

# Monte Carlo Particle Lists: MCPL

T Kittelmann<sup>a,\*</sup>, E Klinkby<sup>b</sup>, E B Knudsen<sup>c</sup>, P Willendrup<sup>c,a</sup>, X X Cai<sup>a,b</sup>,  
K Kanaki<sup>a</sup>

<sup>a</sup>*European Spallation Source ERIC, Sweden*

<sup>b</sup>*DTU Nutech, Technical University of Denmark, Denmark*

<sup>c</sup>*DTU Physics, Technical University of Denmark, Denmark*

---

## Abstract

A binary format with lists of particle state information, for interchanging particles between various Monte Carlo simulation applications, is presented. Portable C code for file manipulation is made available to the scientific community, along with converters and plugins for several popular simulation packages.

---

## PROGRAM SUMMARY

*Manuscript Title:* Monte Carlo Particle Lists: MCPL

*Authors:* T Kittelmann, E Klinkby, E B Knudsen, P Willendrup, X X Cai and K Kanaki

*Program Title:* MCPL

*Journal Reference:*

*Catalogue identifier:*

*Licensing provisions:* CC0 for core MCPL, see LICENSE file for details.

*Programming language:* C and C++

*Operating system:* Linux, OSX, Windows

*Keywords:*

MCPL, Monte Carlo, particles, data storage, simulation, interchange format, C++, C, Geant4, MCNP, McStas, McXtrace

*Classification:* 4, 9, 11, 17

*External routines/libraries:* Geant4, MCNP, McStas, McXtrace

*Nature of problem:*

---

\*Corresponding author. *Email address:* thomas.kittelmann@ess.se

Persistification of particle states in Monte Carlo simulations, for interchange between simulation packages or for reuse within a single package.

*Solution method:*

Binary interchange format with associated code written in portable C along with tools and interfaces for relevant simulation packages.

## 1. Introduction

The usage of Monte Carlo simulations to study the transport and interaction of particles and radiation is a powerful and popular technique, finding use throughout a wide range of fields – including but not limited to both high energy and nuclear physics as well as space and medical sciences [1]. Naturally, a plethora of different frameworks and applications exists for carrying out these simulations (cf. section 3 for examples), with implementations in different languages and domains ranging from general purpose to highly specialised field- and application-specific.

A common principle used in the implementation of these applications is the representation of particles by a set of state parameters – usually including at least particle type, time coordinate, position and velocity or momentum vectors – and a suitable representation of the geometry of the problem (either via descriptions of actual surfaces and volumes in a virtual three-dimensional space, or through suitable parameterisations). In the simplest scenario where no variance-reduction techniques are employed, simulations are typically carried out by proceeding iteratively in steps from an initial set of particles states, with the state information being updated along the way as a result of the pseudo-random or deterministic modelling of processes affecting the particle. The modelling can represent particle self-interactions, interactions with the material of the simulated geometry, or simply its forward transport through the geometry, using either straight-forward ray-tracing techniques or more complicated trajectory calculations as appropriate. In addition to a simple update of state parameters, the modelling can result in termination of the simulation for the given particle

25 or in the creation of new secondary particle states, which will in turn undergo simulation themselves.

Occasionally, use-cases arise in which it would be beneficial to be able to capture a certain subset of particle states present in a given simulation, in order to continue their simulation at a later point in either the same or a different  
30 framework. Such capabilities have typically been implemented using custom application-specific means of data exchange, often involving the tedious writing of custom input and output hooks for the specific frameworks and use-cases in question. Here is instead presented a standard format for exchange of particle state data, *Monte Carlo Particle Lists* (MCPL), which is intended to replace the  
35 plethora of custom converters with a more convenient scenario in which experts of each framework implement converters to the common format, as a one-time effort. The idea being that users of the various frameworks then gain the ability to simply activate those pre-existing and validated converters in order to carry out their work.

40 The present work originated in the needs for simulations at neutron scattering facilities, where a multitude of simulation frameworks are typically used to describe the various components from neutron production to detection, but historically other conceptually similar formats have been and are used in high energy physics to communicate particle states between event generators and  
45 detector simulations [2, 3, 4]. Here, the formats were mainly developed for in-process communication and also had to accommodate the potential description of intermediary unphysical or bound particles, as well as potentially keeping meta-data concerning the simulated history of a given particle in the record. For these reasons, for reasons of portability and ease of integration, and due to  
50 the lack of a common well-defined on-disk format, these existing solutions were deemed unfit for the goals of the work presented here: a compact yet flexible on-disk binary format for particle state information, able to accommodate a wide range of use-cases with close to optimal storage requirements. The accompanying code with which to access and manipulate it should be small, efficient  
55 and easily integrated into existing codes and build systems. Consequently, it

was chosen to implement the format through a set of C functions declared in a single header file, `mcpl.h`, and implemented in a single file, `mcpl.c`. These two files will here be referred to as the *core* MCPL code, and are made freely available under the CC0 1.0 Universal Creative Commons license. Along with  
60 associated code examples, documentation, configuration files (cf. section 2.5) and application-specific interface code which is not embedded in the relevant upstream projects (cf. sections 3.1 and 3.2), these files constitute the MCPL distribution. The present text concerns the initial public release of MCPL, version 1.0.0. Future updates to the distribution will be made available at the project  
65 website [5].

## 2. The MCPL format

MCPL is a binary file format in which a header section, with configuration and meta-data, is followed by a data section, where the state information of the contained particles is kept. Data compression is available but optional (cf.  
70 section 2.4). The uncompressed storage size of a particle entry in the data section is determined by overall settings in the header section, and depends on what exact information is stored for the particles in a given file, as will be discussed shortly. Within a given file, all particle entries will always be of equal length, allowing for trivial calculation of the absolute data location for a particle  
75 at a given index in the file – and thus for efficient seeking and skipping between particles if desired. As it is expected that MCPL files will always be read by calls to the functions in `mcpl.h`, no attempt will be made here to provide a complete specification of the binary layout of data in the files. Instead, interested readers are referred to the implementation of the functions `mcpl_write_header` and  
80 `mcpl_add_particle` in `mcpl.c`.

### 2.1. Information available

The information available in the file header is indicated in Table 1: a unique 4-byte magic number identifying the format always starts all files, and is followed

File header information	
<i>Field</i>	<i>Description</i>
File type magic number 0x4d43504c ("MCPL")	All MCPL files start with this 4-byte word.
Version	File format version.
Endianness	Whether numbers in file are in little- or big-endian format.
Number of particles in file	64 bit integer.
Flag : Particles have polarisation info	If false, all loaded particles will have polarisation vectors (0,0,0).
Flag : Particles have "userflags" field	If false, all loaded particles will have userflags 0x00000000.
Flag : Particle info use double-precision	If true, floating points storage use double-precision.
Global pdgcode	If this 32 bit integer is non-zero, all loaded particles will have this pdgcode.
Source name	String indicating the application which created the MCPL file.
Comments	A variable number of comments (strings) added at file creation.
Binary blobs	A variable number of binary data blobs, indexed by keys (strings). This allows arbitrary custom data to be embedded.

Table 1: Information available in the header section of MCPL files.

by the format version, the endianness (*little* or *big*) in which numbers in the file  
85 are stored, and the number of particles in the file. The versioning provides  
a clear path for future updates to the format, without losing the ability to  
read files created with previous versions of the MCPL code, and the endianness  
information prevents interpretation errors on different machines (although at  
present, most consumer platforms are little-endian).<sup>1</sup> Next comes four options  
90 affecting what data is stored per-particle, which will be discussed in the next  
paragraph. Finally, the header contains several options for embedding custom  
free-form information: first of all, the source name, in the form of a single string  
containing the name and perhaps version of the application which created the  
file. Secondly, any number of strings can be added as human readable comments,  
95 and, thirdly, any number of binary data blobs can be added, each identified by  
a string key. The MCPL format itself provides no restrictions on what data, if  
any, can be stored in these binary blobs, but useful content could for instance  
be a copy of configuration data used by the source application when the given  
file was produced, kept for later reference.

---

<sup>1</sup>In the current implementation, reading a little-endian MCPL file on a big-endian machine  
or vice versa triggers an error message. It is envisioned that a future version of the MCPL code  
could instead transparently correct the endianness at load time.

Particle state information		
<i>Field</i>	<i>Description</i>	<i>Bytes of storage used per entry (FP = 4 or 8 bytes)</i>
PDG code	32 bit integer indicating particle type.	0 or 4
Position	Vector, values in centimetres.	3FP
Direction	Unit vector along the particle momentum.	2FP
Kinetic energy	Value in MeV.	1FP
Time	Value in milliseconds.	1FP
Weight	Weight or intensity.	1FP
Polarisation	Vector.	0 or 3FP
User flags	32 bit integer with custom info.	0 or 4

Table 2: Particle state information available and uncompressed storage requirements for each entry in the data section of MCPL files.

Table 2 shows the state information available per-particle in MCPL files, along with the storage requirements of each field. Particle position, direction, kinetic energy, time and weight are always stored.<sup>2</sup> Polarisation vectors and so-called *user-flags* in the form of unsigned 32 bit integers are only stored when relevant flags in the header are enabled. Likewise, the particle type information in the form of so-called PDG codes is only stored explicitly in each entry when a global PDG code was not specified in the header. The PDG codes must follow the scheme developed by the Particle Data Group in [6, ch. 42], which is inarguably the most comprehensive and widely adopted standard for particle type encoding in simulations. Finally, again depending on a flag in the header, particle information uses either single (4 bytes) or double precision (8 bytes) storage for floating point numbers. All in all, summing up the numbers in the last column of Table 2, particles are seen to consume between 32 and 96 bytes of uncompressed storage space per entry. The MCPL format is thus designed to be flexible enough to handle use-cases requiring a high level of detail in the

<sup>2</sup>Note that a valid alternative to storing the directional unit vector along with the kinetic energy would have been the momentum vector. However, the choice here is consistent with the variables used in interfaces of both MCNP and Geant4, and means that the `mcp12ssw` converter discussed in section 3.2 can be implemented without access to an unwieldy database of particle and isotope masses.

