# epistatic benchmarking

October 30, 2024

# 1 Benchmarking unsupervised and supervised models on the 4 site combinatorial library of TrpB

1. Johnston, K. E. et al. A combinatorially complete epistatic fitness landscape in an enzyme active site. Proceedings of the National Academy of Sciences 121, e2400439121 (2024).

The work in this notebook will look fundamentally similar to a subset of the work done by Hsu et al., but in a single, readable, notebook. Additionally, we consider more than just OHE for AA embeddings.

We will probe slightly different factors than the original work as an "update" for those conclusions, on a epistatic benchmark. The goal is to do so in a way that highlights the integrated nature of AIDE and showcase how many different model types/methods can be accessed in single, readable, notebook with the API in place.

Differences in this work: - Compare zero shot methods not in original paper to EV mutation: ESM2 and MSA transformer wild type marginal - Compare different embedding methods as oposed to just one hot encoding: ESM2 mean pooling over whole sequence, ESM2 mean pooling over only the 4 variable residues - Compare linear to a nonlinear top model - Conduct 5 fold CV not just for hyperparameter optimization, but also test set performance. Repeat with 20 random instantiations like the original paper.

Naming conventions:

<zs\_model>\_<embedding>\_<top\_model>

```
[]: import os
  import json

import pandas as pd
  import numpy as np
  import joblib

import matplotlib.pyplot as plt
  import seaborn as sns
  sns.set(style="whitegrid")
  sns.set_context("talk")

from sklearn.pipeline import Pipeline
  from sklearn.compose import TransformedTargetRegressor
```

```
from sklearn.neural_network import MLPRegressor
from sklearn.ensemble import RandomForestRegressor
from sklearn.model_selection import KFold, RandomizedSearchCV, cross_validate
from sklearn.metrics import make_scorer
from sklearn.preprocessing import StandardScaler
from sklearn.decomposition import PCA
from sklearn.linear_model import Ridge

from scipy.stats import kendalltau, spearmanr
from scipy.stats import loguniform, uniform

import aide_predict as ap
from aide_predict.utils.msa import MSAProcessing

import logging
logging.basicConfig(level=logging.INFO)
```

#### 1.1 TOC

- 0. Acquiring the data
- 1. Data preprocessing
- 2. Self supervised predictors
- 3. Supervised learning
- 4. Combined models
- 5. Compare results

#### 1.2 0. Acquiring the data

- 1. Download and extract the data from here. You want data.zip
- 2. Extract the data to a directory of your choice
- 3. Assign the global variable RAW\_DATA\_DIR to the extracted directory

```
[]: RAW_DATA_DIR = os.path.join('.', 'data', 'epistatic', 'data')
```

#### 1.2.1 0.1. Retrieve the assay labeled data

#### 1.2.2 0.2. Define wildtype sequence, get full sequences of variants

The wildtype in the study is not acutally the wildtype protein, they started with a variant. Define the true wt, apply mutations.

```
[]: wt = ap.ProteinSequence(
      → 'MKGYFGPYGGQYVPEILMGALEELEAAYEGIMKDESFWKEFNDLLRDYAGRPTPLYFARRLSEKYGARVYLKREDLLHTGAHKINNAIGQ
        id='wt',
[]: mutations = ['P18G', 'E29G', 'I68V', 'K95L', 'P139L', 'N166D', 'I183F', |
      wt = wt.mutate(mutations, one_indexed=False)
    wt.id='Tm8D9'
[]: msa = ap.ProteinSequences.from_fasta(os.path.join(RAW_DATA_DIR, 'EVMutation',_

¬'TARGET_b0.1', 'align', 'TARGET_b0.1.a2m'))
[]: wt.id = msa[0].id
[]: msa_prc = MSAProcessing()
    msa_sub = msa_prc.process(msa, focus_seq_id=wt.id)
[]: selected_indices = np.random.choice(len(msa_sub), replace=False, size=1000,__
      →p=msa_sub.weights/sum(msa_sub.weights))
[]: selected_indices = [0] + list(selected_indices)
[]: msa_sub = msa_sub[np.array(selected_indices)]
    msa_sub.to_fasta('selected_msa.fasta')
[]: msa_sub = ap.ProteinSequences.from_fasta('selected_msa.fasta')
    wt = msa_sub[0]
[]: library_positions = [182, 183, 226, 227]
    mutation_strings_base = [
        f"{wt[pos]}{pos}" for pos in library_positions
    ]
    def get_full_sequence(wt, aas):
        mutation_strings = [mutation_strings_base[i]+aa for i, aa in enumerate(aas)]
        return wt.mutate(mutation_strings, one_indexed=False)
    df['full_sequence'] = df['sequence'].apply(lambda x: get_full_sequence(wt, x))
    Shuffle the data and create X, y
[]: df = df.sample(frac=1, random_state=0)
    X = ap.ProteinSequences(df['full_sequence'].tolist())
    y = df['fitness'].values
```

