



M Ű E G Y E T E M 1 7 8 2

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# Universal embedding

DIPLOMATERV

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Budapest, March 26, 2018

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*Eszter Iklódi*  
hallgató

# Kivonat

Mindennapi életünkben egyre fontosabb szerepet tölt be a természetes nyelv számítógép segítségével történő feldolgozása. Digitalizált világunkban egyre inkább alapkövetelmény, hogy a gép és ember közötti kommunikáció természetes nyelven történjen. Ennek a megvalósításához elengedhetetlen az emberi nyelv szemantikai értelmezése.

Manapság a state-of-the-art rendszerekben a szavak szemantikai reprezentációja sokdimenziós vektorokkal, word embeddingek-kel történik. Diplomaterv munkámban már feltanított word embeddingek-hez keresek olyan fordítási mátrixokat, amelyek képesek egy adott nyelvű word embedding univerzális térbe történő leképzésére.

Az így nyert fordítási mátrixokat különböző feladatokon értékelem ki. (eredmények 2 mondatban)

# Abstract

Computer-driven natural language processing plays an increasingly important role in our everyday life. In our digital world using natural language for human-machine communication has become a basic requirement. In order to meet this requirement it is inevitable to analyze human languages semantically.

Nowadays state-of-the-art systems represent word meaning with high dimensional vectors, i.e. with word embeddings. In my thesis work I am searching for translation matrices to already trained word embeddings, such that the translation matrices will be able to map these embeddings into a universal space.

The obtained translation matrices will be evaluated on different tasks. (2 sentences about results)

# Chapter 1

## Introduction

### 1.1 Natural Language Processing [14], [1], [2]

Natural language processing (NLP) is a vibrant interdisciplinary field with many different names, all reflecting a different facet of it. It is often referred to as speech and language processing, human language technology, computational linguistics, or speech recognition and synthesis. The main goal of this field is to get computers to be able to understand and express themselves in human languages.

Natural Language Processing is hard since it deals with what is considered to be one of the most delicate characteristics of human being: with human language. This field is strongly connected with artificial intelligence since the way humans think and feel about the world is mainly happening in terms of human languages.

Being nowhere near as fast as digital channels, expressing ourselves by means of human languages is a very effective way of communication, though. Saying only the minimum message our listeners can fill up the rest with their world and common knowledge, and can easily figure out the missing or misunderstood parts from the context of the situation. This way they are also able to resolve ambiguities, homonyms etc. without even noticing it. Nonetheless, these tasks for a computer are not at all that trivial.

The importance of computer integrated human language communication has gone as far as assigning truly intelligent machines to the ability of being capable of processing language as skillfully as humans do. This idea was first introduced by Alan Turing (1950) who proposed what has come to be known as the Turing test.

#### 1.1.1 Common tasks of NLP

Natural language processing comprises a wide variety of tasks. Some of them like spam detection, POS-tagging, or named entity recognition are considered to be mostly solved problems. Applications for these tasks are now out in the market and are usually integrated to our smart devices even by default.

With some other tasks great progress has been made recently which implies the existence of already fair enough applications but means that research work is still has to be done. Among them there are tasks like sentiment analysis, words sense disambiguation, syntactic

parsing, machine translation etc.

What is still considered to be very hard is to understand the meaning of a text. There are numerous interesting tasks for example question answering, dialogues, summarization, paraphrases, or text inference, for which in order to make relevant progress dealing with the semantics is inevitable.

### 1.1.2 Motivation for NLP research

We are living in an era when natural language processing is becoming more and more integrated into our every life. With the advent of smart phones the importance of language has gone even further. These devices are having small and rather inconvenient keyboards thus speech-driven communication seems very appealing. Big companies like Amazon, Apple, Facebook, Google, etc. are all putting out of products that use natural language to communicate with users. Since the contributions of this thesis are aiming the research field of word meaning and universal semantic representations, below I will only list applications that can directly take advantages of these contributions.

Speech-driven assistance applications can really make our everyday life more comfortable and more convenient. Let's just consider the fact how much help they could provide to people living with disabilities. These systems include speech input for which at first automatic speech recognition technologies should be applied. But after that, in order to understand the goal of the user, we must run a semantic analysis as well.

An early version of conversational agents and certain strongly domain-based chatbots are already out on the market, providing 24 hour, immediate assistance for customers. By letting computers do the monotone and not at all creative tasks employees could have more interesting jobs, jobs that only humans can do, or they could have less working hours in a week. Any of these would be a great progress for the society.

Advances in machine translation has already created a world where non-English speakers can also enjoy the benefits of the English-based web services. Generally, we can say that for widespread languages machine translation has already reached a fairly usable state, for rare languages, however, it is still facing difficulties.

