

Riemann-Hilbert correspondence

Zagier Mebkhout

Lecture 1.

Exp. I (Overview, historical)

Exp. II (on the notion of sing. point of DE)

Exp. III (Gen. result, key points, strategy of proofs)

R-H correspondence.

19th century Riemann

20th century Grothendieck

Def. (Top. covering) X top. space, $f: Y \rightarrow X$ is a top. covering if it is a bundle with discrete fiber.

Suppose X diff. / complex mfd., \sim str. lifts to Y .

Thm. (Riemann, 1850's) X/\mathbb{C} is a smooth^{alg.} curve, $f: Y \rightarrow X$ is a top. curve
 $\rightarrow Y$ is alg. and $f: Y \rightarrow X$ is algebraic. (Riemann used Dirichlet problem.)

1950

Cartan-Serre . local analytic geometry.

Thm (Giraud and Renouard 1958)

X is smooth alg. var., then any topological covering is smooth.

(didn't we anything about Dirichlet problem)

but only local analytic geometry.)

Grothendieck 1955

$f: Y \rightarrow X$ algebraic covering ?

(1959 May \Rightarrow 182 Boubaki).

Def. (182 Boubaki)

$f: Y \rightarrow X$ morphism between locally noetherian schemes, of finite type,

is algebraic covering if it is étale + finite.

étale: local condition on Y . $y \in Y$, $x = f(y)$, $\mathcal{O}_x \rightarrow \mathcal{O}_y$ flat, unramified.

$$\textcircled{1} \quad \mathcal{O}_y \cdot m(x) = m(y)$$

$$\textcircled{2} \quad k(x) \rightarrow k(y) \text{ finite}$$

separable.

finite: \cup affine on X , $f^{-1}(u)$ affine on Y , $\mathcal{O}_{f^{-1}(u)}$ finite over $\mathcal{O}(u)$.

$$x \in X, \quad n(x) := \sum_{y \in f^{-1}(x)} \dim_{k(x)} k(y) < +\infty.$$

Thm: $f: Y \rightarrow X$ is a covering $\Leftrightarrow n(x)$ is locally constant.

$f: Y \rightarrow X$ étale topology $\Rightarrow H^1_{\text{ét}}(X)$

étale + finite $\Rightarrow \pi_1(X, x)$.

SGA I LN244 — [\square Galois X scheme / \mathbb{C} , locally of finite type

Exp 13 — [\square Riemann

existence + covering.

↑

after SGA IV, 63-64

$$X \longrightarrow X^{\text{an}}$$

$$X' \longrightarrow X.$$

locally of finite type, as always

Thm. X scheme over \mathbb{C} , $\text{Alg. Cov.}(X)$

$$\textcircled{X} \quad \text{Alg. Cov.}(X) \longrightarrow \text{Top. Cov.}(X^{\text{an}})$$

\textcircled{X} : equiv. of cat.

fully faithful; easy

essentially surjective: X local Zariski

- reduced
 - normal
 - smooth
- \longrightarrow Hironaka's thm.

Thm X/\mathbb{C} smooth alg. var. \hookrightarrow finite ab. group.

$$H_{\text{ét}}^i(X, \mathcal{A}) \xrightarrow[\text{SGA 4}]{} H^i(X(\mathbb{C}), \mathcal{A})$$

Artin fibration.

Riemann 1826 - 1866 < 40

Grothendieck 1928 - 14/15 / 2014, 86



De Rham Cohomology comparison thm and Riemann's existence for local system.

Thm. (Grothendieck 1963) X/\mathbb{C} smooth alg. var. X^{an}

$$H_{\text{DR}}(X/\mathbb{C}) := H(X, \mathcal{O}_{X/\mathbb{C}}) \xrightarrow{\sim} H_{\text{DR}}(X^{\text{an}}/\mathbb{C}) := H(X^{\text{an}}, \mathcal{O}_{X^{\text{an}}/\mathbb{C}})$$

X affine

$$X \subset \bar{X} \supset Z$$

Hironaka compactification

1964 Deligne Pan's M locally free \mathcal{O}_X -modules with ∇

$$\underline{LN 163} \quad M \quad \mathcal{O}_X - \nabla, \quad \mathcal{E}$$

$$X \subset \bar{X} \quad L(\star_{\bar{X}} \setminus X)$$



Local cohomology and D_X -mod. theory.

