

# Cartier Equality

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## 1 Introduction

We want to show that for  $k$  a perfect field,  $K$  an extension of  $k$  and  $L$  a finitely generated extension of  $K$ , then

$$\dim_L \Omega_{L/K} = \text{tr.d.}_K L + \dim_L \Gamma_{L/K/k}$$

In particular, if  $L/K$  is a separable extension, then we have that  $\dim_L \Omega_{L/K} = \text{tr.d.}_K L$ . We first need to understand derivations.

## 2 Derivations

**Def:** Let  $A$  be a ring and  $M$  an  $A$ -module. A map  $D : A \rightarrow M$  is called a *derivation* if  $D(a + b) = D(a) + D(b)$  and  $D(ab) = aD(b) + bD(a)$ . For a subring  $k \rightarrow A$  we say that  $D$  is a  *$k$ -derivation* if  $D|_k = 0$ .

For any ring  $A$  and  $A$ -module  $M$  the collection of all derivations  $D : A \rightarrow M$  forms an  $A$ -module called  $\text{Der}(A, M)$  where  $(D + D')(a) = D(a) + D'(a)$  and  $(aD)(b) = aD(b)$ . For any  $k \rightarrow A$ , the  $k$ -derivations are denoted  $\text{Der}_k(A, M)$ . For fixed  $A$ , the functor  $M \mapsto \text{Der}_k(A, M)$  is representable, i.e. there is a module  $\Omega_{A/k}$  such that the functor  $M \mapsto \text{Der}_k(A, M)$  is naturally isomorphic to  $\text{Hom}_A(\Omega_{A/k}, M)$ . In particular, we have a derivation  $d_{A/k} : A \rightarrow \Omega_{A/k}$  such that for any derivation  $D : A \rightarrow M$ , there is a unique  $A$ -linear map  $f : \Omega_{A/k} \rightarrow M$  such that  $D = f \circ d_{A/k}$ . We construct this module  $\Omega_{A/k}$  called the module of differentials now:

### Module of Differentials

Let  $A$  be a ring and  $k \rightarrow A$  a ring homomorphism. Then there exists such a module  $\Omega_{A/k}$  and derivation  $d_{A/k} : A \rightarrow \Omega_{A/k}$ .

*Proof.* Let  $\mu : A \otimes_k A \rightarrow A$  be given by extending  $\mu(x \otimes y) = xy$  linearly to all of  $A$ . Let  $I = \ker \mu$ . Let  $\Omega_{A/k} = I/I^2$  and let  $d_{A/k}(x) = x \otimes 1 - 1 \otimes x \pmod{I^2}$ . Note that  $\mu(d_{A/k}(x)) = x - x = 0$  so  $d_{A/k}$  does indeed map into  $I/I^2$ .  $d_{A/k}$  is obviously linear. To see that  $d_{A/k}$  is a  $k$ -derivation let  $x, y \in A$  notice that  $(x \otimes 1 - 1 \otimes x)(y \otimes 1 - 1 \otimes y) = 0 \pmod{I^2}$ , i.e.  $x \otimes y + y \otimes x = xy \otimes 1 + 1 \otimes xy$ ,

then

$$\begin{aligned}
d_{A/k}(xy) &= xy \otimes 1 - 1 \otimes xy \\
&= -2 \otimes xy + (xy \otimes 1 + 1 \otimes xy) \\
&= -2 \otimes xy + x \otimes y + y \otimes x \\
&= -2 \otimes xy + (1 \otimes y)(x \otimes 1 - 1 \otimes x) + (1 \otimes x)(y \otimes 1 - 1 \otimes y) + 2 \otimes xy \\
&= yd_{A/k}(x) + xd_{A/k}(y)
\end{aligned}$$

Furthermore, for any  $x \in k$  we have that  $d_{A/k}(x) = x \otimes 1 - 1 \otimes x = (x - x)(1 \otimes 1) = 0$ . It follows that  $d_{A/k}$  is a  $k$ -derivation. We now need to show that  $\Omega_{A/k}$  has the stated universal property. Let  $D : A \rightarrow M$  be a derivation.

