

Chapter 5

Recursion

Software Construction– Xuechao Li



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Recursive Pictures



Recursive Pictures

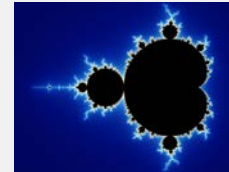
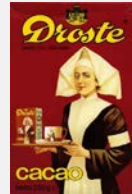


Recursive Pictures



Necessary properties of recursion

Recursive things are self--referential

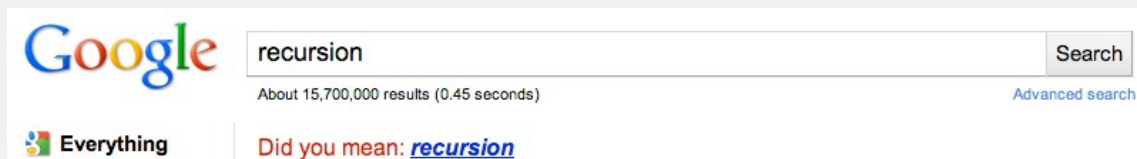


Recursive things must not be circular

recursion |ri'kər zh ən|

noun Mathematics & Linguistics

ORIGIN 1930s: from late Latin recursio(n--), from recurrere (see recursion).



Recursive things must have meaning

This sentence is false.



Structural Recursion

Structural recursion: The structure being defined appears as part of the definition.

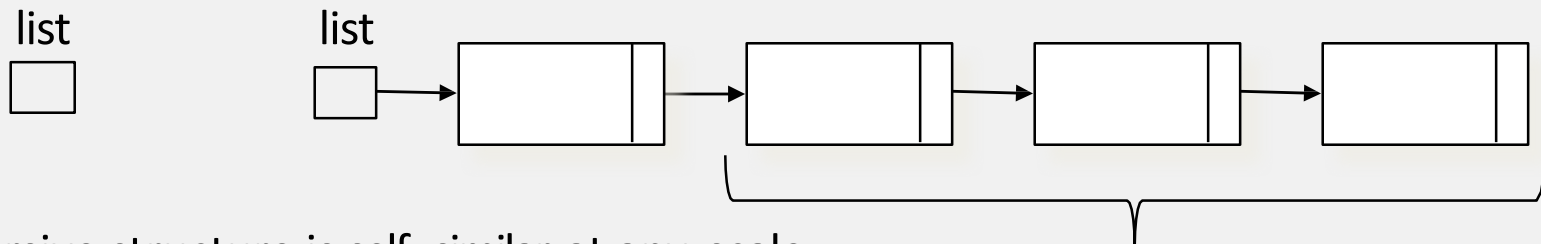
```
public class Node
{
    private T element;
    private Node next;
    ...
}
```

What is a Node?

A node is a structure that contains an element and a node.

A higher level example:

A linked list is either empty or it is a single node that points to a linked list.



A recursive structure is self-similar at any scale.

Computational Recursion

Computational recursion: The computation being defined is applied within its own definition.

Example: The factorial function.

$$n! = \begin{cases} 1 & \text{if } n = 0 \\ n \times (n-1)! & \text{if } n > 0 \end{cases}$$

Evaluating the function:

The diagram illustrates the recursive evaluation of the factorial function for $5!$. It shows a sequence of equations from $5! = 5 * 4!$ down to $0! = 1$. Curved arrows point upwards from each equation to the next one above it, representing the return of values from recursive calls. Red numbers are placed next to these arrows: 1, 1, 2, 6, and 24. The final result, $5! = 120$, is shown in red text to the right of the sequence.

$$\begin{array}{l} 5! = 5 * 4! \\ 4! = 4 * 3! \\ 3! = 3 * 2! \\ 2! = 2 * 1! \\ 1! = 1 * 0! \\ 0! = 1 \end{array} \quad \begin{array}{l} \text{24} \\ \text{6} \\ \text{2} \\ \text{1} \\ \text{1} \end{array} \quad 5! = 120$$

How is 5! computed?

5! is computed by multiplying 5 by 4!

Why is this not circular?

Computational Recursion

Computational recursion: The computation being defined is applied within its own definition.