1972 Sato, Malgrange PDE

1971.

Thm. (Hirschowitz, Lichtenbaum) $X \supset Y, \mathcal{O}_X(\star Y) \subset D'_X$

Thm. (Bernstein, 1972) $f \in \mathbb{C}[x_1, \dots, x_n],$

$\mathbb{C}[x_1, \dots, x_n, \frac{1}{f}] = D_{\mathbb{C}(x_1, \dots, x_n)} - \text{mod. of finite type.}$

(1974-75)

Thm. $\mathcal{O}_X(\star Y) = D_X$ -mod. coherent, holonomic.

Perverse sheaf.

Thm (Casselman 63) $Y \subset X \supset U, DR(\mathcal{O}_X(\star Y)) \xrightarrow{\sim} Rj_* j^{-1} DR(m(\star Y)).$

Thm (1975) Kashiwara. M D_X -module, holonomic, $DR(M)$ is constructible
- perverse sheaf.

Thm (1975). $M \quad DR(M) = R\mathbb{Hom}_{D_X}(\mathcal{O}_X, M)$

$S1(M) = R\mathbb{Hom}_D(M, \mathcal{O}_X)$

$M = D_X$ -mod, holonomic. $DR(M) \xrightarrow{\sim} R\mathbb{Hom}_{\mathbb{C}_X}(Sol(M), \mathbb{C})$

Used Poincaré duality & Serre duality

$$\begin{array}{ccc}
 D_h^b(\Omega_X) & \xrightarrow{\quad} & D_c^b(\mathbb{C}_X) \\
 \uparrow & & \uparrow \\
 \text{Sato school} & & \text{Grothendieck school.} \quad \text{Thm. } DR(R\text{alg. } \Gamma_Y(\mathcal{O}_X)) \\
 & & \xrightarrow{\quad} DR(R\Gamma_Y(\mathcal{O}_X)). \\
 DR, \text{ Sel.} & & S\Gamma(R\text{alg. } \Gamma_Y(\mathcal{O}_X)) \xrightarrow{\quad} \mathbb{C}_Y
 \end{array}$$

Regularity condition.

$$\begin{array}{ccc}
 D_{hr}^b(\Omega_X) & \xrightarrow[\text{sel.}]{{DR}} & D_c^b(\mathbb{C}_X) \\
 \text{equiv. of cat.} & & \text{"t-structure"} \\
 M_{hr}(\Omega_X) & \longrightarrow & \text{Perf}(\mathbb{C}_X).
 \end{array}$$

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Lecture 2

Singular points of DE.

§ 1. Local

$$Q \subset k = \bar{k}, \quad k = k(x), \quad M \in C(k) = \{ M, k\text{-v.sp., } \dim_k M < \infty, \nabla: M \rightarrow M \}$$

$$M \in D_{k\text{-mod}}, \quad D_k = k\left[\frac{d}{dx}\right]$$

$$e = (e_1, \dots, e_m), \quad m = \dim_k M,$$

$$h \in M_m(k)$$

$$M \simeq D_k / D_k P, \quad P = \frac{d^m}{dx^m} + a_{m-1} \frac{d^{m-1}}{dx^{m-1}} + \dots + a_0, \quad a_j \in k$$

Regularity.

$$\dim_k M = 1, \quad M \simeq D_k / D_k \left(\frac{d}{dx} + a \right), \quad \begin{matrix} \Theta(a) \geq -1 \\ \uparrow \\ \text{valuation} \end{matrix}$$

Thm (Fuchs, 1870) $M \in \text{MLC}(k)$.

