

Mathematical and Computational Linguistics for Proofs

Structural Rules and Algebraic Properties of Intersection Types

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(joint work with Mário Florido)

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A long time ago...

Non-idempotent Intersections and Linear Logic Seminal work by Kfoury (2001), which was latter highlighted by de Carvalho (2007).

Intersection types and Simple types Bucciarelli, Piperno and Salvo (1999): Translation of intersection typing derivations into Curry typeable terms, preserving β -reduction.

Intersection types and Linear terms Damas and Florido (2004): Expansion relation between terms typable with intersection types and linear terms.

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Structural Rules and Algebraic Properties (of Intersection Types)

Substructural Rules: in type/logic systems, these correspond to weakening (**W**), exchange (**E**), and contraction (**C**) rules:

| | W | E | C | Use |
|----------|---|---|---|-----------------------|
| Normal | ✓ | ✓ | ✓ | unrestricted |
| Relevant | | ✓ | ✓ | at least once |
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Structural Rules and Algebraic Properties (of Intersection Types)

Algebraic Properties: in intersection type systems the intersection operator \cap can be:

- associative (**A**)
- commutative (**C**)
- and idempotent (**I**)

Our language

The untyped λ -calculus:

$$\begin{aligned}x \in \mathcal{V} &\Rightarrow x \in \Lambda \\M, N \in \Lambda &\Rightarrow (MN) \in \Lambda \quad (\text{Application}) \\M \in \Lambda, x \in \mathcal{V} &\Rightarrow (\lambda x M) \in \Lambda \quad (\text{Abstraction})\end{aligned}$$

The usual notion of β -reduction:

$$\beta : (\lambda x. M)N \rightarrow M[N/x]$$

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Simple Types

Simple types:

$$\begin{array}{lcl} \alpha, \beta \in \mathbb{V} & \Rightarrow & \alpha, \beta \in \mathbb{T}_C \\ \sigma, \tau \in \mathbb{T}_C & \Rightarrow & (\tau \rightarrow \sigma) \in \mathbb{T}_C \end{array}$$

A **typing environment** Γ is a finite list of pairs $x : \tau$ where all term variables x are distinct.

A **typing**:

$$\Gamma \vdash M : \sigma$$

means that M has type σ assuming the type declarations in Γ .

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means that M has type σ assuming the type declarations in Γ .

The Simple Type System (Logical Rules)

$$\frac{}{x : \tau \vdash_S x : \tau} (\text{Axiom})$$

$$\frac{\Gamma, x : \tau \vdash_S M : \sigma}{\Gamma \vdash_S \lambda x. M : \tau \rightarrow \sigma} (\rightarrow \text{Intro})$$

$$\frac{\Gamma_1 \vdash_S M : \tau \rightarrow \sigma \quad \Gamma_2 \vdash_S N : \tau}{\Gamma_1, \Gamma_2 \vdash_S MN : \sigma} (\rightarrow \text{Elim})$$

The Simple Type System (Logical Rules)

$$\frac{}{\underbrace{x : \tau}_{\text{a single assumption}} \quad \vdash_S x : \tau} (\text{Axiom})$$

there is an assumption

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list concatenation

The Simple Type System (Structural Rules)

$$\frac{\Gamma_1, \Gamma_2 \vdash_S M : \sigma}{\Gamma_1, x : \tau, \Gamma_2 \vdash_S M : \sigma} \text{ (Weakening)}$$

$$\frac{\Gamma_1, x : \tau_1, y : \tau_2, \Gamma_2 \vdash_S M : \sigma}{\Gamma_1, y : \tau_2, x : \tau_1, \Gamma_2 \vdash_S M : \sigma} \text{ (Exchange)}$$

$$\frac{\Gamma_1, x_1 : \tau, x_2 : \tau, \Gamma_2 \vdash_S M : \sigma}{\Gamma_1, x : \tau, \Gamma_2 \vdash_S M[x/x_1, x/x_2] : \sigma} \text{ (Contraction)}$$

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The Simple Type System - Weakening

