

From Formal Natural Deduction Proofs to \LaTeX Proof Trees

International Conference on
Mathematical and Computational Linguistics for Proofs

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Université Paris–Saclay, September 17, 2025.

Overview

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2. Natural Deduction & Proof Trees
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4. Proof Assistant Theodore
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Introduction

Proof Assistant: Theodore

- Proof assistant based on first-order logic (FOL) with natural deduction (ND) rules.
- Developed in the functional programming language Haskell.
- Executed in the interactive environment GHCi.
- A way to generate \LaTeX proof trees in a bussproofs-style.
- Online at: <https://github.com/andrija-urosevic/theodore>

Introduction

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Example: Distributivity of Universal Quantifier over Conjunction

$$\forall x.(A(x) \wedge B(x)) \Rightarrow (\forall x.A(x) \wedge \forall x.B(x))$$

Natural Deduction & Proof Trees

System of reasoning based on inference rules of the form:

$$\frac{\mathcal{H}_1 \quad \mathcal{H}_2 \quad \dots \quad \mathcal{H}_n}{\mathcal{C}}$$

- We call $\mathcal{H}_1, \mathcal{H}_2, \dots, \mathcal{H}_n$ hypothesis or premises, and \mathcal{C} conclusion.
- Hypothesis and conclusion are of form $\Gamma \vdash A$, meaning that Γ is a context (list of formulas) from which the formula A can be derived or proven.

There are two distinct types of inference rules:

- introduction rules;
- elimination rules.

Natural Deduction & Proof Trees

The **proof** or **derivation** is a sequence of steps (inference rules). Because of its branching structure we call it **proof tree**, that is consisted of:

Leaves Empty nodes followed by **assumption rule**.

Inter nodes **Formulas** obtained be applying inference rules.

Root The **conclusion** derived from the assumptions.

Simple Example: Derivation of conclusion R from context $\Gamma := P, P \Rightarrow Q, Q \Rightarrow R$

$$\frac{\frac{\Gamma \vdash P \quad \frac{\Gamma \vdash P \Rightarrow Q}{\Gamma \vdash Q}}{\Gamma \vdash Q \Rightarrow R}}{\Gamma \vdash R}$$

Natural Deduction & Proof Trees

The **proof** or **derivation** is a sequence of steps (inference rules). Because of its branching structure we call it **proof tree**, that is consisted of:

Leaves At the top of the trees are **assumptions**.

Inter nodes **Formulas** obtained by applying inference rules.

Root The **conclusion** derived from the assumptions.

Simple Example (Alternative representation)

$$\frac{\begin{array}{c} [P] \qquad [P \Rightarrow Q] \\ \hline Q \end{array}}{\frac{[Q \Rightarrow R]}{R}}$$

ND Infrence Rules for Intuicionistic FOL

Implication

$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \Rightarrow B} \Rightarrow_I$$

$$\frac{\Gamma \vdash A \quad \Gamma \vdash A \Rightarrow B}{\Gamma \vdash B} \Rightarrow_E$$

Equivalence

$$\frac{\Gamma \vdash A \Rightarrow B \quad \Gamma \vdash B \Rightarrow A}{\Gamma \vdash A \Leftrightarrow B} \Leftrightarrow_I$$

$$\frac{\Gamma \vdash A \Leftrightarrow B}{\Gamma \vdash A \Rightarrow B} \Leftrightarrow_{E_1}$$

$$\frac{\Gamma \vdash A \Leftrightarrow B}{\Gamma \vdash B \Rightarrow A} \Leftrightarrow_{E_2}$$

Exact

$$\frac{}{\Gamma, A \vdash A} \text{asm}$$

ND Infrence Rules for Intuicionistic FOL

Negation

$$\frac{\Gamma, A \vdash \perp}{\Gamma \vdash \neg A} \neg_I$$

$$\frac{\Gamma \vdash A \quad \Gamma \vdash \neg A}{\Gamma \vdash \perp} \neg_E$$

