

McMullen §10 ① Analytic functions:

Ahlfors ch. 2  
Lec. 24

- $f: U \subset \mathbb{C} \rightarrow \mathbb{C}$  is analytic (= holomorphic) if  $\forall z \in U \exists f'(z) = \lim_{h \rightarrow 0} \frac{f(z+h) - f(z)}{h}$
- $f$  is analytic  $\Leftrightarrow f$  differentiable in real sense and  $\frac{\partial f}{\partial \bar{z}} = \frac{1}{2} \left( \frac{\partial f}{\partial x} + i \frac{\partial f}{\partial y} \right) = 0$ .  
and then  $\frac{\partial f}{\partial \bar{z}} = \frac{1}{2} \left( \frac{\partial f}{\partial x} - i \frac{\partial f}{\partial y} \right) = f'(z)$ , and  $df = f'(z) dz$  Cauchy-Riemann eq.<sup>2</sup>
- ( $\Leftrightarrow Df: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  is complex-linear).
- ( $\Leftrightarrow$  conformal transformation:  $Df$  preserves angles between vectors (4 orientation))

• Ex: polynomials, rational functions  $\frac{P(z)}{Q(z)}$

Rational functions extend to the Riemann sphere  $S = \mathbb{C} \cup \{\infty\}$ :  $f: S \rightarrow S$

$\deg(f) = \max(\deg P, \deg Q)$  (after simplifying any common zeros)

$= \# \text{ poles} = \# \text{ zeros} = \# f^{-1}(c) \forall c$  (with multiplicities)

$\deg 1$  case = fractional linear transformations  $\text{Aut}(S) = \left\{ z \mapsto \frac{az+b}{cz+d} \right\} \cong \text{PGL}(2, \mathbb{C})$ .

Lec. 25

- Power series  $\sum_{n=0}^{\infty} a_n z^n$  converge for  $|z| < R = \frac{1}{\limsup |a_n|^{1/n}}$ , uniformly on  $\{|z| \leq r\} \forall r < R$ .  
 $f(z)$  is analytic on  $D_R$ ,  $f'(z) = \sum n a_n z^{n-1}$ .

•  $\frac{1}{1-z} = \sum_{n=0}^{\infty} z^n$ ,  $\exp(z) = \sum_{n=0}^{\infty} \frac{z^n}{n!} = e^x e^{iy}$  ( $|z| = e^{\text{Re } z}$ ,  $\text{Arg} = \text{Im } z$ ).  $\cos z = \frac{e^{iz} + e^{-iz}}{2}$ , ...

$\log(z)$  only defined up to  $+2\pi i \mathbb{Z}$ ,

$z^a = e^{a \log z}$  also multivalued if  $a \notin \mathbb{Z}$

$\log'(z) = \frac{1}{z}$ ,  $\log(1+z) = z - \frac{z^2}{2} + \frac{z^3}{3} - \dots$  ( $R=1$ )  $\sqrt{1+z} = 1 + \frac{z}{2} - \frac{z^2}{8} + \dots$  ( $R=1$ ).

- Key facts:  $f$  analytic  $\Rightarrow f$  has derivatives to all orders

$f$  analytic on  $D(z_0, r) \Rightarrow f(z) = \sum_{n=0}^{\infty} a_n (z-z_0)^n$  on  $D(z_0, r)$ , where  $a_n = \frac{1}{n!} f^{(n)}(z_0)$

McMullen §10

Ahlfors 4.1-4.2

② Complex integration - Cauchy's integral formula (and applications)

•  $\omega = f(z) dz$  complex 1-form  $\leadsto \int_{\gamma} f(z) dz = \int_0^1 f(\gamma(t)) \gamma'(t) dt$

- Setting for Cauchy's thm. and applications:  $D \subset \mathbb{C}$  bounded region with piecewise smooth boundary  $\gamma = \partial D$ ,  $f(z)$  analytic on  $U \supset \bar{D}$  (or on  $U - \{z_i\}$ ,  $z_i \in \text{int}(D)$  isolated)

• Cauchy's thm:  $f(z)$  analytic on  $U \supset \bar{D}$ ,  $\partial D = \gamma \Rightarrow \int_{\gamma} f(z) dz = 0$ .

