### 1 An Electrocardiographic Deep Learning Algorithm to Predict Post-Operative Mortality

### 2 and Complications

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## **Key Points**

- Standard pre-operative risk evaluation relies on few clinical features with limited ability to
- predict mortality and postoperative complications. A novel deep learning algorithm,
- 27 PreOpNet, evaluates electrocardiogram (ECG) waveform signals to accurately identify
- patients at risk for post-operative mortality and cardiovascular complications.
- PreOpNet was validated and performs well in three separate independent healthcare
- 30 systems and in clinical settings in which patients benefit most from pre-operative risk
- 31 evaluation.
- Using a new model architecture with fewer parameters than previously designed deep
- learning models on ECGs, our model accurately predicts post-operative outcomes rapidly
- such that PreOpNet can be run quickly on a standard hospital workstation.

## **Summary Paragraph**

37 38 Perioperative risk evaluation is an integral part of clinical decision-making prior to surgical 39 40 41 42 43

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intervention<sup>1-3</sup>. Numerous scoring systems have been developed to balance surgical risk with the potential benefits of intervention, however, these algorithms have subjective variables, can overestimate risk in low-risk patients, or be cumbersome to apply<sup>4-7</sup>. Electrocardiograms (ECGs) are inexpensive, non-invasive, and rapid diagnostic tests frequently obtained during preoperative evaluation<sup>1,4</sup>, and deep learning algorithms applied to ECGs have been shown to identify subtle phenotypes<sup>8,9</sup> and prognosticate long term outcomes<sup>10</sup>. We used 149,348 ECGs from 52,606 patients undergoing inpatient procedures to train PreOpNet, a novel deep learning algorithm to predict post-operative mortality and cardiovascular events. By interpretation of electrocardiogram waveform signals from a single pre-operative 12-lead ECG performed within 30 days prior to procedure, PreOpNet predicted post-operative mortality with an AUC of 0.83 (95% CI 0.78 - 0.88) and major cardiovascular events with an AUC of 0.79 (95% CI 0.75 - 0.83), surpassing standard clinical risk scores and previously published deep learning architectures. At two separate healthcare systems, PreOpNet performed similarly well, predicting post-operative

mortality with an AUC of AUC of 0.79 (95% CI 0.73 – 0.84) and 0.75 (95% CI 0.74 – 0.76) in

separate external test datasets. This performance was achieved with a new model architecture

less than 1/50<sup>th</sup> the size of previous models<sup>11</sup> and efficient enough to be run on a standard

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# Introduction

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66	Patients undergoing major surgery are at risk for perioperative cardiac complications, including
67	myocardial infarction, heart failure, arrhythmias, cardiac arrest, and death <sup>12,13</sup> . To balance
68	surgical risk with the potential benefits of intervention, pre-operative risk assessment aims to
69	identify patients at increased risk of complications 1,14. Numerous scoring systems have been
70	developed which integrate clinical features, diagnoses, and functional status to assist in risk
71	stratification 12,15,16. However, these algorithms have subjective components, can overestimate
72	risk in low-risk patients, or require tedious manual data entry of clinical information <sup>2,6,17</sup> .
73	Electrocardiograms (ECGs) are inexpensive, non-invasive, and rapid diagnostic tests frequently
74	obtained during preoperative evaluation <sup>4</sup> . Society guidelines recommend routine preoperative
75	ECG testing in patients with cardiovascular comorbidities and patients undergoing intermediate-
76	to-high risk surgeries 1,4. Deep learning algorithms have been recently applied to medical imaging
77	and data to achieve high precision interpretations as well as identify information beyond the
78	interpretation of human experts <sup>18-20</sup> . Deep learning analysis of ECG waveforms have had
79	potentially promising performance in prognosticating outcomes <sup>10</sup> , identifying subclinical
80	disease <sup>8,21</sup> , and identifying systemic phenotypes not traditionally associated with ECGs <sup>22,23</sup> .
81	Given prior performance in identifying occult arrhythmias <sup>9</sup> , ventricular dysfunction <sup>8</sup> , anemia <sup>22</sup> ,
82	age <sup>23</sup> , and other factors associated with perioperative risk, deep learning algorithm interpretation
83	of pre-operative ECGs could potentially prognosticate postoperative outcomes with higher
84	accuracy than traditional risk calculators.
85	To overcome current limitations in assessment of postoperative outcomes, we designed, trained,
86	and validated PreOpNet, a deep learning algorithm to predict post-operative cardiovascular
87	events and death based on waveform signals from a single pre-operative 12-lead ECG (Figure 1).
88	To evaluate the performance of our deep learning model architecture, we compare the
89	predictions of PreOpNet with conventional risk calculators as well as to a previously published
90	deep learning architecture8. Additionally, PreOpNet's performance was also evaluated in two
91	separate healthcare systems and in common clinical settings which benefit most from pre-
92	operative risk evaluation.