115 particle state information, without imposing excessive storage requirements on  
less demanding scenarios.

Packing of the three-dimensional unit directional vector into just two floating  
point numbers of storage is carried out via a custom octahedral packing algo-  
rithm inspired by [7, 8]. This packing avoids the very significant loss of numerical  
120 precision otherwise resulting when using the straight-forward and widespread  
solution of storing two Cartesian components,  $u_x$  and  $u_y$ , directly and recovering  
the magnitude of the third by the expression  $|u_z| = \sqrt{1 - u_x^2 - u_y^2}$ .

## 2.2. Accessing or creating MCPL files programmatically

The main feature provided by the implementation of MCPL in `mcpl.h` and  
125 `mcpl.c` is naturally the ability to create new MCPL files and access the contents  
of existing ones, using a set of dedicated functions. No matter which settings  
were chosen when a given MCPL file was created, the interface for accessing the  
header and particle state information within it is the same, as can be seen in  
Listing 1: after obtaining a file handle via `mcpl_open_file`, a pointer to an  
130 `mcpl_particle_t struct`, whose fields contain the state information available  
for a given particle, is returned by calling `mcpl_read`. This also advances the  
position in the file, and returns a null-pointer when there are no more particles  
in the file, ending the loop. If a file was created with either polarisation vectors  
or user-flags disabled, the corresponding fields on the particle will contain zeroes  
135 (thus representing polarisation information with null-vectors and user-flags with  
an integer with no bits enabled). All floating point fields on `mcpl_particle_t`  
are represented with a double-precision type, but the actual precision of the  
numbers will obviously be limited to that stored in the input file. In addition  
to the interface illustrated by Listing 1, functions can be found in `mcpl.h` for  
140 accessing any information available in the file header (see Table 1), or for seeking  
and skipping to particles at specific positions in the file, rather than simply  
iterating through the full file.

Code creating MCPL files is typically slightly more involved, as the creation  
process also involves deciding on the values of the various header flags and

Listing 1: Simple example for looping over all particles in an existing MCPL file.

```
#include "mcpl.h"

void example()
{
    mcpl_file_t f = mcpl_open_file("myfile.mcpl");

    const mcpl_particle_t* p;

    while ( ( p = mcpl_read(f) ) ) {

        /* Particle properties can here be accessed
           through the pointer "p":

           p->pdgcode
           p->position[k] (k=0,1,2)
           p->direction[k] (k=0,1,2)
           p->polarisation[k] (k=0,1,2)
           p->ekin
           p->time
           p->weight
           p->userflags
        */

    }

    mcpl_close_file(f);
}
```

145 filling of free-form information like source name and comments. An example producing a file with 1000 particles is shown in Listing 2. The first part of the procedure is to obtain a file handle through a call to `mcpl_create_file`, configure the header and overall flags, and prepare a zero-initialised instance of `mcpl_particle_t`. Next comes the loop filling the particles into the file, which  
150 happens by updating the state information on the `mcpl_particle_t` instance as needed, and passing it to `mcpl_add_particle` each time. At the end, a call to `mcpl_close_outfile` finishes up by flushing all internal buffers to disk and updating the field containing the number of particles at the beginning of the file.

155 Should the unfortunate happen, that the program is aborted before the call to `mcpl_close_outfile`, particles already written into the output file are normally recoverable: upon opening such an incomplete file, the MCPL code detects that the actual size of the file is inconsistent with the value of the field in the header containing the number of particles. Thus, it emits a warning



Listing 2: Simple example for creating an MCPL file with 1000 particles.

```
#include "mcpl.h"

void example()
{
    mcpl_outfile_t f = mcpl_create_outfile("myfile.mcpl");
    mcpl_hdr_set_srcname(f, "MyAppName-1.0");

    /* Tune file options or add custom comments or
       binary data into the header:

       mcpl_enable_universal_pdgcode(f, myglobalpdgcode);
       mcpl_enable_userflags(f);
       mcpl_enable_polarisation(f);
       mcpl_enable_doubleprec(f);
       mcpl_hdr_add_comment(f, "Some comment.");
       mcpl_hdr_add_data(f, "mydatakey",
                        my_datalength, my_databuf)
    */

    mcpl_particle_t* p = mcpl_get_empty_particle(f);

    int i;
    for ( i = 0; i < 1000; ++i ) {

        /* The following particle properties must
           always be set here:

           p->position[k] (k=0,1,2)
           p->direction[k] (k=0,1,2)
           p->ekin
           p->time
           p->weight

           These should also be set when required by
           file options:

           p->pdgcode
           p->userflags
           p->polarisation[k] (k=0,1,2)
        */

        mcpl_add_particle(f, p);
    }

    mcpl_close_outfile(f);
}
```

Listing 3: Example extracting low-energy neutrons (pdgcode 2112) from an MCPL file.

```
#include "mcpl.h"

void example() {

    /* open files, transfer meta-data, add comment */

    mcpl_file_t fi = mcpl_open_file("myfile.mcpl");
    mcpl_outfile_t fo = mcpl_create_outfile("new.mcpl");
    mcpl_transfer_metadata(fi, fo);
    mcpl_hdr_add_comment(fo, "Extracted neutrons with ekin<0.1MeV");

    /* transfer selected particles */

    const mcpl_particle_t* particle;
    while ( ( particle = mcpl_read(fi) ) ) {
        if ( particle->pdgcode == 2112 && particle->ekin < 0.1 )
            mcpl_add_particle(fo, particle);
    }

    /* finish up */

    mcpl_closeandgzip_outfile(fo);
    mcpl_close_file(fi);
}
```

160 message and calculates a more appropriate value for the field, ignoring any partially written particle state entry at the end of the file. This ability to transparently correct incomplete files upon load also means that it is possible to inspect (with the `mcpltool` command discussed in section 2.3) or analyse files that are still being created. To avoid seeing a warning each time a file left over  
165 from an aborted job is opened, `mcpl.h` also provides the function `mcpl_repair` which can be used to permanently correct the header of the file.

Likewise, `mcpl.h` also provides the function `mcpl_merge` which can be used to merge two compatible MCPL files into one, which might typically be useful when gathering up the output of simulations carried out via parallel processing  
170 techniques. Compatibility here means that the files must have essentially identical header sections, except for the field holding the number of particles. Finally, the function `mcpl_transfer_metadata` can be used to easily implement custom extraction of particle subsets from existing MCPL files into new (smaller) ones. An example of this is illustrated in Listing 3.

### 175 2.3. Accessing MCPL files from the command line

Compared with simpler text-based formats (e.g. ASCII files with data formatted in columns), one potential disadvantage of a binary data format like MCPL is the lack of an easy way for users to quickly inspect a file and investigate its contents. To alleviate this, `mcpl.h` provides a function which, in a straight-forward manner, can be used to build a generic `mcpltool` command-line executable:

180 `int mcpl_tool(int argc, char** argv)`. Simply running this command on an MCPL file without specifying other arguments, results in a short summary of the file content being printed to standard output. This includes a listing of the first 10 contained particles, and an example of such a summary is provided in

185 Listing 4: it is clear from the displayed meta-data that the particles in the given file represent a transmission spectrum resulting from illumination of a block of lead by a 10 GeV proton beam in a `Geant4` [9, 10] simulation. The displayed header information and data columns should be mostly self-explanatory, noting that `(x, y, z)` indicates the particle position, `(ux, uy, uz)` its normalised direction, and that the `pdgcode` column indeed shows particle types typical in a hadronic

190 shower:  $\pi^+$  (211),  $\gamma$  (22), protons (2212),  $\pi^-$  (-211) and neutrons (2112). If the file had user-flags or polarisation vectors enabled, appropriate columns for those would be shown as well. Finally, note that the `36 bytes/particle` refers to uncompressed storage, and that in this particular case the file actually has a

195 compression ratio of approximately 70% particle (cf. section 2.4).

By providing suitable arguments (found by the command `mcpltool -help`) to `mcpltool`, it is possible to modify what information from the file is displayed. This includes the possibility to change what particles from the file, if any, should be listed, as well as the option to extract the contents of a given binary data

200 blob to standard output. The latter might be particularly handy when entire configuration files have been embedded (cf. sections 3.2 and 3.3). Finally, the `mcpltool` command also allows file merging and repairing, as discussed in section 2.2, and provides functionality for selecting a subset of particles from a given file and extracting them into a new smaller file.

