

# The affine Grassmannian as a presheaf quotient

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§ The presheaf quotient suffices

Fix a ring  $A$  (eg.  $A = \mathbb{C}$ ), a reductive  $A$ -gp  $G$  (eg.  $G = GL_n$ )

a smooth affine  $A$ -gp scheme

Thomason, Lurie

max'l central torus

whose geom.  $A$ -fibers are conn'd and reductive

Rank.  $\exists G \hookrightarrow GL_n, A$  iff  $\text{rad}(G)$  is isotrivial.  $\leftarrow$  splits over a finite étale cover of  $A$ .

The loop group (resp. positive loop subgroup) of  $G$  is the functor

$\uparrow$   
automatic

if  $A$  is normal

$$LG: \{A\text{-algs}\} \rightarrow \{\text{groups}\}$$

$$B \longmapsto G(B((t)))$$

$\cup$

$$\text{(resp. } L^+G: B \longmapsto G(B[[t]]))$$

The affine Grassmannian  $\text{Gr}_G: \{A\text{-algs}\} \rightarrow \{\text{pointed sets}\}$

is the étale sheafification of the presheaf quotient  $LG/L^+G: B \mapsto G(B((t))) / G(B[[t]])$

Fact.  $L^+G$  is an affine  $A$ -scheme

$LG$  is an ind-affine  $A$ -ind-scheme  $= \varinjlim_n (X_0 \hookrightarrow X_1 \hookrightarrow X_2 \hookrightarrow \dots)$

$\text{Gr}_G$  is an ind-projective  $A$ -ind scheme

(at least if  $\exists G \hookrightarrow GL_n, A$ )

$\nearrow$  Upshot:  $\text{Gr}_G(-)$  commutes w/ filtered direct limits of rings

$$L^+G \left\{ \begin{array}{l} G(B((t))) / G(B[[t]]) \hookrightarrow G(B[t][\frac{1}{t}]) \\ \uparrow \\ G(B[t]) \end{array} \right.$$

étale type approx

cf. Bouthier-C.

Rank let  $B[t] = B[t]_{\text{hens}} \subset B[[t]]$ ,  $B[t][\frac{1}{t}] \subset B((t))$

$\rightsquigarrow$   $\text{Gr}_G$  can be formed w/ Henselian loops instead

## Main Thm (')

(a)  $\omega_G$  is the Zariski sheafification of the presheaf quotient  $L_G/L^+G$ .

Compare with, if  $P \subset G$  is a parabolic subgroup, then  $G/P$  is the Zariski sheafification of  $B \mapsto G(B)/P(B)$  (i/c parabolic subgroups of the same type are conjugate Zariski-locally on  $X$  [SA3])

(b)  $\omega_G$  is the presheaf quotient  $L_G/L^+G$  if  $G$  is totally isotropic in the sense that Zariski locally on  $X$  it has a proper parabolic subgroup.  
meets every factor of  $G^{\text{ad}} = G/Z(G)$

(split  $\rightarrow$  quasi-split  $\Rightarrow$  totally isotropic)

Remark Not know whether (b) fails for general reductive  $G$ . Not sure whether there is a more general class of  $G$  for which Thm holds.

Ex. (of Main Thm (a)) For a valuation ring  $\mathcal{O}$  w/ fraction field  $K$  and a reductive  $\mathcal{O}$ -gp  $H$ ,  $H(K((t))) = H(\mathcal{O}((t))) H(K[[t]])$  (valuative crit. of properness + Thm (a))

Ex. ( $H = \text{GL}_n$ )  $K((t))^{\times} = t^{\mathbb{Z}} K[[t]]^{\times}$   
 $\hookrightarrow$

## Reinterpretation in terms of torsors

Alternative def'n / modular description of the affine Grassmannian

$\omega_G: B \mapsto \{(\mathcal{E}, \tau): \mathcal{E} \text{ is a } G\text{-torsor / } B[[t]], \tau: \mathcal{E}|_{B((t))} \xrightarrow{\sim} G_{B((t))} \text{ trivialization}\}$