There are also numerous Web related tasks that are strongly relying on the semantic analysis of the text. One promising task is for example the Web-based question answering task which is basically an extended version of the classical Web search, whereby instead of searching just for key words it would also be possible to ask complete questions and thus communicate with the search engine just like human beings do. For all these applications, however, it is inevitable to look way behind the syntactic surface and dig deep into the underlying semantics.

## 1.2 Universal Embeddings ( 1.5 page)

Given the need for robust representations for many languages, the question of whether human conceptual structure is universal has recently gained interest not only among cognitive scientists ([23], [17], [12]), but among computational linguists as well. Youn et al. [27]



has shown that human conceptual structure is independent of certain non-linguistic factors such as geography, climate, topology or literary traditions. Based on such findings we propose a procedure to construct a universal semantic representation in form of translation matrices that serve to map each language to the universal space. We use the pretrained fastText word embeddings [7], which are available for 294 languages.

**TODO: summary of experiments and results.**

**Github links, self-references, contributions**

The thesis is structured as follows:

- **Chapter 1** explains briefly the goals and motivations of the research field of natural language processing. It also summarizes the main contributions and the results of the accomplished work.
- **Chapter 2** discusses the state-of-the-art semantic word representations, i.e. the word embedding. It briefly describes the standard learning procedure for monolingual word-embeddings (word2vec) and it introduces the concept and resources for multilingual word-embeddings.
- **Chapter 3** describes the proposed model. It details the learning procedure and discusses the basic infrastructural and architectural features.
- **Chapter 4** presents all the experiments. It summarizes our results and compares them with the performance of other systems.
- **Chapter 5** is devoted to the description of the future work. This chapter suggests modifications, follow-ups for which we didn't have time to accomplish, or which are beyond the scope of this thesis work.

## Chapter 2

# Word embeddings

### 2.1 Semantic encoding of words

Within the field of natural language processing a more specific area concentrates on semantic representations which are being leveraged both by classical semantic tasks such as question answering or chatbots and by other NLP tasks which in the strict sense of the word are not considered semantic tasks such as machine translation or syntactic parsing. A crucial part of all semantic tasks is to have a proper word representation which is capable of encoding the meaning as well.

One way to build a semantic representation is to use a distributional model. The idea is based upon the observation that synonyms or words with similar meanings tend to occur in similar contexts, or as it was articulated by Firth in 1957: *You shall know a word by the company it keeps* [11]. For example in the following two sentences “**The cat is walking in the bedroom**” and “**A dog was running in a room**” words like “dog” and “cat” have exactly the same semantic and grammatical roles therefore we could easily imagine the two sentences in the following variations: “**The dog is walking in the bedroom**” and “**A cat was running in a room**” [6]. Based upon this intuition, what distributional models are aiming to do is to compute the meaning of a word from the distribution of words around it. [14]. The obtained meaning representations are usually high dimensional vectors, called as word embeddings, which refers to their characteristic feature that they model a word by embedding it into a vector space.

Embeddings are not only proved to be a better alternative of n-grams used for language modelling [6], but they are doing quite well on semantic tasks too. Mikolov et al. [20] has shown that the characteristics of word embeddings go way beyond the simple syntactic regularities. They showed that applying simple vector operations (e.g. vector addition and subtraction) can often produce meaningful results. For example it was shown that  $vector("King") - vector("Man") + vector("Woman")$  results in a vector that is closest to the vector representation of the word *Queen* [21]. Moreover, these days' state-of-the-art results on word similarity tasks are all held by word embeddings, where the similarity of two words are calculated as the normalized dot product of the corresponding word vectors. This measure is the so-called cosine similarity of word embeddings.

