ex5_sol

May 15, 2019

1 Exercise 5 - Convolutional Neural Networks and the MNIST dataset

This exercise is based on https://github.com/leriomaggio/deep-learning-keras-tensorflow We want to solve the same multinomial classification problem as in last weeks exercise 4 using the MNIST dataset, but this time we want to use a convolutional neural network for it.

Before we start, we define a few useful functions, which we used in exercise 4:

```
import matplotlib.pyplot as plt
      %matplotlib inline
      def plot_history(network_history):
         plt.figure()
         plt.xlabel('Epochs')
         plt.ylabel('Loss')
         plt.plot(network_history.history['loss'])
         plt.plot(network_history.history['val_loss'])
         plt.legend(['Training', 'Validation'])
         plt.figure()
         plt.xlabel('Epochs')
         plt.ylabel('Accuracy')
         plt.plot(network_history.history['acc'])
         plt.plot(network_history.history['val_acc'])
         plt.legend(['Training', 'Validation'], loc='lower right')
         plt.show()
      import itertools
      def plot_confusion_matrix(cm, classes,
                          normalize=False,
                          title='Confusion matrix',
                          cmap=plt.cm.Blues):
```

This function prints and plots the confusion matrix.

```
plt.imshow(cm, interpolation='nearest', cmap=cmap)
   plt.title(title)
   plt.colorbar()
   tick_marks = np.arange(len(classes))
   plt.xticks(tick_marks, classes, rotation=45)
   plt.yticks(tick_marks, classes)
   if normalize:
      cm = cm.astype('float') / cm.sum(axis=1)[:, np.newaxis]
   thresh = cm.max() / 2.
   for i, j in itertools.product(range(cm.shape[0]), range(cm.shape[1])):
      plt.text(j, i, cm[i, j],
              horizontalalignment="center",
              color="white" if cm[i, j] > thresh else "black")
   plt.tight_layout()
   plt.ylabel('True label')
   plt.xlabel('Predicted label')
import matplotlib.cm as cm
def display_errors(errors_index,img_errors,pred_errors, obs_errors):
   """ This function shows 6 images with their predicted and real labels"""
   n = 0
   nrows = 2
   ncols = 3
   fig, ax = plt.subplots(nrows,ncols,sharex=True,sharey=True)
   for row in range(nrows):
      for col in range(ncols):
          error = errors_index[n]
          ax[row,col].imshow((img_errors[error]).reshape((28,28)), cmap=cm.Greys, in
          ax[row,col].set_title("Predicted label :{}\nTrue label :{}\".format(pred_er:
          n += 1
```

Normalization can be applied by setting `normalize=True`.

1.1 Data Preparation

1.1.1 Very Important:

When dealing with images & convolutions, you need to handle the image_data_format properly, i.e. is the channel given first or last. The channel axis is an additional dimension of the input data used to access different views of the date, e.g. red/green/blue of a color image, left or right of a stereo sound file)

1.1.2 Task 1: Data preprocessing

- Load the mnist data of the keras datasets
- Scale the design matrix to values between 0 and 1
- Convert the design matrix to the expected (60000, 28, 28, 1) shape
- Convert the target vector to one-hot-vectors for the 10 classes
- Split the training data into 70% training and 30% validation data sets

1.1.3 Loading Data

```
In [5]: #Import the required libraries
    import numpy as np
    from keras.utils import np_utils
    np.random.seed(1338) # for reproducibilty!!

from keras.datasets import mnist
    (X_train, y_train), (X_test, y_test) = mnist.load_data()
```

1.1.4 Preprocess and Normalise Data

Convert the data to float, scale it with a MinMaxScaler and add the channel dimension

```
In [6]: X_train.shape
Out[6]: (60000, 28, 28)
```