Let  $D \in \text{Der}_k(A, M)$ . Let  $A * M$  be the  $k$ -algebra given set theoretically by  $A \times M$  with multiplication  $(a, x)(b, y) = (ab, ay + bx)$ . We then have that  $f : A \otimes_k A \rightarrow A * M$  given by extending  $f(x \otimes y) = (xy, xDy)$  linearly. Notice by definition of  $I$  we have for any  $s \in I$ ,  $f(s) = (\mu(s), \dots) = (0, \dots)$  so  $f : I \rightarrow M$ . Furthermore  $f$  is a  $k$ -algebra homomorphism. To check this we need only check on products of simple tensors since it then extends by linearity:

$$f((x \otimes y)(z \otimes w)) = (xyzw, xyzDw + xzwDy) = (xy, xDy)(zw, zDw)$$

It follows that  $f(I^2) \subseteq M^2$  but notice that  $M^2 = 0$  in  $A * M$ . Therefore  $f : I/I^2 \rightarrow M$  and  $I/I^2 = \Omega_{A/k}$ . Now  $-f(d_{A/k}(x)) = -f(x \otimes 1 - 1 \otimes x) = -xD(1) + 1D(x) = D(x)$ . If  $f, f'$  both satisfy  $D = f \circ d_{A/k} = f' \circ d_{A/k}$  then  $0 = (f - f') \circ d_{A/k}$ . For any  $x, y$  we have that  $x \otimes y = (1 \otimes y)(x \otimes 1 - 1 \otimes x) + 1 \otimes xy = yd_{A/k}(x) + 1 \otimes xy$ , then for any  $\sum x_i \otimes y_i \in I$  we have:

$$\sum x_i \otimes y_i = \sum y_i d_{A/k}(x_i) + 1 \otimes \sum x_i y_i = \sum y_i d_{A/k}(x_i) + \mu(\sum x_i \otimes y_i) = \sum y_i d_{A/k}(x_i)$$

It follows that  $\Omega_{A/k}$  is generated by elements of the form  $dx$  for  $x \in A$ . It follows that  $0 = (f - f') \circ d_{A/k}$  implies that  $f - f' = 0$  and thus  $f$  is unique. Therefore  $\Omega_{A/k}$  has the desired universal property.  $\square$

**Def:** Let  $A \rightarrow B$  be a ring homomorphism.  $B$  is said to be 0-smooth over  $A$  if the following property holds: For any  $A$ -algebra  $C$  and any ideal  $I \subseteq C$  with  $I^2 = 0$  and any  $A$ -algebra homomorphism  $u : B \rightarrow C/N$  we have a lifting  $v : B \rightarrow C$  such that the following diagram commutes:

$$\begin{array}{ccc}
B & \xrightarrow{u} & C/N \\
\uparrow & \searrow \exists v & \uparrow \\
A & \longrightarrow & C
\end{array}$$

### First Fundamental Exact Sequence

Given ring homomorphisms  $k \xrightarrow{f} A \xrightarrow{g} B$  we have an exact sequence:

$$\Omega_{A/k} \otimes B \xrightarrow{\alpha} \Omega_{B/k} \xrightarrow{\beta} \Omega_{B/A} \rightarrow 0$$

where  $\alpha(d_{A/k}(a) \otimes b) = bd_{B/k}(g(a))$  and  $\beta(d_{B/k}(b)) = d_{B/A}(b)$ .

Furthermore, if  $B$  is 0-smooth over  $A$ , then the sequence is split exact.

*Proof.* To show that the sequence is exact, it suffices to show that it is exact after applying  $\text{Hom}(-, T)$  for all  $B$ -modules  $T$ . Therefore we have to show that:

$$0 \rightarrow \text{Hom}_B(\Omega_{B/A}, T) \xrightarrow{\beta^*} \text{Hom}_B(\Omega_{B/k}, T) \xrightarrow{\alpha^*} \text{Hom}_B(\Omega_{A/k} \otimes_A B, T)$$

By tensor-hom we have that  $\text{Hom}_B(N \otimes_A B, T) = \text{Hom}_A(N, \text{Hom}_B(B, T)) = \text{Hom}_A(N, T)$  where  $T$  is now considered as an  $A$ -module through  $g$ . For any  $f : \Omega_{B/k} \rightarrow T$  corresponding to a derivation  $D = f \circ d_{B/k}$  we have it is mapped to  $\alpha^* f = f \circ \alpha : \Omega_{A/k} \otimes_A B \rightarrow T$ . Under the isomorphism  $\text{Hom}_B(\Omega_{A/k} \otimes_A B, T) = \text{Hom}_A(\Omega_{A/k}, T)$  this corresponds to the map  $f \circ \alpha \circ u : \Omega_{A/k} \rightarrow T$  where  $u(x) = x \otimes 1$ . This corresponds to the derivation  $D' = f \circ \alpha \circ u \circ d_{A/k}$ . Computing this we get:

$$\begin{aligned} D'(a) &= f(\alpha(u(d_{A/k}(a)))) \\ &= f(\alpha(d_{A/k}(a) \otimes 1)) \\ &= f(d_{B/k}(g(a))) \\ &= (D \circ g)(a) \end{aligned}$$