For the λ -term $(\lambda xy.x)(\lambda x.x)$ the following derivation is obtained:

$$\frac{\frac{x : \alpha \rightarrow \alpha \vdash_S x : \alpha \rightarrow \alpha}{x : \alpha \rightarrow \alpha, y : \beta \vdash_S x : \alpha \rightarrow \alpha} \quad x : \alpha \rightarrow \alpha \vdash_S \lambda y. x : \beta \rightarrow \alpha \rightarrow \alpha}{\vdash_S \lambda xy. x : (\alpha \rightarrow \alpha) \rightarrow \beta \rightarrow \alpha \rightarrow \alpha} \quad \frac{x : \alpha \vdash_S x : \alpha}{\vdash_S \lambda x. x : \alpha \rightarrow \alpha}$$
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The Simple Type System - Exchange

For the λ -term $\lambda xy.yx$ the following derivation is obtained:

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The Simple Type System - Contraction

For the λ -term $\lambda f x. f(fx)$ the following derivation is obtained:

$$\frac{\frac{\frac{f_1 : \alpha \rightarrow \alpha \vdash_S f_1 : \alpha \rightarrow \alpha}{f_1 : \alpha \rightarrow \alpha, f_2 : \alpha \rightarrow \alpha, x : \alpha \vdash_S f_1(f_2x) : \alpha} \quad \frac{f_2 : \alpha \rightarrow \alpha \vdash_S f_2 : \alpha \rightarrow \alpha \quad x : \alpha \vdash_S x : \alpha}{f_2 : \alpha \rightarrow \alpha, x : \alpha \vdash_S (f_2x) : \alpha}}{f_1 : \alpha \rightarrow \alpha, f_2 : \alpha \rightarrow \alpha, x : \alpha \vdash_S f_1(f_2x) : \alpha} \quad \frac{f : \alpha \rightarrow \alpha, x : \alpha \vdash_S f(fx) : \alpha}{f : \alpha \rightarrow \alpha \vdash_S \lambda x. f(fx) : \alpha \rightarrow \alpha}}{\vdash_S \lambda f x. f(fx) : (\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha}$$

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From Simple Types to Substructural Types

Simple Types are not expressive enough to reason about restricted use of computational resources.

What happens when we remove one (or more) structural rule(s)?

Substructural Type Systems are related to **Substructural Logics**

- Linear logic: the basis of resource aware formalisms.
- Lambek ordered logic: applications to natural language processing.
- Relevant logic.

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The Relevant Type System (Structural Rules)

$$\frac{\Gamma_1, x : \tau_1, y : \tau_2, \Gamma_2 \vdash_R M : \sigma}{\Gamma_1, y : \tau_2, x : \tau_1, \Gamma_2 \vdash_R M : \sigma} \text{ (Exchange)}$$

$$\frac{\Gamma_1, x_1 : \tau, x_2 : \tau, \Gamma_2 \vdash_R M : \sigma}{\Gamma_1, x : \tau, \Gamma_2 \vdash_R M[x/x_1, x/x_2] : \sigma} \text{ (Contraction)}$$

No weakening implies that any typed term is a λ I-term (in every $\lambda x.N$ in M , x occurs free in N at least once).

For example, $\lambda y.x$ is not typable in the *Relevant Type System*, whereas $\lambda xyz.xz(yz)$ and $\lambda fx.f(fx)$ are typable.

The Relevant Type System (Structural Rules)

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The Affine Type System (Structural Rules)

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No contraction, means that each variable cannot occur more than once.

For example, $\lambda x.x$ and $\lambda x.y$ are typable in the *Affine Type System*, whereas $\lambda xyz.xz(yz)$ and $\lambda fx.f(fx)$ are not typable.

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For example, $\lambda x.x$ and $\lambda x.y$ are typable in the *Affine Type System*, whereas $\lambda xyz.xz(yz)$ and $\lambda fx.f(fx)$ are not typable.

Substructural Type Systems

| Type System | W | E | C | Use of assumptions |
|-------------|---|---|---|--------------------|
| Relevant | | ✓ | ✓ | at least once |
| Affine | ✓ | ✓ | | at most once |
| Linear | | ✓ | | exactly once |
| Ordered | | | | in order |

The Linear Type System (Structural Rules)

$$\frac{\Gamma_1, x : \tau_1, y : \tau_2, \Gamma_2 \vdash_L M : \sigma}{\Gamma_1, y : \tau_2, x : \tau_1, \Gamma_2 \vdash_L M : \sigma} \text{ (Exchange)}$$

No weakening and no contraction means that:

- for each subterm $\lambda x.N$ of M , x occurs free in N exactly once;
- each free variable of M has just one occurrence free in M .

