

Sorting

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Sorting Algorithms

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- ▶ We will study four sorting algorithms:



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 - ▶ Insertion Sort



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- ▶ These are general *comparison-based* algorithms, meaning they work on anything with a `compareTo` method (Comparable).
- ▶ There are algorithms like Bucket Sort or Radix Sort that are faster for numbers or strings.
- ▶ There are also LOTS of other comparison-based algorithms, like Bubble Sort.
- ▶ But Insertion Sort is the same or faster than Bubble Sort on all inputs.



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- ▶ Copy elements forward until you get to where the 2 goes:

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- ▶ Copy elements forward until you get to where the 2 goes:

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- ▶ Now put the 2 back in:

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- ▶ Take the 2 out and put it here: 2
- ▶ Copy elements forward until you get to where the 2 goes:

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- ▶ Now put the 2 back in:

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- ▶ We are ready to insert the 6.

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 - ▶ What is the worst possible input?



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- ▶ Sort the other two groups recursively:

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- ▶ We want the first pivot to be 1, the second 2, the third 3, etc.
- ▶ Can you figure out the order?



Partitioning in Place

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i							j

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- ▶ Invariants:
 - ▶ Everything to the left of i should be < 4 .
 - ▶ Everything to the right of j should be ≥ 4 .
- ▶ It is safe to increment i and decrement j:

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- ▶ This is bad, we cannot increment i nor decrement j. What to do?

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- ▶ It is safe to increment i.

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- ▶ This is bad, we cannot increment i nor decrement j. What to do?

- ▶ Swap!

3 1 2 4 5 9 4 6
i j

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Partitioning continued

Partitioning continued

- ▶ Eventually they pass each other!

```
3 1 2 4 5 9 4 6
      i   j
```

```
3 1 2 4 5 9 4 6
      i j
```

```
3 1 2 4 5 9 4 6
      i
      j
```

```
3 1 2 4 5 9 4 6
      j i
```


Partitioning continued

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- ▶ Now we send k from i to the end.



Partitioning continued

- Now we send k from i to the end. If an element equals the pivot, we swap it with i and increment i .

```
3 1 2 4 5 9 4 6
      j i
      k
```

```
3 1 2 4 5 9 4 6
      j   i
      k
```

```
3 1 2 4 5 9 4 6
      j   i k
```

```
3 1 2 4 5 9 4 6
      j   i   k
```

```
3 1 2 4 4 9 5 6
      j       i   k
```

```
3 1 2 4 4 9 5 6
      j       i
```



Recurse



Recurse

- Recursively sort 0 to j and i to size-1

3 1 2 4 4 9 5 6
 j i

1 2 3 4 4 5 6 9
 j i

Recurse

- ▶ Recursively sort 0 to j and i to size-1

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 j i

1 2 3 4 4 5 6 9
 j i

- ▶ Done!



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 - ▶ If you do it IN PLACE then it won't be STABLE



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 - ▶ $O(n^2)$ if input is in a particular order.
 - ▶ If you do it IN PLACE then it won't be STABLE
- ▶ Easy to fix $O(n^2)$ case:
 - ▶ use a random pivot,
 - ▶ but the middle element is usually safe enough.



Heap Sort

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 - ▶ We already know that we can insert and remove from a heap in $O(\log n)$ time.
 - ▶ So insert n elements and remove them, and they will be sorted.



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 - ▶ We already know that we can insert and remove from a heap in $O(\log n)$ time.
 - ▶ So insert n elements and remove them, and they will be sorted.
 - ▶ Instant $O(n \log n)$ sorting algorithm. Guaranteed!



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- ▶ Remember: even though I am writing it like a tree, it is still just an array.

```
3
1      4
1      5      9      2
6
```



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- ▶ The 6 has no kids, and neither do 2, 9, nor 5.



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6
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- ▶ The 6 has no kids, and neither do 2, 9, nor 5.
- ▶ 1 has 6 as a kid, which is o.k.



Heapifying in Place continued

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- ▶ 4 has 9 and 2, not good.



Heapifying in Place continued

- ▶ 4 has 9 and 2, not good.
- ▶ Swap 4 and 2.

