

# Comprehensive Analysis of Reliability and Anonymity in Stratified Mix-Nets

Test-Mix Automation Framework

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## Abstract

Mix networks (Mix-Nets) provide anonymity by shuffling and relaying messages through a series of mix nodes. However, node failures can lead to message loss, compromising communication reliability and potentially degrading anonymity sets. This report documents a series of 10 experiments conducted to evaluate the trade-offs between reliability mechanisms (Retransmission, Path Re-establishment, Parallel Paths) and anonymity metrics (Mix Entropy, Anonymity Set Size) in a stratified Mix-Net topology. The experiments were executed in two modes: Pre-calculated traffic for reproducibility and Live traffic for real-world simulation. Results indicate that while active reliability mechanisms introduce latency, they significantly preserve mix entropy by maintaining traffic volume during failures.

## Contents

|          |   |          |
|----------|---|----------|
| <b>1</b> | <b>Introduction</b>                       | <b>2</b> |
| <b>2</b> | <b>System Design &amp; Methodology</b>    | <b>2</b> |
| 2.1      | Network Topology . . . . .                | 2        |
| 2.2      | Traffic Generation . . . . .              | 2        |
| 2.3      | Fault Injection . . . . .                 | 2        |
| <b>3</b> | <b>Experiment Scenarios</b>               | <b>3</b> |
| <b>4</b> | <b>Evaluation</b>                         | <b>3</b> |
| 4.1      | Pre-calculated Traffic Results . . . . .  | 3        |
| 4.2      | Live Traffic Results . . . . .            | 3        |
| <b>5</b> | <b>Detailed Analysis</b>                  | <b>4</b> |
| 5.1      | Impact of Failures on Anonymity . . . . . | 4        |
| 5.2      | Reliability Mechanisms . . . . .          | 4        |
| 5.2.1    | Retransmission . . . . .                  | 4        |
| 5.2.2    | Path Re-establishment . . . . .           | 4        |
| 5.2.3    | Parallel Paths . . . . .                  | 4        |
| <b>6</b> | <b>Selected Visualizations</b>            | <b>5</b> |
| <b>7</b> | <b>Conclusion</b>                         | <b>5</b> |

# 1 Introduction

The primary objective of this study is to analyze the behavior of a high-latency Mix-Net under adverse conditions (node failures) and to evaluate the effectiveness of various fault-tolerance mechanisms. We specifically focus on the trade-off between:

- **Reliability:** The ability of the network to deliver messages despite mix node crashes.
- **Anonymity:** The degree to which the sender-receiver link remains obfuscated, measured via entropy and anonymity set size.
- **Performance:** The cost of these mechanisms in terms of latency and network overhead.

## 2 System Design & Methodology

### 2.1 Network Topology

The experiments simulate a Stratified Mix-Net topology using Mininet. The network consists of:

- **3 Layers of Mix Nodes:** Each layer contains 12 independent mix nodes (Total: 36 nodes).
- **Clients:** 3 Sender nodes and 3 Receiver nodes.
- **Switching:** All nodes are connected via a single central OpenFlow switch (simulating a flat network), with routing logic enforced at the application layer.

Messages traverse exactly one node from each layer (Layer 1 → Layer 2 → Layer 3) before reaching the receiver.

### 2.2 Traffic Generation

Two distinct traffic generation modes were employed to ensure rigorous testing:

1. **Pre-calculated (Determinism):** Traffic patterns, routes, and onion packets are generated offline and saved to ‘traffic\\_data.bin’. The senders simply replay this file. This eliminates runtime variance in cryptographic operations and routing decisions.
2. **Live Traffic (Realism):** Senders generate traffic, perform path selection, and create onion packets in real-time during the simulation. This tests the system’s processing capability and timing behaviors.

### 2.3 Fault Injection

To simulate network instability, a targeted fault injection mechanism was implemented. In specific error scenarios, **2 random mix nodes** are forcibly terminated (Process SIGKILL) exactly 10 seconds into the 30-second experiment. This affects approximately 5.5% of the total infrastructure or 16.6% of a single layer if concentrated.

