

Eratosthenes' sieve

Rachid Hamadi, CSE, UNSW

COMP9021 Principles of Programming, Term 3, 2019

```
[1]: from math import sqrt
      from itertools import chain
      from timeit import timeit
```

Let n be a natural number. If a natural number m at most equal to n is not prime then m is of the form $p_1 \times \dots \times p_k$ for some $k \geq 2$ and prime numbers p_1, \dots, p_k with $p_1 \leq \dots \leq p_k$; hence $n \geq m \geq p_1^2$, hence $p_1 \leq \sqrt{n}$. This implies that all natural numbers at most equal to n that are not prime have a proper factor at most equal to $\lfloor \sqrt{n} \rfloor$. So to identify all prime numbers up to and possibly including n , it suffices to cross out, from the collection of all numbers between 2 and n ,

all proper multiples at most equal to n of 2, 3, ... up to and including $\lfloor \sqrt{n} \rfloor$. Moreover, given a number p at most equal to $\lfloor \sqrt{n} \rfloor$, if all proper multiples at most equal to n of all numbers greater than 1 and smaller than p have been crossed out, then either p has been crossed out (together with all its multiples at most equal to n , case in which p is not prime), or only its proper multiples at least equal to p^2 and at most equal to n remain to be crossed out (case in which p is prime).

There is a risk that the computation of $\lfloor \sqrt{n} \rfloor$ yields a smaller number. The risk seems particularly high in case n is the perfect square of a prime: if the computation of $\lfloor \sqrt{n} \rfloor$ yielded a smaller number, then n would not be crossed out and be incorrectly part of the collection of integers eventually declared to be prime.

To appreciate the imprecision of floating point computation, let us witness computations of $(\sqrt{n})^2$ that are too small, correct (as a floating point number), or too large:

```
[2]: too_small = []
      just_right = []
      too_large = []

      n = 1
      while len(too_small) < 10 or len(just_right) < 10 or len(too_large) < 10:
          sqrt_n = sqrt(n)
          if sqrt_n ** 2 < n and len(too_small) < 10:
              too_small.append((n, sqrt_n, sqrt_n ** 2))
          elif sqrt_n ** 2 == n and len(just_right) < 10:
              just_right.append((n, sqrt_n, sqrt_n ** 2))
          elif sqrt_n ** 2 > n and len(too_large) < 10:
              too_large.append((n, sqrt_n, sqrt_n ** 2))
          n += 1

      print('Too small!')
      for triple in too_small:
```

```

    print(triple)
print('\nJust right!')
for triple in just_right:
    print(triple)
print('\nToo large!')
for triple in too_large:
    print(triple)

```

Too small!

```

(3, 1.7320508075688772, 2.9999999999999996)
(6, 2.449489742783178, 5.999999999999999)
(12, 3.4641016151377544, 11.999999999999998)
(13, 3.605551275463989, 12.999999999999998)
(18, 4.242640687119285, 17.999999999999996)
(23, 4.795831523312719, 22.999999999999996)
(24, 4.898979485566356, 23.999999999999996)
(26, 5.0990195135927845, 25.999999999999996)
(29, 5.385164807134504, 28.999999999999996)
(31, 5.5677643628300215, 30.999999999999996)

```

Just right!

```

(1, 1.0, 1.0)
(4, 2.0, 4.0)
(9, 3.0, 9.0)
(11, 3.3166247903554, 11.0)
(14, 3.7416573867739413, 14.0)
(16, 4.0, 16.0)
(17, 4.123105625617661, 17.0)
(21, 4.58257569495584, 21.0)
(22, 4.69041575982343, 22.0)
(25, 5.0, 25.0)

```

Too large!

```

(2, 1.4142135623730951, 2.0000000000000004)
(5, 2.23606797749979, 5.000000000000001)
(7, 2.6457513110645907, 7.000000000000001)
(8, 2.8284271247461903, 8.000000000000002)
(10, 3.1622776601683795, 10.000000000000002)
(15, 3.872983346207417, 15.000000000000002)
(19, 4.358898943540674, 19.000000000000004)
(20, 4.47213595499958, 20.000000000000004)
(28, 5.291502622129181, 28.000000000000004)
(32, 5.656854249492381, 32.000000000000001)

