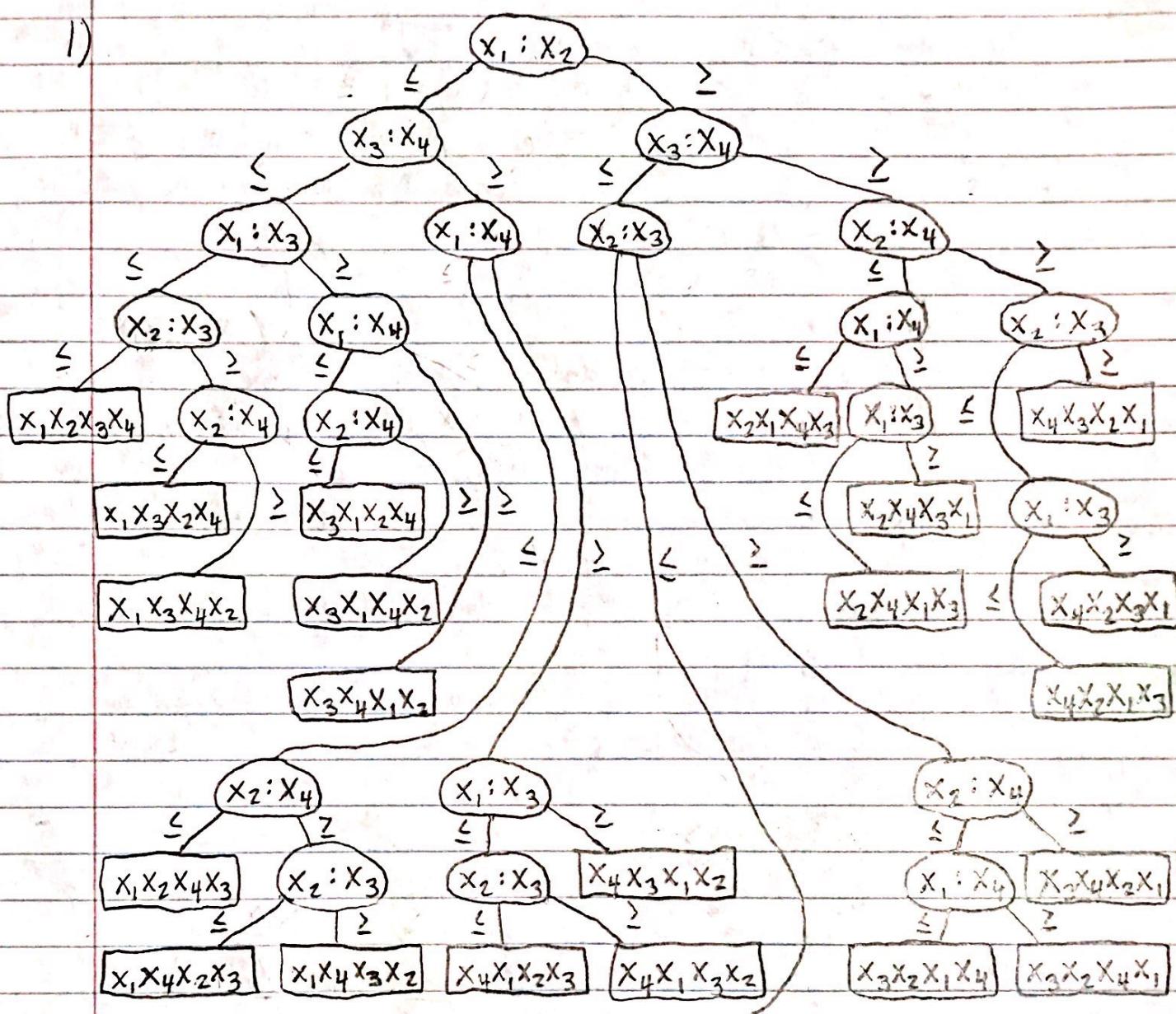


1)



This tree represents a decision tree for the Merge Sort algorithm. The height = $5 = \lceil \log_2 4! \rceil$.

$$\text{Average comparisons} = 4\left(\frac{8}{24}\right) + 5\left(\frac{16}{24}\right) \\ = \frac{112}{24} = \frac{14}{3} \approx 4.67$$

The best case is 4 comparisons
The worst case is 5 comparisons

```

2) Function mySort( array[n] ) {
    for (i = 0 ; i < n ; i++) {
        array[i] = convertToBaseN( array[i], n )
        //Convert each element from base 10 to base n
    }
    RadixSort( array )
}

```

This function will sort n integers in the range 1 to n^3 in $O(n)$ time because `convertToBaseN` takes constant time and is run n times. Then, `RadixSort` is guaranteed to run in $O(n)$ time because `convertToBaseN` makes it so every element has at most 4 digits (because n^3), so it would take about $O(4n)$ time. Therefore, $O(n) + O(4n) = O(n)$ ✓