205 Advanced functionality such as graphics display and interactive GUI-based

Listing 4: Example output of running mcpltool with no arguments on a specific MCPL file.

```

Opened MCPL file myoutput.mcpl.gz:

Basic info
Format      : MCPL-2
No. of particles : 1106933
Header storage : 140 bytes
Data storage  : 39849588 bytes

Custom meta data
Source       : "G4MCPLWriter [G4MCPLWriter]"
Number of comments : 1
-> comment 0 : "Transmission spectrum from 10GeV proton beam on 20cm lead"
Number of blobs : 0

Particle data format
User flags      : no
Polarisation info : no
Fixed part. type : no
FP precision    : single
Endianess       : little
Storage         : 36 bytes/particle

index  pdgcode  ekin[MeV]  x[cm]  y[cm]  z[cm]  ux  uy  uz  time[ms]  weight
0      211     487.02 -0.5898  1.835  20  -0.092407  0.20491  0.97441  7.3346e-07  1
1      22      1.5326  1.0635  11.351  20  0.080441  0.66026  0.74672  1.0882e-06  1
2      22      3.9526 -0.43907  8.6473  20  -0.56616  0.50558  0.65104  1.0286e-06  1
3      22      0.82591  1.7444  9.7622  20  0.092099  0.79597  0.59829  1.0378e-06  1
4      22      1.1958  2.1806  8.6416  20  0.21997  0.66435  0.71432  1.0124e-06  1
5      22      1.2525  3.0949  7.7366  20  0.48903  0.30789  0.81612  1.013e-06  1
6      22      2.6247  3.948  5.681  20  0.62503  0.64221  0.44374  9.1152e-07  1
7      2212    824.28 -1.8797 -2.5124  20  -0.3077 -0.40496  0.861  7.6539e-07  1
8      -211    3459.8 -0.79521  0.91481  20  -0.13441  0.14438  0.98035  7.0618e-07  1
9      2112    0.30553  54.471  33.386  20  0.4862  0.011958  0.87377  0.00016442  1

```

investigation or manipulation of the contents of MCPL files is not provided by the `mcpltool`, since those would imply additional unwanted dependencies to the core MCPL code, which is required by design to be light-weight and widely portable. However, it is the hope that the existence of a standard format like  
210 MCPL will encourage development of such tools, and indeed some already exist in the in-house framework [11] of the Detector Group at the European Spallation Source (ESS) [12, 13]. It is intended for a future distribution of MCPL to include relevant parts of these tools as a separate and optional component.

#### 2.4. Compression

215 The utilisation of data compression in a format like MCPL is potentially an important feature, since on-disk storage size could be a concern for some applications. Aiming to maximise flexibility, transparency and portability, optional compression of MCPL files is simply provided by allowing whole-file compression into the widespread GZIP format [14] (changing the file extension from `.mcpl` to  
220 `.mcpl.gz` in the process). This utilises the DEFLATE compression algorithm [15] which offers a good performance compromise with a reasonable compression ratio and an excellent speed of compression and decompression.

Relying on a standard format such as GZIP means that, if needed, users can avail themselves of existing tools (like the `gzip` and `gunzip` commands available  
225 on most UNIX platforms) to change the compression state of an existing MCPL file. However, when the code in `mcpl.c` is linked with the ubiquitous ZLIB [16, 17] (cf. section 2.5), compressed MCPL files can be read directly. For convenience, `mcpl.h` additionally provides a function `mcpl_closeandgzip_outfile`, which can be used instead of `mcpl_close_outfile` (cf. Listing 2) to ensure that newly  
230 created MCPL files are automatically compressed if possible (either through a call to an external `gzip` command or through custom ZLIB-dependent code, depending on availability).

#### 2.5. Build and deployment

It is the hope that eventually MCPL capabilities will be included upstream  
235 in many applications, and that users of those consequently won't have to do

anything extra to start using it. As will be discussed in section 3, this is at present the case for users of recent versions of `McStas` [18, 19] and `McXtrace` [20], and is additionally the case for users of the in-house `Geant4`-based framework of the ESS Detector Group [11].

240 By design, it is expected that most developers wishing to add MCPL support to their application will simply place copies of `mcpl.h` and `mcpl.c` into their existing build system and include `mcpl.h` from either C or C++ code.<sup>3</sup> In order to make the resulting binary code able to manipulate compressed files directly (cf. section 2.4), the code in `mcpl.c` must usually be compiled against and linked  
245 with an installation of ZLIB (see detailed instructions regarding build flags at the top of `mcpl.c`). Alternatively, the MCPL distribution presented here contains a “fat” auto-generated drop-in replacement for `mcpl.c` named `mcpl_fat.c`, in which the source code of ZLIB has been included in its entirety.<sup>4</sup> Using this somewhat larger file enables ZLIB-dependent code in MCPL even in situation  
250 where ZLIB might not be otherwise available.

In addition to the core MCPL code, the MCPL distribution also contains a small file providing the `mcpltool` executable, C++ files implementing the `Geant4` classes discussed in section 3.1, C files for the `mcpl2ssw` and `ssw2mcpl` executables discussed in section 3.2, and a few examples show-casing how user code  
255 might look.

Building of all of these parts should be straight-forward using standard tools, but a configuration file for `CMake` [21] which builds and installs everything is nonetheless provided for reference and convenience. Additionally, “fat” single-file versions of all command line utilities (`mcpltool`, `mcpl2ssw` and `ssw2mcpl`)  
260 are also provided, containing both MCPL and ZLIB code within as appropriate.

---

<sup>3</sup>Compilation of `mcpl.c` can happen with any of the following standards: C99, C11, C++98, C++11, C++14, or later. In addition to those, `mcpl.h` is also C89 compatible. Note that on platforms where the standard C math function `sqrt` is provided in a separate library, that library must be available at link-time.

<sup>4</sup>Note that all ZLIB symbols have been prefixed, to guard against potential run-time clashes where a separate ZLIB is nonetheless loaded.

Thus, any of these single-file versions can be compiled directly into the corresponding command line executable, without any other dependencies than a C compiler.

### 3. Application-specific converters and plugins

265 While the examples in section 2.2 show how it is possible to manipulate MCPL files directly from C or C++ code, it is not envisioned that most users will have to write such code themselves. Rather, in addition to using available tools (such as the `mcpltool` described in section 2.3) to access the contents of files as needed, users would ideally simply use pre-existing plugins and converters  
270 written by application-specific experts, to load particles from MCPL files into their given Monte Carlo applications, or extract particles from those into MCPL files. At the time of this initial public release of MCPL, four such applications are already MCPL-aware in this manner: **Geant4**, **MCNP**, **McStas** and **McXtrace**, and the details of the corresponding converters and plugins are discussed in the  
275 following sub-sections, after a few general pieces of advice for other implementers in the next paragraphs.

In order for MCPL files to be as widely exchangeable as possible, code loading particles from MCPL files into a given Monte Carlo application should preferably be as accepting as possible. In particular, this means that warnings rather  
280 than errors should result if the input file contains PDG codes corresponding to particle types that can not be handled by the application in question. As an example, a detailed MCNP or **Geant4** simulation of a moderated neutron source will typically produce files containing not only neutrons, but also gammas and other particles. It should certainly be possible to load such a file into a neutron-  
285 only simulation application like **McStas**, resulting in simulation of the contained neutrons (preferably with a warning or informative message drawing attention to some particles being ignored).

Applications employing parallel processing techniques, must always pay particular attention when implementing file-based I/O, and this is naturally also

290 the case when creating MCPL-aware plugins for them. However, the available  
functionality for merging of MCPL files makes the scenario of file creation partic-  
ularly simple to implement: each sub-task can simply write its own file, with the  
subsequent merging into a single file taking place during post-processing. For  
reading of particles in existing MCPL files, it is recommended that each sub-task  
295 performs a separate call to `mcpl_open_file`, and use the skipping and seek-  
ing functionality to load just a subset of the particles within, as required. In  
the case of a multi-threading application, it is of course also possible to handle  
concurrent input or output directly through a single file handle. In this case,  
however, calls to `mcpl_add_particle` and `mcpl_read` must be protected against  
300 concurrent invocations with a suitable lock or mutex.

The following three sub-sections are dedicated to discussions of presently  
available MCPL interfaces for specific Monte Carlo applications. The discussions  
will in each case presuppose familiarity with the application in question.

### 3.1. *Geant4* interface

305 In the most typical mode of working with the *Geant4* [9, 10] toolkit, users  
create custom C++ classes, sub-classing appropriate abstract interfaces, in or-  
der to set up geometry, particle generation, custom data readout and physics  
modelling. At run-time, those classes are then instantiated and registered with  
the framework. Accordingly, the MCPL-*Geant4* integration takes the form of two  
310 such sub-classes of *Geant4* interface classes, which can be either directly in-  
stantiated or further sub-classed themselves as needed: `G4MCPLGenerator` and  
`G4MCPLWriter`. They are believed to be compatible with any recent version of  
*Geant4* and were explicitly tested with versions 10.00.p03 and 10.02.p02.

First, the `G4MCPLGenerator`, the relevant parts of which are shown in List-  
315 ing 5, is a `G4VUserPrimaryGeneratorAction` which must be provided with the  
path to an MCPL file when constructed and in the `GeneratePrimaries` method  
of which the particles in the input file are used to generate *Geant4* events with a  
single primary particle in each. If the file runs out of particles before the *Geant4*  
simulation is ended for other reasons, the `G4MCPLGenerator` graciously requests



Listing 5: The G4MCPLGenerator class.

```

class G4MCPLGenerator : public G4VUserPrimaryGeneratorAction
{
public:

    G4MCPLGenerator(const G4String& inputFile);
    virtual ~G4MCPLGenerator();
    virtual void GeneratePrimaries(G4Event*);

protected:

    //Reimplement this to filter input particles (default
    //implementation accepts all particles):
    virtual bool UseParticle(const mcpl_particle_t*) const;

    //Reimplement this to change coordinates or weights of
    //input particles before using them (does nothing by
    //default):
    virtual void ModifyParticle(G4ThreeVector& position,
                                G4ThreeVector& direction,
                                G4ThreeVector& polarisation,
                                G4double& time,
                                G4double& weight) const;

private:
    // ..
};

```

320 the **G4RunManager** to abort the simulation. Thus, a convenient way in which to use the entire input file for simulation is to launch the simulation with a very high number of events requested, as is done in the example in Listing 6.<sup>5</sup>

In case the user wishes to use only certain particles from the input file for simulation, the **G4MCPLGenerator** class must be sub-classed and the **UseParticle** 325 method reimplemented, returning **false** for particles which should be skipped. Likewise, if it is desired to perform coordinate transformations or reweighing before using the loaded particles, the **ModifyParticle** method must be reimplemented.