Example: The factorial function.

$$n! = \begin{cases} 1 & \text{if } n = 0 \\ n \times (n-1)! & \text{if } n > 0 \end{cases}$$

Evaluating the function:

$$\begin{aligned} 5! &= 5 * 4! \\ &= 5 * (4 * 3!) \\ &= 5 * (4 * (3 * 2!)) \\ &= 5 * (4 * (3 * (2 * 1!))) \\ &= 5 * (4 * (3 * (2 * (1 * 0!)))) \\ &= 5 * (4 * (3 * (2 * (1 * 1)))) \\ &= 5 * (4 * (3 * (2 * 1))) \\ &= 5 * (4 * (3 * 2)) \\ &= 5 * (4 * 6) \\ &= 5 * 24 \\ &= 120 \end{aligned}$$

$$\begin{array}{ccccccc} 5! & = & 5 * & 4! & & & \\ & & & 4 * & 3! & & \\ & & & & 3 * & 2! & \\ & & & & & 2 * & 1! \\ & & & & & & 1 * & 0! \\ & & & & & & & 1 \\ & & & & & & & & 1 \\ & & & & & & & & & 2 \\ & & & & & & & & & & 6 \\ & & & & & & & & & & & 24 \\ & & & & & & & & & & & & 120 \end{array}$$

Computational Recursion

Computational recursion: The computation being defined is applied within its own definition.

Example: The factorial function.

$$n! = \begin{cases} 1 & \text{if } n = 0 \\ n \times (n-1)! & \text{if } n > 0 \end{cases}$$

Evaluating the function:

5! = 5 * 4!
= 5 * (4 * 3!)
= 5 * (4 * (3 * 2!))
= 5 * (4 * (3 * (2 * 1!)))
= 5 * (4 * (3 * (2 * (1 * 0!))))
= 5 * (4 * (3 * (2 * (1 * 1))))
= 5 * (4 * (3 * (2 * 1)))
= 5 * (4 * (3 * 2))
= 5 * (4 * 6)
= 5 * 24
= 120

Recursive
"down trip"

Recursive
"up trip"

5! = 5 * 4!
4 * 3!
3 * 2!
2 * 1!
1 * 0!
1
1
2
6
24
120

Recursive
"down trip"

Recursive
"up trip"

Computational Recursion

Computational recursion: The computation being defined is applied within its own definition.

Example: The factorial function.

$$n! = \begin{cases} 1 & \text{if } n = 0 \\ n \times (n-1)! & \text{if } n > 0 \end{cases}$$

An implementation in C++:

```
int factorial(int n)
{
    if(n == 0)
        return 1;
    else
        return n * factorial(n - 1);
}
```

Recursive Definitions

A recursive definition, whether structural or computational, consists of two parts:

(1) Base Case

A simple statement or definition that does not involve recursion

(2) Reduction Step

A set of rules that reduce all other cases toward the base case

Examples:

A linked list is either **empty** or it is **a single node that points to a linked list**.

$$n! = \begin{cases} 1 & \text{if } n = 0 \\ n * (n - 1)! & \text{if } n > 0 \end{cases}$$

Writing recursive methods

The basic recursive code pattern is this:

```
if (base case) {  
    return solution;  
}  
else {  
    return recursive_call;  
}
```

Describes the smallest instance of the problem.

Known solution or easy to compute.

States the solution in terms of a smaller instance of the problem.

Must take computation closer to the base case.

Factorial is a good example of this pattern.

```
int factorial(int n) {  
    if (n == 0)  
        return 1;  
    else  
        return n * factorial(n-1);  
}
```

Smallest instance
of the problem.

Known solution.

States the solution of the current problem – factorial(n) – in terms of a smaller instance of the problem: factorial(n-1).

n-1 takes the computation closer to the base case (0).

Calling recursive methods

When a method is called – recursive or not – an activation record (or stack frame) for that method is pushed onto the runtime stack (call stack).

When a method returns – recursive or not – its activation is popped from the call stack

```
int factorial(int n) {  
    if (n == 0)  
        return 1;  
    else  
        return n * factorial(n-1);  
}
```

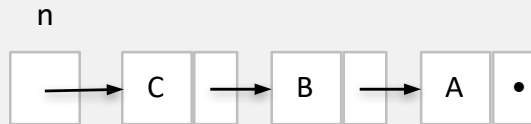
```
void foo() {  
    int f = factorial(5);  
}
```

<i>factorial</i>
n = 0 return 1
<i>factorial</i>
n = 1 return 1 * 1_
<i>factorial</i>
n = 2 return 2 * 1_
<i>factorial</i>
n = 3 return 3 * 2_
<i>factorial</i>
n = 4 return 4 * 6_
<i>factorial</i>
n = 5 return 5 * <u>24</u>
<i>foo</i>
f = 120
...

