

# Chapter 6

## Dynamic Programming



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## 6.4 Knapsack Problem

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# Knapsack Problem

## Knapsack problem.

- Given  $n$  objects and a "knapsack."
- Item  $i$  weighs  $w_i > 0$  kilograms and has value  $v_i > 0$ .
- Knapsack has capacity of  $W$  kilograms.
- Goal: fill knapsack so as to maximize total value.

Ex: { 3, 4 } has value 40.

$W = 11$

Item	Value	Weight
1	1	1
2	6	2
3	18	5
4	22	6
5	28	7

**Greedy:** repeatedly add item with maximum ratio  $v_i / w_i$ .

Ex: { 5, 2, 1 } achieves only value = 35  $\Rightarrow$  greedy not optimal.

# Dynamic Programming: False Start

Def.  $OPT(i)$  = max profit subset of items  $1, \dots, i$ .

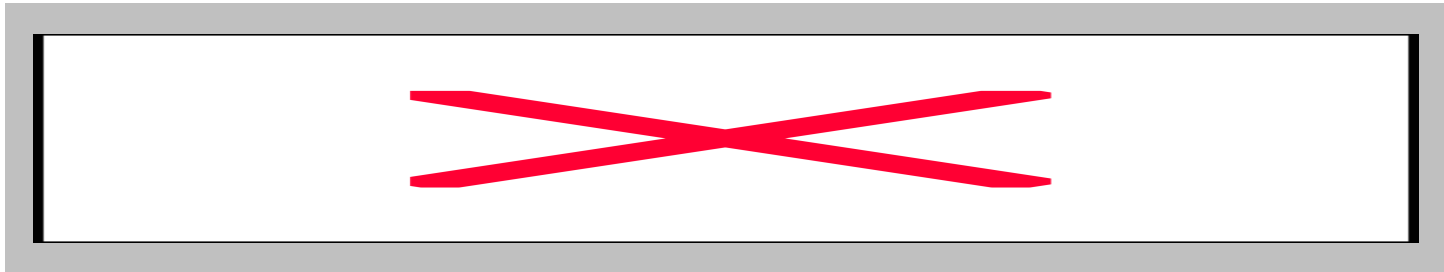
- Case 1:  $OPT$  does not select item  $i$ .
  - $OPT$  selects best of  $\{ 1, 2, \dots, i-1 \}$
- Case 2:  $OPT$  selects item  $i$ .
  - accepting item  $i$  does not immediately imply that we will have to reject other items
  - without knowing what other items were selected before  $i$ , we don't even know if we have enough room for  $i$

Conclusion. Need more sub-problems!

## Dynamic Programming: Adding a New Variable

**Def.**  $\text{OPT}(i, w)$  = max profit subset of items  $1, \dots, i$  with weight limit  $w$ .

- Case 1: OPT does not select item  $i$ .
  - OPT selects best of  $\{1, 2, \dots, i-1\}$  using weight limit  $w$
- Case 2: OPT selects item  $i$ .
  - new weight limit =  $w - w_i$
  - OPT selects best of  $\{1, 2, \dots, i-1\}$  using this new weight limit



# Knapsack Problem: Bottom-Up

Knapsack. Fill up an  $n$ -by- $W$  array.

```
Input:  $n, w_1, \dots, w_N, v_1, \dots, v_N$ 

for  $w = 0$  to  $W$ 
     $M[0, w] = 0$ 

for  $i = 1$  to  $n$ 
    for  $w = 1$  to  $W$ 
        if  $(w_i > w)$ 
             $M[i, w] = M[i-1, w]$ 
        else
             $M[i, w] = \max \{M[i-1, w], v_i + M[i-1, w-w_i]\}$ 

return  $M[n, W]$ 
```

# Knapsack Algorithm

		W + 1 →											
		0	1	2	3	4	5	6	7	8	9	10	11
n + 1 ↓	$\phi$	0	0	0	0	0	0	0	0	0	0	0	0
	{ 1 }	0	1	1	1	1	1	1	1	1	1	1	1
	{ 1, 2 }	0	1	6	7	7	7	7	7	7	7	7	7
	{ 1, 2, 3 }	0	1	6	7	7	18	19	24	25	25	25	25
	{ 1, 2, 3, 4 }	0	1	6	7	7	18	22	24	28	29	29	40
	{ 1, 2, 3, 4, 5 }	0	1	6	7	7	18	22	28	29	34	34	40

OPT: { 4, 3 }  
value = 22 + 18 = 40

W = 11

Item	Value	Weight
1	1	1
2	6	2
3	18	5
4	22	6
5	28	7

# Knapsack Problem: Running Time

Running time.  $\Theta(n W)$ .

- Not polynomial in input size!
- "Pseudo-polynomial."
- Decision version of Knapsack is NP-complete. [Chapter 8]

**Knapsack approximation algorithm.** There exists a polynomial algorithm that produces a feasible solution that has value within 0.01% of optimum. [Section 11.8]