Therefore  $\alpha^*$  corresponds to  $g^*$  on derivations. Similarly for any  $f : \Omega_{B/A} \rightarrow T$  corresponding to a derivation  $D = f \circ d_{B/A}$  we get  $\beta^* f = f \circ \beta$  giving the derivation  $D' = f \circ \beta \circ d_{B/k}$ . We compute:

$$\begin{aligned} D'(a) &= f(\beta(d_{B/k}(a))) \\ &= f(d_{B/A}(a)) \\ &= D(a) \end{aligned}$$

Therefore  $\beta^*$  corresponds to the inclusion  $\text{Der}_A(B, T) \rightarrow \text{Der}_k(B, T)$ . It follows that upon rewriting our sequence in terms of derivations, we have:

$$0 \rightarrow \text{Der}_A(B, T) \rightarrow \text{Der}_k(B, T) \xrightarrow{g^*} \text{Der}_k(A, T)$$

As noted,  $\text{Der}_A(B, T)$  is a submodule of  $\text{Der}_k(B, T)$  and the first map is just the inclusion map so it is injective. Now for  $D : B \rightarrow T$  a  $k$ -derivation if  $g^* D = 0$ , then  $D(g(x)) = 0$  for all  $x \in A$  but this is exactly the statement that  $D$  is an  $A$ -derivation and thus the sequence is exact. It follows that the original sequence is exact.

Now if  $B$  is 0-smooth over  $A$ , then let  $T = \Omega_{A/k} \otimes_A B$  and let  $D \in \text{Der}_k(A, T)$ . Consider again  $A * T$ , so  $T$  is an ideal of  $B * T$  with square 0. We have a map  $\varphi : A \rightarrow B * T$  given by  $\varphi(a) = (g(a), D(a))$  making  $B * T$  into an  $A$  algebra. Then we have a commutative diagram:

$$\begin{array}{ccc} B & \xrightarrow{\text{id}} & B \\ g \uparrow & \searrow \exists v & \uparrow \\ A & \xrightarrow{\varphi} & B * T \end{array}$$

From 0-smoothness of  $B$  over  $A$  we get  $v : B \rightarrow B * T$ . Let  $D' : B \rightarrow T$  be given by  $\text{pr}_2 \circ v : B \rightarrow T$  where  $\text{pr}_2 : B * T \rightarrow T$ . Since  $\text{pr}_1 \circ v = \text{id}$ , then  $v(x) = (x, D'(x))$ . We have that  $D'$  is a derivation since for  $x, y \in B$  we have that  $v(xy) = v(x)v(y) = (x, D'(x))(y, D'(y)) = (xy, xD'(y) + yD'(x))$ . Therefore  $D'(xy) = xD'(y) + yD'(x)$  as desired. Furthermore, we have that  $v \circ g = \varphi$  so  $D' \circ g = D$ . Now since  $T = \Omega_{A/k} \otimes_A B$  we may take  $D = d_{A/k} \otimes 1$ . Now  $D'$  corresponds to a map  $f : \Omega_{B/k} \rightarrow \Omega_{A/k} \otimes_k T$ . Then  $D' = f \circ d_{B/k}$ . Therefore  $f \circ d_{B/k} \circ g = d_{A/k} \otimes 1$ . By definition of  $\alpha$  we have that  $d_{B/k} \circ g = \alpha \circ d_{A/k} \otimes 1$ . It follows that  $f \circ \alpha \circ d_{A/k} \otimes 1 = d_{A/k} \otimes 1$ . Since  $\text{Hom}_B(M \otimes_A B, T) = \text{Hom}_A(M, T)$  for any  $A$ -module  $M$  and  $B$ -module  $T$ , then  $(f \circ \alpha) \circ d_{A/k} = \text{id} \circ d_{A/k}$ . By the universal property of the module of differentials, we have that  $f \circ \alpha = \text{id}$  as desired.  $\square$

### Second Fundamental Exact Sequence

Let  $k \xrightarrow{f} A \xrightarrow{g} B$  be a sequence of ring homomorphisms with  $g$  surjective and  $B = A/I$ , then there is an exact sequence:

$$I/I^2 \xrightarrow{\delta} \Omega_{A/k} \otimes_A B \xrightarrow{\alpha} \Omega_{B/k} \rightarrow 0$$

where  $\alpha(d_{A/k}(a) \otimes b) = bd_{B/k}(a)$  and  $\delta(x \pmod{I^2}) = d_{A/k}(a) \otimes 1$ .