$\mathcal{U} \leftarrow$  subfunctor parametrizing  $(\mathcal{E}, \tau)$  w/  $\mathcal{E}$  trivial  
 $L\mathcal{G}/L^+G$  (presheaf quotient)

By renaming  $B$  to  $A$ , Main Thm reduces to

Main Thm' Let  $G$  be a reductive gp / ring  $A$ . If either

(a)  $A$  is semilocal, or

(b)  $G$  is totally isotropic,

then no nontrivial  $G$ -torsor  $E/A[[t]]$  trivializes over  $A((t))$ .

$$\text{and } \text{Wr}_G(A) = G(A((t))) / G(A[[t]])$$

In case (b), also  $G(A((t))) = G(A[t^{\pm 1}]) G(A[[t]])$ , so that

$$\text{Wr}_G(A) = G(A[t^{\pm 1}]) / G(A[[t]]).$$

Remark Not clear how to prove directly even when  $A = \mathbb{Z}$  and  $G = GL_n, \mathbb{Z}$ .

Compare w/ (Birkhoff decomposition) for a reductive gp  $H$  over a field  $k$ ,  $S \subset H$   
 $H(k((t))) = \coprod_{\lambda \in X_*(S)^+} H(k[t^{-1}]) t^\lambda H(k[[t]])$   
 $\downarrow$   
 $G_m \xrightarrow{\lambda} S \subset H$   
max'l  $k$ -split  
torus

§ Passage to  $\mathbb{P}_A^1$

By patching  $\mathcal{E}$  w/ the trivial  $G$ -torsor over  $\mathbb{P}_A^1 \setminus \{t=0\}$ , reduce Main Thm' to

Thm (a) For a reductive gp  $G$  over a semilocal ring  $A$ , every  $G$ -torsor  $E$  over  $\mathbb{P}_A^1$

Satisfies  $E|_{\{t=0\}} \cong E|_{\{t=\infty\}}$  ( $\Leftrightarrow$  up to iso., the  $G$ -torsor  $s^*(E)$  doesn't depend on  $s \in \mathbb{P}_A^1(A)$ ).

(b) For a totally isotropic reductive gp  $G$  over a ring  $A$  and a  $G$ -torsor  $E$  over  $\mathbb{P}_A^1$  if  $E|_{\{t=\infty\}}$  is trivial, then  $E|_{A_A^1}$  is trivial.

The theorem is proved by ultimately reducing to  $A = k$  field.

"Classical Thm" For a reductive gp  $H$  over a field  $k$ ,

every  $\{H\text{-torsors over } \mathbb{P}_k^1 \text{ trivial at } \infty\} \xrightarrow{\sim} \text{infla}$  reduces to a  $G_m$ -torsor via some  $G_m \rightarrow H$ .

To reduce Thm (b) to local  $A$  use:

Lemma (Gabber-Quillen patching) For a locally f.p. gp. algebraic space  $G$  over a ring  $A$ , a  $G$ -torsor over  $A_A^1$  descends to  $A$  iff it does so Zariski locally on  $A$ .

The proof of Thm (a) uses the geometry of  $\text{Bun}_G : \text{alg stack}/A$  parametrizing

$$\text{Res}_{\mathbb{P}_A^1/A}^1(\text{IB}_G) \quad G\text{-torsors over } \mathbb{P}_A^1.$$

Ex. For an  $A$ -gp.  $M$  of mult. type,  $\text{Bun}_M \cong \text{IB}_M \times X_*(M)$

$$\begin{array}{ccc} \sim \text{constant torsors} & & \\ \alpha\text{-inflation} & \longleftrightarrow & \alpha : G_m, A \rightarrow M \\ \text{of } \mathcal{O}(1) \text{ on } \mathbb{P}_A^1 & & \end{array}$$

$$(\text{eg. } \text{Pic}(\mathbb{P}_A^1) \cong \text{Pic}(A) \times \mathbb{Z})$$

if  $G = M$ , then both (a) and (b) follow from this.

For general reductive  $G$ , use

Prop  $B_G \hookrightarrow B_{un,G}$  is an open immersion.