Results

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Deep learning model design

Inspired by prior literature regarding lightweight deep learning model architecture design and neural architecture search<sup>24,25</sup>, we designed a novel neural network with atrous convolutions and residual connections with bottleneck layers for ECG interpretation. Optimizing for model runtime and minimizing model complexity, we designed our neural network architecture to start with an initial 1-dimensional atrous convolutional layer to downsample ECG waveforms prior to downstream convolutional layers<sup>26</sup>. The atrous convolution's dilation and step size was gridsearched by hyperparameter tuning for optimal AUC with all other hyperparameters held constant. Downstream, we incorporated serial layers with an inverted residual structure where the input and output are bottleneck layers with an intermediate expansion layer<sup>24</sup>. In each set of expansion layers with bottleneck layers preceding and succeeding, the number of input channels gradually increased to allow for integration of information across ECG leads. From initial 12 input channels representing each ECG lead, the input channels for each layer increased to 32, 16, 24, 40, 80, 112, 192, then 320 input channels before average pooling prior to the final fully connected layer. For computational efficiency, each convolutional layer only relied on 1dimensional convolutions while inflation of output channels allows for integration of information across leads. The number of modules paralleled the design of EfficientNet<sup>25</sup>, although further attempts to prune the model showed only slight cost to performance with further reductions in model size and number of layers. **Study population characteristics** We trained PreOpNet with 119,179 ECGs from 42,129 patients, with a mean of 2.8 ECGs per patient (Supplementary Table 1). In our sample of 340,703 inpatient procedures from an academic medical center, there were 2,465 (0.8%) deaths and 3,471 (1.1%) post-procedural major cardiovascular events that occurred among the study sample during 323,897 inpatient hospitalizations. The mean age of the patient at time of procedure was 64.6 (SD 16.0) years.

Forty-four percent of the participants were women, and 19.5% had pre-existing coronary artery

disease. 78,021 procedures (22.8%) had a pre-operative ECG within 30 days prior to procedure, 122 and a total of 149,348 preoperative ECGs were matched to these procedures (Supplementary 123 Figure 2). 124 **Evaluation of model performance** 125 Using information from a single 12 lead ECG, PreOpNet predicted same-hospitalization 126 127 postoperative mortality with an AUC of 0.83 (95% CI 0.78 - 0.88). In comparison, AUC for the clinical risk calculator, the revised cardiac risk index (RCRI) score, was 0.58 (95% CI 0.51 – 128 0.65) for postoperative mortality. For the combined endpoint major adverse cardiovascular 129 events, the PreOpNet had an AUC of 0.79 (95% CI 0.75 - 0.83) and the RCRI score had an AUC 130 131 of 0.57 (95% CI 0.52 - 0.62). The addition of clinical features in the RCRI score to PreOpNet 132 did not improve the model performance (AUC 0.81; 95% CI 0.76 – 0.86 and 0.79; 95% CI 0.75 -0.83 for postoperative mortality and major cardiovascular events respectively). 133 For the RCRI score, a threshold of 2 is a common key decision-making boundary suggesting 134 elevated risk<sup>4</sup>. At this threshold, which encompassed 15% of patients in our data, the RCRI score 135 was associated with an odds ratio of 2.60 (95% CI 1.15 - 4.54) for post-operative mortality and 136 an odds ratio of 2.17 (1.27 - 3.31) for major cardiovascular events in our test dataset. In 137 comparison, patients above a similar percentile of risk (85<sup>th</sup> percentile) in PreOpNet's prediction 138 had an odds ratio of 8.77 (95% CI 4.82 – 14.98) for post-operative mortality and 7.34 (4.93 -139 10.56) for major cardiovascular events as compared to those below this level of risk (Table 1). 140 Local Interpretable Model-agnostic Explanations<sup>29</sup> highlighted the QRS complexes as the most 141 relevant features used for model decision making, and frequently highlighted precordial 142 143 premature contractions and intraventricular block on the precordial leads (Figure 2). 144 Generalization to other healthcare systems To assess the cross-healthcare-system reliability of the model, PreOpNet's prediction of post-145 operative mortality was additionally tested, without any tuning, on external test datasets of 146 147 162,540 ECGs from 101,375 patients from Stanford Healthcare (SHC) and 9,028 ECGs from 9,028 patients from Columbia University Medical Center (CUMC). On the external test dataset 148 from SHC with a mortality rate of 1.3%, PreOpNet predicted same-hospitalization postoperative 149 mortality with an AUC of 0.75 (95% CI 0.74 - 0.76). The external test dataset from CUMC had a 150

