Quantum Mechanics

Qubits and Entanglement

Quantum States

■ System and Measurement

■ Spins and Qubits

- The concept of **spin** is derived from particle physics. It is an *internal degree of freedom* attached to a particle (say electron).
 - Naively, spin can be pictured as a little arrow pointing in some direction.
 - But that classical picture is not precise and sometimes misleading.
- We can *isolate* the quantum spin from the particle that carries it ⇒ we can abstract the concept of **qubit**, or **quantum bit**: a *two*-state *quantum* system.
 - A qubit is the *simplest* quantum system, yet it has the *strongest quantum fluctuations*.
 - It is also used as a **unit** of **quantum information**, like classical bit for classical information in our computer.
 - Some believe that qubits are the **building blocks** of (maybe all) quantum systems. There is an on-going research collaboration called "**it from qubit**" (Simons foundation): to unify **matter**, **spacetime** (**gravity**) and **information**.

■ A Toy Experiment

Let us try to understand qubit by *probing* it. Here is a *toy experiment* (simulated by a classical computer based on rules of quantum mechanics).



- A qubit (spin) is contained in an apparatus.
- The apparatus is a black box with a window that displays the result of the measurement.
- The apparatus has an **orientation** in the space (indicated by the direction of \triangle)

- The apparatus has two modes:
 - \triangle : detached from the qubit (no readings in this case),
 - **\(\)**: interacting with the qubit (to make measurement), result displayed.

We found the following behaviors:

- The apparatus only has *two* possible outcomes $\sigma = +1$ and $\sigma = -1 \Rightarrow A$ qubit is a **two-state** system.
- After a measurement, without disturbing the qubit, if we make the measurement again, **same** result will be obtained ⇒ an isolated qubit has no dynamics, it acts as a **quantum memory**.
 - This is good, we can *confirm* the result of an experiment (otherwise we could learn nothing).
 - Initial measurement prepares the qubit in one of the two states.
 - Subsequent measurement confirm that state.
- Flip the apparatus upside down \Rightarrow get **opposite** reading $\sigma \to -\sigma \Rightarrow$ we might conclude σ is a degree of freedom associated with a sense of *direction* in the space \Rightarrow conjecture: the spin observable

$$\sigma = (\sigma^x, \sigma^y, \sigma^z) \tag{1}$$

should be an **oriented vector** of some sort, we have measured one **component** of the vector along the axis set by the apparatus.

So far, no difference between classical and quantum physics.

- We should be able to measure σ^x by rotating the apparatus to the x-direction.
 - Classical: would get $\sigma^x = 0$,
 - Quantum: actually get $\sigma^x = \pm 1$ still! Moreover, the two out comes $\sigma^x = +1$ and $\sigma^x = -1$ appears **randomly**!
- We can repeat the procedure: prepare the qubit in $\sigma^z = +1$ state \rightarrow rotate the apparatus along x-axis \rightarrow measure σ^x .
 - Collect the results and analyze the statistics, we found

$$p(\sigma^x = +1) = 1/2, \ p(\sigma^x = -1) = 1/2.$$
 (2)

• The average of repeated measurements is zero (we use $\langle * \rangle$ to denote the expectation value of an observable)

$$\langle \sigma^x \rangle = (+1)(1/2) + (-1)(1/2) = 0.$$
 (3)

This matches with the *classical* expectation.

- The measurement of σ^x has prepared the qubit in either one of the $\sigma^x = \pm 1$ state. Now if we go back to measure σ^z , we get **random** results of $\sigma^z = \pm 1$, the initial $\sigma^z = \pm 1$ state has been **destroyed by the measurement** of σ^x .
- If we prepare the qubit in $\sigma^z = +1$ state \rightarrow measure σ along the direction of the unit vector $\hat{\boldsymbol{n}}$,

- Classical: would get $\sigma = \cos \theta$,
- Quantum: still get $\sigma = \pm 1$ randomly, but the statistics is biased, such that the average $\langle \sigma \rangle = \cos \theta$ matches the classical expectation.