Plot the activity data

```
[]: wt_activity = df[df['sequence'] == 'VFVS'].iloc[0]['fitness']
wt_activity

[]: fig, ax = plt.subplots(figsize=(6, 6))
sns.kdeplot(y, ax=ax, bw_adjust=0.5)
ax.vlines(wt_activity, 0, ax.get_ylim()[1], color='red', label='WT activity')
ax.legend()
ax.set_xlabel('Fitness')
```

# 1.3 1. Data preprocessing

We need to: 1. Define splits for Kfold the better resemble actual training set sizes, eg. train on 130k variants, test on remaining 20k is not reasonable. Note that plenty of work on active learning suggest we can get accuracy with fewer samples by non random selection, but acquisition functions/active learning are currently native to AIDE. It is not hard to use AIDE models in an active learning loop, but we do not have boilerplate importable code for that yet so here we are doing more standard supervised model evaluation. 2. Define metrics that are relevant to the study, kendall's tau and top 10 recovery is a better representation of our experimental goal than spearman. 3. Define a wrapper function to run hyperparameter optimization and test set as training size increases, over random instatiation

#### 1.3.1 1.1. Define splits

```
[]: def modified_kfold_iterator(X, n_splits=5, shuffle=True, random_state=42,__
      """KFold iterator that uses smaller training set and larger test set
        Params:
        X: array-like
            The data to split
        n_splits: int
            Number of splits
        shuffle: bool
            Whether to shuffle the data
        random state: int
            Random state
        training_size: int
            Size of the training set, will be sampled from fold
        test_size: int or 'all'
            Size of the test set, if 'all' then all data not in training set will \sqcup
      ⇔be used
        kf = KFold(n_splits=n_splits, shuffle=shuffle, random_state=random_state)
        np.random.seed(random_state)
        for test_index, train_index in kf.split(X):
```

```
# sample to training size
train_index = np.random.choice(train_index, training_size,
replace=False)
if test_size != 'all':
    test_index = np.random.choice(test_index, test_size, replace=False)
yield train_index, test_index
```

#### 1.3.2 1.2. Define metrics

```
results = []
   for repeat in range(n_repeats):
       for training_size in training_sizes:
           results.append(cv_1(model, X, y, training_size=training_size,_
 →random_state=repeat))
           results[-1]['training size'] = training size
   return results
def hyperopt_and_scoring(model, X, y, param_distributions, u
 424*7, 24*8, 24*9, 24*10, 24*12, 24*16, 24*20, 24*24, 24*100], n_repeats=20):
    """Hyperparameter optimization and scoring over increasing training sizes,
 \hookrightarrow in one call.
   Params
   model: sklearn estimator
       The model to optimize and score
   X: array-like
   y: array-like
   param_distributions: dict
       The hyperparameter distributions to search over, to be fed tou
 \neg RandomizedSearchCV
   ho_training_size: int
       The size of the training set for hyperparameter optimization
    eval_training_sizes: list of ints
        The training sizes to evaluate the model on
   n_repeats: int
       Number of repeats to average over
   searcher = RandomizedSearchCV(
       model,
       param_distributions=param_distributions,
       n_iter=100,
       n jobs=1,
       cv=modified_kfold_iterator(X, training_size=ho_training_size),
       scoring=scoring,
       refit='kendall_tau',
   )
   searcher.fit(X, y)
   best_params = searcher.best_params_
   scores = cv_increasing_data_size(model.set_params(**best_params), X, y,__

¬training_sizes=eval_training_sizes, n_repeats=n_repeats)

   return scores
```