*Proof.* Since  $g$  is surjective, then  $\Omega_{B/A} = 0$  since any  $A$ -derivation  $B \rightarrow M$  is 0 on  $g(A) = B$ . It follows from the first fundamental exact sequence that we have  $\Omega_{A/k} \otimes_A B \xrightarrow{\alpha} \Omega_{B/k} \rightarrow 0$ . We now need only show exactness at  $\Omega_{A/k} \otimes_A B$ . To do so, we again take  $\text{Hom}_B(-, T)$  for arbitrary  $B$ -modules  $T$ . This gives:

$$\text{Der}_k(B, T) \xrightarrow{\alpha^*} \text{Der}_k(A, T) \xrightarrow{\delta^*} \text{Hom}_B(I/I^2, T)$$

Recall from the first fundamental exact sequence that  $\alpha^*$  is just the pullback  $g^*$ . To understand  $\delta^*$ , let  $D$  be a  $k$ -derivation corresponding to the map  $f : \Omega_{A/k} \rightarrow T$ , i.e.  $D = f \circ d_{A/k}$ , then  $f$  corresponds to  $f = \tilde{f} \circ u$  where  $u : \Omega_{A/k} \rightarrow \Omega_{A/k} \otimes_A B$  and  $\tilde{f} : \Omega_{A/k} \otimes_A B \rightarrow T$  given by  $\tilde{f}(x \otimes b) = bf(x)$ . Therefore  $D$  is mapped to  $\tilde{f} \circ \delta$ . Now suppose that  $\tilde{f} \circ \delta = 0$ . Then  $\tilde{f}(x) = 0$  for any  $x \in I$ . We want to find  $D' : B \rightarrow T$  a  $k$ -derivation such that  $D = D' \circ g$ . Since  $g$  is surjective, let  $D'(g(a)) = D(a)$ . If  $g(a) = g(b)$ , then  $a - b \in I$  and therefore  $D(a - b) = 0$  so  $D'$  is well-defined. Therefore we have exactness.  $\square$

### Remark

For  $A = K[X_1, \dots, X_n]$  we have that  $\Omega_{A/K} = A^n$  spanned by  $dX_1, \dots, dX_n$ . To see this, we first show it for one variable, i.e.  $\Omega_{K[X]/K} = K[X]dX$ . Let  $d : K[X] \rightarrow K[X]dX$  be given by  $d(f(X)) = f'(X)dX$ . Let  $D \in \text{Der}_K(K[X], T)$  be any  $K$ -derivation, then we have  $D(X^s) = sX^{s-1}D(X)$ . We want to find  $g : K[X]dX \rightarrow T$ ,  $K[X]$ -linear such that  $D = g \circ d$ . We must have that  $g(d(X)) = g(dX) = D(X)$ , therefore we get that  $g(f(X)dX) = f(X)D(X)$ .  $g$  must be of this form so it is unique and for such  $g$  we do indeed have  $D = g \circ d$ . Notice that we do not need  $K$  to be a field for this to be true. Now  $K[X]$  is 0-smooth over  $K$  since for any  $C$  a  $K$ -algebra and  $I \subseteq C$  with  $I^2 = 0$  and  $u : K[X] \rightarrow C/I$  is given by  $X \mapsto \bar{a}$ , then we extend this to  $u : K[X] \rightarrow C$  by  $X \mapsto a$ . Therefore by induction letting  $k = K, A = K[X_1, \dots, X_{n-1}], B = A[X_n]$  assume that  $\Omega_{A/K} = AdX_1 \oplus \dots \oplus AdX_{n-1}$ . By the one variable case we have  $\Omega_{B/A} = BdX_n$ , then since  $B$  is 0-smooth over  $A$  the first fundamental exact sequence gives:

$$0 \rightarrow \Omega_{A/K} \otimes_A B \rightarrow \Omega_{B/K} \rightarrow \Omega_{B/A} \rightarrow 0$$

is split exact and the LHS is  $BdX_1 \oplus \dots \oplus BdX_{n-1}$  and the RHS is  $BdX_n$  so  $\Omega_{B/K} = \bigoplus_{i=1}^n BdX_i$ .