• Even more general, if we prepare the $\sigma = +1$ state along unit vector $\hat{\boldsymbol{m}}$ and measure σ along the unit vector $\hat{\boldsymbol{n}}$, the result is still randomly $\sigma = \pm 1$, however the average is classical

$$\langle \sigma \rangle = \hat{\boldsymbol{n}} \cdot \hat{\boldsymbol{m}}. \tag{4}$$

Conclusion:

- Quantum systems are **not deterministic**, result of experiments can be statistically random.
- But if the *same* experiment is repeated *many times*, the **expectation value** can match the *classical* physics.
- Experiments are not gentle. Measurement can change the quantum state.

Question: Can we build a mathematical model to consistently describe the experimental properties of a qubit?

State and Representation

■ Qubit State

- We denote a quantum state by a ket-vector (or ket) |ψ⟩. It could be considered as a mathematical object containing the data which is sufficient to describe all measurable properties of the state.
- Take a **qubit** for example, suppose we place the *apparatus* along the z-axis and make measurement,
 - If the outcome is $\sigma^z = +1$, we say that the qubit has been *prepared* to the **up-spin** state, denoted as $|\uparrow\rangle$.
 - If the outcome is $\sigma^z = -1$, we say that the qubit has been *prepared* to the **down-spin** state, denoted as $|\downarrow\rangle$.
- By calling a **ket** $|\psi\rangle$ as a vector, it can indeed be **represented** as a **column vector**.
 - For example, we can *choose* a **basis** (like a *coordinate system*) and write

$$|\uparrow\rangle \simeq \begin{pmatrix} 1\\0 \end{pmatrix}, \ |\downarrow\rangle \simeq \begin{pmatrix} 0\\1 \end{pmatrix}. \tag{5}$$

- = implies the representation is **basis dependent** and may change if we view the same state in a different basis.
- The vector representation of a quantum state is also called a **state vector**.
- By saying that a qubit is a **two-state system**, its *state vector* has *two components*. Each component is a *complex number*.

• The state vector $|\psi\rangle$ of a qubit is **different** from the spin vector $\boldsymbol{\sigma} = (\sigma^x, \sigma^y, \sigma^z)$ that describes the spin orientation.

• For example,

$$\frac{|\psi\rangle \text{ rep. } \langle \sigma \rangle}{|\uparrow\rangle \begin{pmatrix} 1\\0 \end{pmatrix} (0, 0, +1)} \\
|\downarrow\rangle \begin{pmatrix} 0\\1 \end{pmatrix} (0, 0, -1)$$
(6)

- The components of the state vector are **complex** (in general), while the components of $\langle \sigma \rangle$ are **real**.
- But the information about $\langle \boldsymbol{\sigma} \rangle$ (3 real numbers) is fully **encoded** in the state vector $|\psi\rangle$ (2 complex = 4 real numbers) in an inexplicit way (which we will analyze later).
- Similar to a vector, a **ket** $|\psi\rangle$ admits the following two basic mathematical operations
 - Scalar multiplication: $|\psi\rangle \mapsto z |\psi\rangle$ $(z \in \mathbb{C})$. For example

$$|A\rangle = z_1 |\uparrow\rangle \simeq z_1 \begin{pmatrix} 1\\0 \end{pmatrix} = \begin{pmatrix} z_1\\0 \end{pmatrix},$$

$$|B\rangle = z_2 |\downarrow\rangle \simeq z_2 \begin{pmatrix} 0\\1 \end{pmatrix} = \begin{pmatrix} 0\\z_2 \end{pmatrix}.$$
(7)

• Addition: $|A\rangle$, $|B\rangle \mapsto |A\rangle + |B\rangle$. For example

$$|A\rangle + |B\rangle \simeq \begin{pmatrix} z_1 \\ 0 \end{pmatrix} + \begin{pmatrix} 0 \\ z_2 \end{pmatrix} = \begin{pmatrix} z_1 \\ z_2 \end{pmatrix}. \tag{8}$$