Scaler need a 2D array, so we need reshape it first

```
In [7]: X_train = X_train.reshape(X_train.shape[0],img_rows*img_cols)
        X_test = X_test.reshape(X_test.shape[0],img_rows*img_cols)
        X_train.shape
Out[7]: (60000, 784)
In [8]: X_train = X_train.astype('float32')
        X_test = X_test.astype('float32')
        from sklearn.preprocessing import MinMaxScaler
        scaler = MinMaxScaler(feature_range=(0, 1))
        X_train = scaler.fit_transform(X_train)
        X_test = scaler.transform(X_test)
        X_train.shape
Out[8]: (60000, 784)
In [9]: X_train = X_train.reshape(X_train.shape[0],img_rows,img_cols)
        X_test = X_test.reshape(X_test.shape[0],img_rows,img_cols)
        X_train.shape
Out[9]: (60000, 28, 28)
  And finally to the expected (60000, 28, 28, 1) shape for the CNN
In [10]: X_train = X_train.reshape((X_train.shape[0],) + shape_ord)
         X_test = X_test.reshape((X_test.shape[0],) + shape_ord)
In [11]: X_train.shape
Out[11]: (60000, 28, 28, 1)
1.1.5 Convert target vector
In [12]: from keras.utils import np_utils
         num_classes = 10
         Y_train = np_utils.to_categorical(y_train, num_classes)
         Y_test = np_utils.to_categorical(y_test, num_classes)
         Y_test[0]
Out[12]: array([0., 0., 0., 0., 0., 0., 1., 0., 0.], dtype=float32)
1.1.6 Split Training and Validation Data
In [13]: from sklearn.model_selection import train_test_split
         X_train, X_val, Y_train, Y_val = train_test_split(X_train, Y_train, test_size=0.3, range)
```

1.2 A simple convolutional neural network

Convolution2D

Arguments: filters: Integer, the dimensionality of the output space (i.e. the number output of filters in the convolution).

kernel_size: An integer or tuple/list of 2 integers, specifying the width and height of the 2D convolution window. Can be a single integer to specify the same value for all spatial dimensions.

strides: An integer or tuple/list of 2 integers, specifying the strides of the convolution along the width and height. Can be a single integer to specify the same value for all spatial dimensions. Specifying any stride value != 1 is incompatible with specifying any dilation_rate value != 1.

padding: one of "valid" or "same" (case-insensitive).

data_format: A string, one of channels_last (default) or channels_first. The ordering of the dimensions in the inputs. channels_last corresponds to inputs with shape (batch, height, width, channels) while channels_first corresponds to inputs with shape (batch, channels, height, width). It defaults to the image_data_format value found in your Keras config file at ~/.keras/keras.json. If you never set it, then it will be "channels_last".

dilation_rate: an integer or tuple/list of 2 integers, specifying the dilation rate to use for dilated convolution. Can be a single integer to specify the same value for all spatial dimensions. Currently, specifying any dilation_rate value != 1 is incompatible with specifying any stride value != 1.

activation: Activation function to use (see activations). If you don't specify anything, no activation is applied (ie. "linear" activation: a(x) = x).

use bias: Boolean, whether the layer uses a bias vector.

kernel_initializer: Initializer for the kernel weights matrix (see initializers).

bias_initializer: Initializer for the bias vector (see initializers).

kernel_regularizer: Regularizer function applied to the kernel weights matrix (see regularizer). bias regularizer: Regularizer function applied to the bias vector (see regularizer).

activity_regularizer: Regularizer function applied to the output of the layer (its "activation"). (see regularizer).

kernel_constraint: Constraint function applied to the kernel matrix (see constraints). bias_constraint: Constraint function applied to the bias vector (see constraints).