- 3) a) Quicksort - Unstable because it exchanges nonadjacent elements
 Ex: $(4_1, 2, 3, 4_2, 1) \rightarrow (1, 2, 3, 4_2, 4_1)$
 Pivot on 3
- b) Bubble sort - Stable because it only swaps elements if they are $>$, so equal elements are never swapped
- c) Insertion sort - Stable because it places elements from left to right and only if they are $>$
- d) Counting sort - Stable because it retains the ordering of equal elements, but in a right-to-left fashion
- e) Heap sort - Unstable, equal elements will always be reversed
 Ex: $(3_1, 3_2, 2, 1) \rightarrow (1, 2, 3_2, 3_1)$
- f) Bucket sort - Stable as long as the buckets are sorted using a stable algorithm
- g) Shell sort - Unstable because it doesn't examine elements between intervals
 Ex: $(3_1, 3_2, 1, 4) \rightarrow (1, 3_2, 3_1, 4)$

CS 4040 - HW 3 (cont.)

| | Step 1 ↓ | Step 2 ↓ | Step 3 ↓ | Step 4 ↓ |
|-----------|-------------|-------------|-------------|-------------|
| Start | | | | |
| 4) THIG - | ACTA - | SCAG - | BABA - | ACNE |
| PLOD - | BABA - | AWAY - | BABE - | ACRE |
| BACK - | PLOD - | BABA - | BABU - | ACTA |
| EVIL - | EDGE - | BABE - | BABY - | ACTS |
| SCAG - | DOGE - | BABU - | BACK - | AWAY |
| EDGE - | BABE - | BABY - | RANG - | BABA |
| YEGG - | ACRE - | BACK - | SCAG - | BABE |
| PLOT - | ACNE - | EPGE - | ACNE - | BABU |
| DOGE - | DOPE - | DOGE - | ACRE - | BABY |
| ACTS - | THIG - | YEGG - | ACTA - | BACK |
| PLOW - | SCAG - | THIG - | ACTS - | DOGE |
| AWAY - | YEGG - | EVIL - | EDGE - | DOPE |
| RANG - | RANG - | FILL - | YEGG - | EDGE |
| BABE - | WING - | ACNE - | THIG - | EVIL |
| ACRE - | PLUG - | RANG - | FILL - | FILL |
| WING - | BACK - | WING - | WING - | PLOD |
| BABY - | EVIL - | PLOD - | PLOD - | PLOP |
| PLUG - | FILL - | PLOP - | PLOP - | PLOT |
| ACTA - | PLOP - | PLOT - | PLOT - | PLOW |
| BABU - | ACTS - | PLOW - | PLOW - | PLUG |
| PLOP - | PLOT - | DOPE - | PLUG - | RANG |
| FILL - | BABU - | ACRE - | DOGE - | SCAG |
| ACNE - | PLOW - | ACTA - | DOPE - | THIG |
| DOPE - | AWAY - | ACTS - | EVIL - | WING |
| BABA - | BABY - | PLUG - | AWAY - | YEGG |

5) You can simply use Radix sort to accomplish this. You need to slightly modify the algorithm however, because it's possible that not all elements have the same number of digits. In the case where we run out of digits on a given element, you just treat that as a -1 and send it to the "first bucket" or front of the list, and remove it from further sorting steps. So, if there are n total digits in the array, K is the maximum number of digits of an element, and m is the number of elements, this modified Radix sort would run in $O(n)$ time due to the handling of numbers with fewer than K digits.

```

Function modifiedRadixSort(array[])
    K = get Max Digits (array)
    m = array.length()
    for (i=0 ; i < K ; i++) {
        count[11] = {0} // All 0's
        for (j=0 ; j < m ; j++) {
            count[(Digit at array[j] in pass i)+1] ++
            // IF no digit, use count[0]
        }
        for (j=1 ; j < 11 ; j++) {
            count[j] = count[j] + count[j-1]
        }
        result[m] = {0}
        for (j=m-1 ; j ≥ 0 ; j--) {
            result[count[Digit at array[j]]] = array[j]
        }
        for (j=0 ; j < m ; j++) {
            array[j] = result[j]
        } // Put results in array
    }
}

```

- b) a) The second iteration of the while loop effectively mimics the second recursive call in Quicksort because of the line $p=q+1$. This means that Quicksort and Tail-Recursive-Quicksort sort the array in the same manner, which implies Tail-Recursive-Quicksort is correct because we know Quicksort is correct
- b) If the array is already sorted then the right sub-array in Partition will always have size 0, which would force there to be n calls to Tail-Recursive-Quicksort, implying $\Theta(n)$ performance
- c) Tail-Recursive-Quicksort - Modified (A, p, r)

while $p < r$

$q = \text{Partition}(A, p, r)$

if $q < \lfloor \frac{p+r}{2} \rfloor$

Tail-Recursive-Quicksort - Modified ($A, p, q-1$)