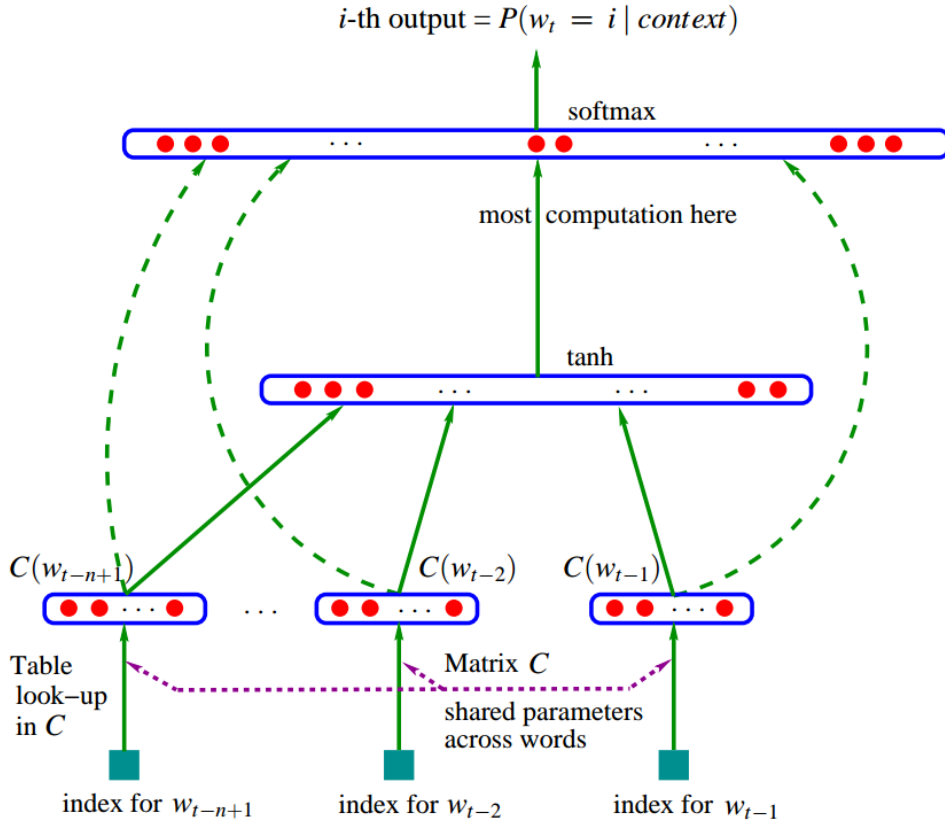
Another way to build semantic representations is to utilize lexical databases. In our previous work we created a hybrid system leveraging both the 4lang orthological model described in [15], [16] and [3] and various distributional models, i.e. various word embeddings. With this system in 2016 we reached a state-of-the-art score on the SimLex-999 [13] benchmark data [22].

In the following sections I will describe the basic procedure of training word embeddings and following that I will focus on a more specific field of computational semantics, on multilingual word embeddings.

## 2.2 State-of-the-art models for learning word embeddings

In 2003 Bengio et al.[6] suggested a probabilistic feedforward neural network language model (NNLM) for learning a distributed representation for words. The network consists of input, projection, hidden and output layers, where at the input layer the  $N$  previous words are encoded using 1-of- $V$  coding, where  $V$  is the size of the vocabulary. Figure 2.1 shows an overview of the architecture. This procedure was evaluated in terms of perplexity at which in comparison with the best of the  $n$ -grams it proved to be much better. The drawback of this architecture is that it becomes complex for computation between the projection and the hidden layer, as values in the projection layer are dense.

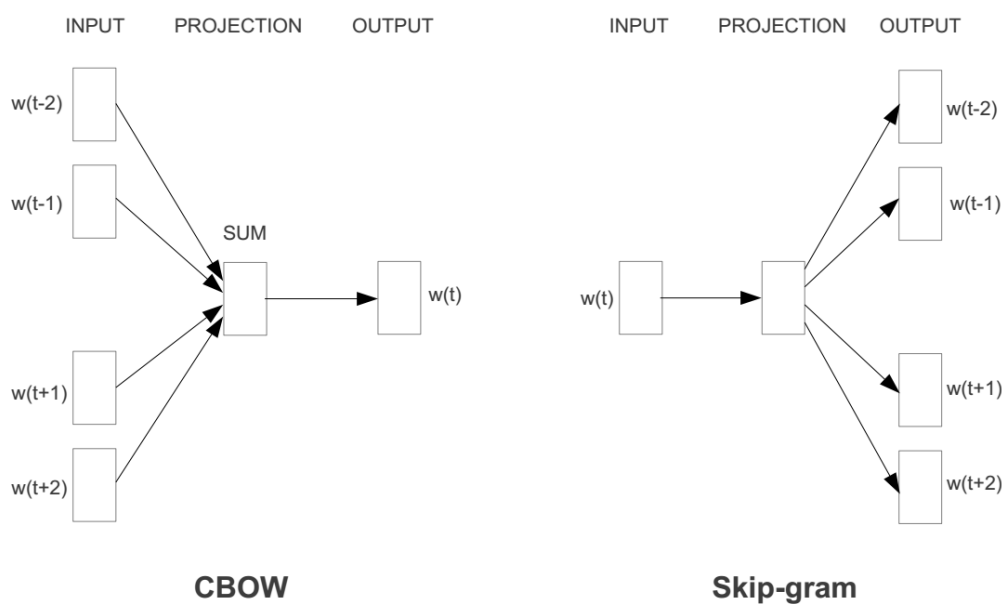
**Figure 2.1:** Network architecture proposed by Bengio et al.[6]