```

The square roots of the perfect squares that have been considered in the previous code fragment have all been computed correctly (as floating point numbers). Also observe that they have been squared correctly (as floating point numbers), but for large enough perfect squares, that does not hold any more:

```
[3]: too_small = None
    too_large = None

    i = 1
    while too_small is None or too_large is None:
        i_square = i ** 2
        if sqrt(i_square) ** 2 < i_square:
            too_small = i, i_square, sqrt(i_square), sqrt(i_square) ** 2
        elif sqrt(i_square) ** 2 > i_square:
            too_large = i, i_square, sqrt(i_square), sqrt(i_square) ** 2
        i += 1

    print('Too small!')
    print(too_small)
    print('\nToo large!')
    print(too_large)
```

Too small!
(94906299, 9007205589877401, 94906299.0, 9007205589877400.0)

Too large!
(94906301, 9007205969502601, 94906301.0, 9007205969502602.0)

The previous code fragment leaves open the possibility that the computation of the square root of a perfect square is always correct (as a floating point number), and in particular, is never smaller than $\lfloor \sqrt{n} \rfloor$. It is also possible that when n is not a perfect square, then the computation of \sqrt{n} , though often incorrect, and in particular often smaller than \sqrt{n} , is still never smaller than $\lfloor \sqrt{n} \rfloor$. So whether n is a perfect square or not, changing the type of the computation of \sqrt{n} from floating point to integer might result in a correct computation of $\lfloor \sqrt{n} \rfloor$. Still, to be on the safe side, it is preferable to use `round()` rather than `int()`.

Compare:

```
[4]: int(3.01), round(3.01)
    int(2.99), round(2.99)
```

[4]: (3, 3)

[4]: (2, 3)

A natural question in relation to `round()` is: for a given integer k , what is $k + 0.5$ rounded to? It turns out to be the one of k and $k + 1$ which is closest to 0:

```
[5]: round(2.5), round(-2.5)
```

[5]: (2, -2)

`round()` also lets us specify a precision:

```
[6]: round(1.9876543, 0)
    round(1.9876543, 1)
    round(1.9876543, 2)
    round(1.9876543, 3)
    round(1.9876543, 10)
```

[6]: 2.0

[6]: 2.0

[6]: 1.99

[6]: 1.988

[6]: 1.9876543

A list `sieve` of length $n + 1$ can be used to record whether i is prime for $2 \leq i \leq n$, storing `True` or `False` at index i depending on whether i is believed to be prime or not. The first two elements of `sieve`, of index 0 and 1, are unused. To start with, we assume that all numbers are prime.

For illustration purposes, let us fix n to some value, make it the value of a variable `n`, and define `sieve` accordingly:

```
[7]: n = 37
sieve = [True] * (n + 1)
```

To nicely display `sieve`'s contents and indexes at various stages of the procedure, we know that we can make use of formatted strings and in particular, output decimal numbers within a particular field width, if necessary padding with spaces (the default) or with 0's; the decimal number and the field width can be the values of variables that both occur within a pair of curly braces within a formatted string:

```
[8]: x = 100; w = 5

f'|{x:{w}}|'
f'|{x:0{w}}|'
```

[8]: '| 100|'

[8]: '|00100|'

For now we fix the field width to 3 but below, to appropriately deal with a sieve of arbitrary size, we will compute the field width and make it a function of the largest prime to display.

```
[9]: def print_sieve_contents_and_indexes():
    for e in sieve:
        print(' T', end='') if e else print(' F', end='')
    print()
    for i in range(len(sieve)):
        print(f'{i:3}', end='')

print_sieve_contents_and_indexes()
```

```
 T T T T T T T T T T T T T T T T T T T T T T T T T T T
↪T T T T T T T T T T T T
 0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25
↪26 27 28 29 30 31 32 33 34 35 36 37
```