1.2.1 Model Definition

```
In [15]: # -- Initializing the values for the convolution neural network
                   nb_epoch = 10  # kept very low! Please increase if you can use a GPU
                   batch size = 256
                    # number of convolutional filters to use
                   nb_filters = 32
                    # size of pooling area for max pooling
                   nb_pool = 2
                    # convolution kernel size
                   nb_conv = 3
In [16]: model = Sequential()
                   model.add(Conv2D(nb_filters, kernel_size=(nb_conv, nb_conv), padding='valid', activat
                                                          input_shape=shape_ord)) # note: the very first layer **must** alway
                   model.add(Flatten())
                   model.add(Dense(10, activation='softmax'))
                   model.compile(loss='categorical_crossentropy', optimizer='adam', metrics=['accuracy']
                   model.summary()
WARNING:tensorflow:From /home/nackenho/miniconda/envs/TUDortmundMLSeminar/lib/python2.7/site-page warming-page warming-pag
Instructions for updating:
Colocations handled automatically by placer.
               ._____
                                                            Output Shape
Layer (type)
                                                                                                                       Param #
______
                                                               (None, 26, 26, 32)
conv2d_1 (Conv2D)
                                                                                                                          320
_____
flatten_1 (Flatten)
                                                            (None, 21632)
dense_1 (Dense)
                                                            (None, 10)
                                                                                                                       216330
______
Total params: 216,650
Trainable params: 216,650
Non-trainable params: 0
______
```

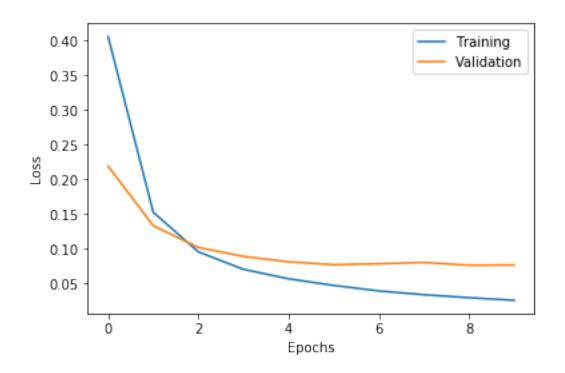
1.2.2 Training

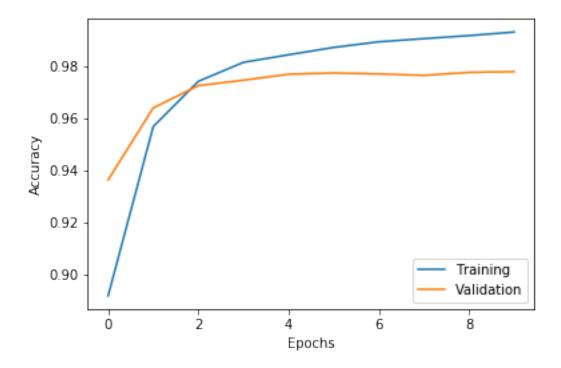
WARNING:tensorflow:From /home/nackenho/miniconda/envs/TUDortmundMLSeminar/lib/python2.7/site-particular for updating:

Use tf.cast instead.

```
Train on 42000 samples, validate on 18000 samples
Epoch 1/10
Epoch 2/10
Epoch 3/10
Epoch 4/10
Epoch 5/10
Epoch 6/10
Epoch 7/10
Epoch 8/10
Epoch 9/10
Epoch 10/10
```

In [18]: plot_history(hist)





1.2.3 Task 2: Evaluating - Define an evaluate function, with the following properties:

- It takes X_test and Y_test as arguments
- It calculates the loss, the accuracy and the classification report
- It plots the probability of being a zero for true zeros (red) and non-zeros (blue)
- It computes and plots the confusion matrix
- It plots the image, the prediction and the true value for the top 6 errors
- It plots image and predictions for the first 15 examples

In [19]: from sklearn.metrics import confusion_matrix,classification_report

def evaluate(X_test, Y_test):

 ##Evaluate loss and metrics
 loss, accuracy = model.evaluate(X_test, Y_test, verbose=0)
 print('Test Loss:', loss)
 print('Test Accuracy:', accuracy)
 # Predict the values from the test dataset
 Y_pred = model.predict(X_test)
 # Convert predictions classes to one hot vectors
 Y_cls = np.argmax(Y_pred, axis = 1)