Pb using deformation theory.  $\square$

Now we modify the tensor to make it trivial over  $\mathbb{P}_A^1/m$ . This is based on:

Thm (Bruhat - Tits)

"unramified nature of the  
Whitehead gp  $G(K)^+ \triangleleft G(K)$ "

For a simply conn'd, totally isotropic gp.  $G$  over

a henselian DVR  $V$  w/  $K = \text{Frac}(V)$ , every elt of

$G(K)/G(V)$  is represented by a product of  $\leq$  elementary

matrices". Fix opposite (proper) parabolics  $p^\pm \subset G$

every elt. is rep'd by  $u_1 u_2 \dots u_n$ ,  $u_i \in U^\pm(K)$   $\nabla$   
 $U^\pm$

$$\prod U^\pm(K) \twoheadrightarrow \text{Gr}_G(K).$$

§ The case of the  $B_{dR}^+$  - affine grassmannian

Fix  $K =$  non arch. local field

$\cup$

$\mathcal{O}_K =$  its ring of integers

Consider perfectoid  $\mathcal{O}_K$ -alg pair  $(A, A^+)$

$\rightsquigarrow$  tilt  $(A^b, A^{b+})$ , pseudouniformizer  $\omega^b \in A^b$

Have:  $\theta: W_{\mathcal{O}_K}(A^{b+}) \rightarrow A^+$

$$[a] \mapsto a^\#$$

$\ker \theta$  is a principal ideal, generated by a nonzero divisor  $\zeta \in W_{\mathcal{O}_K}(A^{b+})$ .

Mixed char analogue of  $A[[t]]$ :  $B_{dR}^+(A) = W_{\mathcal{O}_K}(A^{b+}) \left[ \frac{1}{[\omega_b]} \right]^\sim$

of  $A((t))$ :  $B_{dR}(A) = B_{dR}^+(A) \left[ \frac{1}{\zeta} \right]$

eg. 1)  $K|\mathcal{O}_p$  finite,  $A: K\text{-alg.}$   $\rightsquigarrow B_{dR}^+(A)$  and  $B_{dR}(A)$  are  $K$ -algs

2)  $K|\mathcal{O}_p$  finite,  $A$  is an  $(\mathcal{O}_K/\pi_K)$ -alg  $\rightsquigarrow B_{dR}^+(A) \cong W_{\mathcal{O}_K}(A)$ ,  
 $B_{dR}(A) \cong W_{\mathcal{O}_K}(A) \left[ \frac{1}{p} \right]$

3)  $K \cong \mathbb{F}_q((\zeta))$   $\rightsquigarrow B_{dR}^+(A) \cong A[[\pi_K - \zeta]]$   
 $B_{dR}(A) \cong A((\pi_K - \zeta))$

Fix a reductive gp  $G/\mathcal{O}_K$  (resp.  $/K$ )

Have. loop gp  $L_G: (A, A^+) \mapsto G(B_{dR}(A))$

positive loop subgp  $L_G^+: (A, A^+) \mapsto G(B_{dR}^+(A))$

(if  $G/K$  restrict to  $(A, A^+)/K$ )

Def. The  $B_{dR}^+$ -affine grassmannian  $\mathrm{gr}_G^{B_{dR}^+}$  is the étale sheafification of the presheaf  
 quotient  $L_G/L_G^+$ . ( $\Rightarrow$  get a  $v$ -sheaf)

Thm (Č - Yousis)  $\text{Cr}_G^{B_{dR}^+}$  is the sheafification of the presheaf quotient  $L_G/L_G^+$  for the analytic topology on perfectoid  $\mathcal{O}_K$ -alg. pairs  $(A, A^+)$ .

Main inputs: - Henselian invariance / algebraization for  $G$ -torsors in the style of Elkies (Banthier - Č)

$$x \in \text{Spa}(A, A^+)$$

$$\{G\text{-torsors} \mid (k(x), k(x)^+)\} \Leftrightarrow \{G\text{-torsors over shrinking nbhd's of } x \in \text{Spa}(A, A^+)\}$$

- Grothendieck-Serre conj. for DVRs.