3

1

2

1

5

9

4

6



Heapifying in Place continued

- ▶ 4 has 9 and 2, not good.
- ▶ Swap 4 and 2.

```
3
1      2
1      5      9      4
6
```

- ▶ 1 is o.k. (kids are 1 and 5). 3 is not. Swap with 1:

```
1
3      2
1      5      9      4
6
```


Heapifying in Place continued

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- ▶ Swap 4 and 2.

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```
1
3      2
1      5      9      4
6
```

- ▶ Still not good, swap with 1 again:

```
1
1      2
3      5      9      4
6
```

Heapifying in Place continued

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- ▶ Now it is a heap.



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```
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1      2
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```

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```
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3      2
1      5      9      4
6
```

- ▶ Still not good, swap with 1 again:

```
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3      5      9      4
6
```

- ▶ Now it is a heap.
- ▶ That only takes $O(n)$ time!



Heap Sort Polling Phase

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- ▶ Now, let's remove the root and put it in the last element.



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```
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3      5      9      4
1
```



Heap Sort Polling Phase

- ▶ Now, let's remove the root and put it in the last element.
- ▶ We were going to put the 6 at the root for the removal process anyway
- ▶ So swap them:

```
6
1      2
3    5  9    4
1
```

- ▶ Now swap down the 6, but ignore the 1 at the bottom. (Decrement size.)

```
1
6      2
3    5  9    4
1
```

```
1
3      2
6    5  9    4
1
```


Polling Phase continued



Polling Phase continued

- ▶ Swap the 1 and the last element, which is the 4 now, and ignore that 1 thereafter (decrement size):

4			
3		2	
6	5	9	1
1			



Polling Phase continued

- ▶ Swap the 1 and the last element, which is the 4 now, and ignore that 1 thereafter (decrement size):

```
4
3      2
6      5      9      1
1
```

- ▶ Fix the 4:

```
2
3      4
6      5      9      1
1
```

Polling Phase continued

- ▶ Swap the 1 and the last element, which is the 4 now, and ignore that 1 thereafter (decrement size):

```
4
3      2
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1
```

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- ▶ Can you continue?

Polling Phase continued

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- ▶ Can you continue?
- ▶ The result is the array sorted in reverse order.

Polling Phase continued

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```
4
3      2
6      5      9      1
1
```

- ▶ Fix the 4:

```
2
3      4
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1
```

- ▶ Can you continue?
- ▶ The result is the array sorted in reverse order.
- ▶ But if you can do that, you can do it right!



Heap Sort Properties

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- ▶ Good:
 - ▶ Guaranteed $O(n \log n)$



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 - ▶ Guaranteed $O(n \log n)$
 - ▶ Heapifying is $O(n)$, actually.
 - ▶ IN PLACE
- ▶ Bad:
 - ▶ not stable
 - ▶ apparently slower than quick sort in practice



Merge Sort

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- ▶ Merge Sort is a little like quick sort but backwards.



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- ▶ Just split the array in two:

```
3 1 4 1  
5 9 2 6
```



Merge Sort

- ▶ Merge Sort is a little like quick sort but backwards.
- ▶ Just split the array in two:

3 1 4 1
5 9 2 6

- ▶ Sort each recursively:

1 1 3 4
2 5 6 9



Merging

Merging

- Now merge them. You only have to look at the front of each list:

1 3 4
2 5 6 9
1

3 4
2 5 6 9
1 1

3 4
5 6 9
1 1 2

4
5 6 9
1 1 2 3

5 6 9
1 1 2 3 4



Merging continued

Merging continued

- ▶ Since the first list is empty, we can just copy the rest of the second list:

1 1 2 3 4 5 6 9



Merge Sort Properties

Merge Sort Properties

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- ▶ Good:
 - ▶ $O(n \log n)$ guaranteed



Merge Sort Properties

- ▶ Good:
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 - ▶ STABLE if you break ties correctly



Merge Sort Properties

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 - ▶ Very hard to do in place



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 - ▶ These are $O(1)$ operations for a linked list.