- ① M_j irr. factor of $M \Rightarrow \dim_k M_j = 1$, M_j is regular.
- ② $M \cong D_k / D_k P$, $\theta(a_{m-1}) \geq -1, \dots, \theta(a_0) \geq -m$
- ③ $M, e, h \quad \exists H \in \text{GL}(k), \left(x \frac{d}{dx} H + H A \right) H^{-1} \in M_m(k) \subset M_n(k)$
- ④ $\dim_k \text{Hom}_{D_K}(M, \psi_e(k)) = \dim_k M$.

$$\psi_e(k) = \left\{ \text{finite sum } \sum a_{d,j} x^j (\log x)^d \right\}$$

M regular \Leftrightarrow ① \Leftrightarrow ② \Leftrightarrow ③ \Leftrightarrow ④

Def. M . $\text{irr}_e(M) := \max(\theta, -(\theta(a_j) + m-j)) \geq 0$. (Fuchs number)

M is reg. $\Leftrightarrow \text{irr}_e(M) = 0$.

Thm (Taubin 1954) $M \in k((x)) \rightarrow k((\sqrt[p]{x}))$.

irr. factor of $M(\sqrt[p]{x})$ has rank 1.

2. Complex situation, $k = \mathbb{C}$.

$k = \theta_D(x_0)$ ③ replace by ③': $H \in M_m(\theta_D(*D))$.

Index Theorem. $D \subset \mathbb{C}, o, D^* = D \setminus \{o\}$.

D_D -mod. $M \in D_D$ -coh. M_{D^*} . θ_{D^*} - loc. fun.
hol.

Thm (Malgrange 1972) M, D_D -hol.

① $\dim_x \text{hom}_{D_D}(M, \theta_D)_x < +\infty, x \in D$

$\text{Ext}_{D_D}^1(M, \theta_D)_x < +\infty$.

② $\text{hom}_{D_D}(M, \mathbb{C}[[x]]) < +\infty$, $\text{Ext}_{D_D}^1(M, \mathbb{C}[[x]]) < +\infty$

$$\textcircled{3} \quad \hom_{D_{\mathbb{P}}}(M, \mathbb{C}[\mathbb{P}^1]/\mathbb{C}\{x\}) \subset M, \quad \mathrm{Ext}^1(M, \mathbb{C}[\mathbb{P}^1]/\mathbb{C}\{x\}) = 0$$

$$\textcircled{4} \quad \dim_{\mathbb{C}}(\underbrace{\quad \downarrow \quad}_{\text{irr } M}) = \mathrm{irr}(M).$$

$$\dim X = 1, \quad Y \subset X \supset U.$$

$$\mathrm{MLC}(\mathcal{O}_X(*Y)) \quad Y \in Y, \quad \mathrm{irr}(M) = 0.$$

$$\mathrm{MLR}(\mathcal{O}_X(*Y))$$

\uparrow
regular

Thm (21st Hilbert problem).

$$\mathrm{MLR}(\mathcal{O}_X(*Y)) \xrightarrow[\sim]{DR} \mathrm{Loc}(U)$$

equiv. of cat.

Boltyanski Thm. (994)

$$X = \mathbb{P}^1_C \quad U, Y, \quad \text{alg. set.}$$

$$\mathrm{MLC}(\mathcal{O}_U) \quad e_1, \dots, e_m$$

$$G \in M_m(\mathcal{O}(U)).$$

$$\mathrm{MSP}(\mathcal{O}_U) \subset \mathrm{MLR}(\mathcal{O}_U)$$

\uparrow
simple pole, G has only simple pole

$r \geq 3$, the inclusion is strict!

§ 3. p -adic local theory (995)

$$\dim X \geq 2.$$

X/\mathbb{C} smooth alg. many problems are local at Zariski topology

over λ affine. $\bar{x} \in \mathbb{P}^N \longrightarrow \mathbb{P}^N \text{ an } \xrightarrow{\text{GA GA}} \text{cone back}$

$X = \text{analytic mod.} = X^{\text{an}}$

$D_{X-\text{mod}}$ Thm (4 main point)

Q constructibility

X, \mathcal{F} \mathbb{C}_X -sheaf $\Leftrightarrow \forall x \in X, \dim_{\mathbb{C}} \mathcal{F}_x < \infty, X = \bigcup_{i \in I} X_i$ Whitney strat.

