



**Faculty of Computers and Information**  
**Dept. of Computers Science**  
**Third year**

Analysis and Design of Algorithms  
Fall 2023/2024  
Homework 3  
Due: Saturday, Dec. 21, 2024 (12:00 pm)

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**A.[6.0 Points] Use substitution (iterative) method to give tight asymptotic bound for the following recurrence relation:**

$$T(n) = 7T(n/2) + c(n^2), \text{ for } n > 1 \text{ and } T(1) = 1$$

Answer

$$\begin{aligned} T(n) &= 7T(n/2) + cn^2 \\ &= 7(7T(n/4) + c(n/2)^2) + cn^2 \\ &= 7^2T(n/4) + 7cn^2/4 + cn^2 \\ &= 7^2(7T(n/8) + c(n/4)^2) + 7cn^2/4 + cn^2 \\ &= 7^3T(n/8) + 7^2cn^2/16 + 7cn^2/4 + cn^2 \end{aligned}$$

**Notice a pattern? Equation**

$$T(n) = 7^k T(n/2^k) + \sum_{i=0}^{k-1} (7^i * c * n^2 / 2^{2i})$$

Now, let's find the value of  $k$  that makes  $n/2^k = 1$   
Since  $n = 2^k$ , we have:

$$n/2^k = 1 \Rightarrow k = \log_2(n)$$

Substituting  $k = \log_2(n)$  into the above equation, we get:

$$T(n) = 7^{\log_2(n)}T(1) + \sum_{i=0}^{\log_2(n)-1} (7^i * c * n^2 / 2^{(2i)})$$

**Simplifying** the above equation, we get:

$$T(n) = n^{\log_2 7} + \sum_{i=0}^{\log_2(n)-1} (7^i * c * n^2 / 2^{(2i)})$$

Using the formula for geometric series, we can simplify the summation:

$$\sum_{i=0}^{\log_2(n)-1} (7^i * c * n^2 / 2^{(2i)}) = c * n^2 * (1 - (7/4)^{\log_2(n)}) / (1 - 7/4)$$

Simplifying the above equation, we get:

$$T(n) = n^{\log_2 7} + c * n^2 * (1 - (7/4)^{\log_2(n)}) / (1 - 7/4)$$

Since  $(7/4)^{\log_2(n)}$  grows faster than  $n^2$ , we can ignore the lower-order term  $n^2$ . Thus, we get:

$$T(n) = \Theta(n^{\log_2 7})$$

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**B.[11 Points] Describe  $O(n \lg m)$ -time complexity Alg, for merging  $m$  sorted lists of objects into one sorted list, such that  $n$  represents the total number of objects in all the input lists.**

#### Steps of the Algorithm

- Create a max-heap of size  $m$
- Each element in the heap will be a tuple containing:
  - The value of the first object in a list.
  - The index of the list that the object came from.
  - The index of the object in the list.

This ensures we retrieve the maximum object across all lists.

#### Heap the Initial Elements:

- Add the first element from each of the  $m$  lists into the heap. The heap will now contain at most  $m$  elements.

- Build the heap, which takes  $O(m)$  time

### **Extract and Add to the Result List:**

- Initialize an empty list result to store the merged objects.
- Repeat the following  $n$  times (once for each object across all lists):
  1. Extract the maximum object from the heap. This takes  $O(\log m)$  time.
  2. Append the extracted object to the result list.

### **Output the Merged List:**

- Once all  $n$  objects are extracted and added to the result, the merged list is complete.

### **Time Complexity Analysis:**

- **Heap Initialization:** Building the heap with the first  $m$  elements takes  $O(m)$
- **Main Loop:** The loop runs  $n$  iterations (one for each object):
  - Extracting from the heap:  $O(\log m)$ .
  - Inserting into the heap:  $O(\log m)$ .
  - Total cost per iteration:  $O(\log m)$ .
  - Total cost for  $n$  iterations:  $O(n \log m)$ .

Thus, the overall time complexity is:

$$O(m + n \log m) \approx O(n \log m) \text{ (as } n \gg m \text{ in most cases)}$$

### **example**

Input:

We have  $m=3$  sorted lists:

$L1=[1,4,7]$

$L2=[2,5,8]$

$L3=[3,6,9]$

The total number of objects is  $n=9$

### Step 1: Initialize the Heap

Insert the first element of each list into the heap along with the list index and the position of the element in the list:

Heap:

$[(-7, 0, 2), (-8, 1, 2), (-9, 2, 2)]$

### Step 2: Extract Max and Add to Result

- Extract the maximum (smallest negative) element: $(-9,2,2)$ .
  - Append 9 to the result list.
  - Push the previous element from  $L3(6)$  into the heap.
  - Heap:
  - $[(-8, 1, 2), (-7, 0, 2), (-6, 2, 1)]$
  - Result:
  - [9]
- Extract the maximum: $(-8,1,2)$ .
  - Append 8 to the result list.
  - Push the previous element from  $L2(5)$  into the heap.
  - Heap:
  - $[(-7, 0, 2), (-6, 2, 1), (-5, 1, 1)]$
  - Result:
  - [9,8]
- Extract the maximum: $(-7,0,2)$ .
  - Append 7 to the result list.
  - Push the previous element from
  - $L1(4)$  into the heap.
  - Heap:
  - $[(-6, 2, 1), (-5, 1, 1), (-4, 0, 1)]$
  - Result:
  - [9,8,7]