To cross out all multiples at most equal to n of a prime number p , starting with p^2 if the multiples at most equal to n of all smaller primes have been crossed out already, we need to generate a sequence of the form $p^2, p^2 + p, p^2 + 2p...$ This is easily done with `range()`:

```
[10]: # One argument, the ending point, which is excluded.
# The starting point is 0, the default,
# The step is 1, the default
list(range(4))
# Two arguments, the starting point, which is included,
# and the ending point, which is excluded.
# The step is 1, the default
list(range(4, 10))
# Three arguments, the starting point, which is included,
# the ending point, which is excluded, and the step.
list(range(3, 11, 2))
list(range(3, 11, 3))
list(range(11, 3, -2))
list(range(11, 3, -3))
```

[10]: [0, 1, 2, 3]

[10]: [4, 5, 6, 7, 8, 9]

[10]: [3, 5, 7, 9]

[10]: [3, 6, 9]

[10]: [11, 9, 7, 5]

[10]: [11, 8, 5]

To observe how, with n set to 37, proper multiples of 2, 3 and 5 are crossed out while 4 and 6 are found out to be crossed out (together with their multiples) already, we successively call the following function with p set to 2, 3, 4, 5 and 6 (note that $6 = \lfloor \sqrt{37} \rfloor$) as argument:

```
[11]: def cross_out_proper_multiples(p):
# We assume that this function will be called in the order
#   eliminate_proper_multiples(2)
#   eliminate_proper_multiples(3)
#   eliminate_proper_multiples(4)
#   ...
if not sieve[p]:
    print(f'{p} has been crossed out '
          'as a multiple of a smaller number.'
        )
else:
    print(f'{p} is not a multiple of a smaller number, '
          'hence it is prime.'
        )
    print('Now crossing out all proper multiples '
          f'of {p} at most equal to {n}.'
        )
    for i in range(p * p, n + 1, p):
        print(f' Crossing out {i}')
        sieve[i] = False
```

```
print_sieve_contents_and_indexes()
```

```
[12]: cross_out_proper_multiples(2)
```

2 is not a multiple of a smaller number, hence it is prime.

Now crossing out all proper multiples of 2 at most equal to 37.

Crossing out 4

Crossing out 6

Crossing out 8

Crossing out 10

Crossing out 12

Crossing out 14

Crossing out 16

Crossing out 18

Crossing out 20

Crossing out 22

Crossing out 24

Crossing out 26

Crossing out 28

Crossing out 30

Crossing out 32

Crossing out 34

Crossing out 36

```
T T T T F T F T F T F T F T F T F T F T F T F T F T F T
└F T F T F T F T F T F T
  0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25└
└26 27 28 29 30 31 32 33 34 35 36 37
```

```
[13]: cross_out_proper_multiples(3)
```

3 is not a multiple of a smaller number, hence it is prime.

Now crossing out all proper multiples of 3 at most equal to 37.

Crossing out 9

Crossing out 12

Crossing out 15

Crossing out 18

Crossing out 21

Crossing out 24

Crossing out 27

Crossing out 30

Crossing out 33

Crossing out 36

```
T T T T F T F T F F F T F T F F F T F T F F F T F T
└F F F T F T F F F T F T
  0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25└
└26 27 28 29 30 31 32 33 34 35 36 37
```

```
[14]: cross_out_proper_multiples(4)
```

4 has been crossed out as a multiple of a smaller number.

```
[15]: cross_out_proper_multiples(5)
```

5 is not a multiple of a smaller number, hence it is prime.

Now crossing out all proper multiples of 5 at most equal to 37.

Crossing out 25

Crossing out 30

Crossing out 35

T T T T F T F T F F F T F T F F F T F T F F F T F F.␣

↪F F F T F T F F F F F T

0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25␣

↪26 27 28 29 30 31 32 33 34 35 36 37

```
[16]: cross_out_proper_multiples(6)
```

6 has been crossed out as a multiple of a smaller number.

```
[17]: print(f'The prime numbers at most equal to {n} are:')
      for p in range(2, n + 1):
          if sieve[p]:
              print(f'{p:4}', end='')
```

The prime numbers at most equal to 37 are:

2 3 5 7 11 13 17 19 23 29 31 37

Putting it all together:

```
[18]: def sieve_of_primes_up_to(n):
      primes_sieve = [True] * (n + 1)
      for p in range(2, round(sqrt(n)) + 1):
          if primes_sieve[p]:
              for i in range(p * p, n + 1, p):
                  primes_sieve[i] = False
      return primes_sieve
```