The **G4MCPLWriter** class, the relevant parts of which are shown in Listing 7, 330 is a **G4VSensitiveDetector** which in the default configuration “consumes” all particles which, during a simulation, enter any geometrical volume(s) to which

---

<sup>5</sup>Unfortunately, due to a limitation in the **G4RunManager** interface, this number will be limited by the highest number representable with a **G4int**, which on most modern platforms is 2147483647.

Listing 6: Example showing how to load particles from an MCPL file into a **Geant4** simulation.

```
#include "G4MCPLGenerator.hh"
#include "G4RunManager.hh"
#include <limits>

//Not shown here: Code defining MyGeometry and MyPhysicsList.

int main( int argc, char** argv ) {

    G4RunManager runManager;
    runManager.SetUserInitialization(new MyGeometry);
    runManager.SetUserInitialization(new MyPhysicsList);
    runManager.SetUserAction(new G4MCPLGenerator("myfile.mcpl"));
    runManager.Initialize();
    runManager.BeamOn(std::numeric_limits<G4int>::max());

    return 0;
}
```

it is attached by the user and stores them into the specified MCPL file. At the same time it asks **Geant4** to end further simulation of those particles (“killing” them). This strategy of killing particles stored into the file was chosen as a sensible default behaviour, as it prevents potential double-counting in the scenarios where a particle (or its induced secondary particles) would otherwise be able to enter a given volume multiple times. If it is desired to modify this strategy, the user must sub-class **G4MCPLWriter** and reimplement the **ProcessHits** method, using calls to **storePreStep**, **storePostStep** and **Kill**, as appropriate. For reference, code responsible for the default implementation is shown in Listing 8. Likewise, to add MCPL user-flags into the file, the **UserFlagsDescription** and **UserFlags** methods must simply be reimplemented - the description naturally ending up as a comment in the output file.

In Listing 9 is shown how the **G4MCPLWriter** will typically be configured and attached to logical volume(s) of the geometry.

### 3.2. MCNP interface

Most users of MCNP are currently employing one of three distinct flavours: MCNPX [22, 23], MCNP5 [24] or MCNP6 [25]. In the most typical mode of working with any of these software packages, users edit and launch MCNP through the use of text-based configuration files (so-called *input decks*), in order to set up details

Listing 7: The G4MCPLWriter class.

```
class G4MCPLWriter : public G4VSensitiveDetector
{
public:

    //Basic interface:

    G4MCPLWriter( const G4String& outputFile,
                  const G4String& name = "G4MCPLWriter" );
    virtual ~G4MCPLWriter();

    void AddComment( const G4String& );
    void AddData( const G4String& data_key,
                  size_t data_length,
                  const char* data );
    void EnableDoublePrecision();
    void EnablePolarisation();

    //Optional reimplement this to change default
    // "store-and-kill at entry" strategy:

    virtual G4bool ProcessHits( G4Step * step,
                                G4TouchableHistory* );

    //Optional reimplement these to add MCPL userflags:

    virtual G4String UserFlagsDescription() const { return ""; }
    virtual uint32_t UserFlags(const G4Step*) const { return 0; }

protected:
    //Methods that can be used if reimplementing ProcessHits():
    void storePreStep(const G4Step *);
    void storePostStep(const G4Step *);
    void Kill(G4Step *);

private:
    // ...
};
```

Listing 8: The default ProcessHits implementation in the G4MCPLWriter class.

```
G4bool G4MCPLWriter::ProcessHits(G4Step * step, G4TouchableHistory*)
{
    //Only consider particle steps originating at the boundary
    //of the monitored volume:
    if ( step->GetPreStepPoint()->GetStepStatus() != fGeomBoundary )
        return false;

    //Store the state at the beginning of the step, but avoid
    //particles taking their very first step (this would double-
    //count secondary particles created at the volume edge):
    if ( step->GetTrack()->GetCurrentStepNumber() > 1 )
        storePreStep(step);

    //Tell Geant4 to stop further tracking of the particle:
    Kill(step);
    return true;
}
```

Listing 9: Example showing how to produce an MCPL file from a Geant4 simulation.

```
//Provide output filename when creating G4MCPLWriter instance:
G4MCPLWriter * mcplwriter = new G4MCPLWriter("myoutput.mcpl");

//Optional calls which add meta-data or change flags:
mcplwriter->AddComment("Some useful description here");
mcplwriter->AddData( ... );
mcplwriter->EnableDoublePrecision();
mcplwriter->EnablePolarisation();

//Register with G4SDManager and on one or more logical
//volumes to activate:
G4SDManager::GetSDMpointer()->AddNewDetector(mcplwriter);
alogvol->SetSensitiveDetector(mcplwriter);
```

of the simulation including geometry, particle generation, and data extraction. The latter typically resulting in the creation of data files containing simulation results, ready for subsequent analysis.

Although it would be conceivable to write in-process FORTRAN-compatible  
 355 MCPL hooks for MCNP, such an approach would require users to undertake some form of compilation and linking procedure. This would thus be likely to impose a change in working mode for the majority of MCNP users, in addition to possibly requiring a special license for source-level access to MCNP. Instead, the MCNP–MCPL interface presented here exploits the existing MCNP capability to stop and subse-  
 360 quently restart simulations at a user-defined set of surfaces through the **Surface Source Write/Read (SSW/SSR)** functionality. As the name suggests, the state parameters of simulated particles crossing a given surface are stored on disk in dedicated files, with the intentional use as a surface source in subsequent simulations with the same MCNP setup. Presumably, these files (henceforth denoted  
 365 “SSW files” in the present text) are intended for this internal intermediate usage only, their format differs between different flavours of MCNP, and little effort has been made to document the format in publicly available manuals. Despite these obstacles, the SSW format is stable enough that several existing MCNP-aware tools (e.g. [26, 27, 28]) have chosen to provide converters for this format, with various  
 370 levels of functionality, and it was thus deemed suitable also for the needs of the MCPL project.

Listing 10: Usage instructions for the `ssw2mcpl` command.

```
Usage:
    ssw2mcpl [options] input.ssw [output.mcpl]

Converts the Monte Carlo particles in the input.ssw file (MCNP Surface
Source Write format) to MCPL format and stores in the designated output
file (defaults to "output.mcpl").

Options:
    -h, --help      : Show this usage information.
    -d, --double    : Enable double-precision storage of floating point values.
    -s, --surf      : Store SSW surface IDs in the MCPL userflags.
    -n, --nogzip    : Do not attempt to gzip output file.
    -c FILE         : Embed entire configuration FILE (the input deck)
                     used to produce input.ssw in the MCPL header.
```

Thus, the MCPL distribution presented here includes dependency-free C code for two standalone executables, `mcpl2ssw` and `ssw2mcpl`, which users can invoke from the command-line in order to convert between MCPL and SSW files.<sup>6</sup> The  
375 usage of these two executables will be discussed here, while users are referred to the relevant MCNP manuals for details of how to set up their input decks to enable SSW input or output in their MCNP simulations: [29, Ch. II.3.7], [30, Ch. 5.5.5] and [31, Ch. 3.3.4.7]. Note that through usage of `ssw2mcpl` and `mcpl2ssw`, it is even possible to transfer particles between different flavours and versions of  
380 MCNP, which is otherwise not possible with SSW files.

First, the `ssw2mcpl` command, for which the full usage instructions are shown in Listing 10, is in its most base invocation straight-forward to use. Simply provide it with the name of an existing SSW file to run on, and it will  
385 result in the creation of a new (compressed) MCPL file, `output.mcpl.gz`, containing a copy of all particles found in the SSW file. The MCNP flavour responsible for creating the SSW file is automatically detected, the resulting differences in the file format are taken into account behind the scenes, and the detected MCNP version is documented as a comment in the header of the resulting MCPL file.

The only relevant piece of information which is by default not transferred  
390 from the SSW particle state into the MCPL file is the numerical ID of the surface

---

<sup>6</sup>Prior work in [26, 27] served as valuable input when developing code for interpreting data sections in SSW files.

where the particle was registered in the MCNP simulation. By supplying the `-s` option, `ssw2mcpl` will transfer those to the MCPL user-flags field, and document this in the MCPL header. Additionally, while floating point numbers in the SSW file are always stored in double-precision, the transfer to MCPL will by default  
 395 convert them to single-precision. This was chosen as the default behaviour to keep usual storage requirements low, as single-precision is arguably sufficient for most studies. By supplying the `-d` option, `ssw2mcpl` will keep the numbers in double-precision in the MCPL file as well. Depending on compression and the applied flags, the on-disk size of the resulting MCPL file will typically be  
 400 somewhere between 20% from which it was converted.

Finally it is possible, via the `-c FILE` flag, to point the `ssw2mcpl` command to the input deck file used when producing the provided SSW file. Doing so will result in a complete copy of that file being stored in the MCPL header as a binary data blob under the string key "`mcnp_input_deck`", thus providing users with  
 405 a convenient snapshot in the MCPL file of the MCNP setup used. Unfortunately, it was not possible to automate this procedure completely, and it thus relies on the user to provide the correct input deck for a given SSW file, but the `ssw2mcpl` command does at least check that the specified file is a text-file containing somewhere the problem title which was also embedded in the SSW file. The input  
 410 deck embedded in a given MCPL file can later be inspected from the command line by invoking the command "`mcpltool -bmcnp_input_deck <file.mcpl>`".