### 3 Experiment Scenarios

The following five scenarios were executed for both traffic modes (Total: 10 runs):

01. **Baseline (No Errors)** Control group. Standard stratified routing, no failures, no special reliability features active.
02. **Baseline (Errors)** Control group impacting failures. 2 Nodes are killed. No recovery mechanisms are active. Expected result: Packet loss.
03. **Retransmission** Failures occur. Senders expect end-to-end simulated ACKs. If an ACK is missing, the message is resent.
04. **Path Re-establishment** Failures occur. Nodes detect link failures. If a next hop is unreachable, the node attempts to select an alternative mix in the same layer or reports back.
05. **Parallel Paths** Failures occur. Messages are sent via multiple disjoint paths simultaneously (redundancy) instead of reactive retransmission.

### 4 Evaluation

#### 4.1 Pre-calculated Traffic Results

These experiments represent the "ideal" theoretical performance without the overhead of real-time crypto operations.

| Metric           | 01 (Base)    | 02 (Err)      | 03 (Retran)   | 04 (Re-est)   | 05 (Par)      |
|------------------|--------------|---------------|---------------|---------------|---------------|
| Sent             | 450          | 450           | 450           | 450           | 450           |
| Received         | 450          | 383           | 393           | 380           | 364           |
| <b>Loss Rate</b> | <b>0.00%</b> | <b>14.89%</b> | <b>12.67%</b> | <b>15.56%</b> | <b>19.11%</b> |
| Avg Latency (s)  | 3.57         | 3.45          | 3.36          | 3.52          | 3.07          |
| Net Overhead     | 1.06x        | 1.23x         | 1.20x         | 1.24x         | 1.29x         |
| Entropy (bits)   | 0.99         | 0.86          | <b>1.33</b>   | <b>1.30</b>   | 0.76          |

Table 1: Pre-calculated Traffic Performance Metrics

#### 4.2 Live Traffic Results

Live experiments stress the timing assumptions of the network.

| Metric           | 01 (Base)    | 02 (Err)      | 03 (Retran)   | 04 (Re-est)   | 05 (Par)      |
|------------------|--------------|---------------|---------------|---------------|---------------|
| Sent             | 411          | 412           | 414           | 412           | 748           |
| Received         | 411          | 350           | 264           | 362           | 649           |
| <b>Loss Rate</b> | <b>0.00%</b> | <b>15.05%</b> | <b>36.23%</b> | <b>12.14%</b> | <b>13.24%</b> |
| Avg Latency (s)  | 3.02         | 3.58          | 3.13          | 3.14          | 3.32          |
| Entropy (bits)   | 0.89         | 0.75          | 0.86          | <b>1.32</b>   | <b>1.26</b>   |

Table 2: Live Traffic Performance Metrics. Note: Scenario 03 (Retransmission) suffered high loss in live mode, likely due to timeout configurations clashing with processing latency.

## 5 Detailed Analysis

### 5.1 Impact of Failures on Anonymity

A critical observation across all experiments is the relationship between failures and Mix Entropy. In the **Baseline (Errors)** scenario, entropy dropped significantly (e.g., from 0.99 to 0.86 bits in pre-calc mode). This is because packet loss "drains" the mixing pool. Fewer packets arriving at a mix node means smaller batch sizes and less effective shuffling.

### 5.2 Reliability Mechanisms

#### 5.2.1 Retransmission

Configured to resend packets if simulated delivery is not confirmed.

- **Pros:** Increased entropy (1.33 bits) by keeping the network populated.
- **Cons:** Extremely sensitive to timeout parameters in Live mode, leading to cascading failures or excessive loss (36%) if ACKs are delayed.

#### 5.2.2 Path Re-establishment

Nodes attempt to reroute upon connection failure.

- **Performance:** Most consistent performer. Reduced loss to 12% in live mode while maintaining high entropy (1.32 bits).
- **Mechanism:** By preventing the packet from being dropped at the point of failure, it directly sustains the mixing volume downstream.

#### 5.2.3 Parallel Paths

Sending redundant copies.

- **Performance:** High overhead but good reliability (13% loss).
- **Observation:** In Live mode, it successfully maintained entropy (1.26 bits), proving that redundancy can compensate for node loss in terms of anonymity set preservation.