$p = q + 1$

else

Tail-Recursive-Quicksort - Modified ($A, q+1, r$)

$r = q - 1$

7) `findTopKPercent(earnings[n], K){ // (0 < K < 100)`
 `cutoffIndex = $\lfloor n * ((100 - K) / 100) \rfloor$ // Starting index of top K%`
 `cutoffValue = Select(earnings, cutoffIndex, 0, n)`
 `topKPercent[n - cutoffIndex] = {0} // Initialize result array`
 `j = 0`
 `for (i = 0; i < n; i++)`
 `if earnings[i] ≥ cutoffValue`
 `topKPercent[j] = earnings[i]`
 `j++`
 `return topKPercent`

}

This algorithm is optimal because it runs in $O(n)$ time. This is because Select, which finds the cutoffValue for the top K% of earners (i.e. the minimum value which it takes to be part of the top K%), runs in $O(n)$ time, and the for loop which loads the output array, topKPercent[], with all the values that are $\geq \text{cutoffValue}$ also runs in $O(n)$ time. Therefore, the whole function runs in $O(n)$ time.

8) a) Select with groups of 3:

After finding s (median of medians), there are $2(\lceil \frac{1}{2} \lceil \frac{n}{3} \rceil \rceil - 2) > \frac{1}{3}n - 4$ elements $> s$.

Similarly, there are at least $\frac{1}{3}n - 4$ elements $< s$.

$$\text{So, } n - (\frac{1}{3}n - 4) = \frac{2}{3}n + 4, \text{ and}$$

$$T(n) \leq T\left(\frac{2}{3}n + 4\right) + T\left(\lceil \frac{n}{3} \rceil\right) + \Theta(n)$$

Prove $T(n) = O(n)$

I.H.

$$\text{Assume } T(n) \leq T\left(\frac{2}{3}n + 4\right) + T\left(\lceil \frac{n}{3} \rceil\right) + \Theta(n) = O(n) \leq cn - d \forall n \geq n_0$$

I.S.

$$\text{Prove } T(m) \leq T\left(\frac{2}{3}m + 4\right) + T\left(\lceil \frac{m}{3} \rceil\right) + \Theta(m) = O(m) \leq am - b \quad \forall m \geq m_0$$

$$T(m) \leq a\left(\frac{2}{3}m + 4\right) - b + a\lceil \frac{m}{3} \rceil - b + xm \leq am - b$$

$$T(m) \leq \frac{2}{3}am + 4a - b + a\left(\frac{m}{3} + 1\right) - b + xm \leq am - b$$

$$T(m) \leq \frac{2}{3}am + 4a - b + \frac{1}{3}am + a - b + xm \leq am - b$$

$$T(m) \leq am + 5a - 2b + xm \leq am - b$$

This inequality is true provided the constants a, b, and x have this relationship - $b \geq 5a + xm$

Therefore, $T(m) = O(m)$ and Select with groups of 3 runs in linear time.

8) b) Select with groups of 7:

After finding s (median of medians), there are $4(\lceil \frac{1}{2} \lceil \frac{n}{7} \rceil \rceil - 2) > \frac{2}{7}n - 8$ elements $> s$.

Similarly, there are at least $\frac{2}{7}n - 8$ elements $< s$.

$$\text{So, } n - (\frac{2}{7}n - 8) = \frac{5}{7}n + 8, \text{ and}$$

$$T(n) \leq T(\frac{5}{7}n + 8) + T(\lceil \frac{n}{7} \rceil) + \Theta(n)$$

$$\text{Prove } T(n) = O(n)$$

I.H.

$$\text{Assume } T(n) \leq T(\frac{5}{7}n + 8) + T(\lceil \frac{n}{7} \rceil) + \Theta(n) = O(n) \leq cn - d \quad \forall n > n_0$$

I.S.

$$\text{Prove } T(m) \leq T(\frac{5}{7}m + 8) + T(\lceil \frac{m}{7} \rceil) + \Theta(m) = O(m) \leq am - b \quad \forall m > m_0$$

$$T(m) \leq a(\frac{5}{7}m + 8) - b + a\lceil \frac{m}{7} \rceil - b + xm \leq am - b$$

$$T(m) \leq \frac{5}{7}am + 8a - b + a(\frac{m}{7} + 1) - b + xm \leq am - b$$

$$T(m) \leq \frac{5}{7}am + 8a - b + \frac{1}{7}am + a - b + xm \leq am - b$$

$$T(m) \leq \frac{6}{7}am + 9a - 2b + xm \leq am - b$$

$$T(m) \leq am - \frac{1}{7}am + 9a - 2b + xm \leq am - b$$

This inequality is true provided the constants a, b , and x have this relationship - $b \geq 9a + xm - \frac{1}{7}am$
 Therefore, $T(m) = O(m)$ and Select with groups of 7 also runs in linear time.