- Put together, multiplying states by complex scalars and then adding them together, the combined operation is called a **linear superposition** of the states.
 - Linear superposition of quantum states of a system is still a quantum state of the same system.
 - For example, a generic qubit state,

$$|z\rangle = z_{\uparrow} |\uparrow\rangle + z_{\downarrow} |\downarrow\rangle \simeq \begin{pmatrix} z_{\uparrow} \\ z_{\downarrow} \end{pmatrix}. \tag{9}$$

- The complex vector space where the state vector lives in is called the **Hilbert space**. It is the space of quantum states.
 - The qubit has a **two-dimensional Hilbert space** \Leftrightarrow all possible qubit (spin) state can be **represented** as a **two-component** complex vector.
 - The **dimension** of the *Hilbert space* is the **number** of *basis states* that span the Hilbert space.

Statistical Interpretation

So the quantum state of a qubit is fully described by two complex numbers z_1 and z_2 . What are

their physical interpretations?

Given a spin that has been prepared in the state $|z\rangle = z_{\uparrow} |\uparrow\rangle + z_{\downarrow} |\downarrow\rangle$, and that the apparatus is oriented along z-axis,

- The quantity $z_{\uparrow}^* z_{\uparrow} \equiv |z_{\uparrow}|^2$ is the **probability** that the spin would be *measured* to be $\sigma^z = +1$. It is the probability of the spin being *up* if measured along *z*-axis.
- Likewise, $z_{\downarrow}^* z_{\downarrow} \equiv |z_{\downarrow}|^2$ is the **probability** the spin being down ($\sigma^z = -1$) if measured along z-axis. Because the apparatus has only two outcomes $\sigma^z = \pm 1$, it is a convention to have the *probabilities* adding up to 1.

$$|z_{\uparrow}|^2 + |z_{\downarrow}|^2 = 1. \tag{10}$$

This is the **normalization condition** of the state vector. A state vector satisfying this condition is said to be **normalized**, otherwise we say it is **unnormalized**. In most cases, we deal with normalized states, but unnormalized states are also useful in quantum information.

Now we had a better understanding of why the representation in Eq. (5) was chosen. If the qubit is prepared to the $|\uparrow\rangle$ state, in the *subsequent measurement* of σ^z , we will get $\sigma^z = +1$ with probability 1, and $\sigma^z = -1$ with probability 0, so $|\uparrow\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ is a valid choice. Similar argument for $|\downarrow\rangle$.

Question: What about $z_{\uparrow}^* z_{\downarrow}$ or $z_{\downarrow}^* z_{\uparrow}$?

• First we identify that there is only *one* remaining piece of information in there, which is a relative phase factor $e^{i\varphi}$ between z_{\uparrow} and z_{\downarrow} ,

$$z_{\uparrow}^* z_{\downarrow} = |z_{\uparrow}| |z_{\downarrow}| e^{i\varphi}, \quad z_{\downarrow}^* z_{\uparrow} = |z_{\uparrow}| |z_{\downarrow}| e^{-i\varphi}. \tag{11}$$

- The amplitude $|z_{\uparrow}| |z_{\downarrow}|$ becomes large when the spin is not predominantly in either $|\uparrow\rangle$ or $|\downarrow\rangle$ (along z-axis) \Rightarrow then it is likely to lie in the xy-plane if measured.
- The phase angle φ parameterize the **polar angle** in the xy-plane along which the spin is likely to orient.
- The information about $\langle \sigma^x \rangle$ and $\langle \sigma^y \rangle$ is stored in $z_{\uparrow}^* z_{\downarrow}$ (a kind of *interrelation* between z_{\uparrow} and z_{\downarrow}).