(= follows from Stokes, since  $f$  analytic  $\Rightarrow f(z) dz$  is a closed 1-form).

Still holds if  $f$  analytic in  $U - \{z_0\}$  and  $\lim_{z \rightarrow z_0} (z-z_0)f(z) = 0$ .

•  $\int_{S^1(z_0, r)} (z-z_0)^n dz = 0$  if  $n \neq -1$ ,  $\int_{S^1(z_0, r)} \frac{dz}{z-z_0} = 2\pi i$ . (or any  $\oint_{\gamma} \frac{1}{z-z_0}$ ).

• Cauchy's integral formula:  $f$  analytic on  $U \supset \bar{D} \Rightarrow f(z) = \frac{1}{2\pi i} \int_{\gamma} \frac{f(w) dw}{w-z} \quad \forall z \in \text{int}(D)$ .

• for derivatives:  $\frac{1}{n!} f^{(n)}(z) = \frac{1}{2\pi i} \int_{\gamma} \frac{f(w) dw}{(w-z)^{n+1}} \quad \forall z \in \text{int}(D)$ .

• in fact:  $\varphi$  any  $C^0$  function on  $\gamma = \partial D \Rightarrow g(z) = \frac{1}{2\pi i} \int_{\gamma} \frac{\varphi(w) dw}{w-z}$  is analytic on  $\text{int}(D)$ .

Lec. 26

Lec. 27 • Cauchy's bound:  $f$  analytic in  $U \supset \overline{B(z_0, R)} \Rightarrow \left| \frac{f^{(n)}(z_0)}{n!} \right| \leq \frac{1}{R^n} \sup_{w \in S'(z_0, R)} |f(w)|$  (2)

- this implies: a bounded entire analytic function is constant  
a nonconstant entire function has dense image  $\overline{f(\mathbb{C})} = \mathbb{C}$ .

Ahlfors 4.3 • Taylor:  $f$  analytic on  $B(z_0, R) \Rightarrow f(z) = \sum_{n=0}^{\infty} a_n (z-z_0)^n$  on  $B(z_0, R)$ , where  $a_n = \frac{1}{n!} f^{(n)}(z_0)$   
(radius of conv.  $\geq R$ ; if  $= R$  then  $\exists$  nonremovable singularity on  $S'(z_0, R)$ )

- if  $f^{(n)}(z_0) = 0 \forall n$ ,  $z_0 \in U$  connected  $\Rightarrow f(z) = 0 \forall z \in U$   
 $f^{(n)}(z_0) = g^{(n)}(z_0) \forall n \quad f(z) = g(z)$
- $f: U \rightarrow \mathbb{C}$  analytic, not  $\equiv 0 \Rightarrow$  the zeros of  $f$  are isolated (no limit pts in  $U$ )  
and  $\exists$  finite order at each
- uniqueness:  $f, g$  analytic on  $U$  connected open,  $f = g$  on a nonisolated subset of  $U \Rightarrow f = g$  on  $U$ .
- if  $f_n(z)$  analytic on  $U$ ,  $f_n \rightarrow f$  uniformly on compact subsets of  $U$ ,  
then  $f$  is analytic on  $U$ ; and  $f'_n$  converge (unif. on compact subsets) to  $f'$   
( $\triangleq$  this doesn't hold for real functions)

Lec. 28 • uniformly bounded sequences of analytic functions on  $U$  are equicontinuous on compact subsets (by Cauchy's bound)  $\Rightarrow \exists$  subsequence which converges uniformly on compact subsets.