For example $\lambda x.x$ and $\lambda xy.xy$ are typable in the *Linear Type System*, whereas $\lambda x.y$ and $\lambda fx.f(fx)$ are not.

The *Linear Type System* enjoys both **Subject Reduction** and **Subject Expansion**.

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The Ordered Type System (Logical Rules)

$$\frac{}{x : \tau \vdash x : \tau} \text{(Axiom)}$$

$$\frac{x : \tau_1, \Gamma \vdash_O M : \tau_2}{\Gamma \vdash_O \lambda x. M : \tau_1 \rightarrow_I \tau_2} (\rightarrow_I \text{Intro})$$

$$\frac{\Gamma, x : \tau_1 \vdash_O M : \tau_2}{\Gamma \vdash_O \lambda x. M : \tau_1 \rightarrow_r \tau_2} (\rightarrow_r \text{Intro})$$

$$\frac{\Gamma_2 \vdash_O N : \tau \quad \Gamma_1 \vdash_O M : \tau \rightarrow_I \sigma}{\Gamma_2, \Gamma_1 \vdash_O MN : \sigma} (\rightarrow_I \text{Elim})$$

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The Ordered Type System- Properties

No contraction (it is a linear system) and no weakening (it is a relevant system)

Plus, no exchange: the order of the assumptions matter!

Is $(\lambda x.xz_2)z_1$ typable in the *Ordered Type System*? Yes!

In fact, we have two (valid) typings:

$$\begin{aligned} z_1 : \alpha \rightarrow_r \beta, z_2 : \alpha \vdash_O (\lambda x.xz_2)z_1 : \beta \\ z_2 : \alpha, z_1 : \alpha \rightarrow_I \beta \vdash_O (\lambda x.xz_2)z_1 : \beta \end{aligned}$$

But the following typings are not valid:

$$\begin{aligned} z_2 : \alpha, z_1 : \alpha \rightarrow_r \beta \vdash_O (\lambda x.xz_2)z_1 : \beta \\ z_1 : \alpha \rightarrow_I \beta, z_2 : \alpha \vdash_O (\lambda x.xz_2)z_1 : \beta \end{aligned}$$

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**Now let's slightly detour and talk
about Intersection Types**

Intersection Types System (ITS)

Intersection types [Barendregt, Coppo and Dezani, 1983] give us a characterization of the strongly normalizable λ -terms:

$$\Gamma \vdash_{\cap} M : \sigma \iff M \text{ is strongly normalizable}$$

A term is **strongly normalizing** if every reduction sequence ends with an irreducible term (a normal form).

Note that, in the Simple Type System:

$$\Gamma \vdash M : \sigma \Rightarrow M \text{ is strongly normalizing}$$

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Intersection Types

$$\frac{}{x : \tau \vdash x : \tau} (\text{Axiom})$$

$$\frac{\Gamma \cup \{x : \tau_1 \cap \dots \cap \tau_n\} \vdash M : \sigma}{\Gamma \vdash \lambda x. M : \tau_1 \cap \dots \cap \tau_n \rightarrow \sigma} (\rightarrow \text{Intro}_I)$$

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Intersection Types-Example

The λ -term $(\lambda x. xx)$ is typable in the Intersection Type System:

$$\frac{\begin{array}{c} x : \alpha \rightarrow \beta \vdash x : \alpha \rightarrow \beta \\[1ex] x : \alpha \vdash x : \alpha \end{array}}{\frac{x : (\alpha \rightarrow \beta) \cap \alpha \vdash xx : \beta}{\vdash (\lambda x. xx) : ((\alpha \rightarrow \beta) \cap \alpha) \rightarrow \beta}}$$

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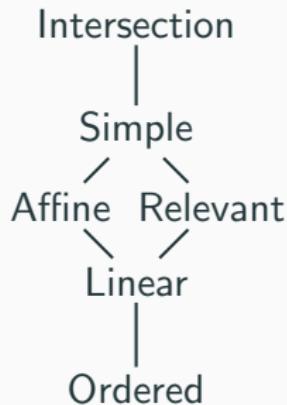
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Intersection Types and Substructural Type Systems