Conjunction

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \wedge B} \wedge_I$$

$$\frac{\Gamma \vdash A \wedge B}{\Gamma \vdash A} \wedge_{E_1}$$

$$\frac{\Gamma \vdash A \wedge B}{\Gamma \vdash B} \wedge_{E_2}$$

Disjunction

$$\frac{\Gamma \vdash A}{\Gamma \vdash A \vee B} \vee_I_1$$

$$\frac{\Gamma \vdash B}{\Gamma \vdash A \vee B} \vee_I_2$$

$$\frac{\Gamma \vdash A \vee B \quad \Gamma, A \vdash C \quad \Gamma, B \vdash C}{\Gamma \vdash C} \wedge_E$$

ND Infrence Rules for Intuicionistic FOL

Logical Constants

$$\frac{}{\Gamma \vdash \top} \top_I$$

$$\frac{\Gamma \vdash \perp}{\Gamma \vdash A} \perp_E$$

Universal Quantifier

$$\frac{\Gamma \vdash A[y/x]}{\Gamma \vdash \forall x.A} \forall_I$$

$$\frac{\Gamma \vdash \forall x.A}{\Gamma \vdash A[y/x]} \forall_E$$

Existential Quantifier

$$\frac{\Gamma \vdash A[t/x]}{\Gamma \vdash \exists x.A} \exists_I$$

$$\frac{\Gamma \vdash \exists x.A \quad \Gamma, A[t/x] \vdash B}{\Gamma \vdash B} \exists_E$$

Example L^AT_EX Proof Trees

Distributivity of Universal Quantifier over Conjunction

$$\frac{\forall x.(A(x) \wedge B(x)) \vdash \forall x.(A(x) \wedge B(x))}{\forall x.(A(x) \wedge B(x)) \vdash A(a) \wedge B(a)} \text{ ams } \forall_E (a)$$

$$\frac{\forall x.(A(x) \wedge B(x)) \vdash A(a)}{\forall x.(A(x) \wedge B(x)) \vdash A(a)} \wedge_{E_1}$$

$$\frac{\forall x.(A(x) \wedge B(x)) \vdash A(a)}{\forall x.(A(x) \wedge B(x)) \vdash \forall x.A(x)} \forall_I (a)$$

$$\frac{\forall x.(A(x) \wedge B(x)) \vdash \forall x.(A(x) \wedge B(x))}{\forall x.(A(x) \wedge B(x)) \vdash A(a) \wedge B(a)} \text{ ams } \forall_E (a)$$

$$\frac{\forall x.(A(x) \wedge B(x)) \vdash A(a) \wedge B(a)}{\forall x.(A(x) \wedge B(x)) \vdash B(a)} \wedge_{E_2}$$

$$\frac{\forall x.(A(x) \wedge B(x)) \vdash B(a)}{\forall x.(A(x) \wedge B(x)) \vdash \forall x B(x)} \forall_I (a) \wedge_I$$

$$\frac{\forall x.(A(x) \wedge B(x)) \vdash \forall x.A(x) \wedge \forall x.B(x)}{\vdash \forall x.(A(x) \wedge B(x)) \Rightarrow (\forall x.A(x) \wedge \forall x.B(x))} \Rightarrow_I$$

Motivation

Goal

- Enhance process of **constructing** and **verifying** formal ND proofs.
- Enhance process of **reading** formal ND proofs.

Current proof assistants

- Do **enhance** process of constructing and verifying formal ND proofs.
- But **fail** to enhance process of reading formal ND proofs.

Let's examine some of the proof assistants through the lens of ND proofs.