mortality rate of 1.6% and PreOpNet predicted mortality with an AUC of 0.79 (95% CI 0.73 -151 0.84). The 85th percentile of risk predicted by PreOpNet had an odds ratio of 4.81 (95% CI 4.20 152 -5.43) at SHC and an odds ratio of 6.15 (5.44 -6.92) at CUMC for post-operative mortality. 153 Model performance in various clinical settings 154 Our initial training set included both elective and emergent procedures. Preoperative risk 155 156 stratification is most frequently applied in the elective procedural setting, and for patients undergoing elective procedures, PreOpNet had an AUC of 0.82 (95% CI 0.69 – 0.95) for post-157 operative mortality and an AUC of 0.78 (95% CI 0.67 – 0.88) for major cardiovascular events. 158 Given the potential utility of PreOpNet in urgent or emergent settings, we performed a secondary 159 160 analysis on non-elective procedures that occurred in patients admitted through the emergency 161 room. PreOpNet predicted death with an AUC of 0.72 (95% CI 0.69 – 0.75) and major cardiovascular events with an AUC of 0.69 (95% CI 0.66 - 0.71). 162 163 Given the low incidence of complications after low-risk surgical procedures, electrocardiograms often are not obtained in low-risk patients undergoing low risk procedures. We performed a 164 secondary analysis of the performance in patients most likely to undergo ECG screening during 165 pre-operative evaluation represented by patients either with known cardiovascular disease or 166 undergoing intermediate or high-risk surgery. Without additional subset-specific model training, 167 PreOpNet predicted death with an AUC of 0.76 (95% CI 0.72-0.80) and major cardiovascular 168 events with an AUC of 0.73 (95% CI 0.70-0.76) compared to an AUC of 0.63 (95% CI 0.53-169 0.73) and 0.64 (95% CI 0.57-0.70) respectively for the RCRI score. For patients undergoing 170 171 cardiovascular procedures, PreOpNet predicted death with an AUC of 0.77 (95% CI 0.74-0.80) 172 and major cardiovascular events with an AUC of 0.71 (95% CI 0.69-0.74) 173 Comparison with other ECG deep learning models To compare the performance of our architecture with other deep learning models, we 174 implemented a recently described model for ECG waveforms<sup>8</sup> to perform the same task and 175 trained this benchmark model with same training data with labels of postoperative outcomes. The 176 previously published deep learning architecture had an AUC of 0.68 (95% CI 0.65-0.70) for 177 predicting death and an AUC of 0.57 (95% CI 0.55 - 0.59) for predicting major cardiovascular 178 179 events.

In addition to superior performance in predicting mortality and complications, PreOpNet is a highly efficient deep learning model architecture with fewer parameters and requiring less computational power to train, up to 100x smaller than other previously published architectures (Supplemental Table 3). The improvement in speed-up and computational efficiency allows for the model to be run solely on a standard CPU in 0.078 seconds at inference time. 

#### Discussion

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In a large cohort of patients undergoing inpatient procedures, we trained and validated a novel electrocardiogram based deep learning algorithm, PreOpNet, which predicted post-operative death and major adverse events from a single pre-operative ECG. When compared to a standard risk assessment tool and another deep learning algorithms applied to ECGs, PreOpNet was superior in predicting post-operative mortality and major cardiovascular events. This predictive accuracy was re-affirmed in external test datasets from two different healthcare systems with diverse patient populations. PreOpNet, to our knowledge is the first purpose built deep learning architecture for predicting perioperative outcomes, and its predictive performance was achieved despite requiring less computational processing than previously published neural architectures for interpreting ECGs. Perioperative risk stratification is actionable clinical prognostication that is used to guide medical decision making. Each year, many clinical encounters are performed for perioperative risk stratification, however risk scores have significant variability in predicted risk and utilization is often limited by tedious manual entry of many clinical variables<sup>2,6,17</sup>. Previous risk calculators were derived using less sensitive metrics of post-operative outcomes and have been shown to perform poorly in patient cohorts with different clinical characteristics and higher disease burden<sup>17</sup>. Preoperative ECGs are frequently obtained for risk stratification, even for intermediate risk procedures; thus, an ECG-guided algorithm could be readily incorporated into existing clinical workflows<sup>4</sup>. Thus, a prognostic deep learning model utilizing preoperative ECGs may result in significant improvement in both the accuracy and utilization of pre-operative risk stratification methods. Deep learning algorithms applied to electrocardiograms have been shown to detect occult arrhythmias<sup>9</sup>, ventricular dysfunction<sup>27,28</sup>, anemia<sup>22</sup>, age<sup>23</sup>, and long-term mortality<sup>10,29</sup>. Given the ability for deep learning algorithms to identity variables relevant to perioperative risk as well as screen for subclinical disease, we applied this prognostic ability in the setting of peri-operative evaluation. In this study, we designed a new deep learning architecture inspired by prior nonhealthcare computer vision models and show that deep learning algorithm interpretation of preoperative ECGs can accurately prognosticate postoperative outcomes and augment information from traditional risk calculators. While, previous work has shown that deep learning models of