### Remark

For  $B = K[X_1, \dots, X_n]/(f_1, \dots, f_r)$  we have a surjection  $A = K[X_1, \dots, X_n]$  to  $B$  with kernel  $I = (f_1, \dots, f_r)$ . By the second fundamental exact sequence we have:

$$I/I^2 \rightarrow \Omega_{A/K} \otimes_A B \rightarrow \Omega_{B/K} \rightarrow 0$$

We know that  $\Omega_{A/K} = \bigoplus_{i=1}^n AdX_i$ . The image of  $I/I^2$  is the  $B$  span of  $df_i$ , therefore:

$$\Omega_{B/K} = \bigoplus_{i=1}^n B dX_i / (B df_1 + B df_2 + \dots + B df_n)$$

### Remark

Let  $A \rightarrow B$  be a ring homomorphism and  $S \subseteq B$  a multiplicatively closed subset, then we want to show that  $S^{-1}\Omega_{B/A} = \Omega_{S^{-1}B/A}$ . Since the map  $B \rightarrow S^{-1}B$  has image whose  $S^{-1}B$ -span is all of  $S^{-1}B$ , then  $\Omega_{S^{-1}B/B} = 0$ . Furthermore for any  $B$ -algebra  $C$  given by a map  $f : B \rightarrow C$  and ideal  $I \subseteq C$  with  $I^2 = 0$  and map  $g : S^{-1}B \rightarrow C/I$  satisfying  $g(a/1) = \overline{f(a)}$  we want to extend  $g$  to a map  $S^{-1}B \rightarrow C$ . To do so, we simply take the map  $S^{-1}f : S^{-1}B \rightarrow C$  given by  $S^{-1}f(a/b) = f(a)/f(b)$ . For this to work, we need that  $\overline{f(b)}$  is a unit in  $C$  for all  $b \in S$ . This follows from the fact that  $b$  is a unit in  $S^{-1}B$  and so  $\overline{f(b)} = g(b)$  is a unit in  $C/I$  and  $I$  is nilpotent so  $f(b)$  is a unit in  $C$ . Then  $S^{-1}f$  extends  $g$  as desired. It follows that the first fundamental exact sequence reduces to  $0 \rightarrow \Omega_{B/A} \otimes_B S^{-1}B \rightarrow \Omega_{S^{-1}B/A} \rightarrow 0$ . This is the desired result.

**Def:** For ring homomorphisms  $k \rightarrow A \rightarrow B$  we let  $\Gamma_{A/B/k}$  denote the kernel of  $\alpha : \Omega_{A/k} \otimes B \rightarrow \Omega_{B/k}$ .  $\Gamma_{A/B/k}$  is called the *imperfection module* of the  $A$ -algebra  $B$  over  $k$ .

### Lemma

Let  $k \rightarrow K \rightarrow L \rightarrow L'$  be field homomorphisms. Then there is an exact sequence

$$0 \rightarrow \Gamma_{L/K/k} \otimes_L L' \rightarrow \Gamma_{L'/K/k} \rightarrow \Gamma_{L'/L/k} \rightarrow \Omega_{L/K} \otimes_L L' \rightarrow \Omega_{L'/K} \rightarrow \Omega_{L'/L} \rightarrow 0$$

*Proof.* Using the first fundamental exact sequence and adding the imperfection module on left we get an exact sequence. We use this for  $k \rightarrow K \rightarrow L$  and  $k \rightarrow K \rightarrow L'$ . We can then construct a morphism of exact sequences:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \Gamma_{L/K/k} \otimes_L L' & \longrightarrow & \Omega_{K/k} \otimes_K L' & \longrightarrow & \Omega_{L/k} \otimes_L L' & \longrightarrow & \Omega_{L/K} \otimes_L L' & \longrightarrow & 0 \\ & & \downarrow & & \parallel & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & \Gamma_{L'/K/k} & \longrightarrow & \Omega_{K/k} \otimes_K L' & \longrightarrow & \Omega_{L'/k} & \longrightarrow & \Omega_{L'/K} & \longrightarrow & 0 \end{array}$$