Writing recursive methods

Write a recursive method that calculates the length of a linked list.

(That is, the number of nodes accessible from the first node in a pointer chain.)



`length(n)` would return 3

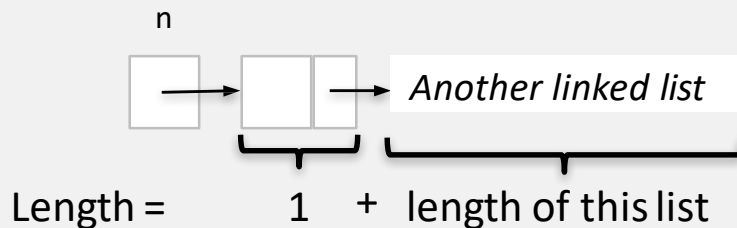
Thinking recursively...

Base Case



Reduction Step (Recursive Case)

A single node that points to a linked list.



Remember our iterative version:

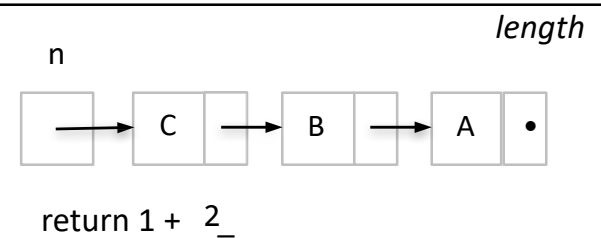
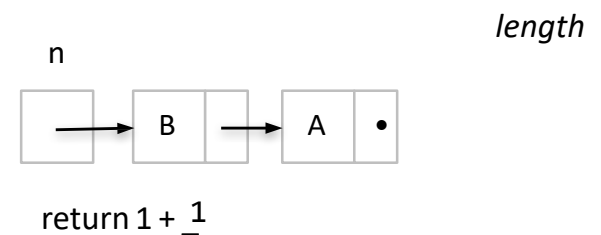
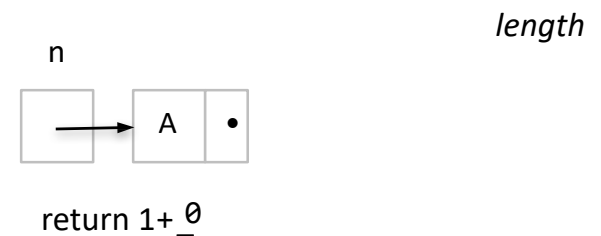
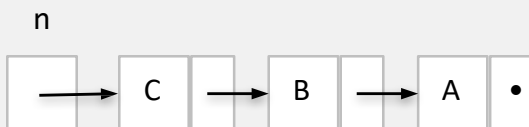
```
int length(Node n) {  
    Node p = n;  
    int i = 0;  
    while (p != null) {  
        i++;  
        p = p.getNext();  
    }  
    return i;  
}
```

```
int length(Node n) {  
    if ( n == null ) {  
        return 0;  
    }  
    else {  
        return 1 + length(n.getNext());  
    }  
}
```


Calling recursive methods

```
int length(Node n) {  
    if ( n == null ) {  
        return 0;  
    }  
    else {  
        return 1 + length(n.getNext());  
    }  
}
```

```
void foo() {  
    Node n = new Node("A");  
    n = new Node("B", n);  
    n = new Node("C", n);  
    int len = length(n);  
}
```



3 • • • foo

Variation on the pattern

The Fibonacci numbers:

An integer sequence in which the first two numbers are 0 and 1, and each subsequent number is the sum of the previous two.