Motivation

```
lemma all_conj_distrib:  
  " $(\forall x. P x \wedge Q x) \longrightarrow (\forall x. P x) \wedge (\forall x. Q x)$ "  
apply (rule impI)  
apply (rule conjI)  
apply (rule allI)  
apply (erule_tac x=x in allE)  
apply (erule conjE)  
apply assumption  
apply (rule allI)  
apply (erule_tac x=x in allE)  
apply (erule conjE)  
apply assumption  
done
```

Isabelle's apply-scripts

- gives lists of rules
- lacks structure

Motivation

```
lemma all_conj_distrib:  
  " $(\forall x. P x \wedge Q x) \longrightarrow (\forall x. P x) \wedge (\forall x. Q x)$ "  
proof (rule impI)  
  assume h: " $\forall x. P x \wedge Q x$ "  
  show " $(\forall x. P x) \wedge (\forall x. Q x)$ "  
  proof (rule conjI)  
    show " $\forall x. P x$ "  
    proof (rule allI)  
      fix x  
      from h show "P x"  
      proof (erule_tac x="x" in allE)  
        assume "P x \wedge Q x"  
        then show "P x"  
        proof (rule conjE)  
          ...  
        qed  
      qed  
    qed  
  qed  
qed
```

Isar Proofs

- gives structure
- a lot of boilerplate code

Motivation

```
lemma all_conj_distrib:  
  "( $\forall x. P x \wedge Q x$ ) \longrightarrow ( $\forall x. P x$ ) \wedge ( $\forall x. Q x$ )"  
by auto
```

Automated Proofs

- easily written
- super unreadable

Motivation

```
universe u
variable {α : Type u} {P Q : α → Prop}
```

```
theorem all_conj_distrib :
```

```
(∀ x, P x ∧ Q x) → ((∀ x, P x) ∧ (∀ x, Q x)) :=
```

```
by
```

```
  intro h
```

```
  constructor
```

```
  · intro x
```

```
    exact (h x).left
```

```
  · intro x
```

```
    exact (h x).right
```

Lean4 Proofs

- gives structure
- types and tactics

Motivation

```
universe u
variable {α : Type u} {P Q : α → Prop}

theorem all_conj_distrib :
  ( $\forall x, P x \wedge Q x$ ) → (( $\forall x, P x$ )  $\wedge$  ( $\forall x, Q x$ )) := 
  fun h => ⟨
    (fun x => (h x).left),
    (fun x => (h x).right)
  ⟩
```

Lean4 term-style

- gives structure
- types

Motivation

$$_ \times _ : (A : \text{Set}) \ (B : \text{Set}) \rightarrow \text{Set}$$
$$A \times B = \sum A \ \lambda \ __ \rightarrow B$$

variable

$A : \text{Set}$

$P \ Q : A \rightarrow \text{Set}$

$$\text{all-conj-distrib} : ((\forall x \rightarrow P x) \times (\forall x \rightarrow Q x))$$
$$\rightarrow (\forall x \rightarrow P x \times Q x)$$
$$\text{all-conj-distrib } (hP, hQ) \ x = hP \ x, hQ \ x$$

Agda's pattern-matching

- split between elimination and introduction
- Σ -types and Π -types

Proof Assistant Theodore

Designed for backward chaining or top-down approach (goal oriented).

Two modules:

- `FOL` — used for stating and manipulating FOL formulas;
- `Theodore` — used for stating, proving, and exporting proofs as \LaTeX proof trees.

Proof Assistant Theodore

FOL Term

- A term can be:
 - variable (Ex. x, y, z, \dots);
 - constant (Ex. a, b, c, \dots);
 - functional symbols applied to terms (Ex. $f(t_1, t_2, \dots, t_n), g(l_1, l_2, \dots, l_m), \dots$).

```
data Term = Var String  
          | Fun String [Term]
```

Functional symbols without arguments is a constant.

- `mkConstTerm :: String -> Term`
`mkConstTerm c = Fun c []`

FOL Formula

- A FOL formula can be:
 - logical constant (\top, \perp);
 - logical variable (Ex. p, q, r, \dots);
 - relational symbols (Ex. $P(f(a), b)$);
 - negation of formula (Ex. $\neg A$);
 - conjunction of formulas (Ex. $A \wedge B$);
 - disjunction of formulas (Ex. $A \vee B$);
 - implication of formulas (Ex. $A \Rightarrow B$);
 - equivalence of formulas (Ex. $A \Leftrightarrow B$);
 - universally quantified formula (Ex. $\forall x.A(x)$);
 - existentially quantified formula (Ex. $\exists x.A(x)$);

Proof Assistant Theodore

FOL Formula

```
data Formula = Top
  | Bot
  | Rel String [Term]
  | Neg Formula
  | Conj Formula Formula
  | Disj Formula Formula
  | Impl Formula Formula
  | Eqiv Formula Formula
  | Alls String Formula
  | Exis String Formula
```

Relational symbol without arguments is a logical variable.