$f|_{X_i}$ locally constant.

$\rightsquigarrow D_c^b(X)$ triangulated cat

$D_c^b(\mathbb{C}_X)$ étale SGA 4, exp 9.

Venice 1974

holonomy.

X, \mathcal{O}_X coh. sheaf (Θ_X) , $D_X/\mathbb{C} = D_X$.

$M_{coh}(D_X) \quad D_{coh}^b(D_X)$

$M \in \text{Mod}(D_X) \quad ch(M) \subset T^*X, n \leq \dim ch(M) \leq 2n$

M is h. holonomic if $\dim ch(M) = m$.

t-
str. $\begin{cases} M_h(D_X) & \text{abelian.} \\ D_h^b(D_X) & \text{ht. } M \text{ h. } h^i(M) \in M_h(D_X) \end{cases}$

$DR(M) = R\hom_D(\mathcal{O}_X, M)$

$Sd(M) = R\hom_{D_X}(M, \mathcal{O}_X).$

$M \in D_h^b(D_X) \Rightarrow DR(M), Sd(M) \in D_c^b(\mathbb{C}_X)$

③ Duality.

$DR(M) \simeq R\hom_{\mathbb{C}_X}(Sd(M), \mathbb{C}_X)$

Def $F \in D_c^b(\mathbb{C}_X)$, F is a perverse sheaf

$$\textcircled{1} \quad h^i(F) = 0 \text{ if } i \notin [-\dim X, 0]$$

$$\textcircled{2} \quad \dim \text{supp}(h^i(F)) \leq \dim X - i$$

$$\textcircled{3} \quad \text{lo. supp. } F^\vee$$

Cor. $M \in M_h(D_X)$, $DR(M)$, $Sol(M)$ a p.v. sheet.

$$\begin{array}{ccc} M_h(D_X) & \xrightarrow{\text{PR}, S} & P_{\text{ev}}(\mathbb{C}_X) \\ \downarrow & \cong & \downarrow \\ D_h^b(D_X) & \xrightarrow{\text{PR}, S} & D_c^b(\mathbb{C}_X) \end{array}$$

④ Regularity-

Local coh.

$$\exists CT, \text{Ralg. } \Gamma_Z(F) := R \lim_{\leftarrow} \text{hom}_{\Theta_T}(\Theta_T / \partial_Z^k, F) \longrightarrow R\Gamma_Z(F).$$

$$M \in D_h^b(D_X) \quad \text{Ralg. } \Gamma_Y(M) \rightarrow M \rightarrow R\mu_{*Y} \xrightarrow{\tau!}$$

$$DR(R\text{alg. } \Gamma_Y(M)) \rightarrow DR(M) \rightarrow DR(R\mu_{*Y}) \xrightarrow{\tau!}$$

$$\begin{array}{ccc} \downarrow & \parallel & \downarrow \alpha_Y \\ R\Gamma_Y DR(M) & \longrightarrow DR(M) & \rightarrow Rj_* j^{-1} DR(M) \xrightarrow{\tau!} \end{array}$$

$M = \delta_Y \Rightarrow \alpha_Y(M)$ is isom.

Def M is regular w.r.t. Y if $\alpha_Y(M)$ is an isom.

M is regular if $\alpha_Y(M)$ is isom. $\forall Y$.

Définition and resolution of singularity, 1970's

① $D_{hr}^b(\mathcal{D}_X) \not\subset D_h^b(\mathcal{D}_X)$

② $D_{hr}(\mathcal{D}_X) \neq 0$. \mathcal{O}_X regular.

③ $\mathcal{M} \subset \mathcal{M} \xrightarrow{\text{?}} \mathcal{M}$ regular
reg.

