


Def: A topological space  $X$  is connected if it cannot be written as  $X = U \cup V$  where  $U, V$  are disjoint nonempty open sets. (such a decomposition is called a separation of  $X$ ).  not connected.

Prop:  $[0, 1] \subset \mathbb{R}$  (standard top.) is connected. (proved last time)

Ex:  $[0, 1) \cup (1, 2]$  is not connected, since  $[0, 1)$  and  $(1, 2]$  are open in subspace topology & provide a separation. More generally,  $x < y < z \in \mathbb{R}$ ,  $x, z \in A, y \notin A \Rightarrow A$  disconnected.

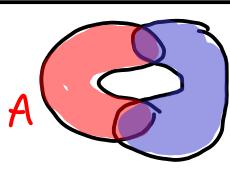
Thm:  $f: X \rightarrow Y$  continuous,  $X$  connected  $\Rightarrow f(X) \subset Y$  is connected.

PF: If  $U \cup V$  is a separation of  $f(X)$ , then  $f^{-1}(U) \cup f^{-1}(V)$  is a separation of  $X$ , contradiction! (subspace top.:  $U = f(X) \cap U' \neq \emptyset$ ,  $U'$  open in  $Y \Rightarrow f^{-1}(U) = f^{-1}(U') \neq \emptyset$  open in  $X$ ;  $f^{-1}(U) \cap f^{-1}(V) = f^{-1}(U \cap V) = \emptyset$ ).

Corollary: intermediate value theorem

Theorem:  $X$  connected top space,  $f: X \rightarrow \mathbb{R}$  continuous.  
If  $a, b \in X$  and  $r$  lies between  $f(a)$  and  $f(b)$ , then  $\exists c \in X$  st.  $f(c) = r$ .

PF: since  $X$  is connected, so is  $f(X)$ . If  $r \notin f(X)$  then  $U = (-\infty, r) \cap f(X)$  and  $V = (r, \infty) \cap f(X)$  gives a separation of  $f(X)$  (one contains  $f(a)$  and the other contains  $f(b)$ ) - contradiction. So  $r \in f(X)$ .  $\square$

Fact:  $A, B \subset X$  connected (for subspace top.)  $\nRightarrow A \cap B$  connected. Ex:   $B \subset \mathbb{R}^2$ . But things are better for unions of connected sets, provided they overlap.

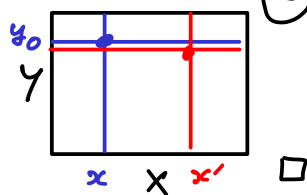
Thm:  $A_i \subset X$  connected subspaces, all containing some point  $p \in X$  (i.e.  $\cap A_i \neq \emptyset$ )  
Then  $Y = \cup A_i$  is connected.

PF: assume  $Y = U \cup V$  disjoint, open in  $Y$ . Without loss of generality,  $p \in U$ . Then  $U \cap A_i$  and  $V \cap A_i$  are disjoint, open in  $A_i$ . Since  $A_i$  is connected and  $p \in U \cap A_i$ , must have  $A_i \subset U \forall i$ . Hence  $Y = \cup A_i \subset U$  (and  $V = \emptyset$ ). So  $Y$  is connected.  $\square$

Corollary:  $\mathbb{R}$  is connected; so are open, half-open, and closed intervals in  $\mathbb{R}$ .

Thm:  $X, Y$  connected  $\Rightarrow X \times Y$  is connected.

PF: Fix  $(x_0, y_0) \in X \times Y$ . Then  $\forall x \in X$ ,  $A_x = (X \times \{y_0\}) \cup (\{x\} \times Y)$  is connected by previous thm (both pieces contain  $(x, y_0)$ ) and now  $X \times Y = \bigcup_{x \in X} A_x$  (all containing  $(x_0, y_0)$ )  $\Rightarrow X \times Y$  connected. (2)



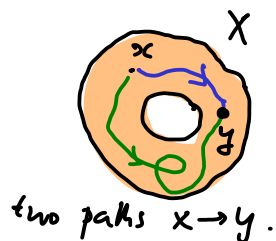
In fact, more is true:  $\parallel X_i, i \in I$  connected  $\Rightarrow \prod_{i \in I} X_i$  with product top is connected. (wait prove).

(This is false for uniform and box topologies: eg  $\mathbb{R}^I = \{\text{functions } I \rightarrow \mathbb{R}\}$  for infinite  $I$ . Say  $f: I \rightarrow \mathbb{R}$  is bounded if  $f(I) \subset \mathbb{R}$  bounded subset. Then  $\{\text{bounded}\} \cup \{\text{unbounded}\}$  is a separation of  $\mathbb{R}^I$  in uniform topology.)

Path-connectedness:

Def:  $\parallel X$  top. space,  $x, y \in X$ , a path from  $x$  to  $y$  is a continuous map  $f: [a, b] \rightarrow X$  st.  $f(a) = x$  and  $f(b) = y$ .  
 $\uparrow$  subspace top. of standard  $\mathbb{R}$

Def:  $\parallel X$  is path-connected if every pair of points in  $X$  can be joined by a path.