- `mkVar :: String -> Formula`
`mkVar p = Rel p []`

Proof Assistant Theodore

Distributivity of Universal Quantifier over Conjunction

```
let f = Impl
  (Alls "x"
    (Conj
      (Rel "A" [Var "x"])
      (Rel "B" [Var "x"]))
    )
  )
  (Conj
    (Alls "x" (Rel "A" [Var "x"]))
    (Alls "x" (Rel "B" [Var "x"])))
  )
> f

$$\forall x.(A(x) \wedge B(x)) \rightarrow (\forall x.A(x) \wedge \forall x.B(x))$$

```

Proof Assistant Theodore

Theodore's Meta Variables & Context

- We define \mathcal{U} meta variables as a list of strings.

```
type MetaVars = [String]
```

- Also, we define assumption or premise as a named FOL formula.

```
data Assumption = Assumption { name      :: String  
                               , formula   :: Formula }
```

- That let us define context or hypothesis Γ as a list of assumptions.

```
type Assumptions = [Assumption]
```

Proof Assistant Theodore

Theodore's Conjecture/Subgoal & Goal

- We can define **conjecture** or **subgoal** as $\mathcal{U}, \Gamma \vdash C$, where C is FOL formula.

```
data Subgoal = Subgoal { mvars :: MetaVars  
                        , assms :: Assumptions  
                        , cncls :: Formula }
```

- Finally, it is natural to define the **goal** as a list of subgoals.

```
type Goal = [Subgoal]
```

Goal with one subgoal and without meta variables

- `mkGoal :: Assumptions -> Formula -> Goal`
`mkGoal assms cncls = [Subgoal [] assms cncls]`

Proof Assistant Theodore

Distributivity of Universal Quantifier over Conjunction

```
let lemmaAllConjDistrib = mkGoal [] f

> lemmaAllConjDistrib
Goal (1 subgoals):

1. subgoal
  ⊢ ∀x.(A(x) ∧ B(x)) → (∀x.A(x) ∧ ∀x.B(x))
```

Proof Assistant Theodore

Proof Structure

```
data Proof = ToDo
    | Exact { assmName :: String }
    | ImplI { assmName :: String
              , proof    :: Proof }
    | ConjI { proofA   :: Proof
              , proofB   :: Proof }
    | DisjI1 { proof    :: Proof }
    | DisjI2 { proof    :: Proof }
    | EqivI { assmName :: String
              , proofA   :: Proof
              , proofB   :: Proof }
    | NegI { assmName :: String
              , proof    :: Proof }
    | AllsI { mvar     :: String
              , proof    :: Proof }
    | ExisI { mvar     :: String
              , proof    :: Proof }
    ...
    | ImplE { assmName :: String
              , proofA   :: Proof
              , proofB   :: Proof }
    | ConjE { assmName :: String
              , proof    :: Proof }
    | DisjE { assmName :: String
              , proofA   :: Proof
              , proofB   :: Proof }
    | EqivE { assmName :: String
              , proof    :: Proof }
    | NegE { assmName :: String
              , proof    :: Proof }
    | AllsE { mvar     :: String
              , assmName :: String
              , proof    :: Proof }
    | ExisE { mvar     :: String
              , assmName :: String
              , proof    :: Proof }
```

Proof Assistant Theodore

Applying The Proof

- To check proof we use the following function:
`apply :: Proof -> Goal -> Goal`
- Function `apply` traverses the proof tree, and at each node it applies inference rule that transforms current goal.
- That allows us to incrementally update our proof tree, based on the current goal.
- Applying invalid proof to the goal will result in an error.
- Valid proof will result in an empty goal.