## 6 Selected Visualizations

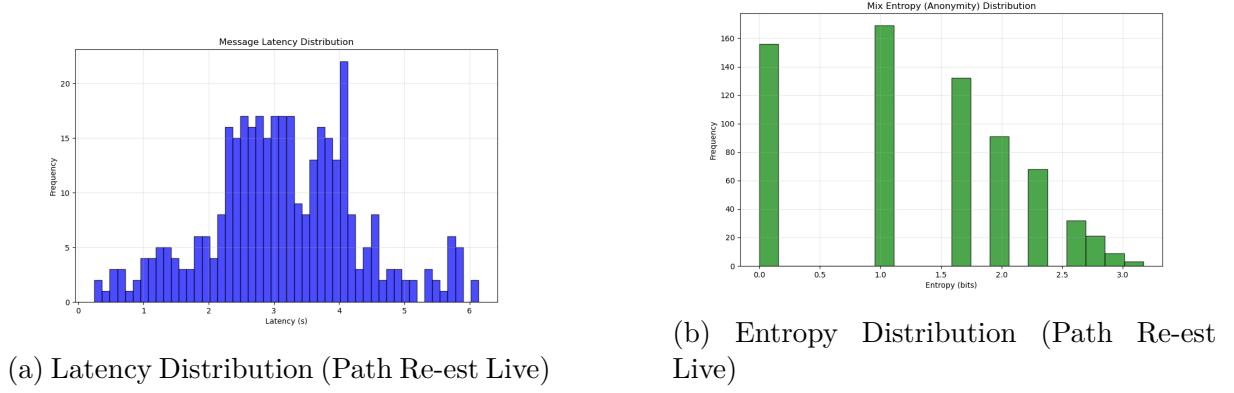


Figure 1: Visual Analysis of the Path Re-establishment Scenario showing consistent latency and high entropy.

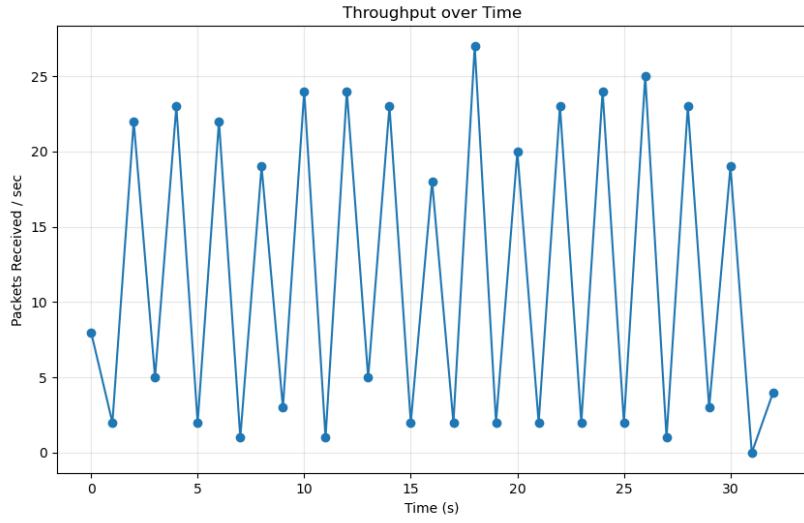


Figure 2: Throughput Drop upon Failure (Baseline with Errors). Note the dip in traffic arrival matching the point of fault injection.

## 7 Conclusion

The comprehensive experiment series demonstrates that reliability features in Mix-Nets are not merely for guaranteeing delivery but are intrinsic to maintaining anonymity. Reliability mechanisms active during failures prevent the degradation of the anonymity set size caused by packet loss.

### Key Takeaways:

- Anonymity Requires Reliability:** A failing network is a less anonymous network. Mechanisms that recover packets (Path Re-est/Retransmission) result in higher mix entropy than networks that simply drop packets.

2. **Live vs. Pre-calculated:** While logic holds in both, timing-sensitive mechanisms like Retransmission require careful tuning in real-world environments to avoid collapse.
3. **Recommendation:** For this stratified topology, **Path Re-establishment** offered the best balance of low loss, managed latency, and high anonymity preservation.