We have discussed about the meaning of $|z_{\uparrow}|$, $|z_{\downarrow}|$ and φ . Those are just three real parameters, but the state vector $|z\rangle$ has two complex = four real components. What is the fourth real parameter? It turns out to be an **overall phase factor**, which can be changed by

$$|z\rangle \mapsto e^{i\,\theta}\,|z\rangle.$$
 (12)

- The *overall phase* is an **redundancy** in the description.
- There should be no physical meaning associated with the overall phase of the state (jargon: the overall phase is a **gauge freedom**).

■ Inner Product

• For each ket-vector $|\psi\rangle$, there is a dual vector, called the bra-vector $\langle\psi|$, living in the dual Hilbert space.

• The bra-vector can be represented as a row vector, conjugate transpose to the ket-vector.

$$|z\rangle = z_{\uparrow} |\uparrow\rangle + z_{\downarrow} |\downarrow\rangle \simeq \begin{pmatrix} z_{\uparrow} \\ z_{\downarrow} \end{pmatrix} \Rightarrow \langle z| = z_{\uparrow}^* \langle \uparrow| + z_{\downarrow}^* \langle \downarrow| \simeq (z_{\uparrow}^* z_{\downarrow}^*). \tag{13}$$

• The names bra and ket come from bra-ket (or bracket) $\langle \psi | \phi \rangle$, which represents the **inner** product of two states $|\psi\rangle$ and $|\phi\rangle$.

$$\langle \psi \mid \phi \rangle = (\psi_1^* \quad \psi_2^* \quad \dots) \begin{pmatrix} \phi_1 \\ \phi_2 \\ \vdots \end{pmatrix} = \psi_1^* \phi_1 + \psi_2^* \phi_2 + \dots = \sum_i \psi_i^* \phi_i. \tag{14}$$

• Interchange bras and kets corresponds to complex conjugation,

$$\langle \psi \mid \phi \rangle = \langle \phi \mid \psi \rangle^*. \tag{15}$$

- Normalized state: a state $|\psi\rangle$ is normalized \Leftrightarrow Its inner product with itself is one, $\langle\psi\mid\psi\rangle=1$.
 - For example, the normalization condition Eq. (10) can be written as

$$\langle z \mid z \rangle = (z_{\uparrow}^* \quad z_{\downarrow}^*) \begin{pmatrix} z_{\uparrow} \\ z_{\downarrow} \end{pmatrix} = z_{\uparrow}^* z_{\uparrow} + z_{\downarrow}^* z_{\downarrow} = 1. \tag{16}$$

- $|\uparrow\rangle$ and $|\downarrow\rangle$ are normalized, because $\langle\uparrow|\uparrow\rangle = \langle\downarrow|\downarrow\rangle = 1$.
- Orthogonal states: two states $|\psi\rangle$ and $|\phi\rangle$ are orthogonal to each other \Leftrightarrow their inner product is zero, $\langle\psi|\phi\rangle=0$.
 - For example, $|\uparrow\rangle$ and $|\downarrow\rangle$ are orthogonal,

$$\langle \uparrow \mid \downarrow \rangle = (1 \quad 0) \begin{pmatrix} 0 \\ 1 \end{pmatrix} = 0. \tag{17}$$

By Eq. (15), $\langle \downarrow | \uparrow \rangle = 0$ also vanishes.

• $|\uparrow\rangle$ and $|\downarrow\rangle$ are *orthogonal* for a good reason: they are **distinct** states of a qubit, i.e. if the spin is up, it is definitely not down, vice versa.

Inner product allows us to do calculation on the abstract level (without involving vectors explicitly).

$$\langle z \mid z \rangle = (z_{\uparrow}^{*} \langle \uparrow | + z_{\downarrow}^{*} \langle \downarrow |) (z_{\uparrow} \mid \uparrow \rangle + z_{\downarrow} \mid \downarrow \rangle)$$

$$= z_{\uparrow}^{*} z_{\uparrow} \langle \uparrow \mid \uparrow \rangle + z_{\uparrow}^{*} z_{\downarrow} \langle \uparrow \mid \downarrow \rangle + z_{\downarrow}^{*} z_{\uparrow} \langle \downarrow \mid \uparrow \rangle + z_{\downarrow}^{*} z_{\downarrow} \langle \downarrow \mid \downarrow \rangle$$

$$= z_{\uparrow}^{*} z_{\uparrow} + z_{\downarrow}^{*} z_{\downarrow} = 1.$$
(18)