#### 1.4 2. Self supervised predictors

#### 1.4.1 2.1 ESM2 with wildtype marginal

```
[]: esm2liklihood = ap.ESM2LikelihoodWrapper(
        wt=wt,
        marginal_method='wildtype_marginal',
        device='mps',
        metadata folder=os.path.join('.', 'data', 'epistatic', 'esm2likelihood'),
        model_checkpoint='esm2_t33_650M_UR50D',
        use cache=True,
     esm2liklihood.fit([])
[]: esm_none_none_scores = cv_1(esm2liklihood, X, y)
[]: joblib.dump(esm_none_none_scores, 'esm_none_none_scores.joblib')
    1.4.2 2.2 EVC
[]: | evc = ap.EVMutationWrapper(
        wt=wt,
        metadata_folder=os.path.join('.', 'data', 'epistatic', 'evmutation'),
     evc.fit(msa sub)
[]: evc_none_none_scores = cv_1(evc, X, y)
[]: joblib.dump(evc_none_none_scores, 'evc_none_none_scores.joblib')
    1.4.3 2.3 MSATransformer
[]: msa_likelihood = ap.MSATransformerLikelihoodWrapper(
        wt=wt,
        metadata_folder=os.path.join('.', 'data', 'epistatic', 'msalikelihood'),
        device='mps',
        marginal_method='wildtype_marginal',
     msa_likelihood.fit(msa_sub)
[]: msa_none_none_scores = cv_1(msa_likelihood, X, y)
[]: joblib.dump(msa_none_none_scores, 'msa_none_none_scores.joblib')
```

#### 1.5 3. Supervised learning

#### 1.5.1 3.0 downstream pipelines

We can specify embedders with AIDE into a scikitlearn pipeline, but to avoid recomputation of embeddings we will precompute them and pass them into specified pipelines.

```
[]: linear_nopca = Pipeline([
         ('scaler', StandardScaler()),
         ('model', TransformedTargetRegressor(
             regressor=Ridge(
                 alpha=1.0,
             )))])
     linear_pca = Pipeline([
         ('scaler', StandardScaler()),
         ('pca', PCA(n components=0.9)),
         ('model', TransformedTargetRegressor(
             regressor=Ridge(
                 alpha=1.0,
             )))])
     linear_param_space = {
         'model__regressor__alpha': loguniform(1e-3, 1e3),
     }
```

```
[]: mlp_nopca = Pipeline([
         ('scaler', StandardScaler()),
         ('model', TransformedTargetRegressor(
             regressor=MLPRegressor(
                 hidden_layer_sizes=(20, 20),
                 max_iter=1000,
                 early_stopping=True
             )))])
     mlp_pca = Pipeline([
         ('scaler', StandardScaler()),
         ('pca', PCA(n_components=0.9)),
         ('model', TransformedTargetRegressor(
             regressor=MLPRegressor(
                 hidden_layer_sizes=(20, 20),
                 max_iter=1000,
                 early_stopping=True
             )))])
     mlp_param_space = {
         'model__regressor__hidden_layer_sizes': [(20,), (20, 20), (20, 20, 20), __
      \hookrightarrow (20, 20, 20, 20)],
         'model__regressor__alpha': loguniform(1e-6, 1e-1),
         'model_regressor_activation': ['relu', 'tanh'],
```

#### 1.5.2 3.1 OHE linear

```
[]: ohe = ap.OneHotProteinEmbedding(
    positions=library_positions,
    metadata_folder=os.path.join('.', 'data', 'epistatic', 'onehot'))
X_ohe = ohe.fit_transform(X)
```

#### 1.5.3 3.2 OHE MLP

```
[]: none_ohe_mlp_scores = hyperopt_and_scoring(mlp_nopca, X_ohe, y, mlp_param_space) joblib.dump(none_ohe_mlp_scores, 'none_ohe_mlp_scores.joblib')
```

#### 1.5.4 3.3 ESM2 embeddings, full sequence, linear model

Include scaling and PCA to reduce dims.

