

# Exercise Sheet 4: One dimensional XXZ spin chain

## 1 Mapping to spinless fermions and qualitative phase diagram

$$H = -J \sum_j (S_j^x S_{j+1}^x + S_j^y S_{j+1}^y) + \Delta \sum_j S_j^z S_{j+1}^z \quad (1)$$

We then apply the Jordan-Wigner transformations.

$$\sigma_j^z = 2c_j^\dagger c_j - 1, \quad \sigma_j^+ = F_1 \dots F_{j-1} c_j^\dagger, \quad \sigma_j^- = F_1 \dots F_{j-1} c_j \quad (2)$$

For the term with  $S^x$ , this will look as follows

$$(S_j^x S_{j+1}^x) = (\sigma_j^+ + \sigma_j^-)(\sigma_{j+1}^+ + \sigma_{j+1}^-) = [\sigma_j^+ \sigma_{j+1}^+ + \sigma_j^+ \sigma_{j+1}^- + \sigma_j^- \sigma_{j+1}^+ + \sigma_j^- \sigma_{j+1}^-] = [c_j^\dagger c_{j+1}^\dagger + c_j^\dagger c_{j+1} + c_j c_{j+1} + c_j c_{j+1}^\dagger] \quad (3)$$

And for  $S^y$ , it will look as

$$(S_j^y S_{j+1}^y) = (-1)(\sigma_j^+ - \sigma_j^-)(\sigma_{j+1}^+ - \sigma_{j+1}^-) = (-1)[\sigma_j^+ \sigma_{j+1}^+ - \sigma_j^+ \sigma_{j+1}^- - \sigma_j^- \sigma_{j+1}^+ + \sigma_j^- \sigma_{j+1}^-] = [-c_j^\dagger c_{j+1}^\dagger + c_j^\dagger c_{j+1} + c_j c_{j+1} - c_j c_{j+1}^\dagger] \quad (4)$$

And so, the first term of the Hamiltonian transforms into

$$\sum_j (S_j^x S_{j+1}^x + S_j^y S_{j+1}^y) = 2c_j^\dagger c_{j+1} + \text{h.c} \quad (5)$$

Now, transforming the term with  $S^z$ , we will get

$$S_j^z S_{j+1}^z = (2c_j^\dagger c_j - 1)(2c_{j+1}^\dagger c_{j+1} - 1) \quad (6)$$

So, the Hamiltonian reads

$$H = -J \sum_j^{L-1} (2c_j^\dagger c_{j+1} + \text{h.c}) + \Delta \sum_j^{L-1} (2c_j^\dagger c_j - 1)(2c_{j+1}^\dagger c_{j+1} - 1) \quad (7)$$

which we can transform into

$$H = -\frac{J}{2} \sum_j^{L-1} (c_j^\dagger c_{j+1} + \text{h.c}) + \Delta \sum_j^{L-1} \left(c_j^\dagger c_j - \frac{1}{2}\right) \left(c_{j+1}^\dagger c_{j+1} - \frac{1}{2}\right) \quad (8)$$

We can check that the Hamiltonian commutes with the total number of particles  $N = \sum_j c_j^\dagger c_j$ , looking first at just one occupation number  $n_j$

$$\left[ \sum_{j=1}^{L-1} c_j^\dagger c_{j+1}, n_j \right] = \left[ c_j^\dagger c_{j+1} + c_{j-1}^\dagger c_j, n \right] = \left[ c_j^\dagger c_{j+1}, n \right] + \left[ c_{j-1}^\dagger c_j, n \right] = -c_j^\dagger c_{j+1} + c_{j-1}^\dagger c_j \quad (9)$$

In a similar fashion, we see that for the *h.c.* term

$$\left[ \sum_{j=1}^{L-1} c_{j+1}^\dagger c_j, n \right] = c_j^\dagger c_{j+1} - c_{j-1}^\dagger c_j \Rightarrow \left[ \sum_{j=1}^{L-1} c_j^\dagger c_{j+1} + \text{h.c.}, n \right] = 0 \quad (10)$$

And for the second term involving the spin in  $z$

$$\begin{aligned} \left[ \left( n_j - \frac{1}{2} \right) \left( n_{j+1} - \frac{1}{2} \right), n_j \right] &= [n_j n_{j+1}, n_j] - \frac{1}{2} [n_j, n_j] - \frac{1}{2} [n_{j+1}, n_j] + \left[ \frac{1}{4}, n_j \right] \\ &= n_j [n_{j+1}, n_j] + [n_j, n_j] n_{j+1} = 0 \end{aligned} \quad (11)$$

So we see that for the total number of particles, we have  $[H, N] = 0$ . And we can see that this is equivalent for the total spin conservation in the  $z$  direction.

$$\begin{aligned} [H, S_z] &= -J \left[ \sum_j S_j^x S_{j+1}^x + S_j^y S_{j+1}^y, \sum_k S_k^z \right] + \underbrace{\Delta \left[ \sum_j S_j^z S_{j+1}^z, \sum_k S_k^z \right]}_{=0} \\ &= -J \sum_k \left[ \sum_j S_j^x S_{j+1}^x + S_j^y S_{j+1}^y, S_k^z \right] = -J \sum_k [S_k^x S_{k+1}^x + S_{k-1}^x S_k^x, S_k^z] + [S_k^y S_{k+1}^y + S_{k-1}^y S_k^y, S_k^z] \\ &= -J \sum_k [S_k^x, S_k^z] S_{k+1}^x + S_{k-1}^x [S_k^x, S_k^z] + [S_k^y, S_k^z] S_{k+1}^y + S_{k-1}^y [S_k^y, S_k^z] \\ &= -iJ \sum_k [-S_k^y S_{k+1}^x - S_{k-1}^x S_k^y + S_k^x S_{k+1}^y + S_{k-1}^y S_k^x] = 0 \end{aligned} \quad (12)$$

We can see that after the transformation, we can describe the spin conservation in  $z$  in a way similar to what we do with the conservation of particles, since there is a direct correspondence between the two operators given by the Jordan-Wigner transformation.

Finally, we can consider the limits given by  $\Delta \rightarrow \pm\infty$ . In this cases we can see that the dominating term in the Hamiltonian is going to be  $\Delta \sum_j S_j^z S_{j+1}^z$ . The ground states will minimize the Hamiltonian, and thus we see that for the limit  $\Delta \rightarrow +\infty$ , we will have antiparallel spins, resulting in an antiferromagnetic system. And in a similar way, in the limit  $\Delta \rightarrow -\infty$ , we will have parallel spins, resulting in a ferromagnetic phase of the system. For the case  $\Delta \rightarrow 0$ , we will transition into an isotropic system in the  $xy$  plane, describing something known as a ‘‘Gapless Luttinger Liquid Phase’’.