To display all prime numbers at most equal to n , we define two functions. One function, `sequence_and_max_size_from()`, is designed to, from the list returned by `sieve_of_primes_up_to()`, determine and return the corresponding sequence of primes σ together with the number of digits l in the last (and largest) prime in the sequence; σ and l will be assigned to both arguments, `sequence` and `max_size`, respectively, of the other function, `nicely_display()`. We will utilise this function again when we implement other sieve methods. It is general enough to nicely display any sequence of data all of which are output with at most `max_size` many characters. More precisely, `nicely_display()` has the following features. It outputs at most 80 characters per line. Two spaces precede the display of the data that are output

with `max_size` many characters. Three spaces precede the display of the data that are output with `max_size` minus 1 many characters, if any. Four spaces precede the display of the data that are output with `max_size` minus 2 many digits, if any... That way, all data will be nicely aligned column by column, with the guarantee that at least two spaces will separate two consecutive data on the same line. If l is the value of `max_size`, then exactly $\lfloor \frac{80}{l+2} \rfloor$ data will be displayed per line, with the possible exception of the last line:

```
[19]: def nicely_display(sequence, max_size):
      field_width = max_size + 2
      nb_of_fields = 80 // field_width
      count = 0
      for e in sequence:
          print(f'{e:{field_width}}', end='')
          count += 1
          if count % nb_of_fields == 0:
              print()

      nicely_display(range(200), 3)
```

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47
48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63
64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79
80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95
96	97	98	99	100	101	102	103	104	105	106	107	108	109	110	111
112	113	114	115	116	117	118	119	120	121	122	123	124	125	126	127
128	129	130	131	132	133	134	135	136	137	138	139	140	141	142	143
144	145	146	147	148	149	150	151	152	153	154	155	156	157	158	159
160	161	162	163	164	165	166	167	168	169	170	171	172	173	174	175
176	177	178	179	180	181	182	183	184	185	186	187	188	189	190	191
192	193	194	195	196	197	198	199								

To determine the value of `max_size` when using `nicely_display()` to display all prime numbers up to a largest prime number p , we need to determine the number of digits in p , which is easily done by letting `str()` produce a string from an integer, and calling `len()` on the former:

```
[20]: str(991)
      len(str('991'))
```

```
[20]: '991'
```

```
[20]: 3
```

In `nicely_display()`, a `for` statement processes its first argument, `sequence`. So `sequence` has to be an iterable, and possibly an iterator. The `next()` method can be applied to an iterator. From an iterable that is not an iterator, one can get an iterator thanks to the `iter()` function. The `iter()` function can be applied to any iterable, so also to an iterator, in which case it just returns its argument:


```
[21]: # An iterable (an object of the range class) that is not an iterator
x = range(2)
x is iter(x)
y = iter(x)
next(y)
next(y)

# An iterable (a list) that is not an iterator
x = [10, 11]
x is iter(x)
y = iter(x)
next(y)
next(y)

# An iterable (a generator expression) that is an iterator
x = (u for u in (100, 200))
x is iter(x)
next(x)
next(x)
```