Algebraic properties of Intersection and Substructural Systems

Expansion based on Intersection types

Given the ITS typing:

$$\vdash_{\cap} (\lambda x. xx)(\lambda y. y) : \alpha \rightarrow \alpha$$

Consider the non-linear term:

$$\vdash_{\cap} \lambda x. xx : \underbrace{(\alpha \rightarrow \alpha)}_{1st \text{ occ. of } x} \rightarrow \underbrace{(\alpha \rightarrow \alpha)}_{2nd \text{ occ. of } x} \cap \underbrace{(\alpha \rightarrow \alpha)}_{\text{ }} \rightarrow \alpha \rightarrow \alpha$$

We expand this into:

$$\vdash_L \lambda x_1 x_2. \underbrace{x_1}_{x_1} \underbrace{x_2}_{x_2} : \underbrace{((\alpha \rightarrow \alpha) \rightarrow (\alpha \rightarrow \alpha))}_{\text{ }} \rightarrow \underbrace{(\alpha \rightarrow \alpha)}_{\text{ }} \rightarrow \alpha \rightarrow \alpha$$

Obtaining the following typing in the *Linear System*:

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ACI-Expansion

ACI - **A**ssociative, **C**ommutative and **I**dempotent ($\tau \cap \tau = \tau$)

$$\mathcal{E}_I(x : \tau) \triangleleft (y, \{x : \{y : \tau\}\}) \\ \text{if } x \neq y$$

$$\mathcal{E}_I(\lambda x. M : \tau_1 \cap \dots \cap \tau_n \rightarrow \sigma) \triangleleft (\lambda x_1 \dots x_n. M^*, A) \\ \text{if } x \text{ occurs in } M \text{ and} \\ \mathcal{E}_I(M : \sigma) \triangleleft (M^*, A \cup \{x : \{x_1 : \tau_1, \dots, x_n : \tau_n\}\})$$

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$$\mathcal{E}_I(MN : \sigma) \triangleleft (M_0 N_1 \dots N_k, A_0 \uplus A_1 \uplus \dots \uplus A_n) \\ \text{if for some } k > 0 \text{ and } \tau_1, \dots, \tau_k, \\ \mathcal{E}_I(M : \tau_1 \cap \dots \cap \tau_k \rightarrow \sigma) \triangleleft (M_0, A_0) \text{ and} \\ \mathcal{E}_I(N : \tau_i) \triangleleft (N_i, A_i), (1 \leq i \leq k)$$

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$$\mathcal{E}_I(MN : \sigma) \triangleleft (M_0 N_1 \dots N_k, A_0 \uplus A_1 \uplus \dots \uplus A_n) \\ \text{if for some } k > 0 \text{ and } \tau_1, \dots, \tau_k, \\ \mathcal{E}_I(M : \tau_1 \cap \dots \cap \tau_k \rightarrow \sigma) \triangleleft (M_0, A_0) \text{ and} \\ \mathcal{E}_I(N : \tau_i) \triangleleft (N_i, A_i), (1 \leq i \leq k)$$

ACI-Expansion - Example

Let us show step by step how to calculate an expansion of $(\lambda x.xx)(\lambda y.y) : \alpha \rightarrow \alpha$

$$\mathcal{E}_I(x : (\alpha \rightarrow \alpha) \rightarrow (\alpha \rightarrow \alpha)) \triangleleft (x_1, \{x : \{x_1 : (\alpha \rightarrow \alpha) \rightarrow (\alpha \rightarrow \alpha)\}\})$$

and

$$\mathcal{E}_I(x : \alpha \rightarrow \alpha) \triangleleft (x_2, \{x : \{x_2 : \alpha \rightarrow \alpha\}\})$$

thus

$$\mathcal{E}_I(xx : \alpha \rightarrow \alpha) \triangleleft (x_1x_2, \{x : \{x_1 : (\alpha \rightarrow \alpha) \rightarrow (\alpha \rightarrow \alpha), x_2 : \alpha \rightarrow \alpha\}\})$$

and

$$\mathcal{E}_I(\lambda x.xx : (((\alpha \rightarrow \alpha) \rightarrow (\alpha \rightarrow \alpha)) \cap (\alpha \rightarrow \alpha)) \rightarrow \alpha \rightarrow \alpha) \triangleleft (\lambda x_1x_2.x_1x_2, \emptyset)$$