ECGs can predict longer-term mortality<sup>10</sup>, this is one of the first applications of deep learning of ECGs to impact a more immediate actionable clinical outcome. 235 A particular strength of our deep learning model is the relatively fewer parameters and high 236 computational efficiency compared to previously published neural network architectures for 237 interpreting ECG waveforms<sup>8,11</sup>. Being more computational efficient than previously published 238 models, PreOpNet can be deployed in standard hospital workstations without graphical 239 processing units (GPUs), which are less common in clinical settings. Further work remains to 240 advance the integration of artificial intelligence in medicine, including efforts optimize deep 241 learning model architectures for healthcare applications. To promote further development in the 242 243 field of artificial intelligence in medicine, we release the full code of our algorithm and data-244 processing workflow. The strengths of our investigation include training PreOpNet with the large cohort of patients 245 246 undergoing inpatient procedures across a decade, validation across three large, diverse medical centers, the use of state-of-the-art deep learning architectures on ECGs, and the prediction of 247 important outcomes at a critical junction. Nevertheless, our findings should be confirmed in 248 other cohorts, and ideally in a prospective manner<sup>28,30</sup>. PreOpNet was validated in study 249 populations centered around three large academic medical centers situated in urban metropolitan 250 areas and may perform differently in other settings. Additionally, our outcomes were derived 251 252 from the electronic health record, and therefore, our study primarily focused on outcomes during 253 the same hospitalization. To minimize heterogeneity assessing cardiovascular outcomes from the 254 EHR, external validation was focused only on the outcome of mortality. Given the relatively limited prevalence of post-operative complications, we had limited statistical power to perform 255 additional secondary analyses of subgroups. 256 Our results represent an important step towards improving perioperative risk evaluation. 257 258 Recognizing that human experts have only limited intuition for prognosticating post-procedural 259 outcomes, risk calculators are integrated into society guidelines to frame the question of perioperative risk stratification<sup>1,4</sup>. The application of more advanced and easier to use algorithms 260 can overcome often tedious data entry and small cohort sizes of earlier validation studies. While 261 we validated PreOpNet across multiple clinical scenarios, further studies are warranted to 262 determine the prospective validity of deep learning algorithms to prognosticate procedural risk. 263

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#### Methods

#### **Data sources and study population**

Using the electronic health record system, we identified 340,703 inpatient procedures performed in 178,954 patients age 18 or greater at Cedars-Sinai Medical Center between January 1, 2015 and December 31, 2019. All operating room, catheterization laboratory, and endoscopy suite procedures as well as procedures with anesthesia sedation were considered in the analysis. Procedures were then linked to all ECGs performed anytime within a 30-day window prior to the procedure (Figure 1), and this yielded 149,348 ECGs from 78,021 procedures performed in 52,606 patients which were included in the analyses (Supplemental Figure 2). Patients were randomly split 8:1:1 into training, validation, and test cohorts. Pre-operative clinical characteristics and post-operative outcomes including death and major cardiovascular events (post-operative myocardial infraction, cardiac arrest, and in-hospital mortality) were extracted from the electronic health system and linked to each procedure. The institutional review board of the respective institutions approved the study protocol.

#### ECG waveform preprocessing and analysis

The ECG waveform data was exported from the data management system, normalized by mean and standard deviation, and paired with surgical outcomes. All ECGs were acquired at a sampling rate of 500 Hz and 10 second, 12 lead ECGs were extracted as 12x5000 matrix of amplitude values. Data was linked by medical record number, and pre-operative ECGs were identified based on ECGs within a 30-day window between date of ECG and date of procedure. ECGs with missing leads were excluded from the study cohort. To maximize training sample size and similar to prior studies of deep learning on ECGs, we utilized all ECGs in the window of interest for training and each ECG-outcome pair was used as a unit of observation for model training. Clinical features of existing coronary artery disease, congestive heart failure, stroke or transient ischemia attack, pre-operative insulin use, creatinine greater than 2mg/dL, and elevated risk surgery as defined by American College of Cardiology and American Heart Association guidelines<sup>4</sup> were identified within the electronic medical record were used to calculate the revised cardiac risk index<sup>1</sup>.

#### **Outcome extraction and clinical features selection**

The outcome of analysis included mortality during the index hospitalization and a composite outcome of major adverse cardiac events, which included in-hospital post-operative myocardial infraction, cardiac arrest, and mortality. The labels for model training were on the procedure level, such that the same patient could have independent procedures with and without adverse outcomes, and ECGs were mapped to the proximal subsequent procedure. In the test cohorts, the analysis was limited to standard clinical practice – a single ECG most proximal to the procedure of interest for an individual patient, procedure, and hospitalization. Patient demographics, comorbidities and surgical outcomes were extracted from the electronic data warehouse. Diagnoses were encoded by International Classification of Diseases (ICD)-10 codes. Co-morbidities were determined by diagnoses that were already identified on previous hospitalizations or encounters. Procedural complications were identified by diagnoses that were present for the first time on discharge diagnoses list from that hospital admission. The last available creatinine was obtained from the laboratory results and used to calculate the RCRI score. Insulin use was derived from the list of home medications on admission ascertained during medication reconciliation.