[21]: False

[21]: 0

[21]: 1

[21]: False

[21]: 10

[21]: 11

[21]: True

[21]: 100

[21]: 200

When a for statement processes an iterator, it calls `next()` again and again, until a `StopIteration` is generated, causing it to gracefully stop execution. When a for statement processes an iterable that is not an iterator, it first gets an iterator from the iterable thanks to `iter()`, iterator which is then processed as described. So the argument sequence of `nicely_display()` can be either an iterable that is not an iterator, like a list, or a tuple; or it can be an iterator, like a generator expression. **The second option can lead to more effective code than the first one.** Indeed, when a for statement processes a list or tuple, then that list or tuple had to be created in the first place, which the for statement then processes by implicit calls to `next()` on an iterator produced from that list or tuple by `iter()`. On the other hand, when a for statement processes a generator expression, then only a mechanism to produce a sequence had to be created in the first place, and that mechanism is activated (`next()` is implicitly called again and again) to generate all elements in the sequence and process them "on the fly":

```
[22]: sieve = [True, True, True, True, False, True, False, True, False]
# A list created from sieve thanks to a list comprehension.
```

```

# sieve has been scanned from beginning to end to create primes.
primes = [i for i in range(2, len(sieve)) if sieve[i]]
primes
# An iterator is created from primes, to generate all members of primes
# and print them out.
# So eventually, two sequences will have been processed.
for e in primes:
    print(e, end=' ')

sieve = [True, True, True, True, False, True, False, True, False]
# A generator expression defined from sieve.
# sieve has not been scanned from beginning to end to create primes;
# primes is a mechanism to generate some numbers from sieve.
primes = (i for i in range(2, len(sieve)) if sieve[i])
primes
# The mechanism is activated as the for loop is executed.
# As an effect, sieve is scanned from beginning to end,
# numbers are generated and printed out on the fly.
# So eventually, only one sequence will have been processed.
for e in primes:
    print(e, end=' ')

```

[22]: [2, 3, 5, 7]

2 3 5 7

[22]: <generator object <genexpr> at 0x103ca7390>

2 3 5 7

Based on these considerations, we define `sequence_and_max_size_from()` as follows:

```

[23]: def sequence_and_max_size_from(sieve):
    largest_prime = len(sieve) - 1
    while not sieve[largest_prime]:
        largest_prime -= 1
    return (p for p in range(2, len(sieve)) if sieve[p]), \
        len(str(largest_prime))

```

We now have everything we need to nicely display all prime numbers at most equal to n :

```

[24]: nicely_display(*sequence_and_max_size_from(sieve_of_primes_up_to(1_000)))

```

2	3	5	7	11	13	17	19	23	29	31	37	41	43	47	53
59	61	67	71	73	79	83	89	97	101	103	107	109	113	127	131
137	139	149	151	157	163	167	173	179	181	191	193	197	199	211	223
227	229	233	239	241	251	257	263	269	271	277	281	283	293	307	311
313	317	331	337	347	349	353	359	367	373	379	383	389	397	401	409
419	421	431	433	439	443	449	457	461	463	467	479	487	491	499	503

509	521	523	541	547	557	563	569	571	577	587	593	599	601	607	613
617	619	631	641	643	647	653	659	661	673	677	683	691	701	709	719
727	733	739	743	751	757	761	769	773	787	797	809	811	821	823	827
829	839	853	857	859	863	877	881	883	887	907	911	919	929	937	941
947	953	967	971	977	983	991	997								

To save half of the sieve's space and not have to cross out the proper multiples of 2, one can change sieve and make it a list of length $\lfloor \frac{n+1}{2} \rfloor$, with indexes 0, 1, 2, 3, 4, 5... meant to refer to the numbers 2, 3, 5, 7, 9... The price we pay for this is that we lose the simple equivalence between "number p is prime" and "sieve eventually stores True at index p ": the equivalence becomes: "number p is prime" iff:

- $p = 2$ or p is odd, and
- sieve eventually stores True at index $\lfloor \frac{p-1}{2} \rfloor$.

Let p be a number between 3 and $\lfloor \sqrt{n} \rfloor$. The index that refers to p in this modified sieve is $k = \frac{p-1}{2}$, hence the index that refers to p^2 is $\frac{p^2-1}{2} = \frac{(p-1)(p+1)}{2} = \frac{p-1}{2}2(\frac{p-1}{2} + 1) = 2k(k+1)$. Also, only the proper odd multiples at most equal to n of p have to be crossed out; so after having crossed out such a multiple a , the next multiple of p that needs to be crossed out (in case it is still at most equal to n), is referred to at index $\frac{a+2p-1}{2} = \frac{a-1}{2} + p = \frac{a-1}{2} + 2k + 1$, so $2k + 1$ needs to be added to the index that refers to a to refer to that next multiple of p .