# $1.5.5\quad 3.4\ ESM2\ embeddings,\ full\ sequence,\ MLP$

#### 1.5.6 3.5 ESM2 embeddings, only changing residues, linear

```
model_checkpoint='esm2_t12_35M_UR50D',
        use_cache=True,
        batch_size=180,
        positions=library_positions
    esm2_embedder_only4.fit([])
    X_esm_only4 = esm2_embedder_only4.transform(X)
[]: none_esm4sites_linear_scores = hyperopt_and_scoring(linear_pca, X_esm_only4, y,_
     →linear_param_space)
    joblib.dump(none_esm4sites_linear_scores, 'none_esm4sites_linear_scores.joblib')
    1.5.7 3.6 ESM2 embeddings, only changing residues, MLP
[]: none_esm4sites_mlp_scores = hyperopt_and_scoring(mlp_pca, X_esm_only4, y,_u
      →mlp_param_space)
    joblib.dump(none_esm4sites_mlp_scores, 'none_esm4sites_mlp_scores.joblib')
    1.6 4. Combined models
    1.6.1 4.1 EVC plus one hot linear
[]: X_evc = evc.transform(X)
[]: X_ = np.concatenate([X_ohe, X_evc], axis=1)
[]: evc_ohe linear_scores = hyperopt_and_scoring(linear_nopca, X_, y,_
      →linear_param_space)
     joblib.dump(evc_ohe_linear_scores, 'evc_ohe_linear_scores.joblib')
    1.6.2 4.2 EVC plus one hot MLP
[]: evc_ohe_mlp_scores = hyperopt_and_scoring(mlp_nopca, X_, y, mlp_param_space)
    joblib.dump(evc_ohe_mlp_scores, 'evc_ohe_mlp_scores.joblib')
    1.6.3 4.3 EVC plus ESM2 linear
[]: X_ = np.concatenate([X_esm, X_evc], axis=1)
[]: evc_esmfull_linear_scores = hyperopt_and_scoring(linear_pca, X_, y,_
      →linear_param_space)
     joblib.dump(evc_esmfull_linear_scores, 'evc_esmfull_linear_scores.joblib')
```

# 1.6.4 4.4 EVC plus ESM2 MLP

```
[]: evc_esmfull_mlp_scores = hyperopt_and_scoring(mlp_pca, X_, y, mlp_param_space) joblib.dump(evc_esmfull_mlp_scores, 'evc_esmfull_mlp_scores.joblib')
```

# 1.6.5 4.5 EVC plus ESM2 only 4 linear

```
[]: X_ = np.concatenate([X_esm_only4, X_evc], axis=1)
[]: evc esm4sites linear scores = hyperopt and scoring(linear pca, X , y, ...
```

```
[]: evc_esm4sites_linear_scores = hyperopt_and_scoring(linear_pca, X_, y, u olinear_param_space)
joblib.dump(evc_esm4sites_linear_scores, 'evc_esm4sites_linear_scores.joblib')
```

#### 1.6.6 4.6 EVC plus ESM2 only 4 MLP

```
[]: evc_esm4sites_mlp_scores = hyperopt_and_scoring(mlp_pca, X_, y, mlp_param_space)
joblib.dump(evc_esm4sites_mlp_scores, 'evc_esm4sites_mlp_scores.joblib')
```

# 1.7 5. Compare and visualize results

```
[ ]: zs_scores = {
         'evc_none_none': joblib.load('evc_none_none_scores.joblib'),
         'esm_none_none': joblib.load('esm_none_none_scores.joblib'),
         'msa_none_none': joblib.load('msa_none_none_scores.joblib'),
     }
     supervised scores = {
         'none_ohe_linear': joblib.load('none_ohe_linear_scores.joblib'),
         'none_ohe_mlp': joblib.load('none_ohe_mlp_scores.joblib'),
         'none_esmfull_linear': joblib.load('none_esmfull_linear_scores.joblib'),
         'none_esmfull_mlp': joblib.load('none_esmfull_mlp_scores.joblib'),
         'none esm4sites linear': joblib.load('none esm4sites linear scores.joblib'),
         'none_esm4sites_mlp': joblib.load('none_esm4sites_mlp_scores.joblib'),
         'evc_ohe_linear': joblib.load('evc_ohe_linear_scores.joblib'),
         'evc_ohe_mlp': joblib.load('evc_ohe_mlp_scores.joblib'),
         'evc_esmfull_linear': joblib.load('evc_esmfull_linear_scores.joblib'),
         'evc_esmfull_mlp': joblib.load('evc_esmfull_mlp_scores.joblib'),
         'evc_esm4sites_linear': joblib.load('evc_esm4sites_linear_scores.joblib'),
         'evc_esm4sites_mlp': joblib.load('evc_esm4sites_mlp_scores.joblib'),
     }
```

```
'Model': model,
                    'Metric': metric,
                    'Score': point,
                     'Training Size': training_size
                })
supervised_df = pd.DataFrame(data)
supervised_df[['zs', 'embedding', 'topmodel']] = supervised_df['Model'].str.
 ⇔split('_', expand=True)
data = []
for model, scores in zs_scores.items():
    for metric in ['kendall_tau', 'spearman', 'top10_in_plate']:
        for point in scores[f'test_{metric}']:
            data.append({
                'Model': model,
                'Metric': metric,
                'Score': point,
                'Training Size': 0.0
            })
zs_df = pd.DataFrame(data)
zs_df[['zs', 'embedding', 'topmodel']] = zs_df['Model'].str.split('_',__
 ⇔expand=True)
```

```
[]: # determined by embedding type
     color_map = {
         'none': 'grey',
         'ohe': 'tab:blue',
         'esmfull': 'tab:orange',
         'esm4sites': 'tab:green',
     }
     # determined by ZS method
     linestyle map = {
         'none': ':',
         'evc': '-',
         'esm': '--',
         'msa': '-.',
     }
     # determined by top model
     marker_map = {
         'none': 'o',
         'linear': 's',
         'mlp': 'd',
     }
```

```
[]: def get_name_logic(string):
    split = string.split('_')
```

```
zs_input = split[0]
        embedding = split[1]
        topmodel = split[2]
        if embedding == 'none' or topmodel == 'none':
            type_ = 'ZS'
        elif zs_input != 'none':
            type_ = 'Aug.'
        else:
            type_ = 'Sup.'
        output = ''
        if zs_input != 'none':
            output += zs_input + ''
        if embedding != 'none':
            if len(output) > 0:
                output += '+'
            output += embedding + ''
        if topmodel != 'none':
            output += '->'
            output += topmodel
        output += ' (' + type_ + ')'
        return output
[]: supervised_df['name'] = supervised_df.apply(lambda x:__
     zs_df['name'] = zs_df.apply(lambda x: get_name_logic(x['Model']), axis=1)
[]: # create plot of ZS vs supervised vs augmented linear, like original paper
    metric = 'kendall_tau'
    fig, ax = plt.subplots(figsize=(6, 6))
    for model, df in supervised_df.groupby('Model'):
        df = df[df['Metric'] == metric]
        color, linestyle, marker = color_map[model.split('_')[1]],__
      →linestyle_map[model.split('_')[0]], marker_map[model.split('_')[2]]
        if marker == 'd':
            continue
        name = df_['name'].iloc[0]
        sns.lineplot(x='Training Size', y='Score', data=df_, ax=ax,
                        label=name, color=color, linestyle=linestyle, marker=marker)
    for model, df in zs df.groupby('Model'):
```