Usage of the `mcpl2ssw` command, for which the full usage instructions are shown in Listing 11, is slightly more involved: in addition to an input MCPL file, the user must also supply a reference SSW file in a format suitable for the  
 415 MCNP setup in which the resulting SSW file is subsequently intended to be used as input. The need for this added complexity stems from the constraint that the SSW format is merely intended as an internal format in which it is possible to stop and restart particles while remaining within a given setup of an MCNP simulation – meaning at the very least that the MCNP version and the configuration of the  
 420 geometrical surfaces involved in the Surface Source Write/Read procedure must be unchanged. Thus, for maximal robustness, the user must supply a

Listing 11: Usage instructions for the `mcpl2ssw` command.

```

Usage:

    mcpl2ssw [options] <input.mcpl> <reference.ssw> [output.ssw]

Converts the Monte Carlo particles in the input MCPL file to SSW format
(MCNP Surface Source Write) and stores the result in the designated output
file (defaults to "output.ssw").

In order to do so and get the details of the SSW format correct, the user
must also provide a reference SSW file from the same approximate setup
(MCNP version, input deck...) where the new SSW file is to be used. The
reference SSW file can of course be very small, as only the file header is
important (the new file essentially gets a copy of the header found in the
reference file, except for certain fields related to number of particles
whose values are changed).

Finally, one must pay attention to the Surface ID assigned to the
particles in the resulting SSW file: Either the user specifies a global
one with -s<ID>, or it is assumed that the MCPL userflags field in the
input file is actually intended to become the Surface ID. Note that not
all MCPL files have userflag fields and that valid Surface IDs are
integers in the range 1-999999.

Options:

    -h, --help      : Show this usage information.
    -s<ID>          : All particles in the SSW file will get this surface ID.
    -l<LIMIT>       : Limit the number of particles transferred to the SSW file
                      (defaults to 2147483647, the maximal SSW capacity).

```

reference SSW file which was produced by the setup in which the SSW file created  
with `mcpl2ssw` is to be used (it does not matter how many particles the reference  
file contains). What will actually happen is that in addition to the particle state  
data itself, the newly created SSW file will contain the exact same header as the  
one in the reference SSW file, apart from the fields related to the number of  
particles in the file.

Additionally, the user must consider carefully which MCNP surface IDs the  
particles from the MCPL file should be associated with, once transferred to the  
SSW file. By default it will assume that the MCPL user-flags field contains exactly  
this ID, but more often than not, users will have to specify a global surface ID for  
all of the particles through the `-s<ID>` command-line option for the `mcpl2ssw`  
command.

Finally, note that SSW files do not contain polarisation information, and  
any such polarisation information in the input MCPL file will consequently be  
discarded in the translation. Likewise, in cases where the input MCPL file contains  
one or more particles whose type does not have a representation in the targeted

flavour of MCNP, they will be ignored with suitable warnings.

### 3.3. McStas and McXtrace interfaces

440 Recent releases of the neutron ray tracing software package **McStas** [18, 19]  
(version 2.3 and later) and its X-ray sibling package **McXtrace** [20] (version 1.3  
and later) both include MCPL-interfaces. Although **McStas** and **McXtrace** are  
two distinct software packages, they are implemented upon a common techno-  
logical platform, **McCode**, and the discussions here will for simplicity use the  
445 term **McCode** where the instructions are otherwise identical for users of the two  
packages.

The particle model adopted in **McCode** is directly compatible with MCPL. In  
essence, apart from mere unit conversions, particles are read from or written  
to MCPL files at one or more predefined logical points defined in the **McCode**  
450 configuration files (so-called *instrument files*). Specifically, two new components,  
**MCPL\_input** and **MCPL\_output**, have been provided, which users can activate  
by adding entries at relevant points in their instrument files as is usual when  
working with **McCode**.

First, when using the **MCPL\_input** component, particles are directly read  
455 from an MCPL input file and injected into the simulation at the desired point, thus  
playing the role of a source model. In Listing 12 is shown how, in its simplest  
form, users would insert an **MCPL\_input** component in their instrument file.  
This will result in the MCPL file being read in its entirety, and all found neutrons  
(for **McStas**) or gamma particles (for **McXtrace**) traced through the **McCode**  
460 simulation. In Listing 13 is indicated how the user can additionally impose an  
allowed energy range when loading particles by supplying the **Emin** and **Emax**  
parameters. The units are meV and keV respectively for **McStas** and **McXtrace**.  
Thus, the code in Listing 13 would select 12–100 meV neutrons in **McStas** and  
12–100 keV gammas in **McXtrace**. A particle from the MCPL file is injected at  
465 the position indicated by its MCPL coordinates *relative* to the position of the  
**MCPL\_input** component in the **McCode** instrument. Thus, a user can impose  
coordinate transformations by altering the positioning of **MCPL\_input** as shown



Listing 12: Code enabling MCPL input in its simplest form.

```
COMPONENT vin = MCPL_input( filename="myfile.mcpl" )
AT(0,0,0) RELATIVE Origin
```

Listing 13: Code enabling MCPL input, selecting particles in a given energy range.

```
COMPONENT vin = MCPL_input( filename="myfile.mcpl",
                             Emin=12, Emax=100 )
AT(0,0,0) RELATIVE Origin
```

in Listing 14, which would shift the initial position of the particles by  $(X, Y, Z)$  and rotate their initial velocities around the  $x$ ,  $y$  and  $z$  axes (in that order) by respectively  $R_x$ ,  $R_y$  and  $R_z$  degrees. Furthermore, Listing 14 shows a way to introduce a time shift of 2s to all particles, using an `EXTEND` code block.

For technical reasons, the number of particles to be simulated in `McCode` must be fixed at initialisation time. Thus, the number of particles will be set to the total number of particles in the input file, as this is provided through the corresponding MCPL header field. If and when a particle is encountered which can not be used (due to having a wrong particle type or energy), it will lead to an empty event in which no particles leave the source. At the end of the run, the number of particles skipped over will be summarised for the user. This approach obviates the need for running twice over the input file, as well as avoiding the potential introduction of statistical bias from reading a partial file.

Note that if running `McCode` in parallel processing mode using MPI [32], each process will operate on all particles in the entire file, but the particles will get their statistical weights reduced accordingly upon load. This behaviour is not specific to the `MCPL_input` component, but is a general feature of how

Listing 14: Code enabling MCPL input, applying spatial and temporal transformations.

```
COMPONENT vin = MCPL_input( filename="myfile.mcpl" )
AT(X,Y,Z) RELATIVE Origin
ROTATED (Rx,Ry,Rz) RELATIVE Origin
EXTEND
%{
    t=t+2;
%}
```

Listing 15: Code enabling MCPL output in its simplest form.

```
COMPONENT mcplout = MCPL_output( filename="myoutput.mcpl" )  
AT(0,0,0) RELATIVE PREVIOUS
```

485 multiprocessing is implemented in McCode.

When adding an `MCPL_output` component to an `McCode` instrument file, the complete state of all particles reaching that component are written to the requested output file. In Listing 15 is shown how, in its simplest form, users would insert such a component in their instrument file, and get particles written with  
490 coordinates relative to the component preceding it, into the output file. For reference, a copy of the complete instrument file is stored in the MCPL header as a binary data blob under the string key "`mccode_instr_file`". This feature provides users with a convenient snapshot of the generating setup. The instrument file embedded in a given MCPL file can be inspected from the command line  
495 by invoking the command "`mcpltool -bmccode_instr_file <file.mcpl>`".

If running `McCode` in parallel processing mode using MPI, each process will create a separate output file named after the pattern `myoutput-idx.mcpl` where `idx` is the process number (assuming `filename="myoutput.mcpl"` as in Listing 15). The resulting files can subsequently be merged using the `mcpltool`  
500 command if desired. It is envisioned that a future version of the `MCPL_output` component will automatically handle this merging for the user.

To avoid generating unnecessarily large files, the `MCPL_output` component stores particle state data using the global PDG code feature (cf. section 2.1), uses single precision floating point numbers, and does *not* by default store po-  
505 larisation vectors. The two latter settings may be changed by the user through the `polarisationuse` and `doubleprec` parameters respectively, as shown in listing 16.

Finally, if desired, custom information might be stored per-particle into the MCPL user-flags field for later reference. This could be any property, such as  
510 for instance the number of reflections along a neutron guide, or the type of scattering process in a crystal, etc. Listing 17 shows a simple example of this

Listing 16: Code enabling MCPL output with polarisation and double precision numbers.

```
COMPONENT mcplout = MCPL_output( filename="myoutput.mcpl",
                                polarisationuse=1,
                                doubleprec=1 )
AT(0,0,0) RELATIVE PREVIOUS
```

Listing 17: Code enabling MCPL output with custom user-flags information.

```
/* some upstream component setting a variable (customvar) */
COMPONENT some_comp = Some_Component( /* some parameters here */ )
AT (0,0,0) ABSOLUTE
EXTEND %{
    customvar=(uint32_t) mcget_run_num();
%}

/* MCPL output capturing customvar into MCPL user-flags */
COMPONENT vout = MCPL_output( filename="myoutput.mcpl",
                              userflag=customvar,
                              userflagcomment="Particle Id" )
AT(0,0,0) RELATIVE PREVIOUS
```

where the particle ID, in the form of its McCode ray number (returned from the McCode library function `mcget_run_num`), is stored into the user-flags field. A string, `userflagcomment`, is required in order to describe the significance of the extra data, and will end up as a comment in the resulting MCPL file.