10 years later, in 2013 Mikolov suggested a bag-of-words neural networks, more speci-

cally two different architecture. The first one, denoted as the CBOW (Continuous Bag-of-Words Model) tries to predict the current word based on the context, whereas the second one, denoted as the continuous skip-gram model tries to maximize the classification of a word based on another word in the same sentence. Both models worked better than the NNLM suggested by Bengio [6] both on semantic and syntactic tasks, while between the two models of Mikolov the CBOW turned out to be slightly better on syntactic tasks and the skip-gram on semantic tasks. Mikolov’s procedure has become known as the **word2vec** procedure and the source code is available on github <http://deeplearning4j.org/word2vec>. The architecture of the CBOW and the skip-gram models are shown in figure 2.2.

**Figure 2.2:** *Bag-of-words neural networks suggested by Mikolov et al.[20]*



### 2.3 Multilingual word embeddings

In this section I describe the importance of multilingual word embeddings. I also explain how it is possible to incorporate word embeddings trained on monolingual text corpora into a multilingual context. After that I present a brief summary about the previous attempts on constructing cross-lingual word vector representations. Finally, I describe the available parallel data that we were using for our experiments.

### 2.3.1 Motivation

### 2.3.2 Tasks (e.g. MT)

### 2.3.3 Under-resourced languages

### 2.3.4 State-of-the-art models

**First attempt: Mikolov et al. [19]**

Right after publishing their `word2vec` procedure, Mikolov et al. [19] went even further by noticing that continuous word embedding spaces exhibit similar structures across languages. They applied a simple two-step procedure:

- firstly, monolingual models of languages using huge corpora are built, e.g. by using the `word2vec` method
- secondly, a small bilingual dictionary was used to learn linear projection between the languages. These words are often referred to as anchor points.
- finally, at test time, the translation of any word from the source language is possible by projecting its vector representation from the source language space to the target language space. Once the vector in the target language space is obtained, the most similar word vector can serve as the output of the translation.

This method seemed to be working even for distant language pairs like English and Vietnamese.

In this work for the first step I used a pretrained word embedding described in 2.4.3. The work itself concentrates on finding the linear projections and on the evaluation of these projections.

### Various improvements

Since Mikolov's experiments various attempts were made to improve the cross-lingual embeddings. Faraqui and Dyer [10] proposed a simple technique based on **canonical correlation analysis (CCA)**.

Xing et al. [26] has shown that bilingual translation can be largely improved by **normalizing** the embeddings and by restricting the transformation matrices into **orthogonal** ones.

Lazaridou et al. [18] studied some theoretical and empirical properties of general cross-space mapping function, and tested them on cross-linguistic (word translation) and cross-modal (image labelling) tasks. By introducing **negative samples** during the learning process they could reach state-of-the-art results on the English-Italian word translation task described in 2.4.1. Settings for the negatives examples were studied both by choosing them random and by choosing "intruders" which are near the mapped vector, but far from the actual gold target space vector. The "intruder" approach achieved better results, and furthermore, it gave better results after just few training epochs.

Ammar et al. [4] proposed methods for estimating and evaluating embeddings of words in **more than fifty languages** in a **single shared embedding space**. The so-called multiCluster and multiCCA methods were tested on 59 languages, while the multiSkip and translation-invariance methods only on 12 languages for which high-quality parallel data are available. For the 12 languages the bilingual dictionaries were extracted from the Europarl parallel corpora, while for the remaining 47 languages, dictionaries were formed by translating the 20k most common words in the English monolingual corpus with Google Translate.

Artex et al. [5] built a **generic framework** that generalizes previous works made on cross-linguistic embeddings. For evaluating the methods they used the same English-Italian dataset by Dinu, discussed in 2.4.1. As a conclusion they published that from the proposed methods the ones with orthogonality constraint and a global preprocessing with length normalization and dimension-wise mean centering achieved the best overall results.

Smith et al. [24] also proves that translation matrices should be **orthogonal**. They apply **singular value decomposition** to achieve this. Besides, they introduce a novel **“inverted softmax”** method for identifying translation pairs, with which they improve the precision of Mikolov. Orthogonal transformations also turned out to be more robust to noise which makes it possible to learn the transformation without expert bilingual resource by constructing a “pseudo-dictionary” from the identical character strings.