Demo

Demo

Step 0

```
let proofAllConjDistrib = ToDo
> apply proofAllConjDistrib lemmaAllConjDistrib
Goal (1 subgoals):
  1. subgoal
  ⊢ ∀x.(P(x) ∧ Q(x)) → (∀x.P(x) ∧ ∀x.Q(x))
```

Demo

Step 1

```
let proofAllConjDistrib = ImplI "h" ToDo
> apply proofAllConjDistrib lemmaAllConjDistrib
Goal (1 subgoals):
  1. subgoal
  •  $\forall x. (P(x) \wedge Q(x)) \quad (h)$ 
 $\vdash \forall x. P(x) \wedge \forall x. Q(x)$ 
```

Demo

Step 2

```
let proofAllConjDistrib = ImplI "h" (ConjI ToDo ToDo)
```

```
> apply proofAllConjDistrib lemmaAllConjDistrib
```

Goal (2 subgoals):

1. subgoal

- $\forall x. (P(x) \wedge Q(x)) \quad (h)$
- $\vdash \forall x. P(x)$

2. subgoal

- $\forall x. (P(x) \wedge Q(x)) \quad (h)$
- $\vdash \forall x. Q(x)$

Demo

Step 3

```
let proofAllConjDistrib =
  ImplI "h"
    (ConjI
      (AllsI "a" ToDo)
      ToDo
    )
```

Demo

Step 3

```
> apply proofAllConjDistrib lemmaAllConjDistrib
```

Goal (2 subgoals):

1. subgoal

- a

• $\forall x. (P(x) \wedge Q(x)) \ (h)$

$\vdash P(a)$

2. subgoal

• $\forall x. (P(x) \wedge Q(x)) \ (h)$

$\vdash \forall x. Q(x)$

Demo

Step 4

```
let proofAllConjDistrib =
  ImplI "h"
    (ConjI
      (AllsI "a"
        (AllsE "a" "h" ToDo)
      )
      ToDo
    )
```

Demo

Step 4

```
> apply proofAllConjDistrib lemmaAllConjDistrib
```

```
Goal (2 subgoals):
```

1. subgoal

- a
- $P(a) \wedge Q(a)$ (h)
 $\vdash P(a)$

2. subgoal

- $\forall x. (P(x) \wedge Q(x))$ (h)
 $\vdash \forall x. Q(x)$

Demo

Step 5

```
let proofAllConjDistrib =
  ImplI "h"
  (ConjI
    (AllsI "a"
      (AllsE "a" "h"
        (ConjE "a" ToDo)
      )
    )
    ToDo
  )
```

Demo

Step 5

```
> apply proofAllConjDistrib lemmaAllConjDistrib
```

Goal (2 subgoals):

1. subgoal

- x
 - $P(x) \quad (h1)$
 - $Q(x) \quad (h2)$
- $$\vdash P(x)$$

2. subgoal

- $\forall x. (P(x) \wedge Q(x)) \quad (h)$
- $$\vdash \forall x. Q(x)$$

Demo

Step 6

```
let proofAllConjDistrib =
  ImplI "h"
    (ConjI
      (AllsI "a"
        (AllsE "a" "h"
          (ConjE "h"
            Exact "h1"
          )
        )
      )
    )
  ToDo
)
```

Demo

Step 6

```
> apply proofAllConjDistrib lemmaAllConjDistrib
```

```
Goal (1 subgoals):
```

```
1. subgoal
```

```
•  $\forall x. (P(x) \wedge Q(x)) \quad (h)$ 
```

```
 $\vdash \forall x. Q(x)$ 
```

Demo

Steps 7-10

```
let proofAllConjDistrib =
  ImplI "h"
    (ConjI
      (AllsI "a"
        (AllsE "a" "h"
          (ConjE "h"
            Exact "h1"
            )
          )
        )
      )
    (AllsI "a"
      (AllsE "a" "h"
        (ConjE "h"
          Exact "h2"
          )
        )
      )
    )
  )
```