Note: The relation  $x \sim y \Leftrightarrow x$  and  $y$  can be connected by a path is an equivalence relation, ie.

- (1)  $x \sim x$  (constant path  $f(t) = x$ )
- (2)  $x \sim y \Leftrightarrow y \sim x$  (backwards path  $f(-t)$ )
- (3)  $x \sim y$  and  $y \sim z \Rightarrow x \sim z$   
 (concatenate paths:  $f = \begin{cases} f_1(t) & t \in [a, c] \\ f_2(t) & t \in [c, b] \end{cases}$ )

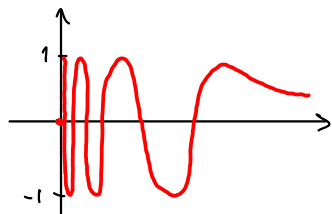
The equivalence classes are called the path components of  $X$ . (will return to these in alg. topology!)

Thm:  $\parallel$  if  $X$  is path connected then  $X$  is connected.

PF: Assume not, ie  $X = U \sqcup V$  disjoint open,  $x \in U, y \in V$ . Pick a path  $f: [a, b] \rightarrow X$  connecting  $x$  to  $y$ . Then  $[a, b] = \underbrace{f^{-1}(U)}_a \sqcup \underbrace{f^{-1}(V)}_b$  open subsets. This contradicts the connectedness of  $[a, b]$ .  $\square$

The converse is false in general, but true for nice enough spaces eg. CW-complexes.

Ex: the "topologist's sine curve"; let  $S = \{(x, y) \mid y = \sin(\frac{1}{x}), x > 0\} \cup \{(0, 0)\} \subset \mathbb{R}^2$ .  
 def.  $= S_0 \rightarrow$



the 'main' part  $S_0$  is connected, since it's the image of  $\mathbb{R}_+$  (connected) under the continuous map  $x \mapsto (x, \sin \frac{1}{x})$ .

(3)

Hence  $S$  is connected: if  $S = U \cup V$  disjoint open, then

$S_0 = (U \cap S_0) \cup (V \cap S_0)$  disjoint & open  $\Rightarrow$  one of them (eg.  $V \cap S_0$ ) is empty.

$V \subset S - S_0 = \{(0,0)\}$ . But  $\{(0,0)\}$  not open in  $S$ , so in fact  $V = \emptyset$ .

On the other hand,  $S$  is not path connected: there's no path connecting  $(\frac{1}{\pi}, 0)$  to  $(0,0)$ .

(PF: later using compactness: the image of such a path is a closed subset of  $\mathbb{R}^2$ , but  $S$  isn't:  $(0,1)$  is a limit point of  $S$  not in  $S$ ).

However, for nice enough spaces the two notions are equivalent.

Thm:  $\| A \subset \mathbb{R}^n$  open  $\Rightarrow A$  is connected iff  $A$  is path connected.

PF: already seen: path connected  $\Rightarrow$  connected. We show: not path connected  $\Rightarrow$  not connected.

Assume  $A$  open in  $\mathbb{R}^n$ : then the path components of  $A$  are open.

Indeed, if  $x \in A$  then  $\exists r > 0$  st.  $B_r(x) \subset A$ , and any two points of  $B_r(x)$  can be connected inside  $A$  by a straight line segment. So all of  $B_r(x)$  is in the same path component. Now: if  $A$  is not path connected then

$A = (\text{one path component}) \cup (\cup \text{all other path components})$  gives a separation.  $\square$

This implies similar results for other classes of spaces, eg. top. manifolds and CW-complexes.

\* For these kinds of space, path-components are also connected components, ie.

they give a partition of  $X$  into disjoint connected open (& closed) subsets.

Such a partition only exists if  $X$  is "locally connected" ie. the topology has a basis consisting of connected open subsets. (Counterexample:  $\mathbb{Q} \subset \mathbb{R}$  isn't loc. conn.)

(each point of  $\mathbb{Q}$  is its own path component, but these aren't open).

## Compactness (Numbers §26-...)

Compactness is a "finiteness/boundedness" property of nice topological spaces such as closed bounded intervals  $[a,b] \subset \mathbb{R}$ , or more generally, closed bounded subsets of  $\mathbb{R}^n$ .

Eg: any continuous map  $f: K \rightarrow \mathbb{R}$  achieves its maximum & minimum.  
 $\uparrow$  compact

The definition isn't very intuitive.

Def:  $\|$  An open cover of a top. space  $X$  is a collection of open subsets  $(U_i)_{i \in I}$  st.  $\bigcup_{i \in I} U_i = X$ .

Def:  $\|$   $X$  is compact if every open cover  $(U_i)_{i \in I}$  of  $X$  admits a finite subcover,  
 ie.  $\exists i_1, \dots, i_n$  st.  $X = U_{i_1} \cup \dots \cup U_{i_n}$ .