#### AI model design and training

We designed a novel convolutional neural network, PreOpNet, for ECG interpretation and integration with clinical risk factors to predict the co-primary outcomes of post-operative mortality and composite major adverse cardiac events. The model was trained to predict outcomes with the input of one 12-lead ECG obtained within 30 days prior to the procedure. If the same patient had multiple pre-operative ECGs, each were considered independent cases during model training, but in the test datasets, a single ECG nearest to the procedure was used for inference to mimic how the model would be utilized in clinical practice. When used, clinical features were input into the last fully connected layer prior to model output. Models were trained independently on the primary outcomes using the PyTorch deep learning library.

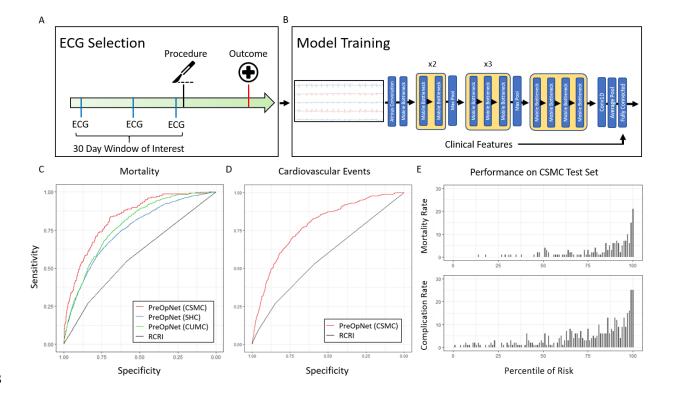
Optimizing for model runtime and minimizing model complexity, we designed our neural network architecture with initial atrous convolutions and subsequent multi-channel 1D convolutions to less than  $1/10^{th}$  the size and 10x the runtime of previously described architectures 12,24,26. Model layer, channel, width, and depth scaling parameters were inspired by prior literature on neural architecture search 31,32. The model initialized with random weights and

trained with a loss function of binary cross entropy for 100 epochs using an ADAM optimizer 348 with an initial learning rate between 5e-3 and 1e-4. Early stopping was performed based on 349 350 validation dataset's area under the receiver operating curve. We used Local Interpretable Modelagnostic Explanations<sup>29</sup> to identify and visualize relevant features in the ECG used for model 351 decision making. Additionally, we calculated revised cardiac risk index (RCRI) scores for each 352 353 patient and procedure to benchmark algorithm performance with conventional risk calculators on the held-out test dataset<sup>1</sup>. 354 355 External healthcare system test dataset To assess the reliability of PreOpNet across healthcare systems, our model was additionally 356 357 tested, without additional fine tuning or further training, on external test datasets from Stanford 358 Healthcare (SHC) and Columbia University Medical Center (CUMC). At SHC, clinical features 359 and outcomes were extracted using the Stanford Research Data Repository Observational 360 Medical Outcomes Partnership (OMOP) common data model. Post-operative mortality was assessed as mortality within 7 days after the procedure in patients with missing discharge date. 361 ECG waveform data was extracted from the TraceMaster (Philips Healthcare) data management 362 system and preprocessed with a low pass filter to further correct for wandering baselines and 363 normalization of waveform data. At CUMC, clinical features and outcomes were extracted from 364 the electronic data warehouse and ECG waveform data was extracted from Muse (GE 365 Healthcare) data management system. Procedures requiring anesthesia or typically performed in 366 the operating room or catherization lab were linked to the most proximal ECG performed within 367 30 days prior. 368 369 **Statistical analysis** 370 The performance of the PreOpNet model in predicting the primary outcomes was mathematically assessed by the area under the curve (AUC) of the receiver operating characteristic curve (ROC). 371 372 After model derivation and training, primary and secondary analysis utilizing a single preoperative ECG were performed on trained models using the held-out test cohort, which was 373 374 never seen during model training. Secondary sensitivity analyses were limited to procedures performed in patients with known cardiovascular disease, intermediate or high-risk surgeries, 375 376 and elective procedures. Odds ratio were calculated based on RCRI score >= 2 and PreOpNet output at a similar threshold of risk by proportion of patients deemed at risk. We used two-sided 377