Convert validation observations to one hot vectors

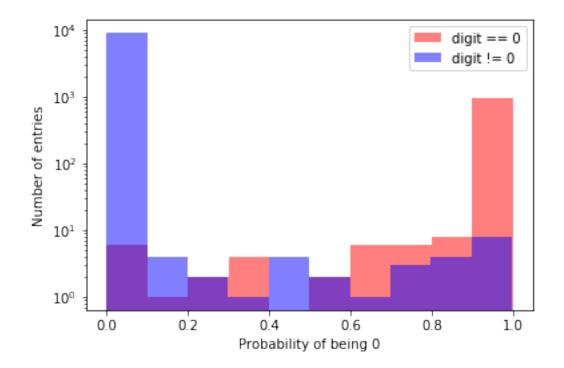
```
Y_true = np.argmax(Y_test, axis = 1)
print 'Classification Report:\n', classification_report(Y_true,Y_cls)
## Plot O probability
label=0
Y_pred_prob = Y_pred[:,label]
plt.hist(Y_pred_prob[Y_true == label], alpha=0.5, color='red', bins=10, log = True
plt.hist(Y_pred_prob[Y_true != label], alpha=0.5, color='blue', bins=10, log = Tr
plt.legend(['digit == 0', 'digit != 0'], loc='upper right')
plt.xlabel('Probability of being 0')
plt.ylabel('Number of entries')
plt.show()
# compute the confusion matrix
confusion_mtx = confusion_matrix(Y_true, Y_cls)
# plot the confusion matrix
plt.figure(figsize=(8,8))
plot_confusion_matrix(confusion_mtx, classes = range(10))
#Plot largest errors
errors = (Y_cls - Y_true != 0)
Y_cls_errors = Y_cls[errors]
Y_pred_errors = Y_pred[errors]
Y_true_errors = Y_true[errors]
X_test_errors = X_test[errors]
# Probabilities of the wrong predicted numbers
Y_pred_errors_prob = np.max(Y_pred_errors,axis = 1)
# Predicted probabilities of the true values in the error set
true_prob_errors = np.diagonal(np.take(Y_pred_errors, Y_true_errors, axis=1))
# Difference between the probability of the predicted label and the true label
delta_pred_true_errors = Y_pred_errors_prob - true_prob_errors
# Sorted list of the delta prob errors
sorted_dela_errors = np.argsort(delta_pred_true_errors)
# Top 6 errors
most_important_errors = sorted_dela_errors[-6:]
# Show the top 6 errors
display_errors(most_important_errors, X_test_errors, Y_cls_errors, Y_true_errors)
##Plot predictions
slice = 15
predicted = model.predict(X_test[:slice]).argmax(-1)
plt.figure(figsize=(16,8))
for i in range(slice):
    plt.subplot(1, slice, i+1)
    plt.imshow(X_test[i].reshape(28,28), interpolation='nearest')
    plt.text(0, 0, predicted[i], color='black',
             bbox=dict(facecolor='white', alpha=1))
    plt.axis('off')
```

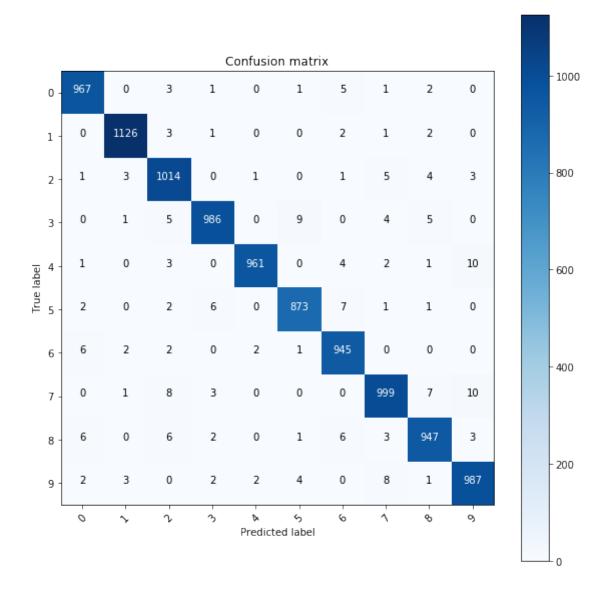
In [20]: evaluate(X_test, Y_test)