• Orthonormal basis: a *complete* set of normalized states $|i\rangle$ which are also orthogonal to each other and span the Hilbert space (meaning that there will be no more candidate state in the Hilbert space that is orthogonal to all of the current basis states).

$$\langle i \mid j \rangle = \delta_{ij} = \begin{cases} 1 & i = j, \\ 0 & i \neq j. \end{cases}$$
 (19)

- Example: $|\uparrow\rangle$ and $|\downarrow\rangle$ form an *orthonormal basis* of the *qubit* Hilbert space.
- The **dimension** of the *Hilbert space* = the **number** of *basis states*.
- Every state $|\psi\rangle$ in the Hilbert space can be written as a linear superposition of orthonormal basis states.

$$|\psi\rangle = \psi_1 |1\rangle + \psi_2 |2\rangle + \dots = \sum_i \psi_i |i\rangle. \tag{20}$$

• The superposition coefficient ψ_i are the components of the state vector, which can be extracted by the inner product with the basis state.

$$\psi_i = \langle i \mid \psi \rangle. \tag{21}$$

• Eq. (20) and Eq. (21) can be written in a more elegant form in terms of bras and kets only

$$|\psi\rangle = \sum_{i} |i\rangle \langle i | \psi\rangle. \tag{22}$$

It could be helpful to check these statement explicitly by choosing an explicit vector representations

$$|1\rangle \simeq \begin{pmatrix} 1\\0\\0\\\vdots \end{pmatrix}, |2\rangle \simeq \begin{pmatrix} 0\\1\\0\\\vdots \end{pmatrix}, |3\rangle \simeq \begin{pmatrix} 0\\0\\1\\\vdots \end{pmatrix}, \dots \tag{23}$$

But such approach is not necessary. The bra-ket notation is powerful in that we will not need to work with vector representations explicitly.

Let us choose a different representation for the qubit, say,
$$|0\rangle \simeq \left(\begin{array}{c} e^{i\,\varphi/2}\cos\theta/2\\ e^{-i\,\varphi/2}\sin\theta/2 \end{array}\right), \ |1\rangle \simeq \left(\begin{array}{c} -e^{i\,\varphi/2}\sin\theta/2\\ e^{-i\,\varphi/2}\cos\theta/2 \end{array}\right),$$

where θ and φ are arbitrary real angles. Show that $|0\rangle$ and $|1\rangle$ form an orthonormal basis (for any choices of θ and φ).

■ States Along Other Axes

Define the following qubit states

• Set the apparatus along z-axis, measure σ^z ,

$$\sigma^z = \begin{cases} +1 & |\uparrow\rangle, \\ -1 & |\downarrow\rangle. \end{cases} \tag{24}$$

• Set the apparatus along x-axis, measure σ^x ,

$$\sigma^x = \begin{cases} +1 & | \to \rangle, \\ -1 & | \leftarrow \rangle. \end{cases} \tag{25}$$

• Set the apparatus along y-axis, measure σ^y ,

$$\sigma^y = \begin{cases} +1 & |\otimes\rangle, \\ -1 & |\odot\rangle. \end{cases} \tag{26}$$

They are three sets of orthonormal basis, each can be represented in the other two basis.