It easy to show that

$$\mathcal{E}_I(\lambda y.y : \alpha \rightarrow \alpha) \triangleleft (\lambda z.z, \emptyset)$$

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Let us now look at one expansion of $\lambda fx.f(fx)$:

$$\mathcal{E}_I(f : \alpha \rightarrow \alpha) \triangleleft (f_1, \{f : \{f_1 : \alpha \rightarrow \alpha\}\})$$

and,

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thus,

$$\mathcal{E}_I((fx) : \alpha) \triangleleft (f_1x_1, \{f : \{f_1 : \alpha \rightarrow \alpha\}, x : \{x_1 : \alpha\}\})$$

we also have,

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ACI-Expansion - Properties

We consider the following translation \mathcal{T} from intersection types to simple types:

- $\mathcal{T}(\alpha) = \alpha$, if α is a type variable;
- $\mathcal{T}((\tau_1 \cap \cdots \cap \tau_n) \rightarrow \sigma) = \mathcal{T}(\tau_1) \rightarrow \cdots \rightarrow \mathcal{T}(\tau_n) \rightarrow \mathcal{T}(\sigma)$.

We have the following properties regarding ACI expansion:

$$\mathcal{E}_I(M : \sigma) \triangleleft (N, A)$$

- $\Gamma \vdash_{\cap} M : \sigma \Rightarrow \mathcal{T}(\Gamma) \vdash_s N : \mathcal{T}(\sigma)$.
- If M is a λI -term , then $\Gamma \vdash_{\cap} M : \sigma \Rightarrow \mathcal{T}(\Gamma) \vdash_R N : \mathcal{T}(\sigma)$.

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AC-Expansion

AC - **A**sociative, **C**ommutative but not **I**dempotent ($\tau \cap \tau \neq \tau$)

$$\underbrace{\mathcal{E}_I(x : \tau)}_{\text{ACI}} \triangleleft (y, \{x : \{y : \tau\}\}), \quad \text{if } x \neq y$$

$$\underbrace{\mathcal{E}_C(x : \tau)}_{\text{AC}} \triangleleft (y, \{x : \{y : \tau\}\}), \quad \text{if } y \text{ is a fresh variable}$$

For example:

$$\begin{aligned} & \mathcal{E}_C(\lambda x. x(xx) : ((\alpha \rightarrow \alpha) \cap (\alpha \rightarrow \alpha) \cap \alpha) \rightarrow \alpha) \\ & \triangleleft (\lambda x_1 x_2 x_3. x_1(x_2 x_3), \{x : \{x_1 : \alpha \rightarrow \alpha, x_2 : \alpha \rightarrow \alpha, x_3 : \alpha\}\}) \end{aligned}$$

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AC-Expansion - Properties

In AC expansion the number of types in the intersection is the same as the free occurrences of the parameter in the function body.

We have the following properties regarding AC expansion:

$$\mathcal{E}_C(M : \sigma) \lhd (N, C)$$

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Ordered A-Expansion

A - **A**s sociative, but not Commutative ($\tau \cap \sigma \neq \sigma \cap \tau$) nor Idempotent ($\tau \cap \tau \neq \tau$)

$$\mathcal{E}_O(\lambda x.M : \sigma_1 \cap \dots \cap \sigma_n \rightarrow \sigma) \triangleleft (\lambda y_1 \dots y_n.M_0^{\mathcal{T}(\sigma_1) \rightarrow_r \dots \rightarrow_r \mathcal{T}(\sigma_n) \rightarrow_r \mathcal{T}(\sigma)}, A),$$

if $x \in \text{fv}(M)$ and

$$\mathcal{E}_O(M : \sigma) \triangleleft (M_0^{\mathcal{T}(\sigma)}, A + [x : [x_1 : \mathcal{T}(\sigma_1), \dots, x_n : \mathcal{T}(\sigma_n)]]])$$