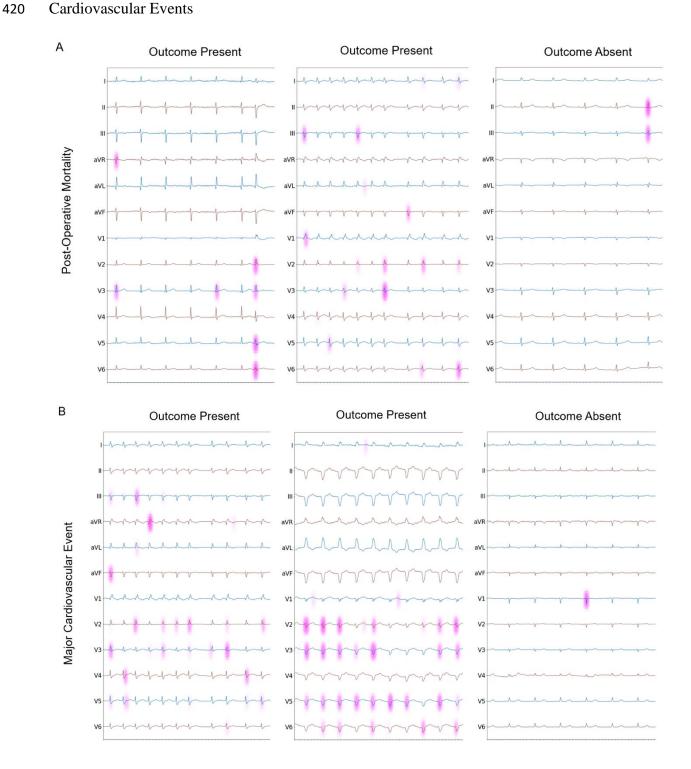
95% confidence intervals with 10,000 bootstrapped samples and obtaining the 95<sup>th</sup> percentile ranges for each outcome. Local Interpretable Model-agnostic Explanations was used with 1000 samples per study to identify relevant features in the ECG waveform by iteratively randomly perturbing 0.5% of the waveform and identifying which changes most impacted model performance. Statistical analysis was performed in R and Python. **Data and Code Availability** The code is available at <a href="https://github.com/ecg-net/PreOpNet">https://github.com/ecg-net/PreOpNet</a>.

## **Tables and Figures**

**Figure 1. PreOpNet workflow and results.** A. ECGs within 30 days of an inpatient procedure was selected for the study and paired with post-operative outcomes. B. A novel light-weight model architecture was trained to predict post-operative mortality and complications, with input of the nearest 12-lead ECG. Performance of PreOpNet at Cedars-Sinai Medical Center (CSMC), Stanford Healthcare (SHC), and Columbia University Medical Center (CUMC), and standard clinical risk calculator in predicting (C) post-operative mortality and (D) major adverse cardiovascular events. E. Rate of mortality and complications by percentile of risk predicted by PreOpNet. ECG = electrocardiogram. RCRI = Revised Cardiovascular Risk Index.



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**Table 1. Model Performance.** Comparison of PreOpNet model performance with RCRI. AUC = Area under the curve. MACE = Major adverse cardiovascular events. RCRI = Revised Cardiovascular Risk Index. Odds ratio were calculated for the 85<sup>th</sup> percentile of risk, corresponding to a RCRI score of 2.

Outcome	Model	AUC	F1 Score	Odds Ratio for Event
Mortality	PreOpNet (CSMC)	0.83 (0.78 - 0.88)	0.16 (0.11 - 0.22)	8.77 (4.82 - 14.98)
	PreOpNet (CUMC)	0.79 (0.73 - 0.84)	0.08 (0.08 - 0.09)	6.15 (5.44 - 6.92)
	PreOpNet (SHC)	0.75 (0.74 - 0.76)	0.04 (0.04 - 0.04)	4.81 (4.20 - 5.43)
	RCRI	0.58 (0.51 - 0.65)	0.07 (0.04 - 0.12)	2.60 (1.15 - 4.54)
MACE	PreOpNet (CSMC)	0.79 (0.75 - 0.83)	0.25 (0.19 - 0.31)	7.34 (4.93 - 10.56)
	RCRI	0.57 (0.52 - 0.62)	0.12 (0.08 - 0.16)	2.17 (1.27 - 3.31)

Supplemental Table 1. Baseline Characteristics and Outcomes of the Study Participants.

