psi(\hat{z}_2,\tau)}{\lambda_1} \Lambda_1 \text{ sign } (\tilde{z}_1) & \text{, if } ||\Lambda_1 \text{ sign } (\tilde{z}_1)|| \neq 0 \\ 0 & \text{, otherwise} \end{cases}$$

The function  $\psi(.)$  provides a bound for the joint velocities and it is defined as

$$\psi(\hat{z}_2, \tau) = \sigma_0 ||\hat{z}_2||^2 + \sigma_0 \lambda_1 + \lambda_1^2 + \sigma_2 ||\tau||$$

The values of  $\sigma_0$ ,  $\sigma_1$  and  $\sigma_2$  are obtained from:

$$-M^{-1}(z_1)C(z_1, z_2)z_2 - M^{-1}(z_1)g(z_1) + M^{-1}(z_1)\tau \le \psi(\hat{z}_2, \tau)$$

Recall that the inertia matrix and its inverse are norm bounded and so is  $g(z_1)$ :

$$||M(q)|| \leq \bar{M}$$

$$||M^{-1}(q)|| \leq \underline{M}$$

$$||g(q)|| \leq \bar{G}$$

### Sliding Mode Observer...

The second term of the robot dynamic equation is also bounded as

$$||C(z_1, z_2)z_2|| \le \bar{C}||z_2||^2$$

These bounds can be calculated to find the values of  $\sigma_0$ ,  $\sigma_1$  and  $\sigma_2$ . An analytical calculation of these bounds may be difficult. We will use a numerical search for these values.

Also note that  $\lambda_1$  arises from assuming  $\Lambda_1=\lambda_1 I$  for simplicity. Note that this observer cannot be used separately from a special form of control law due to its dependence on  $\tau$ .

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#### Overall Observer-Based Adaptive Scheme

The observer defined above is proved to produce local stability when used in conjunction with specific control and adaptation laws.

#### **Adaptation Law:**

$$\dot{\hat{\Theta}} = -\Gamma^{-1}Y^T(z_1,\hat{z}_2 - \Lambda_1 \ \text{sign} \ (\tilde{z}_1), v, a' + \Lambda\Lambda_1 \ \text{sign} \ (\tilde{z}_1))(r' - \Lambda_1 \ \text{sign} \ (\tilde{z}_1))$$

Here a' is a special version of the a used with the passivity-adaptive controller studied before:

$$a' = \ddot{q}_d - \Lambda(\hat{z}_2 - \ddot{q}_d)$$

Note that the regressor  $Y(q,\dot{q},\ddot{q})$  must be manipulated to have the required form.

# Overall Observer-Based Adaptive Scheme...

#### **Control Law:**

$$\tau = \tau_0 - W(z_1, v, \hat{\Theta}) \Lambda_1 \; \mathrm{sign} \; (\tilde{z}_1)$$

 $au_0$  is the standard adaotive-passivity control law with  $\hat{z}_2$  in place of  $z_2$ :

$$\tau_0 = \hat{M}(z_1)a' + \hat{C}(z_1, \hat{z}_2)v + \hat{g}(z_1) - Kr'$$

As an example, we apply the overall scheme to the PR manipulator.

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