④ \mathcal{M} reg. $\xrightarrow{\text{?}} \mathcal{M}^*$ reg.

$D_{hr}^b(\mathcal{D}_X)$ triangulated.

M.V. sequence. $\mathcal{M} \in D_h^b(\mathcal{D}_X)$

$a_Y(\mathcal{M})$ is. \mathcal{V} hypersurface $\Rightarrow \mathcal{M}$ regular.

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Lecture 3

$$X, Y \quad \mathcal{M} \in D_h^b(\mathcal{D}_X), \quad U \xrightarrow{j} X \xrightarrow{i} Y$$

$$DR(\mathcal{M}) = R\hom_{\mathcal{D}_X}(\mathcal{D}_X, \mathcal{M}).$$

$$S\mathcal{M}(\mathcal{M}) = R\hom_{\mathcal{D}_X}(\mathcal{M}, \mathcal{O}_X).$$

$$F^\vee = R\hom_{\mathcal{O}_X}(F, \mathcal{O}_X).$$

$$\begin{array}{ccccccc} DR(R\mathrm{alg}\Gamma_Y(\mathcal{M})) & \longrightarrow & DR(\mathcal{M}) & \longrightarrow & DR(RM(XY)) & \xrightarrow{+1} \\ \downarrow & & \parallel & & \downarrow a_Y(\mathcal{M}) & & \\ R\Gamma_Y(DR(\mathcal{M})) & \longrightarrow & DR(\mathcal{M}) & \longrightarrow & RS \circ j^{-1}DR(RM(XY)) & \xrightarrow{+1} & \end{array}$$

Duality

$$\leftarrow \xleftarrow{+1} i^{-1}(S(\mathcal{M})) \leftarrow S(\mathcal{M}) \leftarrow j_! j^{-1} S(RM(XY))$$

$$\leftarrow \xleftarrow{+1} S(R\mathrm{alg}\Gamma_Y(\mathcal{M})) \leftarrow S(\mathcal{M}) \leftarrow S(RM(XY))$$

$$\mathcal{M} = \mathcal{O}_X \quad 0 \leftarrow \mathcal{O}_Y \leftarrow \mathcal{O}_X \leftarrow j_! \mathcal{O}_U \leftarrow 0$$

Poincaré lemma

↑

Grothendieck comp Thm.

$$\mathbb{G}_Y \hookrightarrow S(R\text{alg} \Gamma_Y(\mathcal{O}_X))$$

$$D_{hr}^b(D_X) \subset D_h^b(D_X)$$

IRREGULARITY

$$DR(RM(*Y)) \rightarrow Rj_* j^{-1} DR(RM(*Y)) \rightarrow \underbrace{\text{cone}(a_Y(M))}_{\text{irregularity}} \xrightarrow{+1}$$

$$R\Gamma_Y(R\Gamma(Rj_* j^{-1} DR(RM(*Y))) \rightarrow \dots$$

↓

$$\text{cone}(a_Y(M)) \simeq R\Gamma_Y(D_{h^*}(M(*Y))) \cap$$

$$\underline{\text{Def}}(X, Y, M). \quad \text{Irr}_Y(M) := R\Gamma_Y DR RM(*Y)$$

$$\text{Irr}_Y^*(M) := i^{-1} \mathcal{S}(RM(*Y)).$$

Thm. (MIRACLE, March 1986)

$$(X, Y, M) \quad Y \text{ hypersurface}, \quad M \in M_h(D_X),$$

$\text{Irr}_Y(M), \text{Irr}_Y^*(M)$ are perverse sheaves.

$$\dim X = 1, \quad \text{Irr}_Y(M) \quad y \in Y$$

$$\hom_B(M(*Y), \mathcal{O}_X)|_Y = 0$$

$$\text{Ext}_B^1(M(*Y), \mathcal{O}_X)|_Y = \text{Irr}_Y(M) \leftarrow \text{Fuchs number}.$$

$$\text{Cor} \quad 0 \rightarrow M_1 \rightarrow M \rightarrow M_2 \rightarrow 0 \quad D_{X-\text{red}}$$

$$\cdots \rightarrow \text{Irr}_Y(M_1) \rightarrow \text{Irr}_Y(M) \rightarrow \text{Irr}_Y(M_2) \rightarrow 0.$$