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 - ▶ we only access and/or remove the head of each (half) list
 - ▶ and add at the tail of the merged list.
 - ▶ These are $O(1)$ operations for a linked list.
- ▶ So the running time is the same.



External Sorting

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- ▶ Open both files and read elements from the files, merging them and writing them out.



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- ▶ Open both files and read elements from the files, merging them and writing them out.
 - ▶ Read in 3 and 1 and write out 13.
 - ▶ Read in 4 and 1 and write out 14.
 - ▶ Read in 5 and 9 and write out 59.



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 - ▶ Read in 5 and 9 and write out 59.
 - ▶ Read in 2 and 6 and write out 26.



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- ▶ "Deal out" to different files:



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 - ▶ 1359



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 - ▶ Read in 3 and 1 and write out 13.
 - ▶ Read in 4 and 1 and write out 14.
 - ▶ Read in 5 and 9 and write out 59.
 - ▶ Read in 2 and 6 and write out 26.
- ▶ "Deal out" to different files:
 - ▶ 1359
 - ▶ 1426



External Sorting continued

- ▶ 1359
- ▶ 1426



External Sorting continued

- ▶ 1359
- ▶ 1426
- ▶ Next we will merge groups of two.



External Sorting continued

- ▶ 1359
- ▶ 1426
- ▶ Next we will merge groups of two.
 - ▶ Read in 1 and 1.



External Sorting continued

- ▶ 1359
- ▶ 1426
- ▶ Next we will merge groups of two.
 - ▶ Read in 1 and 1.
 - ▶ The first 1 wins the tie so write it out and read in 3.



External Sorting continued

- ▶ 1359
- ▶ 1426
- ▶ Next we will merge groups of two.
 - ▶ Read in 1 and 1.
 - ▶ The first 1 wins the tie so write it out and read in 3.
 - ▶ The second 1 is smaller so write it out and read in 4.



External Sorting continued

- ▶ 1359
- ▶ 1426
- ▶ Next we will merge groups of two.
 - ▶ Read in 1 and 1.
 - ▶ The first 1 wins the tie so write it out and read in 3.
 - ▶ The second 1 is smaller so write it out and read in 4.
 - ▶ The 3 is smaller so write it out, but don't read any more



External Sorting continued

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- ▶ 1426
- ▶ Next we will merge groups of two.
 - ▶ Read in 1 and 1.
 - ▶ The first 1 wins the tie so write it out and read in 3.
 - ▶ The second 1 is smaller so write it out and read in 4.
 - ▶ The 3 is smaller so write it out, but don't read any more
 - ▶ because that group of two is done.



External Sorting continued

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- ▶ 1426
- ▶ Next we will merge groups of two.
 - ▶ Read in 1 and 1.
 - ▶ The first 1 wins the tie so write it out and read in 3.
 - ▶ The second 1 is smaller so write it out and read in 4.
 - ▶ The 3 is smaller so write it out, but don't read any more
 - ▶ because that group of two is done.
 - ▶ The 4 is all we have left, so write it out.



External Sorting continued

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- ▶ 1426
- ▶ Next we will merge groups of two.
 - ▶ Read in 1 and 1.
 - ▶ The first 1 wins the tie so write it out and read in 3.
 - ▶ The second 1 is smaller so write it out and read in 4.
 - ▶ The 3 is smaller so write it out, but don't read any more
 - ▶ because that group of two is done.
 - ▶ The 4 is all we have left, so write it out.
- ▶ So now we have 1134 in one file.



External Sorting continued

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- ▶ 1426
- ▶ Next we will merge groups of two.
 - ▶ Read in 1 and 1.
 - ▶ The first 1 wins the tie so write it out and read in 3.
 - ▶ The second 1 is smaller so write it out and read in 4.
 - ▶ The 3 is smaller so write it out, but don't read any more
 - ▶ because that group of two is done.
 - ▶ The 4 is all we have left, so write it out.
- ▶ So now we have 1134 in one file.
 - ▶ Read in the 5 and 2.