- if  $f(z)$  is analytic on  $U \subset \mathbb{C}$  simply connected open, then  $\exists$  analytic function  $F: U \rightarrow \mathbb{C}$  st.  $F'(z) = f(z)$  (take  $F(z) = \int_{z_0}^z f(z) dz$ ): antiderivative  
(ex: • apply to  $1/z$  to define  $\log$  over a simply conn. subset of  $\mathbb{C}^* = \mathbb{C} - \{0\}$   
• if  $f$  has no zeroes on  $U$  simply conn<sup>d</sup>, applying to  $\frac{f'}{f}$  gives  $g(z)$  st.  $f = e^g$ .)
- inverse function:  $f$  analytic,  $f(a) = b$ ,  $f'(a) \neq 0 \Rightarrow \exists$  analytic inverse function  $g$  on a nbd. of  $b$ ,  $g'(b) = \frac{1}{f'(a)}$

Ahlfors 4.3 (also 5.1) (3) Poles and singularities

McMullen §12 • Laurent series  $f(z) = \sum_{n=-\infty}^{\infty} a_n z^n$  converges for  $R_1 = \limsup_{n \rightarrow -\infty} |a_n|^{1/|n|} < |z| < R_2 = \frac{1}{\limsup_{n \rightarrow +\infty} |a_n|^{1/n}}$

- if  $f(z)$  is analytic in  $A_{R_1, R_2} = \{R_1 < |z| < R_2\}$  then it can be expressed as a Laurent series  $\sum_{n=-\infty}^{\infty} a_n z^n$  ( $a_n = \frac{1}{2\pi i} \int_{S'(r)} \frac{f(w) dw}{w^{n+1}}$ ) which converges on  $A_{R_1, R_2}$ .
- if  $f$  is analytic in  $D^*(R) = D(R) - \{0\}$ , isolated singularity at 0, then one of these holds:
  - $\rightarrow f$  has removable singularity at 0, ie. has analytic extension on  $D(R)$   
 $\Leftrightarrow$  Laurent series of  $f$  has no negative part.  $\Leftrightarrow f(z)$  is bounded in a nbd. of 0
  - $\rightarrow f$  has a pole at 0 (of order  $m \geq 1$ ), ie.  $\exists g(z)$  analytic on  $D(R)$  st.  $f(z) = \frac{g(z)}{z^m}$   
 $\Leftrightarrow$  Laurent series of  $f$  is  $\sum_{n=-m}^{\infty} a_n z^n$  (finite negative part)  $\Leftrightarrow |f(z)| \rightarrow \infty$  as  $z \rightarrow 0$
  - $\rightarrow f$  has essential singularity (ie. neither removable nor pole)  
 $\Leftrightarrow$  Laurent series has infinite negative part  $\Leftrightarrow \forall \varepsilon > 0$ ,  $f(D^*(\varepsilon))$  is dense in  $\mathbb{C}$ .
- $f$  is meromorphic if  $f$  is analytic in  $U \setminus \{p_i\}$  (isolated) poles at  $p_i$ , no essential singularity.


Lec. 29

- $f$  meromorphic function on  $U$  extends to  $\hat{f}: U \rightarrow S = \mathbb{C} \cup \{\infty\}$  (set  $\hat{f} = \infty$  at poles) ③  
 $\hat{f}$  is analytic  $U \rightarrow S$ , i.e.  $f$  analytic away from its poles  
 $1/f$  analytic away from its poles = the zeros of  $f$ .
- meromorphic functions = quotients of analytic functions  $\frac{f(z)}{g(z)}$ .
- $f$  entire function,  $|f(z)| \leq M|z|^n$  for  $|z| \rightarrow \infty \Rightarrow f$  is a polynomial of degree  $\leq n$ .  
 $f: S \rightarrow S$  analytic (i.e.  $f(z)$  and  $f(\frac{1}{z})$  both meromorphic)  $\Rightarrow f$  is a rational function.