Showing a space is not compact is much easier than showing it is!

④

Ex:  $\mathbb{R}$  is not compact: the open cover  $\mathbb{R} = \bigcup_{n \in \mathbb{N}} (n-1, n+1)$  has no finite subcover.

neither is  $[0, 1]$  with subspace top.:  $[0, 1] = \bigcup_{n \in \mathbb{N}} (\frac{1}{n}, 1]$  has no finite subcover.

Ex:  $X = \{0\} \cup \{\frac{1}{n}, n \in \mathbb{Z}_+\}$  is compact: given any open cover  $X = \bigcup_{i \in I} U_i$ ,  
let  $i_0$  be such that  $0 \in U_{i_0}$ , then  $U_{i_0}$  also contains  $\frac{1}{n}$  for all large  $n \geq N$ ,  
hence  $U_{i_1}, \dots, U_{i_N}$  containing  $1, \frac{1}{2}, \dots, \frac{1}{N}$  and  $U_{i_0}$  give a finite subcover.

Thm: || If  $X$  is compact and  $f: X \rightarrow Y$  is continuous, then  $f(X) \subset Y$  is compact  
 $\uparrow$  subspace top  
(Remark: an open cover of  $f(X) \subset Y$  with subspace top.  $\Leftrightarrow U_i \subset Y$  open,  $\bigcup_{i \in I} U_i \supset f(X)$ ).

Pf: let  $\bigcup_{i \in I} U_i$  open cover of  $f(X)$ . Then  $\bigcup_{i \in I} f^{-1}(U_i)$  is an open cover of  $X$ ,  
hence  $\exists i_1, \dots, i_n$  st.  $f^{-1}(U_{i_1}) \cup \dots \cup f^{-1}(U_{i_n}) = X$ . So  $\forall x \in X$   $f(x) \in U_{i_1} \cup \dots \cup U_{i_n}$ ,  
ie.  $f(X) \subset U_{i_1} \cup \dots \cup U_{i_n}$  finite subcover.  $\square$ .

Once we know subsets of  $\mathbb{R}^n$  are compact iff closed and bounded, taking  $Y = \mathbb{R}$ ,  
this gives the extreme value theorem. To get started on this right away:

Thm: ||  $[0, 1]$  (with subspace top.  $\subset \mathbb{R}$ ) is compact.

Pf: let  $\{U_i\}_{i \in I}$  open cover of  $[0, 1]$ .

Let  $A = \{x \in [0, 1] \mid \exists \text{ finite subcover } U_{i_1} \cup \dots \cup U_{i_n} \supset [0, x]\}$ .

$A \neq \emptyset$  (contains 0). We want to show  $1 \in A$ . Let  $a = \sup(A) \in [0, 1]$ .

• First we show  $a \in A$ :  $\exists i_0$  st.  $a \in U_{i_0}$ ; since  $U_{i_0}$  is open,  $\exists \varepsilon > 0$  st.  $B_\varepsilon(a) \subset U_{i_0}$ .

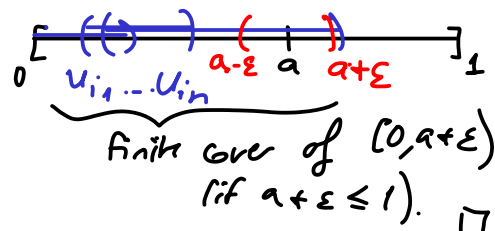
On the other hand  $a = \sup A$ , so  $\exists x \in A$  st.  $x > a - \varepsilon$ , and a finite subcover  $[0, x] \subset U_{i_1} \cup \dots \cup U_{i_n}$ . Therefore  $[0, a] \subset U_{i_1} \cup \dots \cup U_{i_n} \cup U_{i_0}$ , and  $a \in A$ .

• Next, assume  $a < 1$ : since  $a \in A$ ,  $\exists i_1, \dots, i_n$  st.  $[0, a] \subset U_{i_1} \cup \dots \cup U_{i_n}$ , which is open, so  $\exists \varepsilon > 0$  st.  $B_\varepsilon(a) \subset U_{i_1} \cup \dots \cup U_{i_n}$ , hence

$U_{i_1} \cup \dots \cup U_{i_n}$  covers  $[0, x]$  for some  $x > a$

(eg.  $x = a + \frac{\varepsilon}{2}$  if  $\leq 1$ , else 1), contradicts  $\sup(A) = a$ .

• So:  $a = 1 \in A$ ,  $\exists$  finite subcover.



Thm: ||  $X$  compact,  $F \subset X$  closed  $\Rightarrow F$  is compact.

Pf: Given an open cover of  $F$ , ie.  $U_i \subset X$  open,  $\bigcup_{i \in I} U_i \supset F$ , let  $V = X \setminus F$  open: then  $\{U_i, i \in I\} \cup \{V\}$  is an open cover of  $X$ , hence  $\exists$  finite subcover. Discarding  $V$ , this gives a finite subcover for  $F$ .  $\square$