#### 4. Example scientific use cases

The possible uses for MCPL are envisioned to be many and varied, facilitating both straight-forward transfers of particle data between different simulations, as well as data reuse and cross-code comparisons. Actual scientific studies are already being performed with the help of MCPL, demonstrating the suitability of the format “in the field”. By way of example, it will be discussed in the following how MCPL is used in two such ongoing studies.

##### 4.1. Optimising the detectors for the LoKI instrument at ESS

The ongoing construction of the European Spallation Source (ESS) [12, 13] has initiated significant development of novel neutronic technologies in the past 5 years. The performance requirements for neutron instruments at the ESS, in particular those resulting from the unprecedented cold and thermal neutron

brightness, are at or beyond the capabilities of detector technologies currently available [33]. Additionally, shortage of  $^3\text{He}$  [34, 35], upon which the vast majority of previous detectors were based, augments the need for development of new  
530 efficient and cost-effective detectors based on other isotopes with high neutronic conversion cross sections.

A typical approach to instrument design and optimisation at ESS involves the development of a **McStas**-based simulation of the instrument. Such a simulation includes an appropriate neutron source description and detailed models  
535 of the major instrument components, such as benders, neutron guides, chopper systems, collimators, sample environment and sample. See [36] for an introduction to the role of the various instrument components. Detector components in **McStas** are, however, typically not implemented with any detailed modelling, and are simply registering all neutrons as they arrive. Thus, while the setup  
540 in **McStas** allows for an efficient and precise optimisation of most of the instrument parameters, detailed detector optimisation studies must out of necessity be carried out in a separate simulation package, such as **Geant4**.

As the detector development progresses in parallel with the general instrument design, it is crucial to be able to optimise the detector setup for the exact  
545 instrument conditions under investigation in **McStas**. The MCPL format, along with the interfaces discussed in sections 3.1 and 3.3, facilitates this by allowing for easy transfer of neutron states from the **McStas** instrument simulation into **Geant4** simulations with detailed setups of proposed detector designs.

Technically, this is done by placing the **MCPL\_output** component just after  
550 the relevant sample component in the **McStas** instrument file. Additionally, using the procedure for creation and storage of custom MCPL user-flags also discussed in section 3.3, it is possible to differentiate neutrons that scattered on the sample from those who continued undisturbed, and to carry this information into the **Geant4** simulations. This information is needed to understand the  
555 impact of the direct beam on the low angle measurements, in order to study the requirements for a so-called zero-angle detector.

For example, in order to optimise the detector technology that the LoKI

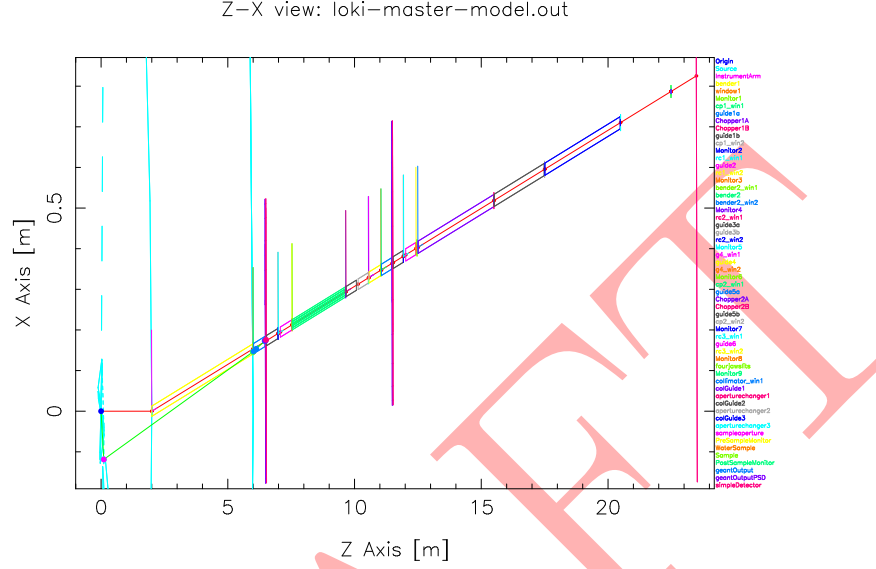


Figure 1: Layout of the **McStas** model of the LoKI instrument. Neutrons originate at the source located at  $z = 0$  and progress through the various instrument components toward the sample at  $z = 22.5$  m.

instrument [37, 38, 39] might adopt, a series of **McStas** simulations of the instrument components and the interactions in realistic samples [40] are performed (see Figure 1 for a view of the instrument in **McStas**). The parameters of the instrument and the samples in the **McStas** model are chosen in such a way, that various aspects of the detector performance can be investigated, including rate capability and spatial resolution. The neutrons emerging from the sample in **McStas** are then transferred via MCPL to the detector simulation in **Geant4**, where a detailed detector geometry and appropriate materials are implemented (see Figure 2 for a visualisation of the **Geant4** model).

Neutrons traversing the detector geometry in **Geant4** undergo interactions with the materials they pass on their flight-path, according to the physics processes and respective cross sections available in the setup. Special attention is needed when configuring the **Geant4** physics modelling, to ensure that all pro-

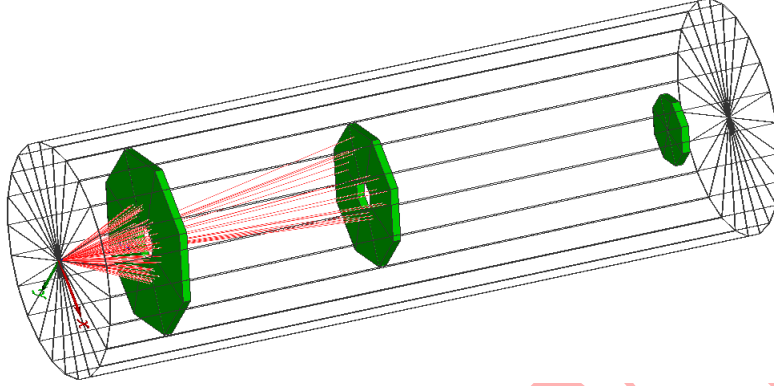


Figure 2: **Geant4** model of a potential detector geometry for the LoKI instrument. Neutrons from the sample hitting the active detector area appear in red.

cesses relevant for neutron detection are taken into account and handled correctly. Specifically, the setup therefore utilises the high-precision neutron models in **Geant4** extended with [41], and is implemented in [11]. In the solid-converter  
575 based detectors under consideration, an absorbed neutron emits charged products which then travel a certain range inside the detector and deposit energy in a counting gas. It is possible to extract position and time information from the energy deposition profile and use these space-time coordinates for further analysis, in the same way that hits in a real detector would be treated. This  
580 way it becomes possible to reproduce the distributions of observable quantities relevant for Small Angle Neutron Scattering (SANS) analysis [42, 43], such as the resulting raw  $Q$  distribution [36, Ch. 2.3.3].

Figure 3 demonstrates such a  $Q$  distribution, based on the simulated output of the middle detector bank of LoKI (cf. Figure 2), for a certain instrument  
585 setup – including a sample modelled as consisting of spheres with radii of 500 Å. The raw  $Q$  distribution is calculated both based on the neutron states as they emerge from the sample in **McStas**, and from the simulated hits in **Geant4**. With such a procedure, resolution-smearing effects can be correctly attributed to their sources, geometrical acceptance and detector efficiency can be studied in detail,  
590 and the impact of engineering aspects such as dead space can be accurately

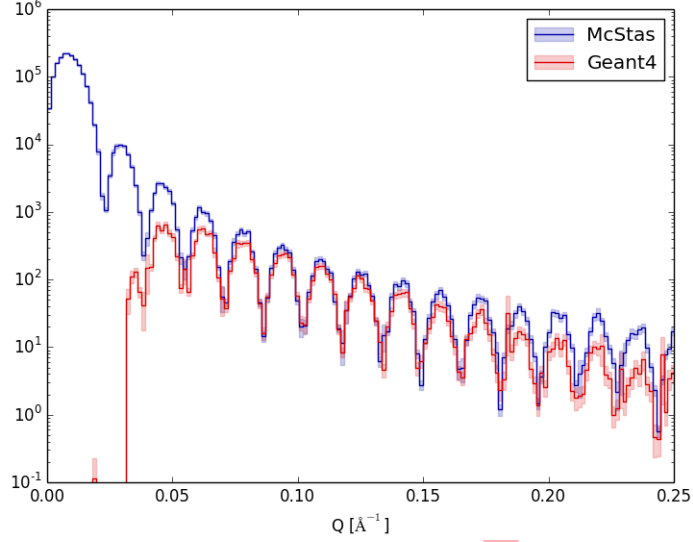


Figure 3: Raw  $Q$  distribution for a subset of the LoKI detectors (middle detector bank of Figure 2). The `McStas` post-sample output appears in blue, while the distribution calculated from the detector hits in `Geant4` appears in red.

considered.

#### 4.2. Neutron spectra predictions for cosmogenic dating studies

The use of radionuclides produced in-situ by cosmic rays for dating purposes has, in the last two decades, revolutionised the earth surface sciences [44]. The precise determination of the production rate of such isotopes, like  $^{10}\text{Be}$  and  $^{26}\text{Al}$ , poses the key challenge for this technique and relies on a folding of cosmic fluxes with energy dependent production cross sections [45]. The present discussion will focus on the evaluation of the neutron flux induced by cosmic radiation, and in particular on how MCPL can be exploited both to facilitate the reuse of computationally intensive simulations, and as a means for cross-code comparisons.