Demo

Steps 7-10

```
> apply proofAllConjDistrib lemmaAllConjDistrib  
Nothing to prove!
```

Demo

Generating L^AT_EX Proof Tree

```
> genLatexTree proofAllConjDistrib lemmaAllConjDistrib
\begin{prooftree}
\AxiomC{}
\RightLabel{$\mathsf{asm}$}
\UnaryInfC{$a; A(a), B(a) \vdash B(a)$}
\RightLabel{$\land_E$}
\UnaryInfC{$a; A(a) \land B(a) \vdash B(a)$}
\RightLabel{$\forall_E(a)$}
\UnaryInfC{$a; \forall x. (A(x) \land B(x)) \vdash A(a)$}
\RightLabel{$\forall_I(a)$}
\UnaryInfC{$\forall x. (A(x) \land B(x)) \vdash \forall x. B(x)$}
\AxiomC{}
\RightLabel{$\mathsf{asm}$}
\UnaryInfC{$a; A(a), B(a) \vdash A(a)$}
\RightLabel{$\land_E$}
\UnaryInfC{$a; A(a) \land B(a) \vdash A(a)$}
\RightLabel{$\forall_E(a)$}
\UnaryInfC{$a; \forall x. (A(x) \land B(x)) \vdash A(a)$}
\RightLabel{$\forall_I(a)$}
\UnaryInfC{$\forall x. (A(x) \land B(x)) \vdash \forall x. A(x)$}
\RightLabel{$\land_I$}
\BinaryInfC{$\forall x. (A(x) \land B(x)) \vdash \forall x. A(x) \land \forall x. B(x)$}
\RightLabel{$\implies_I$}
\UnaryInfC{$\vdash \forall x. (A(x) \land B(x)) \implies (\forall x. A(x) \land \forall x. B(x))$}
\end{prooftree}
```

Demo

Generating L^AT_EX Proof Tree

> genLatexTree proofAllConjDistrib lemmaAllConjDistrib

$$\frac{\frac{\frac{\frac{a; A(a), B(a) \vdash B(a)}{a; A(a) \wedge B(a) \vdash B(a)} \wedge_E}{a; \forall x.(A(x) \wedge B(x)) \vdash A(a)} \forall_I(a)}{\forall x.(A(x) \wedge B(x)) \vdash \forall x.B(x)} \wedge_I}{\frac{\frac{a; A(a), B(a) \vdash A(a)}{a; A(a) \wedge B(a) \vdash A(a)} \wedge_E}{a; \forall x.(A(x) \wedge B(x)) \vdash A(a)} \forall_E(a)}{\frac{\frac{a; \forall x.(A(x) \wedge B(x)) \vdash A(a)}{\forall x.(A(x) \wedge B(x)) \vdash \forall x.A(x)} \wedge_I}{\frac{\forall x.(A(x) \wedge B(x)) \vdash \forall x.A(x) \wedge \forall x.B(x)}{\vdash \forall x.(A(x) \wedge B(x)) \implies (\forall x.A(x) \wedge \forall x.B(x))}} \implies I}$$

Conclusion

Theodore provides:

- writing formal proofs in FOL using ND rules;
- exporting checked formal proofs in \LaTeX proof tree format;
- minimal software that can be formally verified.

Theodore challenges:

- only one style of \LaTeX proof tree (for now);
- not integrated with text editors (possible pluggins).

Future Work

A lot can be done:

- Editor pluggins.
- Interface for instanciating previously proved theorems as rules.
- Extending with optional classical rules.
- Connecting to the other proof assistants, and exporting their proofs to Theodore.
- ...

Related Work

- **Jape** (Just Another Proof Editor) (Richard Bornat & Bernard Sufrin, 1992)
- **Pandora** (Krysia Broda at al., 2007)
- **NaDeA** (Jørgen Villadsen at al., 2019)
- **PeaCoq** (Valentin Robert, 2015)
- **Traf** (Hideyuki Kawabata, 2018)
- **PaperProof** (Evgenia Karunus & Anton Kovsharov, 2024)

Thank you!