Note that  $(\Omega_{K/k} \otimes_K L) \otimes_L L' = \Omega_{K/k} \otimes_K L'$ . The two vertical maps on the right are given by the  $\alpha$  map from the first fundamental exact sequence. The leftmost map is the map induced by the inclusion into  $\Omega_{K/k} \otimes_K L'$  and then consequently. The diagram is commutative (although I will not

check that). We will abbreviate the names and rewrite the diagram as:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & X & \longrightarrow & A & \longrightarrow & B & \longrightarrow & C & \longrightarrow & 0 \\ & & \downarrow & & \parallel & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & Y & \longrightarrow & A & \longrightarrow & D & \longrightarrow & E & \longrightarrow & 0 \end{array}$$

We can now shorten this diagram to get a morphism of short exact sequences by replacing  $A$  by  $A/X$  and  $A/Y$  respectively. This gives:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & A/X & \longrightarrow & B & \longrightarrow & C & \longrightarrow & 0 \\ & & \downarrow q & & \downarrow \alpha_1 & & \downarrow \alpha_2 & & \\ 0 & \longrightarrow & A/Y & \longrightarrow & D & \longrightarrow & E & \longrightarrow & 0 \end{array}$$

Now we use the snake lemma to get:

$$0 \rightarrow \ker q \rightarrow \ker \alpha_1 \rightarrow \ker \alpha_2 \rightarrow \operatorname{coker} q \rightarrow \dots$$

Since  $A/Y$  is a further quotient of  $A/X$  and  $q$  comes from the identity on  $A$  and is thus the quotient map, then  $q$  is surjective so  $\operatorname{coker} q = 0$ . It follows that we have a short exact sequence:

$$0 \rightarrow \ker q \rightarrow \ker \alpha_1 \rightarrow \ker \alpha_2 \rightarrow 0$$

Now  $\ker q = Y/X$ . We have the inclusion map  $\ker \alpha_1 \rightarrow C$  and the map  $\alpha_2 : C \rightarrow E$  has kernel  $\ker \alpha_2$  which is the image of  $\ker \alpha_1$  under the map  $B \rightarrow C$  by the SES that we have above. It follows the following sequence is exact:

$$0 \rightarrow X \rightarrow Y \rightarrow \ker f_2 \rightarrow C \rightarrow E \rightarrow \operatorname{coker} \alpha_2 \rightarrow 0$$

. From the first fundamental exact sequence we know that  $\operatorname{coker} \alpha_2 = \Omega_{L'/L}$  and by definition of the imperfection module we have that  $\ker f_2 = \Gamma_{L'/L/k}$ . Therefore replacing the above exact sequence by what we know the terms are, we get:

$$0 \rightarrow \Gamma_{L/K/k} \otimes_L L' \rightarrow \Gamma_{L'/K/k} \rightarrow \Gamma_{L'/L/k} \rightarrow \Omega_{L/K} \otimes_L L' \rightarrow \Omega_{L'/K} \rightarrow \Omega_{L'/L} \rightarrow 0$$

This is exactly the desired exact sequence. □

#### The Cartier Equality

Let  $k$  be a perfect field. Let  $K$  be an extension of  $k$  and  $L$  a finitely generated extension of  $K$ . Then

$$\dim_L \Omega_{L/K} = \operatorname{tr.d.}_K L + \dim_L \Gamma_{L/K/k}$$

*Proof.* We want to reduce this to the case where  $L$  is generated by a single element over  $K$ . To do so consider  $k \rightarrow K \rightarrow L \rightarrow L'$  with  $L$  f.g. over  $K$  and  $L'$  f.g. over  $L$ . Suppose the theorem holds for  $k \rightarrow L \rightarrow L'$  and  $k \rightarrow K \rightarrow L$ , then we want to show that the theorem holds for  $k \rightarrow K \rightarrow L'$  as well. This essentially lets us take  $L'$  to be  $L$  with one more element adjoined. From the lemma we have an exact sequence,

$$0 \rightarrow \Gamma_{L/K/k} \otimes_L L' \rightarrow \Gamma_{L'/K/k} \rightarrow \Gamma_{L'/L/k} \rightarrow \Omega_{L/K} \otimes_L L' \rightarrow \Omega_{L'/K} \rightarrow \Omega_{L'/L} \rightarrow 0$$