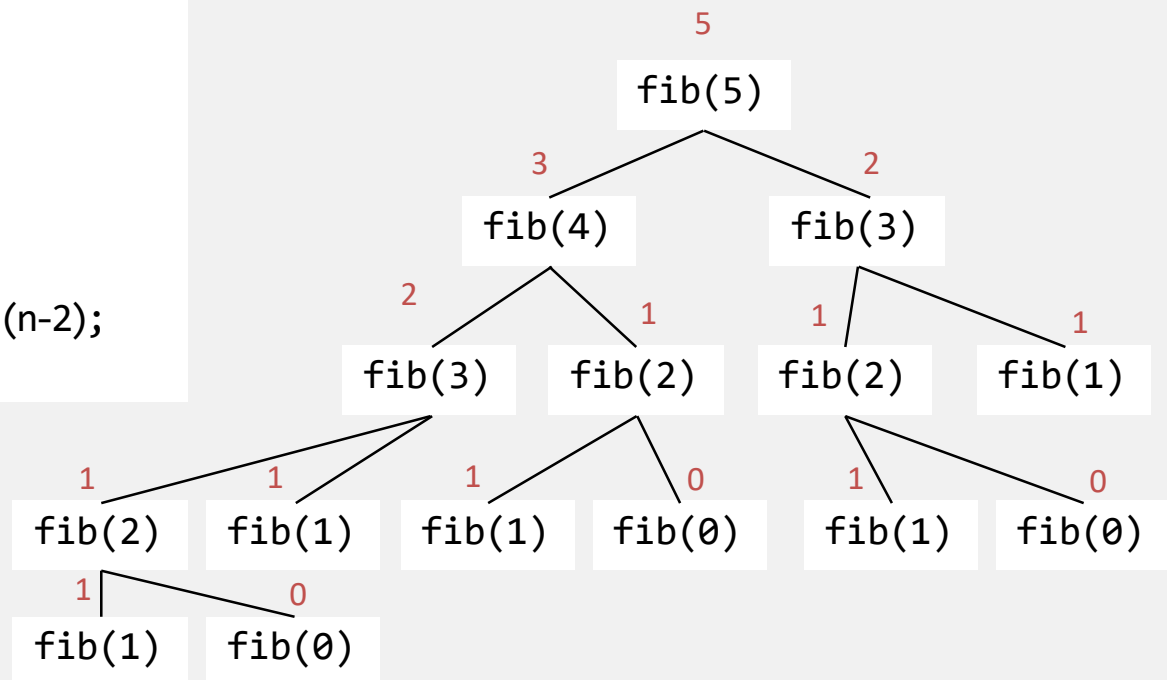
0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, 233, ...

Finding the n th Fibonacci number:

```
int fib(int n) {  
    if (n == 0)  
        return 0;  
    else if (n == 1)  
        return 1;  
    else  
        return fib (n-1) + fib (n-2);  
}
```

More than one base case.

More than one recursive call in the reduction step.



The power of recursive thinking

You've been hired to develop control software for a robotic lift in a warehouse. The robotic lift must move stacks of widgets from the incoming shipping bay to a long-term storage bay. The widgets are stacked one on top of another, from largest on the bottom to smallest on the top. The robotic lift can only move one widget at a time due to weight, and can never stack a larger widget on top of a smaller widget. There is one temporary storage bay that the robotic lift can use while moving the widgets from the shipping bay to the long-term storage bay. At all times each storage bay can hold at most one stack of widgets, and the stacks must be arranged from largest on bottom to smallest on top. The transfer of a single widget from the stack in one bay to the stack in another bay (shipping, temporary, or long-term) is considered a "move." You must write the control software so that the robotic lift makes the minimum number of widget moves possible.