#### McMullen §11 ④ Local behavior: maximum principle, open mapping principle.

- Ahlfors 4.3.4, 4.6.1 • Cauchy  $\Rightarrow$  mean value formula  $f(z) = \frac{1}{2\pi} \int_0^{2\pi} f(z + re^{i\theta}) d\theta$  if  $f$  analytic on  $\overline{B(z, r)}$
- lec. 29
- maximum principle:  $f$  analytic on  $U$ , nonconstant  $\Rightarrow |f|, \operatorname{Re}(f)$  don't achieve max. anywhere in  $U$ . If  $f$  continuous on  $\overline{U}$  compact, then max achieved at  $\partial U$ .
- lec. 30
- Schwarz lemma:  $f$  analytic on  $D = \{|z| < 1\}$ ,  $|f(z)| < 1 \forall z \in D$ ,  $f(0) = 0$   
 $\Rightarrow |f'(0)| \leq 1$  and  $|f(z)| \leq |z| \forall z \in D$ . Equality implies  $f(z) = cz$  for some  $c \in S^1$ .
- $f = u + iv$  is analytic  $\Rightarrow u = \operatorname{Re} f, v = \operatorname{Im} f$  are harmonic i.e.  $\Delta u = \frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} = 0$ .
- conversely,  $u$  harmonic on simply-connt open  $U \subset \mathbb{C} \Rightarrow \exists$  analytic  $f: U \rightarrow \mathbb{C}$  st.  $u = \operatorname{Re} f$ .
- hence:  $C^2$  harmonic functions are  $C^\infty$ , satisfy mean value formula and max. principle.
- (Riemann mapping thm:  $U \subset \mathbb{C}$  nonempty simply connt open,  $U \neq \mathbb{C} \Rightarrow \exists$  biholom.  $\varphi: U \xrightarrow{\sim} D = \{|z| < 1\}$  i.e. analytic bijection w/ analytic inverse.)
- open mapping principle: a nonconstant analytic  $f^n$  is an open mapping, i.e.  $U$  open  $\Rightarrow f(U)$  open.

#### McMullen §13 ⑤ Residue calculus (= applications of Cauchy to calculate integrals)

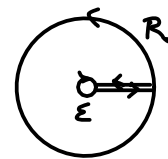
- Ahlfors 4.5
- lec. 31
- argument principle:  $f: U \rightarrow \mathbb{C}$  analytic,  $U \supset D$  bounded domain,  $\partial D = \gamma$   
 assume  $f \neq 0$  on  $\gamma$ , then #zeros of  $f$  in  $D$  (with multiplicity)  $= \frac{1}{2\pi i} \int_\gamma \frac{f'(z)}{f(z)} dz$   
 Similarly,  $c \notin f(\gamma) \Rightarrow \#f^{-1}(c)$  in  $D$  (with mult.)  $= \frac{1}{2\pi i} \int_\gamma \frac{f'(z)}{f(z) - c} dz$   
 (loc. constant  $f^n$  of  $c$ : open mapping principle) = winding number of  $f(\gamma)$  around  $c \in \mathbb{C}$ .
- if  $f$  is meromorphic,  $\operatorname{winding}(f \circ \gamma) = \# \text{zeros} - \# \text{poles}$  in  $D$  (w/ multiplicities).
- Rouché's thm:  $f, g$  analytic in  $U \supset \overline{D}$ ,  $|f(z) - g(z)| < |f(z)| \forall z \in \gamma \Rightarrow \#f^{-1}(0) = \#g^{-1}(0)$  (w/ multiplicities)
- The residue of  $f$  at  $p$ :  $\operatorname{Res}_p(f) = \frac{1}{2\pi i} \int_{S'(p, \varepsilon)} f(z) dz = \text{coeff of } (z-p)^{-1} \text{ in Laurent series}$   
 (isolated singularity) (if simple pole:  $= \lim_{z \rightarrow p} (z-p)f(z)$ ).
- Residue thm:  $f$  analytic on  $U \supset \overline{D} - \{p_i\}$  isolated,  $\partial D = \gamma \Rightarrow \frac{1}{2\pi i} \int_\gamma f(z) dz = \sum_{p_i} \operatorname{Res}_{p_i}(f)$ .
- Definite integrals via residue:
- 1)  $\int_0^{2\pi} R(\sin \theta, \cos \theta) d\theta$   $\Rightarrow$  set  $z = e^{i\theta}$  to get  $\int_{S^1}$ , via  $\cos \theta = \frac{z + z^{-1}}{2}$ ,  $d\theta = \frac{dz}{iz}$ , ...  
 rational function + apply residue thm to unit disc.
- 2) 3)  $\int_{-\infty}^{\infty} R(x) dx$  rational function,  $\int_{-\infty}^{\infty} R(z) e^{iz} dz \Rightarrow$  close path to  $\int_{C_R}$ ,  $C_R =$  

(This requires bounds on integrand to check  $\int$  on semicircle  $\rightarrow 0$  as  $R \rightarrow \infty$ ) (4)

$\rightarrow$  sum over residues at poles in  $\{\operatorname{Im} z > 0\}$ .