Putting it all together:

```
[25]: def optimised_sieve_of_primes_up_to(n):
    n_index = (n - 1) // 2
    sieve = [True] * (n_index + 1)
    for k in range(1, (round(sqrt(n)) + 1) // 2):
        if sieve[k]:
            for i in range(2 * k * (k + 1), n_index + 1, 2 * k + 1):
                sieve[i] = False
    return sieve
```

To display all prime numbers at most equal to n from the list returned by `optimised_sieve_of_primes_up_to()`, we need to adapt the function `sequence_and_max_size_from()`. Essentially, one has to generate all numbers of the form $2i + 1$ for all $1 \leq i \leq \lfloor \frac{n-1}{2} \rfloor$ such that the list sieve returned by `optimised_sieve_of_primes_up_to()` has a value of True at index i ; such is the relationship between the odd prime numbers at most equal to n and the strictly positive indexes in sieve. But these odd prime numbers have to be preceded with 2. We still want to return an iterator. The simplest solution is to create an iterator from an iterator meant to generate 2 only, and the generator expression $(2 * p + 1 \text{ for } p \text{ in } \text{range}(1, \text{len}(\text{sieve})) \text{ if } \text{sieve}[p])$. The `chain()` function from the `itertools` module lets us combine a sequence of iterables (some of which can be iterators) into one iterator:

```
[26]: # Providing as argument to list() an iterator created from two iterators
list(chain(iter(range(2)), (i for i in [10, 20, 30])))
# Providing as argument to list() an iterator created from one iterator
# and one iterable that is not an iterator
list(chain(range(2), (i for i in [10, 20, 30])))
# Providing as argument to list() an iterator created from two iterables
# that are not iterators
```

```
list(chain(range(2), [10, 20, 30]))
```

```
[26]: [0, 1, 10, 20, 30]
```

```
[26]: [0, 1, 10, 20, 30]
```

```
[26]: [0, 1, 10, 20, 30]
```

Based on these considerations, we nicely display all prime numbers identified by `optimised_sieve_of_primes_up_to()` as follows:

```
[27]: def optimised_sequence_and_max_size_from(sieve):
        largest_prime = len(sieve) - 1
        while not sieve[largest_prime]:
            largest_prime -= 1
        return chain((2,), (2 * p + 1 for p in range(1, len(sieve)) if sieve[p])), \
            len(str(largest_prime))

        nicely_display(*optimised_sequence_and_max_size_from(
                                optimised_sieve_of_primes_up_to(1_000)
                                )
        )
```

2	3	5	7	11	13	17	19	23	29	31	37	41	43	47	53
59	61	67	71	73	79	83	89	97	101	103	107	109	113	127	131
137	139	149	151	157	163	167	173	179	181	191	193	197	199	211	223
227	229	233	239	241	251	257	263	269	271	277	281	283	293	307	311
313	317	331	337	347	349	353	359	367	373	379	383	389	397	401	409
419	421	431	433	439	443	449	457	461	463	467	479	487	491	499	503
509	521	523	541	547	557	563	569	571	577	587	593	599	601	607	613
617	619	631	641	643	647	653	659	661	673	677	683	691	701	709	719
727	733	739	743	751	757	761	769	773	787	797	809	811	821	823	827
829	839	853	857	859	863	877	881	883	887	907	911	919	929	937	941
947	953	967	971	977	983	991	997								

Let us get an idea of how large we can afford n to be and how more efficient `optimised_sieve_of_primes_up_to()` is compared to `sieve_of_primes_up_to()`. We ask the `timeit()` method from the `timeit` module to executing once (`number = 1`) the code `sieve_of_primes_up_to(10_000_000)`, the assignment of the value returned by `globals()` to `globals` being needed to let `timeit()` know about the names `sieve_of_primes_up_to` and `optimised_sieve_of_primes_up_to`:

```
[28]: type(globals())
      'sieve_of_primes_up_to' in globals()
      'optimised_sieve_of_primes_up_to' in globals()
```

```
[28]: dict
```

```
[28]: True
```

```
[28]: True
```

```
[29]: timeit('sieve_of_primes_up_to(10_000_000)', globals=globals(), number=1)
      timeit('optimised_sieve_of_primes_up_to(10_000_000)', globals=globals(),
            number=1
            )
```

[29]: 1.2329387969999885

[29]: 0.6020919209999996