Game: <https://www.coolmathgames.com/0-tower-of-hanoi>

<https://www.mindgames.com/game/Tower+of+Hanoi>

Video: <https://www.youtube.com/watch?v=5QuiCcZKyYU>

Robot: <https://www.youtube.com/watch?v=h8JPtWpctl4>

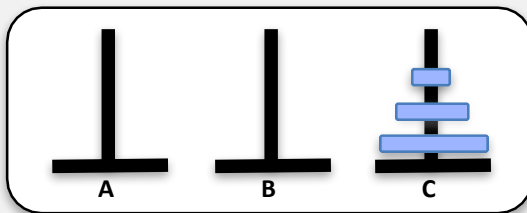
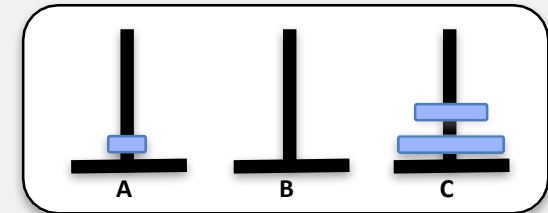
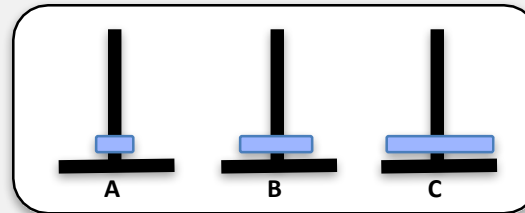
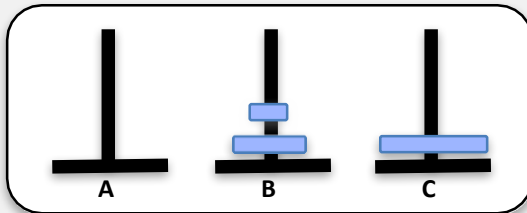
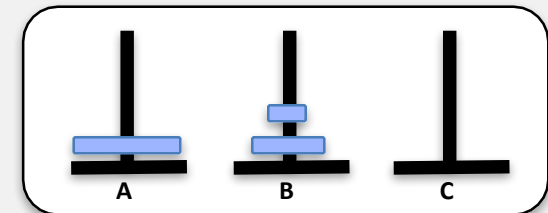
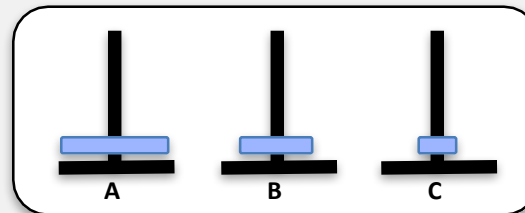
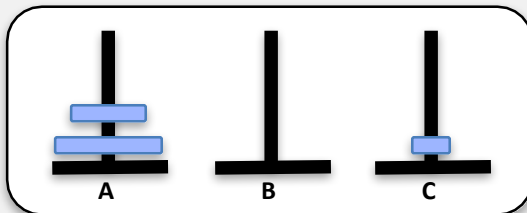
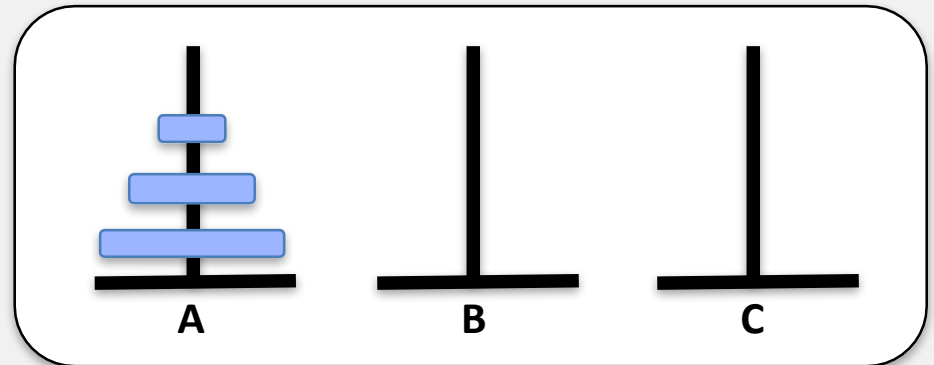


The power of recursive thinking

Tower of Hanoi (or Tower of Brahma)

Given three pegs (A, B, and C) and N disks, with the initial configuration of all N disks stacked from largest to smallest on peg A, move all N disks from peg A to peg C while obeying the following rules.

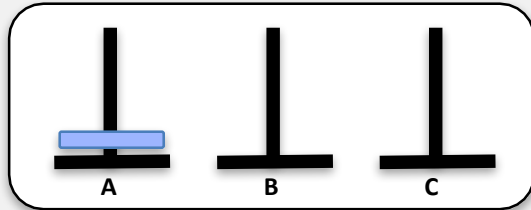
1. Only the top disk on a stack can be moved.
2. Only one disk can be moved at a time.
3. No disk can be placed on top of a smaller disk.



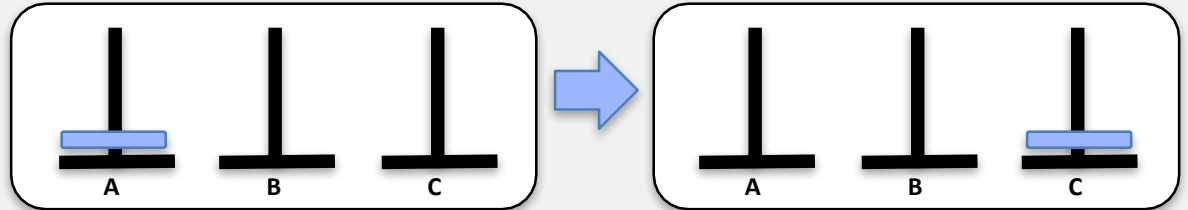
An optimal solution is easy to discover by trial and error for small N, but the trick is to write an algorithm that makes the optimal sequence of moves for arbitrary values of N.

Tower of Hanoi

Base case: One disk.