3') if there's a pole on the contour of integration, make a detour  $\rightarrow$  and estimate  $\int$  on small semicircle ( $\int_{-\infty}^{\infty} \frac{\sin x}{x} dx$ ).

4) branch behavior eg.  $\int_0^{\infty} x^{\alpha} R(x) dx$ : "keyhole" contour + use the multivalued nature of the integrand  $\Rightarrow \underline{\underline{z}}$  don't cancel!



### ⑥ Sum and product expansions:

• partial fractions:  $R(z)$  rational function  $\Rightarrow R(z) = \sum_j P_j \left( \frac{1}{z-b_j} \right) + S(z)$  ( $b_j$  = poles)

where  $P_j \left( \frac{1}{z-b_j} \right) = \frac{a_{-m}}{(z-b_j)^m} + \dots + \frac{a_{-1}}{z-b_j}$  polar part at  $z=b_j$ ;  $S(z)$  polynomial.

• for  $f(z)$  meromorphic with (isolated) poles  $b_j$ , with polar parts  $P_j \left( \frac{1}{z-b_j} \right)$ :

$\sum P_j \left( \frac{1}{z-b_j} \right)$  might not converge, but  $\exists$  polynomials  $q_j(z)$  = truncated Taylor series of  $P_j \left( \frac{1}{z-b_j} \right)$

st.  $\sum_j \left( P_j \left( \frac{1}{z-b_j} \right) - q_j(z) \right)$  converges (absolutely, uniformly on compact sets).

Then we get  $f(z) = \sum_j \left( P_j \left( \frac{1}{z-b_j} \right) - q_j(z) \right) + g(z)$ ,  $g$  entire analytic function.

• Ex:  $\frac{\pi^2}{\sin^2(\pi z)} = \sum_{n \in \mathbb{Z}} \frac{1}{(z-n)^2}$ ,  $\pi \cot(\pi z) = \frac{1}{z} + \sum_{n \neq 0} \left( \frac{1}{z-n} + \frac{1}{n} \right)$ .

• infinite products:  $\prod_{i=1}^{\infty} p_i$  converges if

- at most finitely many terms are zero.
- $\prod_{p_i \neq 0} p_i$  converges to a non-zero limit

This forces  $p_i \rightarrow 1$ , and convergence amounts to that of  $\sum \log(p_i)$ .

(Unif.) convergent products of analytic functions are analytic, orders of zeros = sum of orders of the factors that equal zero.

• for  $f(z)$  entire function with (isolated) zeros at  $b_j$  with order  $m_j$

$\prod_j \left( 1 - \frac{z}{b_j} \right)^{m_j}$  might not converge, but  $\exists q_j(z) = \frac{z}{b_j} + \frac{1}{2} \left( \frac{z}{b_j} \right)^2 + \dots + \frac{1}{d} \left( \frac{z}{b_j} \right)^d$  polynomial

(truncated Taylor series of  $-\log \left( 1 - \frac{z}{b_j} \right)$  st.  $\prod_j \left[ \left( 1 - \frac{z}{b_j} \right) e^{q_j(z)} \right]^{m_j}$  converges (absolutely, unif. on compact sets).

Then we get  $f(z) = z^{m_0} \prod_j \left[ \left( 1 - \frac{z}{b_j} \right) e^{z/b_j + \dots + (z/b_j)^d/d} \right]^{m_j} e^{g(z)}$ ,  $g(z)$  entire  $f^n$ .

• Ex:  $\sin(\pi z) = \pi z \prod_{n \neq 0} \left( \left( 1 - \frac{z}{n} \right) e^{z/n} \right)$ .

• in sum & product expressions, find the unknown term  $g(z)$  by comparing (log.) derivatives and/or by showing  $g$  is bounded (hence constant), etc.