Since this is exact, then we know that the alternating sum of the dimensions over  $L'$  is 0. This gives:

$$\dim_L \Gamma_{L/K/k} - \dim_{L'} \Gamma_{L'/K/k} + \dim_{L'} \Gamma_{L'/L/k} - \dim_L \Omega_{L/K} + \dim_{L'} \Omega_{L'/K} - \dim_{L'} \Omega_{L'/L} = 0$$

rearranging the above we get that:

$$\dim_{L'} \Omega_{L'/K} - \dim_{L'} \Gamma_{L'/K/k} = (\dim_{L'} \Omega_{L'/L} - \dim_{L'} \Gamma_{L'/L/k}) + (\dim_L \Omega_{L/K} - \dim_L \Gamma_{L/K/k})$$

since we have already assumed the theorem is true for  $k \rightarrow L \rightarrow L'$  and  $k \rightarrow K \rightarrow L$ , then we know that the RHS is just:

$$\dim_{L'} \Omega_{L'/K} - \dim_{L'} \Gamma_{L'/K/k} = \text{tr.d.}_L L' + \text{tr.d.}_K L$$

Putting together the transcendence basis of  $L'$  over  $L$  and  $L$  over  $K$  we get a transcendence basis of  $L'$  over  $K$ , therefore we get:

$$\dim_{L'} \Omega_{L'/K} = \text{tr.d.}_K L' + \dim_{L'} \Gamma_{L'/K/k}$$

Therefore the theorem holds for  $k \rightarrow K \rightarrow L'$ .

Since any finitely generated extension is obtain by a sequence of extensions generated by a single element, then by induction on the number of generators we need only prove the case where  $L$  is obtained by adjoining a single element,  $L = K(\alpha)$ . Any extension can be obtained by performing repeated extensions by one element of one of the following three kinds:

1.  $L = K(\alpha)$  where  $\alpha$  is transcendental over  $K$ .
2.  $L = K(\alpha)$  where  $\alpha$  is separable algebraic over  $K$ .
3.  $L = K(\alpha)$  where  $\text{char } K = p$  and  $\alpha^p = a \in K$  and  $\alpha \notin K$ .

In the first case we have that  $\Omega_{K(\alpha)/K} = S^{-1}\Omega_{K[\alpha]/K} = S^{-1}K[\alpha]d\alpha$  where  $S = K[\alpha] \setminus \{0\}$ , therefore  $\Omega_{L/K} = Ld\alpha$ . We also have that  $\text{tr.d.}_K L = 1$  so we need to show that the imperfection module  $\Gamma_{L/K/k}$  is 0. This follows since  $K[\alpha]$  is 0-smooth over  $K$ .

In the second case we have that  $L$  is separable algebraic over  $K$  so  $L$  is 0-smooth over  $K$  so the imperfection module is trivial. I will not prove that  $L$  is 0-smooth over  $K$ , but the idea is similar to the proof that  $K[X]$  is 0-smooth over  $K$ , however, when lifting the image of  $X$  we use separability to choose an appropriate lifting. We also have that  $\text{tr.d.}_K L = 0$ . It therefore remains to show that  $\Omega_{L/K} = 0$ . Letting  $A = K[X]$ ,  $B = K[X]/(f(X))$  where  $f$  is the minimal polynomial of  $\alpha$  and  $I = f(X)A$ , from the second fundamental exact sequence we get:

$$I/I^2 \rightarrow \Omega_{A/K} \otimes_A B \rightarrow \Omega_{B/K} \rightarrow 0$$

We know that  $\Omega_{A/K} = AdX$  and the image of  $I/I^2$  is the span of  $df(X) = f'(X)$ . Therefore  $\Omega_{B/K} = (B/f'(X)B)dX$ . Since  $\alpha$  is separable, then  $f'$  is coprime to  $f$  so  $f'(X)$  is a unit in  $B$  thus  $B/f'(X)B = 0$  thus  $\Omega_{L/K} = 0$  as desired.

Now in the third case, we have that  $\text{tr.d.}_K L = 0$ . Furthermore,  $L = K[X]/(X^p - a)$  so as above, by the second fundamental exact sequence we have that  $\Omega_{L/K} = LdX$  is one dimensional over  $L$ . Therefore we need to show that  $\Gamma_{L/K/k}$  is also one dimensional. From the second fundamental exact sequence with  $k \rightarrow K[X] \rightarrow L$  and  $\mathfrak{m} = (X^p - a)$  we get:

$$\mathfrak{m}/\mathfrak{m}^2 \rightarrow \Omega_{K[X]/k} \otimes_{K[X]} L \rightarrow \Omega_{L/k} \rightarrow 0$$