External Sorting continued

- ▶ 1359
- ▶ 1426
- ▶ Next we will merge groups of two.
 - ▶ Read in 1 and 1.
 - ▶ The first 1 wins the tie so write it out and read in 3.
 - ▶ The second 1 is smaller so write it out and read in 4.
 - ▶ The 3 is smaller so write it out, but don't read any more because that group of two is done.
 - ▶ The 4 is all we have left, so write it out.
- ▶ So now we have 1134 in one file.
 - ▶ Read in the 5 and 2.
 - ▶ The 2 is smaller so write it out and read in the 6.



External Sorting continued

- ▶ 1359
- ▶ 1426
- ▶ Next we will merge groups of two.
 - ▶ Read in 1 and 1.
 - ▶ The first 1 wins the tie so write it out and read in 3.
 - ▶ The second 1 is smaller so write it out and read in 4.
 - ▶ The 3 is smaller so write it out, but don't read any more
 - ▶ because that group of two is done.
 - ▶ The 4 is all we have left, so write it out.
- ▶ So now we have 1134 in one file.
 - ▶ Read in the 5 and 2.
 - ▶ The 2 is smaller so write it out and read in the 6.
 - ▶ The 5 is smaller so write it out and read in 9.



External Sorting continued

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- ▶ 1426
- ▶ Next we will merge groups of two.
 - ▶ Read in 1 and 1.
 - ▶ The first 1 wins the tie so write it out and read in 3.
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 - ▶ The 3 is smaller so write it out, but don't read any more
 - ▶ because that group of two is done.
 - ▶ The 4 is all we have left, so write it out.
- ▶ So now we have 1134 in one file.
 - ▶ Read in the 5 and 2.
 - ▶ The 2 is smaller so write it out and read in the 6.
 - ▶ The 5 is smaller so write it out and read in 9.
 - ▶ The 6 is smaller so write it out.



External Sorting continued

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- ▶ 1426
- ▶ Next we will merge groups of two.
 - ▶ Read in 1 and 1.
 - ▶ The first 1 wins the tie so write it out and read in 3.
 - ▶ The second 1 is smaller so write it out and read in 4.
 - ▶ The 3 is smaller so write it out, but don't read any more
 - ▶ because that group of two is done.
 - ▶ The 4 is all we have left, so write it out.
- ▶ So now we have 1134 in one file.
 - ▶ Read in the 5 and 2.
 - ▶ The 2 is smaller so write it out and read in the 6.
 - ▶ The 5 is smaller so write it out and read in 9.
 - ▶ The 6 is smaller so write it out.
 - ▶ Write out the 9.



External Sorting continued

- ▶ 1359
- ▶ 1426
- ▶ Next we will merge groups of two.
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 - ▶ Read in the 5 and 2.
 - ▶ The 2 is smaller so write it out and read in the 6.
 - ▶ The 5 is smaller so write it out and read in 9.
 - ▶ The 6 is smaller so write it out.
 - ▶ Write out the 9.
 - ▶ We have 2569.



Final Merge

- ▶ 1134
- ▶ 2569



Final Merge

- ▶ 1134
- ▶ 2569
- ▶ Now we need to merge 1134 and 2569 into a single file.



Final Merge

- ▶ 1134
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- ▶ Now we need to merge 1134 and 2569 into a single file.
 - ▶ Read in the 1 and 2.



Final Merge

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- ▶ Now we need to merge 1134 and 2569 into a single file.
 - ▶ Read in the 1 and 2.
 - ▶ The 1 is smaller so write it out and read in 1.



Final Merge

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- ▶ Now we need to merge 1134 and 2569 into a single file.
 - ▶ Read in the 1 and 2.
 - ▶ The 1 is smaller so write it out and read in 1.
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 - ▶ The 2 is smaller so write it out and read in 5.



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 - ▶ The 4 is smaller so write it out.
 - ▶ Write out the 5 and read in the 6.



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 - ▶ The 4 is smaller so write it out.
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 - ▶ Write out the 6 and read in the 9.
 - ▶ Write out the 9.
- ▶ Result: 11234569.



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 - $k + 2$ final merge



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- ▶ So $O(n \log n)$.



Summary



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 - ▶ Very hard to do in place