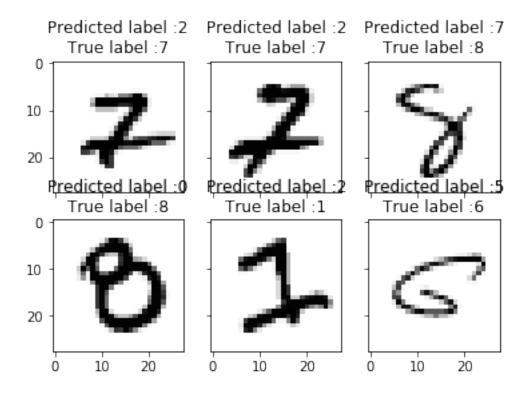
('Test Loss:', 0.06558480308502912)

('Test Accuracy:', 0.9805) Classification Report:

	precision	recall	f1-score	support
0	0.98	0.99	0.98	980
1	0.99	0.99	0.99	1135
2	0.97	0.98	0.98	1032
3	0.99	0.98	0.98	1010
4	0.99	0.98	0.99	982
5	0.98	0.98	0.98	892
6	0.97	0.99	0.98	958
7	0.98	0.97	0.97	1028
8	0.98	0.97	0.97	974
9	0.97	0.98	0.98	1009
micro avg	0.98	0.98	0.98	10000
macro avg	0.98	0.98	0.98	10000
weighted avg	0.98	0.98	0.98	10000









1.3 Adding more Dense Layers and Dropout

1.3.1 Task 3: Adding additional classification layers

- Add a dense layer between the flatten layer and the output layer
- Add a 25% dropout layer before the flatten layer
- Add a 50% dropout layer between the two dense layers
- Build the model, train the NN, plot the loss and accuracy evolution
- Evaluate the new model

WARNING:tensorflow:From /home/nackenho/miniconda/envs/TUDortmundMLSeminar/lib/python2.7/site-particular for updating:

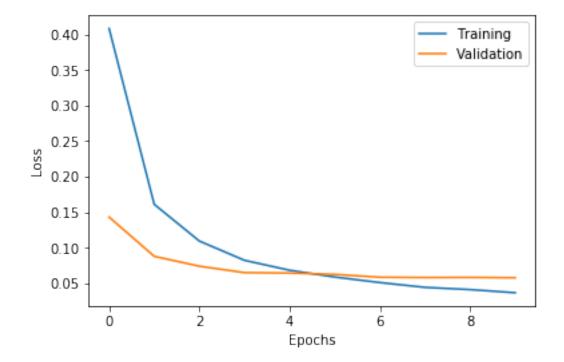
Please use `rate` instead of `keep_prob`. Rate should be set to `rate = 1 - keep_prob`.

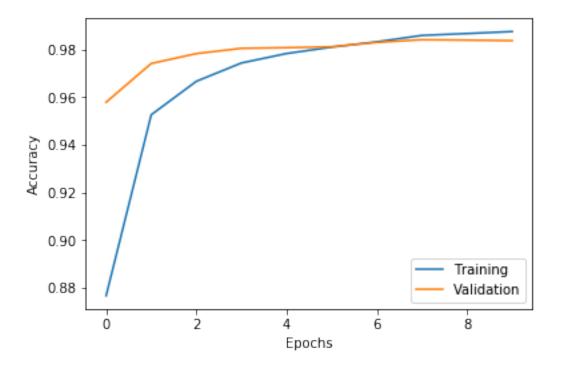
Layer (type)	Output Shape	Param #
conv2d_2 (Conv2D)	(None, 26, 26, 32)	320
dropout_1 (Dropout)	(None, 26, 26, 32)	0
flatten_2 (Flatten)	(None, 21632)	0
dense_2 (Dense)	(None, 128)	2769024
dropout_2 (Dropout)	(None, 128)	0
dense_3 (Dense)	(None, 10)	1290

Total params: 2,770,634 Trainable params: 2,770,634 Non-trainable params: 0

1.3.2 Training

In [23]: plot_history(hist)