The image of the map from  $\mathfrak{m}/\mathfrak{m}^2$  is just  $Ld(X^p - a)$ . Since  $d(X^p) = pX^{p-1} = 0$ , then this is just  $Lda$ . Therefore:

$$\Omega_{L/k} = \Omega_{K[X]/k} \otimes_{K[X]} L/Lda$$

Now consider the maps  $k \rightarrow K \rightarrow K[X]$  then from the first fundamental exact sequence we get:

$$\Omega_{K/k} \otimes_K K[X] \rightarrow \Omega_{K[X]/k} \rightarrow \Omega_{K[X]/K} \rightarrow 0$$

From the second fundamental exact sequence we get that  $\Omega_{K[X]/K} = K[X]dX$ . Since  $K[X]$  is 0-smooth over  $K$  then this splits, so we have that  $\Omega_{K[X]/k} = \Omega_{K/k}[X] \oplus K[X]dX$ . It follows that,

$$\Omega_{L/k} = (\Omega_{K/k}[X] \oplus K[X]dX) \otimes_{K[X]} L/Lda$$

Now notice that  $K[X]dX = \Omega_{K[X]/K}$  so  $da = 0$  in  $\Omega_{K[X]/K}$  thus  $da$  has no component in  $K[X]dX$  so

$$\Omega_{L/k} = (\Omega_{K/k}[X] \otimes_{K[X]} L)/Lda \oplus K[X]dX \otimes_{K[X]} L$$

Now  $\Omega_{K/k}[X] \otimes_{K[X]} L = \Omega_{K/k} \otimes_K L$  and  $K[X]dX \otimes_{K[X]} L = Ld\alpha$ . It follows that the map  $\Omega_{K/k} \otimes_K L \rightarrow \Omega_{L/k}$  is the quotient by  $Lda$ . Therefore the kernel, i.e. the imperfection module  $\Gamma_{L/K/k}$  is exactly  $Lda$ . Therefore we need only check that  $da \neq 0$  in  $\Omega_{K/k} \otimes_K L$ , or equivalently check that it is nonzero in  $\Omega_{K/k}$ . To see this, since  $k$  is perfect, then  $k = k^p$  and so  $K^p = K^p(k)$ . Now consider  $K^p(a)$ , then since  $a \notin K^p$ , then we have that  $1, a, a^2, \dots, a^{p-1}$  is a  $K^p$ -basis of  $K^p(a)$ . By Zorn's lemma, we may find a collection  $\{x_\alpha\}$  with  $x_0 = a$  such that the set of products of powers of  $x_\alpha$  with exponents less than  $p$  forms a basis of  $K$  over  $K^p$ . Then choose  $y \neq 0$  in  $K$  and for any finite collection  $x_0, x_1, \dots, x_n$  of the  $x_\alpha$ 's with  $x_0 = a$  we let,

$$D(x_0^{\alpha_0} x_1^{\alpha_1} \dots x_n^{\alpha_n}) = \alpha_0 x_0^{\alpha_0-1} x_1^{\alpha_1} \dots x_n^{\alpha_n} y$$

Then we extend  $D$   $K^p$ -linearly to all of  $K$ . Then  $D$  is a  $K^p$ -derivation on  $K$ . Since  $k$  is perfect, then  $k \subseteq K^p$  so  $D$  is a  $k$ -derivation of  $K$ . Therefore there exists  $f : \Omega_{K/k} \rightarrow K$  such that  $D = f \circ d_{K/k}$  and therefore  $y = D(a) = f(da)$  and  $y \neq 0$  so  $da \neq 0$  as desired.

It follows that the imperfection module  $\Gamma_{L/K/k} = Lda$  has dimension 1 and  $\text{tr.d.}_K L = 0$ ,  $\dim_L \Omega_{L/K} = 1$  thus the theorem holds.  $\square$

Note that taking  $k$  to be the prime subfield  $\Pi$  of  $L$  and  $K$  we have that  $L/K$  is separable iff it is separably generated iff  $\Omega_{K/\Pi} \otimes_K L \rightarrow \Omega_{L/\Pi}$  is injective iff  $\Gamma_{L/K/\Pi} = 0$ . Now  $\Pi$  is perfect so the Cartier equality holds giving the statement in Hartshorne:

**Hartshorne Thm 8.6A**

Let  $L/K$  be a finitely generated extension of fields, then  $\dim_L \Omega_{L/K} \geq \text{tr.d.}_K L$  with equality iff  $L$  is separably generated over  $K$ .