## Without parallel data

While all the above mentioned methods rely on bilingual word lexicons, most recent studies are aiming to eliminate the need for any parallel data at all. Smith et al. [24] has already made attempts for the alleviation of parallel data supervision by introducing character-level information, but the results were not on par with their supervised counterparts, on the one hand, and on the other hand, these methods are strictly limited to pairs of languages sharing a common alphabet.

Conneau et al. [8] introduces an unsupervised way for aligning monolingual word embedding spaces between two languages without using any parallel corpora. Their experiments show that this method can be applied even for distant language pairs like English-Russian or English-Chinese.

## 2.4 Multilingual data

In this section I briefly describe the data resources that I used. These involve the pretrained embeddings I took for the experiments and the gold bilingual dictionaries I used for the evaluation.

### 2.4.1 English-Italian setup of Dinu

Dinu et al. [9] has constructed an English-Italian dictionary split into a train and a test that are now being used as a benchmark data for evaluating word translation tasks. Both train and test translation pairs are extracted from a dictionary built from Europarl, available

at <http://opus.lingfil.uu.se/> (Europarl, en-it) [25]. For the test set they used 1,500 English words split into 5 frequency bins, 300 randomly chosen in each bin. The bins are defined in terms of rank in the frequency-sorted lexicon: [1-5K], [5K-20K], [20K-50K], [50K-100K] and [100K-200K]. For the training translation pairs they also sampled by frequency, using the top 1K, 5K, 10K and 20K most frequent translation pairs from the Europarl dictionary sorted by English frequency. There is no overlap with test elements on the English side, however, when checking for overlaps with the 5K train data on the Italian side we found 113 Italian words that can be found both in train and test sets. These overlaps can be sorted into the following categories:

- **Singular-plural correspondence:** in Italian when the last vowel of a substantive is accented the plural form is the same as the singular. For example *comunità* and *attività* in table 2.1.
- **Italian word is mistaken for English word:** the English translation is the same as the original Italian word. For example in the test set the Italian word *segnì* is not translated and the same happens with *vecchi*. See table 2.2.
- **Different verb forms:** the same Italian word can be translated into different English verb tenses. For example *sostenere* in table 2.3.
- **Synonyms and homonyms:** one Italian word can be translated into several English words that might be synonyms or might not in case of homonyms. This phenomenon is actually fairly understandable and acceptable under all circumstances. See table 2.4.
- **Errors in the translation:** wrong translations. For example plural form Italian words *gatti* and *passeggeri* are translated both as the correct plural form and the incorrect singular form. See examples in table 2.5.

**Table 2.1:** *Singular-plural correspondence*

| Italian  | English - train | English - test |
|----------|-----------------|----------------|
| comunità | communities     | community      |
| attività | activities      | activity       |

**Table 2.2:** *Italian word is mistaken for English word*

| Italian | English - train | English - test |
|---------|-----------------|----------------|
| segnì   | signs           | <b>segnì</b>   |
| vecchi  | old             | <b>vecchi</b>  |

**Table 2.3:** *Different verb forms:*

| Italian   | English - train | English - test |
|-----------|-----------------|----------------|
| sostenere | support         | supporting     |

**Table 2.4:** *Synonyms and homonyms*

| Italian     | English - train | English - test |
|-------------|-----------------|----------------|
| risposte    | answers         | responses      |
| sufficiente | sufficient      | enough         |

**Table 2.5:** *Errors in the translation*

| Italian    | English - train | English - test | Explanation              |
|------------|-----------------|----------------|--------------------------|
| gatti      | cat             | cats           | it only means cats       |
| passengeri | passengers      | passenger      | it only means passengers |

#### 2.4.2 Panlex

#### 2.4.3 Facebook embedding



## Chapter 3

# Proposed model

### 3.1 Description of our method

In our work we are searching for linear mappings between pretrained word embeddings through a universal vector space. Therefore we

### 3.2 Software architecture

Used packages (tensorflow ...)

## Chapter 4

# Experiments

### 4.1 Description of different tasks

#### 4.1.1 Word translation

Mikolov: 90 percent precision@5 for translation of words between English and Spanish

#### 4.1.2 Cross-lingual semantic word similarity

Facebook (MUSE): SemEval 2017

## 4.2 Summarizing the contributions of the thesis

## Chapter 5

### Future work

# Köszönetnyilvánítás

Ez nem kötelező, akár törölhető is. Ha a szerző szükségét érzi, itt lehet köszönetet nyilvánítani azoknak, akik hozzájárultak munkájukkal ahhoz, hogy a hallgató a szakdolgozatban vagy diplomamunkában leírt feladatokat sikeresen elvégezze. A konzulensnek való köszönetnyilvánítás sem kötelező, a konzulensnek hivatalosan is dolga, hogy a hallgatót konzultálja.

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