At sea level, neutrons constitute the most abundant hadronic component of cosmic ray induced showers, and possess relatively high cross sections for production of isotopes relevant for radionuclide dating. Thus, it is the dominant

605 contributor to the relevant isotopic production in the first few meters below the  
 surface [46]. Extending further below the surface, the neutron flux decreases  
 rapidly, and as a consequence the isotopic production rate induced by cosmic  
 muons eventually becomes the most significant factor [47, 48]. At a depth  
 of approximately 3 m below the surface, the production rate due to muons is  
 610 comparable with the rate from neutrons [46]. Considering non-erosive surfaces  
 and samples at depths significantly less than 3 m, the production rates can thus  
 be estimated by considering just the flux of neutrons. Thus, given known cross  
 sections for neutronic production of  $^{10}\text{Be}$  or  $^{26}\text{Al}$ , properties such as the cosmic  
 irradiation time of a given sample can be directly inferred from its isotopic  
 615 content – providing information about geological activity. In the present study,  
 Monte Carlo methods are used to simulate atmospheric cosmic rays [49, 50] and  
 subsequently estimate the neutron flux spectra as a function of depth under the  
 surface of the Earth.

Primary cosmic rays constantly bombard the solar system and initiate cos-  
 620 mic ray showers in the Earth’s atmosphere, leading to the production of at-  
 mospheric neutrons. Full scale simulation of such showers is time consuming,  
 as is illustrated by Figure 4, which shows the trajectories of a simulated air  
 shower induced by a single 100 GeV proton in **Geant4**: very large numbers of  
 secondary particles are generated in each shower, all of which must themselves  
 625 undergo simulation. On the other hand, simulations of the propagation of sea  
 level neutrons in a few meters of solid material are relatively fast. In the present  
 work of estimating neutron spectra for different underground materials, **MCPL**  
 is used to record particle information at sea level. Using the recorded data as  
 input, subsequent simulations are dedicated to the neutron transport in dif-  
 630 ferent underground materials. In this way, repetition of the time consuming  
 parts of the simulation is avoided. **Geant4** is used to simulate the air shower in  
 this work, while both **Geant4** and **MCNPX** are used to simulate neutron spectra  
 underground.

In the **Geant4** simulation of the Earth’s atmosphere, the geometry is imple-  
 mented as a 100 km thick shell with an inner radius of 6387 km, sub-divided



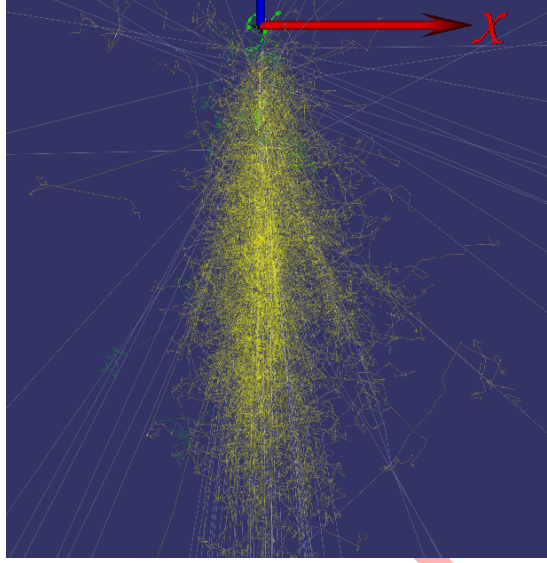


Figure 4: Cosmic shower simulated in **Geant4**. The incident proton energy is 100 GeV and the length of the  $x$ -axis is 2 km. The straight grey trajectories are neutrinos. The yellow and green trajectories are photons and neutrons, respectively.

into 50 equally thick layers, the effective temperatures and densities of which are calculated using the “U.S. standard atmosphere, 1976” model [51]. Using the plugins described in section 3.1, the simulation of any particle reaching the inner surface of the atmosphere is ended and its state stored in an MCPL file. To compare the simulated and measured [52] spectra at New York city, a lower cutoff of  $E_c = 2.08$  GeV on the kinetic energy of the primary proton is applied, to take the geomagnetic field shielding effect at this location into account. The relationship between the number of simulated primary protons,  $N$ , and the real world time-span,  $\delta t$ , to which such a sample-size corresponds, is given by the following equation:

$$\delta t = \frac{N}{\int_{E_c}^{\infty} J(E) dE \times 2\pi \times 4\pi r^2}$$

Here,  $r$  is the outer radius of the simulated atmosphere and  $J$  the differential spectrum of Usoskin’s model [53] using the parameterisation in [54].

In the simulation of  $4.20 \times 10^6$  primary protons, the resulting integral neu-

tronic flux above 20 MeV at sea level was found to be  $3.27 \times 10^{-15} \text{ cm}^{-2}$ , corresponding to an absolute surface flux at New York city of  $4.22 \times 10^{-3} \text{ cm}^{-2} \text{ s}^{-1}$ . This simulated flux overestimates the measured flux by 32% theoretical cascade  
640 model in the simulation, and up to  $\pm 30\%$  primary proton flux predicted by different local interstellar spectrum models [54], the performance of the simulation is satisfactory.

In the subsequent underground simulations presented here, the Earth is for simplicity modelled as consisting entirely of quartz ( $\text{SiO}_2$ ), which is a sample  
645 material widely used in cosmogenic dating applications [44], as both  $^{26}\text{Al}$  and  $^{10}\text{Be}$  are produced within when subjected to neutron radiation – normally via spallation. The MCPL files generated by the computationally expensive atmospheric shower simulation described above, is input to the underground simulations implemented in both **Geant4** and **MCNPX**, using the interfaces described  
650 in sections 3.1 and 3.2. The geometries in both cases are defined as 20 cm thick spherical shells consisting of pure quartz. As the threshold energies of the related spallation reactions are well above 20 MeV, only spectra above this energy are compared in this study. The simulated volume spectra in a few layers are compared in Figure 5. Good agreement between **Geant4** and **MCNPX** is observed.

In conclusion, a useful method for disentangling the resource intensive simulation of cosmic showers from subsequent faster simulations of neutron transport  
655 in the Earth crust has been demonstrated using MCPL as an intermediate stepping stone. The simulation strategy thus employed eases the use of computational resources, and provides a means for cross-comparison between simulation codes. Given reliable energy dependent cross sections, many of the key parameters for cosmogenic dating applications can be provided based on the work  
660 described in this section.

## 5. Summary and outlook

The MCPL format provides flexible yet efficient storage of particle-state information, aimed at simplifying and standardising interchange of such data be  
665

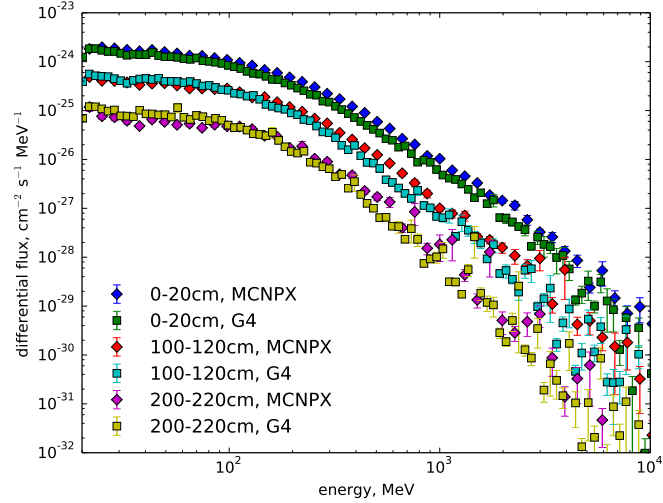


Figure 5: Comparisons of simulated neutron spectra in underground quartz.

tween applications and processes. The core parts of MCPL are implemented in portable and legally unencumbered C code. This is intended to facilitate adoption into existing packages and build systems, and the creation of application-specific converters and plugins.

670 In connection with the initial release presented here, MCPL interfaces were created for several popular Monte Carlo particle simulation packages: **Geant4**, **MCNP**, **McStas** and **McXtrace**. It is the intention and hope that the number of such MCPL-aware applications will increase going forward. A website [5] has been set up for the MCPL project, on which users will be able to locate future updates  
675 to the MCPL distribution, as well as relevant documentation.

### Acknowledgements

This work was supported in part by the European Union’s Horizon 2020 research and innovation programme under grant agreement No 676548 (the Brightness project) and under grant agreement No 654000 (the SINE2020 project).