	Total	Training	Validation	Test
Number of Patients	52,606	42,129	5,224	5,253
Number of Procedures	78,021	62,370	7,834	7,817
Number of ECGs	149,348	119,179	15,052	15,117
Demographics				
Age, years (SD)	64.6 ( 16.0 )	64.6 ( 16.0 )	64.9 ( 16.0 )	64.5 ( 16.0 )
Female, n (%)	34,432 ( 44.2 %)	27,649 ( 44.4 %)	3,337 ( 42.7 %)	3,446 ( 44.1 %)
Heart Failure, n (%)	15,562 ( 19.9 %)	12,512 ( 20.1 %)	1,500 ( 19.1 %)	1,550 ( 19.8 %)
Diabetes Mellitus, n (%)	13,369 ( 17.1 %)	10,767 ( 17.3 %)	1,268 ( 16.2 %)	1,334 ( 17.1 %)
Hypertension, n (%)	26,567 ( 34.1 %)	21,354 ( 34.2 %)	2,577 ( 32.9 %)	2,636 ( 33.7 %)
Coronary Artery Disease, n (%)	15,206 ( 19.5 %)	12,209 ( 19.6 %)	1,532 ( 19.6 %)	1,465 ( 18.7 %)
Stroke, n (%)	4,440 ( 5.7 %)	3,581 ( 5.7 %)	411 ( 5.2 %)	448 ( 5.7 %)
Renal Disease, n (%)	9,729 ( 12.5 %)	7,809 ( 12.5 %)	969 ( 12.4 %)	951 ( 12.2 %)
Procedure Type				
Cardiovascular, n (%)	33,419 ( 42.8 %)	26,707 ( 42.8 %)	3,377 (43.1%)	3,335 ( 42.7 %)
Intraperitoneal; intrathoracic; suprainguinal vascular, n (%)	10,069 ( 12.9 %)	8,081 (13.0%)	1,013 ( 12.9 %)	975 ( 12.5 %)
Insulin use prior to admission, n (%)	5,625 ( 7.2 %)	4,506 ( 7.2 %)	556 ( 7.1 %)	563 ( 7.2 %)
Creatinine > 2 mg/dL, n (%)	8,804 ( 11.3 %)	7,016 ( 11.2 %)	879 ( 11.2 %)	909 ( 11.6 %)
RCRI > 2, n (%)	4,369 ( 5.6 %)	3,500 ( 5.6 %)	450 ( 5.7 %)	419 ( 5.4 %)
Outcomes				
Death during admission, n (%)	1,613 ( 2.1 %)	1,256 ( 2.0 %)	178 ( 2.3 %)	179 ( 2.3 %)
Any major cardiovascular complication, n (%)	4,007 (5.1%)	3,166 (5.1%)	437 (5.6 %)	404 ( 5.2 %)
Cardiac Arrest, n (%)	1,138 ( 1.5 %)	907 ( 1.5 %)	139 ( 1.8 %)	, ,
Myocardial Infarction, n (%)	1,607 ( 2.1 %)	1,276 ( 2.0 %)	160 ( 2.0 %)	
Heart Block, n (%)	56 ( 0.1 %)	44 ( 0.1 %)	5 (0.1%)	7(0.1%)
Pulmonary Edema, n (%)	135 ( 0.2 %)	111 ( 0.2 %)	16 ( 0.2 %)	8 ( 0.1 %)

Supplemental Table 2. Baseline Characteristics at Procedure-Level by Outcome.