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$$\mathcal{E}_O(MN : \sigma) \triangleleft ((M_0 N_1 \dots N_m)^{\mathcal{T}(\sigma)}, A_0 + A_1 + \dots + A_m)$$

if for some $m > 0$ and $\sigma_1, \dots, \sigma_m$

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and $(\mathcal{E}_O(N : \sigma_i) \triangleleft (N_i^{\mathcal{T}(\sigma_i)}, A_i))_{i=1\dots m}$

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$$\mathcal{E}_O(MN : \sigma) \triangleleft ((M_0 N_1 \dots N_m)^{\mathcal{T}(\sigma)}, \textcolor{red}{A_0} + \textcolor{red}{A_1} + \dots + \textcolor{red}{A_m})$$

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Ordered A-Expansion

A - **A**sociative, but not Commutative ($\tau \cap \sigma \neq \sigma \cap \tau$) nor Idempotent ($\tau \cap \tau \neq \tau$)

$$\mathcal{E}_O(\lambda x.M : \sigma_1 \cap \dots \cap \sigma_n \rightarrow \sigma) \triangleleft (\lambda y_1 \dots y_n.M_0^{\mathcal{T}(\sigma_1) \rightarrow_r \dots \rightarrow_r \mathcal{T}(\sigma_n) \rightarrow_r \mathcal{T}(\sigma)}, A),$$

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Ordered Expansion - Example

Let $M \equiv (\lambda x.xz)z$. The ordered expansion of M is calculated step by step as:

$$\mathcal{E}_O(\textcolor{red}{x} : \alpha \rightarrow \beta) = (\textcolor{teal}{x_1}^{\alpha \rightarrow_r \beta}, [x : [x_1 : \alpha \rightarrow_r \beta]])$$

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$$\mathcal{E}_{\mathcal{O}}(\textcolor{blue}{M} : \sigma) \lhd (\textcolor{teal}{N}^{\mathcal{T}(\sigma)}, A)$$

If M is a λI -term, then $\Gamma \vdash_{\cap} \textcolor{blue}{M} : \sigma \Rightarrow \mathcal{T}(\Gamma) \vdash_{\mathcal{O}} \textcolor{teal}{N} : \mathcal{T}(\sigma)$.

But now \mathcal{T} goes from intersection types to ordered types:

- $\mathcal{T}(\alpha) = \alpha$, if α is a type variable;
- $\mathcal{T}((\tau_1 \cap \cdots \cap \tau_n) \rightarrow \sigma) = \mathcal{T}(\tau_1) \rightarrow_r \cdots \rightarrow_r \mathcal{T}(\tau_n) \rightarrow_r \mathcal{T}(\sigma)$.

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What about reduction?

Consider *weak head reduction* \xrightarrow{w} is defined by:

$$(\lambda x.M)N \xrightarrow{w} M[N/x]$$

and

$$\frac{M \xrightarrow{w} M'}{MN \xrightarrow{w} M'N}$$

In functional programming languages, reduction is weak.

Expansion (ACI, AC and A) preserves weak head reduction, thus the following diagram commutes:

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Expansion commutes with β -reduction in the λI -calculus,

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To summarize...

How does reduction relates to the different notions of expansion:

| \cap | Source | Target | Preserves reductions |
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| ACI | λI | Simple Types | Weak Head Reduction |
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To summarize...

How the different structural rules relate to the different expansion relations:

| Type System | W | E | C | Assumptions | Intersection |
|-------------|---|---|---|---------------|--------------|
| Relevant | | ✓ | ✓ | at least once | ACI |
| Affine | ✓ | ✓ | | at most once | AC |
| Linear | | ✓ | | exactly once | AC |
| Ordered | | | | in order | A |

Current and Future Work

What are we currently looking at...

Remember the two (valid) typings:

$$z_1 : \alpha \rightarrow_r \beta, z_2 : \alpha \vdash_O (\lambda x. x z_2) z_1 : \beta$$

$$z_2 : \alpha, z_1 : \alpha \rightarrow_l \beta \vdash_O (\lambda x. x z_2) z_1 : \beta$$

We would like to be able to have a notion of principal-pair for the ordered type system and a type-inference algorithm.

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- Study in more detail the relation between the linear and the ordered system;
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Thank you!