### Step 3: Continue Extracting

- Result:

[9,8,7,6,5,4,3,2,1]

### [3.0 Marks] Analyze Huffman algorithm to find its time complexity.

step-by-step analysis:

1. Build Frequency Table:  $O(n)$
2. Build Priority Queue:  $O(n)$
3. Build Huffman Tree:  $O(n \log n)$ 
  - We perform  $n-1$  extract-min operations, each taking  $O(\log n)$  time.
4. Generate Huffman Codes:  $O(n)$

Overall time complexity:  $O(n) + O(n) + O(n \log n) + O(n) = O(n \log n)$

Therefore, the time complexity of the Huffman algorithm is  $O(n \log n)$ .

### D.[8.0 Marks] Let $G = (V, E)$ be a simple graph with $n$ vertices and the weight of every edge of $G$ is equal to one. Compute in detail the weight of MST of $G$ ?

To compute the weight of the Minimum Spanning Tree (MST) of graph  $G$ , we can use the following steps:

Since the weight of every edge in  $G$  is equal to 1, the MST will be a spanning tree with the minimum number of edges.

A spanning tree of a graph with  $n$  vertices has exactly  $n-1$  edges.

Therefore, the weight of the MST of  $G$  will be:

Weight of MST = Number of edges in MST =  $n - 1$

Since the weight of every edge is 1, the total weight of the MST is simply the number of edges in the MST.

Therefore, the weight of the MST of  $G$  is  $n - 1$ .

**E.[11.0 Marks] If  $S$  is an unsorted array of  $k$  integers (any element of  $M$  could be either positive or negative integer), design  $O(k \lg k)$  worst-case time algorithm that searches two numbers  $x, y \in M$ ,  $x \neq y$ , such that  $|x + y|$  is the minimum among all pairs in  $M$ .**

step-by-step algorithm to find two numbers  $x, y$  in an unsorted array  $S$  of  $k$  integers such that  $|x + y|$  is minimized:

### **Algorithm: MinSumPair**

#### **Step 1: Sort the Array**

- Sort the array  $S$  in non-decreasing order using a sorting algorithm like Merge Sort or Quick Sort.
- Time complexity:  $O(k \lg k)$

#### **Step 2: Initialize Pointers**

- Initialize two pointers,  $left$  and  $right$ , to the start and end of the sorted array, respectively.

#### **Step 3: Find MinSumPair**

- Initialize  $min\_sum$  to infinity and  $min\_pair$  to null.
- While  $left < right$ :
  1. Calculate  $sum = S[left] + S[right]$ .
  2. If  $|sum| < |min\_sum|$ , update  $min\_sum$  and  $min\_pair$ .
  3. If  $sum < 0$ , increment  $left$  to increase the sum.
  4. Else, decrement  $right$  to decrease the sum.

#### **Step 4: Return MinSumPair**

- Return  $min\_pair$  containing the two numbers  $x, y$  that minimize  $|x + y|$ .

### **Time Complexity Analysis**

- Sorting the array:  $O(k \lg k)$
- Finding MinSumPair:  $O(k)$
- Total time complexity:  $O(k \lg k) + O(k) = O(k \lg k)$

This algorithm ensures that we find the pair of numbers that minimizes  $|x + y|$  in  **$O(k \lg k)$  time complexity**.

## **F.[11 Points] Discuss how you can compute in\_degree and out\_degree of the nodes of a graph given when it is represented by an adjacency list.**

### **Computing Out-Degree**

The out-degree of a node is the number of edges that originate from it. In an adjacency list representation, each node has a list of its neighboring nodes.

To compute the out-degree of a node:

1. Iterate through the adjacency list of the node.
2. Count the number of neighboring nodes.
3. The count is the out-degree of the node.

### **Computing In-Degree**

The in-degree of a node is the number of edges that point to it. To compute the in-degree, we need to iterate through the adjacency lists of all nodes.

To compute the in-degree of a node:

1. Initialize the in-degree count to 0.
2. Iterate through the adjacency lists of all nodes.
3. For each neighboring node, increment the in-degree count of the corresponding node.
4. The final count is the in-degree of the node.

### **Example**

Suppose we have a graph with three nodes (A, B, C) represented by the following adjacency list:

A -> [B, C]

B -> [A, C]

$C \rightarrow [A, B]$

To compute the in-degree and out-degree of each node:

- Node A: Out-degree = 2 (B, C), In-degree = 2 (B, A)
- Node B: Out-degree = 2 (A, C), In-degree = 2 (A, C)
- Node C: Out-degree = 2 (A, B), In-degree = 2 (A, B)