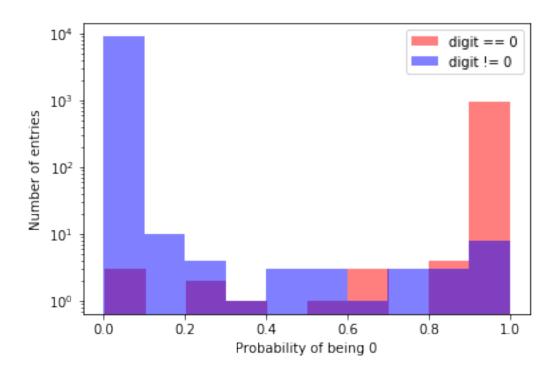


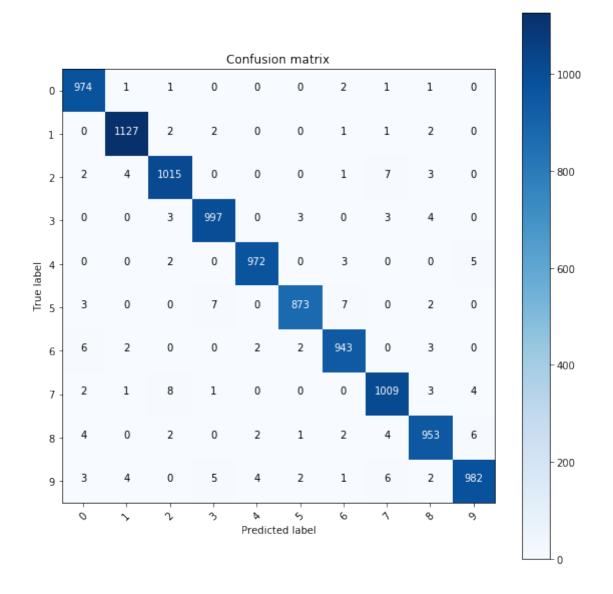
1.3.3 Evaluating

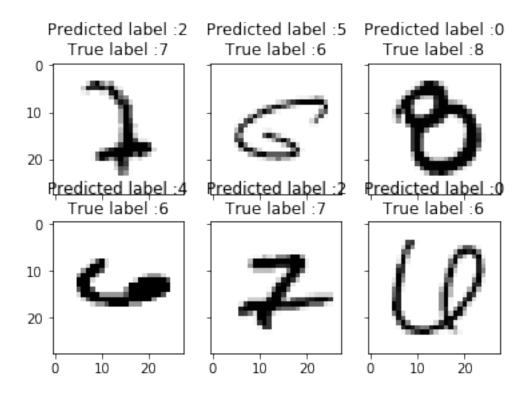
('Test Loss:', 0.04608184394863056)

('Test Accuracy:', 0.9845) Classification Report:

		precision	recall	f1-score	support
	0	0.98	0.99	0.99	980
	1	0.99	0.99	0.99	1135
	2	0.98	0.98	0.98	1032
	3	0.99	0.99	0.99	1010
	4	0.99	0.99	0.99	982
	5	0.99	0.98	0.98	892
	6	0.98	0.98	0.98	958
	7	0.98	0.98	0.98	1028
	8	0.98	0.98	0.98	974
	9	0.98	0.97	0.98	1009
micro	avg	0.98	0.98	0.98	10000
macro	avg	0.98	0.98	0.98	10000
weighted	avg	0.98	0.98	0.98	10000