Let us represent the states in the σ^z basis

$$\left| \rightarrow \right\rangle = \frac{1}{\sqrt{2}} \left| \uparrow \right\rangle + \frac{1}{\sqrt{2}} \left| \downarrow \right\rangle = \frac{1}{\sqrt{2}} \left(\frac{1}{1} \right),$$

$$\left| \leftarrow \right\rangle = \frac{1}{\sqrt{2}} \left| \uparrow \right\rangle - \frac{1}{\sqrt{2}} \left| \downarrow \right\rangle = \frac{1}{\sqrt{2}} \left(\frac{1}{-1} \right).$$
(27)

$$\left| \otimes \right\rangle = \frac{1}{\sqrt{2}} \left| \uparrow \right\rangle + \frac{i}{\sqrt{2}} \left| \downarrow \right\rangle = \frac{1}{\sqrt{2}} \left(\frac{1}{i} \right),$$

$$\left| \odot \right\rangle = \frac{1}{\sqrt{2}} \left| \uparrow \right\rangle - \frac{i}{\sqrt{2}} \left| \downarrow \right\rangle = \frac{1}{\sqrt{2}} \left(\frac{1}{-i} \right).$$
(28)

The vector representation is *not unique*, but nevertheless, an explicit representation is always useful in helping us to gain some intuition.

Summary

Much of the toy experiment of the qubit can be understood in the framework

- As we measure σ^z and get $\sigma^z = +1$, we have prepare the qubit in the $|\uparrow\rangle$ state.
- Subsequent measurement will confirm $\sigma^z = +1$ with probability 1.
- When the apparatus is flipped upside down, relative to the apparatus, the qubit state rotates by

$$|\uparrow\rangle \to |\downarrow\rangle, |\downarrow\rangle \to -|\uparrow\rangle.$$
 (29)

So the measurement outcome is $\sigma = -1$ with probability 1.

• When the apparatus is set along the x-axis, we can use

$$|\uparrow\rangle = \frac{1}{\sqrt{2}} |\rightarrow\rangle + \frac{1}{\sqrt{2}} |\leftarrow\rangle \tag{30}$$

to explain that we will measure either $\sigma^x = +1$ or $\sigma^x = -1$ with equal probability (both probability = 1/2).

• After the measurement of σ^x , suppose we get $\sigma^x = -1$, the quantum state **collapses** to \leftarrow , then in the subsequent measurement of σ^z , we use

$$\left|\leftarrow\right\rangle = \frac{1}{\sqrt{2}} \left|\uparrow\right\rangle - \frac{1}{\sqrt{2}} \left|\downarrow\right\rangle \tag{31}$$

to explain that we will get either $\sigma^z = +1$ or $\sigma^z = -1$ with equal probability.

What is a quantum state collapse? How does it happen?

This is still an open question and a frontier of research. What we currently know

- Measurement is a kind of interaction between the qubit and the apparatus.
- Quantum state collapse is a kind of quantum decoherence.
 - The *interaction* entangles (we will discuss this later) the *qubit* and the *apparatus* together, and part of the **quantum information** about the original qubit is *spread* to the *apparatus* and maybe further spread to its *embedding environment*.
 - To access this piece of the quantum information, the **computational complexity** (the computation here includes quantum computation) is huge. Limited by the finite computational resources available to human, it is as if the information has **lost** (since we can not decode it).
 - The loss of quantum information creates entropy. Randomness also originates with the *information loss*. The process that the qubit deteriorates from a pure state to a mixed state is called quantum decoherence (we will discuss this later).
 - Quantum decoherence may be a "illusion" of limited quantum computational resources. "Our resources limit our understanding". The limitation in our resources is the origin of the probability description of quantum mechanics. (Similar philosophy applies to statistical mechanics)

Quantum Operators

Hermitian Operators

■ How Operator Works?

Axioms of Quantum Mechanics (two of five)

Axiom 1 (States): States of a quantum system are described as (rays of) vectors in the associated Hilbert space.

Axiom 2 (Observables): Physical observables of a quantum system are described by Hermitian operators (represented by Hermitian matrices) acting on the associated Hilbert space.

Observables are things that we can measure. Operators are what we apply to a state to "modify"

the state. How can these two seemly different concepts be related?

Well, let us first understand how operator works?