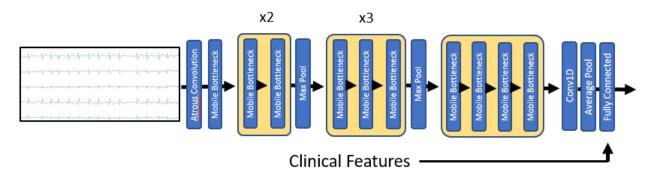
	Cases with Mortality	Cases without Mortality	Cases with MACE	Cases without MACE	Elective Procedures	<b>Emergent Procedures</b>
Number of Procedures	1,613	76,408	4,007	74,014	44,846	24,160
Number of ECGs	6,069	143,279	17,319	132,029	63,159	63,997
Demographics						
Age, years (SD)	65.5 ( 16.0 )	64.6 (16.0)	65.5 ( 15.6 )	64.6 (16.0)	64.3 (15.1)	66.6 (17.1)
Female, n (%)	593 ( 36.9 %)	33,839 ( 44.3 %)	1,399 ( 35.0 %)	33,033 ( 44.7 %)	20,279 ( 45.2 %)	10,544 ( 43.6 %)
Heart Failure, n (%)	477 ( 29.6 %)	15,085 ( 19.7 %)	1,112 ( 27.8 %)	14,450 ( 19.5 %)	8,242 ( 18.4 %)	5,071 ( 21.0 %)
Diabetes Mellitus, n (%)	290 ( 18.0 %)	13,079 ( 17.1 %)	733 ( 18.3 %)	12,636 ( 17.1 %)	7,326 ( 16.3 %)	4,878 ( 20.2 %)
Hypertension, n (%)	510 ( 31.6 %)	26,057 ( 34.1 %)	1,326 ( 33.1 %)	25,241 ( 34.1 %)	15,827 ( 35.3 %)	8,733 ( 36.1 %)
Coronary Artery Disease, n (%)	277 ( 17.2 %)	14,929 ( 19.5 %)	841 ( 21.0 %)	14,365 ( 19.4 %)	9,259 ( 20.6 %)	4,736 ( 19.6 %)
Stroke, n (%)	98 ( 6.1 %)	4,342 ( 5.7 %)	240 ( 6.0 %)	4,200 ( 5.7 %)	2,191 ( 4.9 %)	1,787 ( 7.4 %)
Renal Disease, n (%)	298 ( 18.5 %)	9,431 ( 12.3 %)	680 ( 17.0 %)	9,049 ( 12.2 %)	4,513 ( 10.1 %)	4,149 ( 17.2 %)
Procedure Type and Clincial Risk Characteristics						
Cardiovascular, n (%)	599 ( 37.1 %)	32,820 ( 43.0 %)	2,442 ( 60.9 %)	30,977 ( 41.9 %)	20,546 ( 45.8 %)	8,807 ( 36.5 %)
Intraperitoneal; intrathoracic; suprainguinal vascular, n (%)	216 ( 13.4 %)	9,853 ( 12.9 %)	385 ( 9.6 %)	9,684 ( 13.1 %)	7,026 ( 15.7 %)	1,806 ( 7.5 %)
Insulin use prior to admission, n (%)	157 ( 9.7 %)	5,468 ( 7.2 %)	301 ( 7.5 %)	5,324 ( 7.2 %)	2,922 ( 6.5 %)	1,996 ( 8.3 %)
Creatinine > 2 mg/dL, n (%)	549 ( 34.0 %)	8,255 ( 10.8 %)	1,025 ( 25.6 %)	7,779 ( 10.5 %)	2,775 ( 6.2 %)	4,374 ( 18.1 %)
RCRI > 2, n (%)	163 ( 10.1 %)	4,206 ( 5.5 %)	363 ( 9.1 %)	4,006 ( 5.4 %)	1,997 ( 4.5 %)	1,791 ( 7.4 %)
Outcomes						
Death during admission, n (%)	1,613 ( 100.0 %)	0 ( 0.0 %)	1,613 ( 40.3 %)	0 ( 0.0 %)	158 ( 0.4 %)	819 ( 3.4 %)
Any major cardiovascular complication, n (%)	1,613 ( 100.0 %)	2,394 ( 3.1 %)	4,007 ( 100.0 %)	0 ( 0.0 %)	206 ( 0.5 %)	2,694 ( 11.2 %)
Cardiac Arrest, n (%)	156 ( 9.7 %)	982 ( 1.3 %)	1,138 ( 28.4 %)	0 ( 0.0 %)	31 ( 0.1 %)	778 ( 3.2 %)
Myocardial Infarction, n (%)	106 ( 6.6 %)	1,501 ( 2.0 %)	1,607 ( 40.1 %)	0 ( 0.0 %)	21 ( 0.0 %)	1,296 ( 5.4 %)
Heart Block, n (%)	6 ( 0.4 %)	50 ( 0.1 %)	56 ( 1.4 %)	0 ( 0.0 %)	1 ( 0.0 %)	48 ( 0.2 %)
Pulmonary Edema, n (%)	7 ( 0.4 %)	128 ( 0.2 %)	135 ( 3.4 %)	0 ( 0.0 %)	2 ( 0.0 %)	118 ( 0.5 %)

## Supplemental Table 3. Performance and computational complexity of deep learning

**models.** FLOPs = Floating point operations

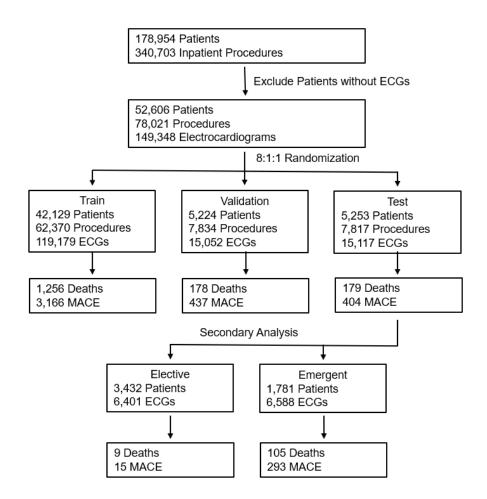
	FLOPs*
PreOpNet	57,913,936
Attia et al.	95,773,024
Kwon et al.	199,355, 858
Goto et al.	3,155,739,318

# **Supplemental Figure 1: Model Architecture**

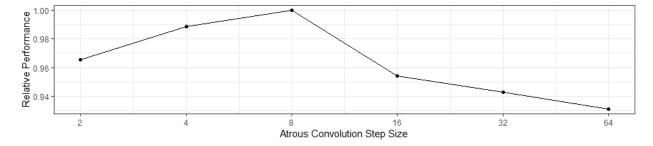


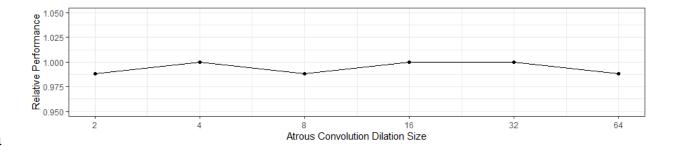
## **Supplementary Figure 2. Cohort selection procedure and flow diagram.** ECG =

electrocardiogram. MACE = Major adverse cardiovascular events.



## **Supplemental Figure 3: Hyperparameter Sweep**





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