2 Adding an additional convolution layer and a pooling layer

2.0.1 Task 3: Adding additional classification layers

- Add another Conv2D layer after the first convolutional layer with 64 filter, 3x3 kernel and valid (no) padding
- Add another MaxPooling2D layer with a 2x2 pooling size
- Build the model, train the NN, plot the loss and accuracy evolution
- Evaluate the new model

```
metrics=['accuracy'])
    model.summary()
-----
Layer (type)
        Output Shape Param #
______
conv2d_3 (Conv2D)
               (None, 26, 26, 32)
              (None, 24, 24, 64)
conv2d_4 (Conv2D)
                            18496
max_pooling2d_1 (MaxPooling2 (None, 12, 12, 64) 0
_____
dropout_3 (Dropout)
            (None, 12, 12, 64)
_____
           (None, 9216)
flatten_3 (Flatten)
dense 4 (Dense)
              (None, 128)
                            1179776
_____
dropout_4 (Dropout)
            (None, 128)
                            0
dense_5 (Dense)
               (None, 10)
                            1290
______
Total params: 1,199,882
Trainable params: 1,199,882
Non-trainable params: 0
2.0.2 Training
In [26]: hist = model.fit(X_train, Y_train, batch_size=batch_size,
              epochs=nb_epoch, verbose=1,
              validation_data=(X_val, Y_val))
Train on 42000 samples, validate on 18000 samples
Epoch 1/10
Epoch 2/10
Epoch 3/10
```

model.add(Flatten())

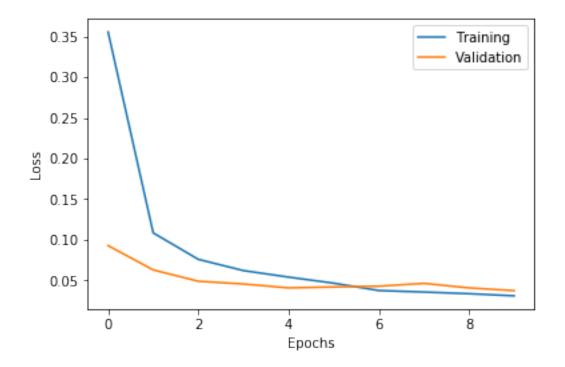
model.add(Dropout(0.5))

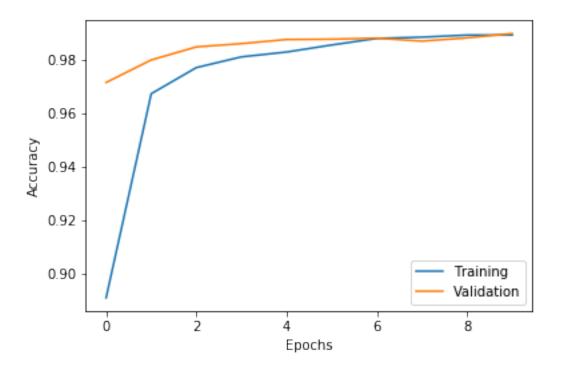
model.add(Dense(128, activation='relu'))

model.add(Dense(10, activation='softmax'))

```
Epoch 4/10
Epoch 5/10
Epoch 6/10
        ========] - 98s 2ms/step - loss: 0.0466 - acc: 0.9857 - val
42000/42000 [======
Epoch 7/10
42000/42000 [=====
         =======] - 89s 2ms/step - loss: 0.0376 - acc: 0.9881 - val
Epoch 8/10
Epoch 9/10
Epoch 10/10
```

In [27]: plot_history(hist)