\Leftrightarrow the cat. of regular D -modules is abelian.

$$M \in D_h^b(D_X), \quad \text{Irr}_Y(M) = 0 \quad \Leftrightarrow \quad \text{Irr}_Y(h^i(M)) = 0.$$

$$M \rightarrow M^* = R\mathbb{H}_{\mathcal{O}_D}(M, D) \otimes_{\mathcal{O}_X} \mathcal{N}_Y[\dim X]$$

$$\text{Irr}_Y(M) \text{ is } \underline{\text{not}} \text{ Irr}_Y(M^*)$$

$$M \in D_h^b(D), \quad \chi(\text{Irr}_Y(M)) = \chi(\text{Irr}_Y(M^*))$$

By

$$\text{Irr}_Y(M) = 0 \quad \Leftrightarrow \quad \chi(\text{Irr}_Y(M)) = \chi(\text{Irr}_Y(M^*)) = 0 \quad \Leftrightarrow \quad \text{Irr}_Y(M^*) = 0$$

M is smooth on U

$$U \subset X \supset Y$$

$$(ch(M^*(Y)) - 2ch(\mathcal{O}_X(Y))) \in T_X^*$$

$$\gamma, 0$$

$$\text{Irr}_Y(\mathcal{O}_X) \quad \text{Supp}(\) \subset \text{Sing}(Y)$$

Thm (X, Y, M) M is smooth on U

$$\dim \text{Supp}(\text{Irr}_Y(M)) < \dim Y \Rightarrow \text{Irr}_Y(M) = 0$$

Cor. Can one check $\text{Irr}_Y(\mathcal{O}_X)$?

$$\dim X = 1, \quad \text{Irr}_Y(M) = 0, \quad \phi$$

$$\dim X = 2, \quad \dim \text{Supp}(\text{Irr}_Y(M)) < 2 \quad \{x \in D^b_{\text{coh}}(M \times Y, \mathcal{O}_X)_y, y \in Y\}$$

$$n \geq 3, \quad \text{singularity } Y \quad \pi_1(U, *) \longrightarrow \text{GL}(C^M)$$

$$n=2, \quad \pi_1(U, *) \text{ complicated}$$

$$n \geq 3,$$

$$f: X \rightarrow X \quad M \in D_{\text{hr}}^b(D_X) \Rightarrow f^* M \in D_{\text{hr}}^b(D_X)$$

$$D_{\text{hr}}^b \dashv \star^* \quad f^{-1} S(M) \rightarrow S(f^* M)$$

$$\Leftrightarrow S(M_1, M_2) \in R\text{-hom}_{D_X}(M_1, M_2) \Rightarrow R\text{-hom}_{D_X}(S(M_2), S(M_1))$$

$\Rightarrow DR, S$: fully faithful

essentially surjectivity is a problem of local analytic geometry

$\mathcal{O}_X\text{-mod}$ and not of $D_X\text{-mod}$

$$\dim X = n$$

$$X, F \in \mathcal{O}_X\text{-coh}$$

$$x \in X, \text{dpl}(F_x) = \dim X - \text{pd}(F_x) \in [0, \dim X]$$

$$m \geq 0, S_m(F) := \{x \in X : \text{dpl}(F_x) \leq m\}.$$

Thm. $m \geq 0$, $S_m(F)$ closed subset $\subset X$, $\dim S_m(F) \leq m$.

Thm (Troutman, Finch, Hinze, 1969)

$$U \subset X \supset Z \quad S_k, S_{k+1}(F) \subseteq k-2$$

$$F_U = \mathcal{O}_U\text{-coh}, \quad k \leq \dim Z + 2$$

$$J^k F_U - \text{coh}.$$