## 680 References

- [1] D. A. Kling, et al., Advanced Monte Carlo for Radiation Physics, Particle Transport Simulation and Applications, Proceedings of the Monte Carlo 2000 Conference, Lisbon, 23-26 October 2000, Springer, 2000.
- [2] T. Sjöstrand, et al., A Proposed Standard Event Record, in: G. Altarelli, et al. (Eds.), Z physics at LEP 1, Vol. 3, CERN, Geneva, 1989, Ch. 6.2, 685 pp. 327–330. doi:10.5170/CERN-1989-008-V-3.
- [3] M. Dobbs, J. B. Hansen, The HepMC C++ Monte Carlo event record for High Energy Physics, Computer Physics Communications 134 (1) (2001) 41 – 46. doi:10.1016/S0010-4655(00)00189-2.
- [4] J. Alwall, et al., A standard format for Les Houches Event Files, Computer 690 Physics Communications 176 (4) (2007) 300 – 304. doi:10.1016/j.cpc.2006.11.010.
- [5] T Kittelmann and others, Website of MCPL: Monte Carlo Particle Lists (2016). 695 URL <https://mctools.github.io/mcpl/>
- [6] K A Olive, et al. (Particle Data Group), Review of Particle Physics, Chinese Physics C 38 (9) (2014) 090001. doi:10.1088/1674-1137/38/9/090001.
- [7] T. Engelhardt, C. Dachsbacher, Octahedron environment maps, Proceedings of the Vision, Modeling, and Visualization Conference 2008, VMV 700 2008, Konstanz, Germany (2008) 383–388.
- [8] Z. H. Cigolle, S. Donow, D. Evangelakos, M. Mara, M. McGuire, Q. Meyer, A survey of efficient representations for independent unit vectors, Journal of Computer Graphics Techniques (JCGT) 3 (2) (2014) 1–30. URL <http://jcgt.org/published/0003/02/01/>
- [9] S. Agostinelli, et al., GEANT4: A Simulation toolkit, Nucl. Instrum. Meth. 705 A506 (2003) 250–303. doi:10.1016/S0168-9002(03)01368-8.

- [10] J. Allison, et al., Geant4 developments and applications, IEEE Trans. Nucl. Sci. 53 (2006) 270. doi:10.1109/TNS.2006.869826.
- [11] T. Kittelmann, et al., Geant4 based simulations for novel neutron detector development, J. Phys: Conf. Ser. 513 (2014) 022017. doi:10.1088/1742-6596/513/2/022017.
- [12] S. Peggs, et al., ESS Conceptual Design Report, ESS 2012-001, <http://europeanspallationsource.se/scientific-technical-documentation> (2012).
- [13] S. Peggs, et al., ESS Technical Design Report, ESS 2013-001, <http://europeanspallationsource.se/scientific-technical-documentation> (2013).
- [14] L. P. Deutsch, GZIP file format specification version 4.3, RFC 1952 (May 1996). doi:10.17487/RFC1952.
- [15] L. P. Deutsch, DEFLATE Compressed Data Format Specification version 1.3, RFC 1951 (May 1996). doi:10.17487/RFC1951.
- [16] Jean-Loup Gailly and Mark Adler, ZLIB Compression library, v1.2.8. URL <http://zlib.net/>
- [17] L. P. Deutsch, J.-L. Gailly, ZLIB Compressed Data Format Specification version 3.3, RFC 1950 (May 1996). doi:10.17487/RFC1950.
- [18] K. Lefmann, K. Nielsen, Mcstas, a general software package for neutron ray-tracing simulations, Neutron News 10 (3) (1999) 20–23. doi:10.1080/10448639908233684.
- [19] P. Willendrup, E. Farhi, K. Lefmann, McStas 1.7 - a new version of the flexible Monte Carlo neutron scattering package, Physica B: Condensed Matter 350 (1-3, Supplement) (2004) E735 – E737. doi:10.1016/j.physb.2004.03.193.

- [20] E. Bergbäck Knudsen, et al., Mcxtrace: a monte carlo software package for simulating x-ray optics, beamlines and experiments, *Journal of Applied Crystallography* 46 (3) (2013) 679–696. doi:10.1107/S0021889813007991.
- [21] K. Martin, B. Hoffman, *Mastering CMake: A Cross-Platform Build System*, Kitware Inc, 2015.
- [22] L. S. Waters, et al., The MCNPX Monte Carlo radiation transport code, *AIP Conf. Proc.* 896 (2007) 81–90. doi:10.1063/1.2720459.
- [23] D. B. Pelowitz, et al., MCNPX 2.7.0 Extensions, Tech. Rep. LA-UR-11-02295, Los Alamos National Laboratory (2011).
- [24] X-5 Monte Carlo Team, MCNP - A General Monte Carlo N-Particle Transport Code, Version 5, Tech. Rep. LA-UR-03-1987, Los Alamos National Laboratory (2003 (revised 2008)).
- [25] J. Goorley, et al., Initial MCNP6 Release Overview - MCNP6 version 1.0, Tech. Rep. LA-UR-13-22934, Los Alamos National Laboratory (2013).
- [26] E. Klinkby, et al., Interfacing MCNPX and McStas for simulation of neutron transport, *Nucl. Instrum. Meth. A* 700 (2013) 106 – 110. doi:10.1016/j.nima.2012.10.052.
- [27] K. Batkov, et al., mctools - Some Monte Carlo tools for MCNP(X), PHITS and FLUKA, <https://github.com/kbat/mc-tools> (2015).
- [28] the PyNE Development Team, *PyNE: The Nuclear Engineering Toolkit* (2014).  
URL <http://pyne.io>
- [29] Tech. rep., X-5 Monte Carlo Team, "MCNP - A General N-Particle Transport Code, Version 5", Volume I: Overview and Theory LA-UR-03-1987 (2003, updated 2005), Volume II: User's Guide", LA-CP-03-0245 (April, 2003). Volume III: Developer's Guide", LA-CP-03-0284 (April, 2003).

- 760 [30] D. B. Pelowitz, MCNPX User's Manual, Version 2.6.0, Tech. Rep. LA-CP-07-1473, Los Alamos National Laboratory (2008).
- [31] D. B. Pelowitz, et al., MCNP6 Users Manual, Version 1.0, Tech. Rep. LA-CP-13-00634, Los Alamos National Laboratory (2013).
- [32] M. P. I. Forum, MPI: A Message-Passing Interface Standard, Version 3.1, High Performance Computing Center Stuttgart (HLRS), 2015.
- 765 [33] O. Kirstein, et al., Neutron Position Sensitive Detectors for the ESS, Proceedings of Science (Vertex 2014) (2014) 029doi:arXiv:1411.6194.
- [34] A. Cho, Helium-3 shortage could put freeze on low-temperature research, Science 326 (5954) (2009) 778–779. doi:10.1126/science.326\_778.
- 770 [35] T. M. Persons, G. Aloise, Technology Assessment: Neutron Detectors: Alternatives to Using Helium-3, GAO-11-753.
- [36] B. Willis, C. Carlile, Experimental Neutron Scattering, Oxford University Press, 2009.
- [37] A. Jackson, et al., LoKI - A Broad Band High Flux SANS Instrument for the ESS, Proceedings ICANS XXI doi:10.11484/jaea-conf-2015-002.
- 775 [38] K. Kanaki, et al., A novel small-angle neutron scattering detector geometry, Journal of Applied Crystallography 46 (4) (2013) 1031–1037. doi:doi:10.1107/S0021889813011862.
- [39] K. Kanaki, et al., A novel small-angle neutron scattering detector geometry (corrigendum), Journal of Applied Crystallography 46 (4) (2013) 1528. doi:doi:10.1107/S0021889813022826.
- 780 [40] SasView Model Functions.  
 URL [http://www.sasview.org/sasview/user/models/model\\_functions.html](http://www.sasview.org/sasview/user/models/model_functions.html)

- 785 [41] T. Kittelmann, M. Boin, Polycrystalline neutron scattering for Geant4:  
NXSG4, Computer Physics Communications 189 (0) (2015) 114 – 118.  
doi:10.1016/j.cpc.2014.11.009.
- [42] L. Feigin, D. Svergun, Structure Analysis by Small-Angle X-Ray and Neu-  
tron Scattering, Springer, 1987.
- 790 [43] T. Imae, et al., Neutrons in Soft Matter, Wiley, 2011.
- [44] T. J. Dunai, Cosmogenic Nuclides: Principles, Concepts and Applications  
in the Earth Surface Sciences, Cambridge University Press, 2010.
- [45] R. Reedy, Cosmogenic-nuclide production rates: Reaction cross section  
update, Nucl. Instrum. Meth. B 293 (2013) 470 – 474. doi:doi:10.1016/  
795 j.nimb.2011.08.034.
- [46] J. Gosse, F. Phillips, Terrestrial in situ cosmogenic nuclides: theory and  
application, Quat. Sci. Rev. 20 (2001) 1475 – 1560.
- [47] B. Heisinger, et al., Production of selected cosmogenic radionuclides by  
muons: 1. Fast muons, Earth Planet. Sci. Lett. 200 (2002) 345 – 355.
- 800 [48] B. Heisinger, et al., Production of selected cosmogenic radionuclides by  
muons: 2. Capture of negative muons, Earth Planet. Sci. Lett. 200 (2002)  
357 – 369.
- [49] J. Masarik, J. Beer, Simulation of particle fluxes and cosmogenic nuclide  
production in the Earth’s atmosphere, J. Geophys. Res. 104 (D10) (1999)  
805 12099 – 12111.
- [50] J. Masarik, J. Beer, An updated simulation of particle fluxes and cosmo-  
genic nuclide production in the Earth’s atmosphere, J. Geophys. Res. 114  
(2009) D11103. doi:10.1029/2008JD010557.
- [51] A. Jursa (Ed.), Handbook of Geophysics and the Space Environment, 1985.



- 810 [52] M. Gordon, et al., Measurement of the Flux and Energy Spectrum of  
Cosmic-Ray Induced Neutrons on the Ground, IEEE Trans. Nucl. Sci.  
51 (6) (2004) 3427 – 3434. doi:10.1109/TNS.2004.839134.
- [53] I. Usoskin, et al., Heliospheric modulation of cosmic rays: Monthly re-  
construction for 1951-2004, J. Geophys. Res. 110 (2005) A12108. doi:  
815 10.1029/2005JA011250.
- [54] K. Herbst, et al., On the importance of the local interstellar spectrum  
for the solar modulation parameter, J. Geophys. Res. 115 (2010) D00I20.  
doi:10.1029/2009JD012557.