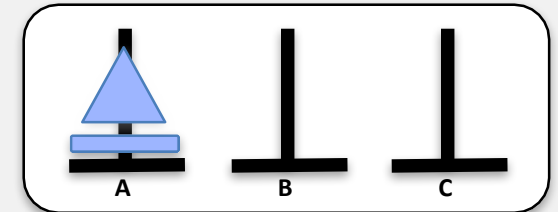
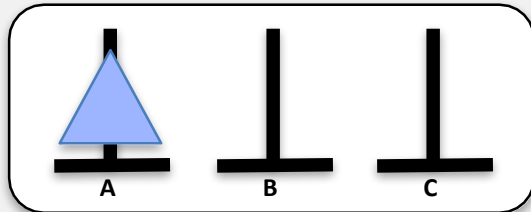


Solution: Move the disk from A to C.



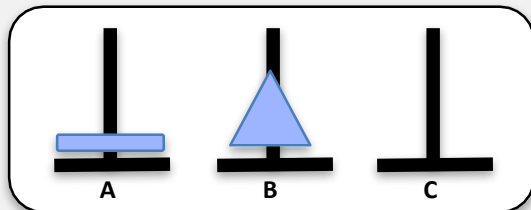
Reduction step: N disks.

The key insight is in how we view these N disks.

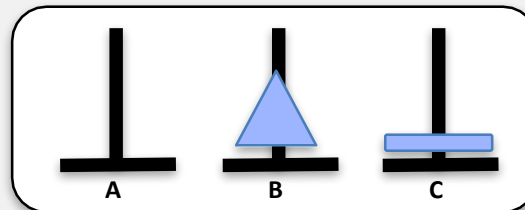


Solution:

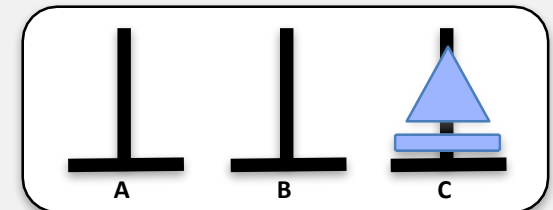
(1) Move $N-1$ disks from A to B.



(2) Move 1 disk from A to C.



(3) Move $N-1$ disks from B to C.



The code is just this simple.

Tower of Hanoi

```
void moveTower(int numDisks, String startPeg, String endPeg, String tempPeg)
{
    if (numDisks == 1)
        moveOneDisk(startPeg, endPeg);
    else {
        moveTower (numDisks-1, startPeg, tempPeg, endPeg);
        moveOneDisk(startPeg, endPeg);
        moveTower (numDisks-1, tempPeg, endPeg, startPeg);
    }
}
```

```
void moveOneDisk(String startPeg, String endPeg) {
    cout << "Move one disk from " << startPeg << " to " << endPeg << endl;
}
```

```
Move one disk from A to C
Move one disk from A to B
Move one disk from C to B
Move one disk from A to C
Move one disk from B to A
Move one disk from B to C
Move one disk from A to C
```


Performance issues

Recursive code can be less efficient than an equivalent iterative version because of overhead costs.

Primary costs associated with recursion: (1) Method call/return overhead (2) Call stack space



Generally, the advice for dealing with methods that are naturally recursive (because that is the natural way to code them for clarity) is to go ahead with the recursive solution. You need to spend time counting the cost (if any) only when your profiling shows that this particular method is a bottleneck in the application. At that stage, it is worth pursuing alternative implementations or avoiding the method call completely with a different structure. – Jack Shirazi

Mechanics

1. Determine the base case of the Recursion
2. Implement a loop that will iterate until the base case is reached
3. Make a progress towards the base case

See samples in Practice

Structure of recursive methods

The intuitive “if–else” template:

```
int factorial(int n) {  
    if (n == 0)  
        return 1;  
    else  
        return n * factorial(n-1);  
}
```

The else is often omitted since it’s unnecessary:

```
int factorial(int n) {  
    if (n == 0)  
        return 1;  
    return n * factorial(n-1);  
}
```

Structure of recursive methods

Note when different parts of the method are executed:

```
static void foo(int n) {  
    // recursive down trip actions go here  
  
    if (n == 0) {  
        // base case  
        return;  
    }  
    else {  
        // reduction  
        foo(n-1);  
    }  
  
    // recursive up trip actions go here  
  
}
```

Summary

1. Recursion definition

- base case
- reduction step

2. A step-by-step analysis

- the factorial function
- the length of a singly linked list

3. A real world application

- Tower of Hanoi

4. Performance/Structure of recursive methods