df\_ = df[df['Metric'] == metric]

```
color, linestyle, marker = color_map[model.split('_')[1]],__
      →linestyle_map[model.split('_')[0]], marker_map[model.split('_')[2]]
        name = df ['name'].iloc[0]
        xmin = ax.get xlim()[0]
        xmax = ax.get_xlim()[1]
        mean = df .mean()['Score']
        ax.hlines(mean, xmin, xmax, color=color, linestyle=linestyle, label=name)
    ax.set_xlabel('Training Size')
    ax.set_ylabel("Kendall's Tau")
    plt.legend(loc='upper right', bbox_to_anchor=(1.9, .8))
    plt.savefig('zs_vs_supervised_vs_augmented.png', bbox_inches='tight', dpi=300)
[]: # now compare linear to nonlinear models
    metric = 'kendall_tau'
    fig, ax = plt.subplots(figsize=(6, 6))
    for model, df in supervised_df.groupby('Model'):
        df_ = df[df['Metric'] == metric]
        color, linestyle, marker = color_map[model.split('_')[1]],__
     →linestyle_map[model.split('_')[0]], marker_map[model.split('_')[2]]
        if linestyle != ':':
            continue
        name = df_['name'].iloc[0]
        sns.lineplot(x='Training Size', y='Score', data=df_, ax=ax,
                        label=name, color=color, linestyle=linestyle, marker=marker)
    ax.set_xlabel('Training Size')
    ax.set_ylabel("Kendall's Tau")
    plt.legend(loc='upper right', bbox_to_anchor=(1.8, .8))
    plt.savefig('nonlinear_vs_linear_kendall.png', bbox_inches='tight', dpi=300)
[]: # now compare linear to nonlinear models
    metric = 'top10_in_plate'
    fig, ax = plt.subplots(figsize=(6, 6))
    for model, df in supervised_df.groupby('Model'):
        df_ = df[df['Metric'] == metric]
        color, linestyle, marker = color_map[model.split('_')[1]],__
     if model.split('_')[0] != 'none':
            continue
        name = df_['name'].iloc[0]
        sns.lineplot(x='Training Size', y='Score', data=df_, ax=ax,
```

```
label=name, color=color, linestyle=linestyle, marker=marker)
ax.set_xlabel('Training Size')
ax.set_ylabel("Top10 Recovery, 1 96w-plate")
plt.legend()
plt.savefig('nonlinear_vs_linear_top10.png', bbox_inches='tight', dpi=300)

[]:
[]:
```