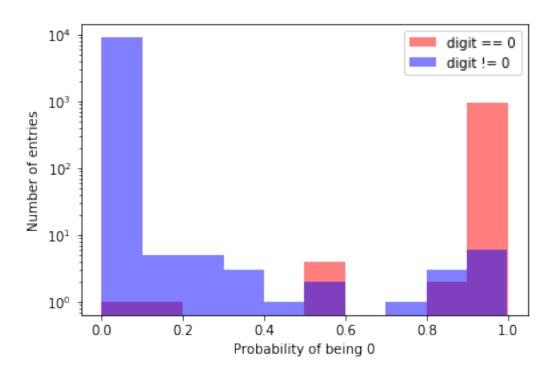
2.0.3 Evaluating

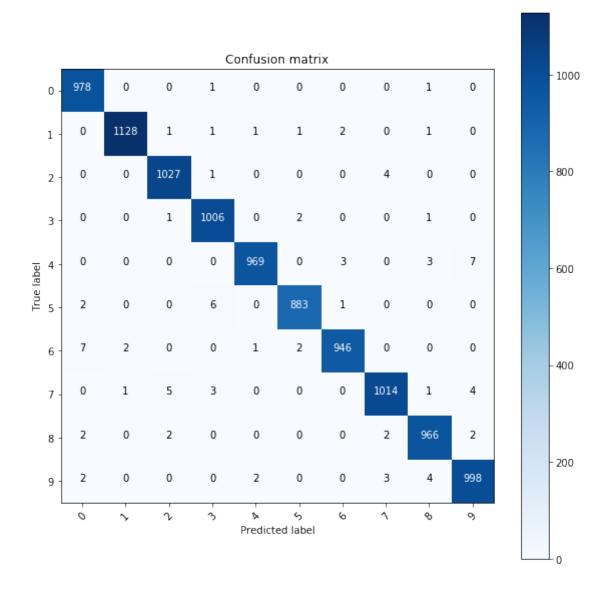
In [28]: evaluate(X_test, Y_test)

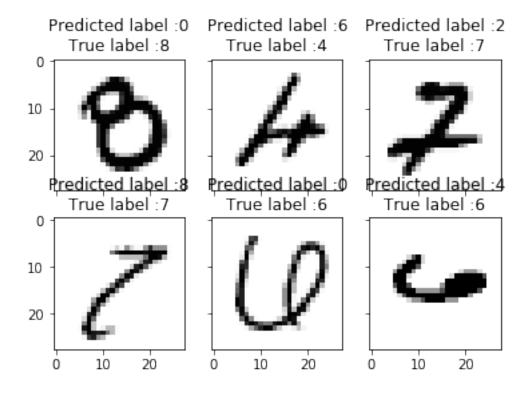
('Test Loss:', 0.030270598501911446)

('Test Accuracy:', 0.9915) Classification Report:

	precision	recall	f1-score	support
0	0.99	1.00	0.99	980
1	1.00	0.99	1.00	1135
2	0.99	1.00	0.99	1032
3	0.99	1.00	0.99	1010
4	1.00	0.99	0.99	982
5	0.99	0.99	0.99	892
6	0.99	0.99	0.99	958
7	0.99	0.99	0.99	1028
8	0.99	0.99	0.99	974
9	0.99	0.99	0.99	1009
micro avg	0.99	0.99	0.99	10000
macro avg	0.99	0.99	0.99	10000
weighted avg	0.99	0.99	0.99	10000









3 Bonus: Understanding Convolutional Layers Structure

We will inspect and understand the convolutional layer of our previously defined quite shallow CNN, which contains two [Convolution, Convolution, MaxPooling] stages, and two Dense layers.

3.0.1 Understanding layer shapes

An important feature of Keras layers is that each of them has an input_shape attribute, which you can use to visualize the shape of the input tensor, and an output_shape attribute, for inspecting the shape of the output tensor.

As we can see, the input shape of the first convolutional layer corresponds to the input_shape attribute (which must be specified by the user).

In this case, it is a 28x28 image with three color channels.

Since this convolutional layer has the padding set to same, its output width and height will remain the same, and the number of output channel will be equal to the number of filters learned by the layer, 16.

The following convolutional layer, instead, have the default padding, and therefore reduce width and height by (k-1), where k is the size of the kernel.

MaxPooling layers, instead, reduce width and height of the input tensor, but keep the same number of channels.

Activation layers, of course, don't change the shape.

3.0.2 Understanding weights shape

In the same way, we can visualize the shape of the weights learned by each layer.

In particular, Keras lets you inspect weights by using the get_weights method of a layer object. This will return a list with two elements, the first one being the **weight tensor** and the second one being the **bias vector**.

In particular:

- MaxPooling layer don't have any weight tensor, since they don't have learnable parameters.
- **Convolutional layers**, instead, learn a (n_o, n_i, k, k) weight tensor, where k is the size of the kernel, n_i is the number of channels of the input tensor, and n_o is the number of filters to be learned.

For each of the n_0 filters, a bias is also learned.

• **Dense layers** learn a (n_i, n_o) weight tensor, where n_o is the output size and n_i is the input size of the layer. Each of the n_o neurons also has a bias.