• An operator M (like a "machine") takes a state $|\psi\rangle$ and returns another state $|\phi\rangle$:

$$M|\psi\rangle = |\phi\rangle.$$
 (32)

- An operator is said to be **linear**, if it *preserves* the *linearity* of the state, i.e. $M(z_1 | \psi \rangle + z_2 | \phi \rangle) = z_1 M | \psi \rangle + z_2 M | \phi \rangle$.
- In general, an linear operator can be written as a linear superposition of basis operators $|i\rangle\langle j|$ and can be represented as a matrix,

$$M = \sum_{ij} |i\rangle M_{ij} \langle j| \simeq \begin{pmatrix} M_{11} & M_{12} & \cdots \\ M_{21} & M_{22} & \cdots \\ \vdots & \vdots & \ddots \end{pmatrix}$$
(33)

• Each matrix element M_{ij} is a *complex* number (in general).

Take a qubit for example, there are four basis operators $|i\rangle\langle j|$

$$|\uparrow\rangle\langle\uparrow| \simeq \begin{pmatrix} 1\\0 \end{pmatrix} (1 \quad 0) = \begin{pmatrix} 1 & 0\\0 & 0 \end{pmatrix},$$

$$|\uparrow\rangle\langle\downarrow| \simeq \begin{pmatrix} 1\\0 \end{pmatrix} (0 \quad 1) = \begin{pmatrix} 0 & 1\\0 & 0 \end{pmatrix},$$

$$|\downarrow\rangle\langle\uparrow| \simeq \begin{pmatrix} 0\\1 \end{pmatrix} (1 \quad 0) = \begin{pmatrix} 0 & 0\\1 & 0 \end{pmatrix},$$

$$|\downarrow\rangle\langle\downarrow| \simeq \begin{pmatrix} 0\\1 \end{pmatrix} (0 \quad 1) = \begin{pmatrix} 0 & 0\\0 & 1 \end{pmatrix}.$$

$$(34)$$

Each basis operator implements a "basic operation", e.g. $|\downarrow\rangle\langle\uparrow|$ takes the up-spin state $|\uparrow\rangle$ and returns the down-spin state $|\downarrow\rangle$. Any linear operator of a qubit will be a superposition of these four basis operators.

$$M = M_{\uparrow\uparrow} |\uparrow\rangle \langle\uparrow| + M_{\uparrow\downarrow} |\uparrow\rangle \langle\downarrow| + M_{\downarrow\uparrow} |\downarrow\rangle \langle\uparrow| + M_{\downarrow\downarrow} |\downarrow\rangle \langle\downarrow|$$

$$\simeq \begin{pmatrix} M_{\uparrow\uparrow} & M_{\uparrow\downarrow} \\ M_{\downarrow\uparrow} & M_{\downarrow\downarrow} \end{pmatrix}. \tag{35}$$

• Applying an *operator* to a *state* \simeq multiplying a *matrix* to a *vector*. Consider the *vector* representations of *states*

$$|\psi\rangle = \sum_{i} \psi_{i} |i\rangle \simeq \begin{pmatrix} \psi_{1} \\ \psi_{2} \\ \vdots \end{pmatrix},$$

$$|\phi\rangle = \sum_{i} \phi_{i} |i\rangle \simeq \begin{pmatrix} \phi_{1} \\ \phi_{2} \\ \vdots \end{pmatrix},$$

$$(36)$$

the two sides of Eq. (32) are

$$M |\psi\rangle = \sum_{ij} |i\rangle M_{ij} \langle j| \sum_{k} \psi_k |k\rangle$$

$$= \sum_{ij} M_{ij} \psi_j |i\rangle,$$
$$|\phi\rangle = \sum_i \phi_i |i\rangle,$$

which will match iff

$$\phi_{i} = \sum_{j} M_{ij} \psi_{j},
\begin{pmatrix} \phi_{1} \\ \phi_{2} \\ \vdots \end{pmatrix} = \begin{pmatrix} M_{11} & M_{12} & \cdots \\ M_{21} & M_{22} & \cdots \\ \vdots & \vdots & \ddots \end{pmatrix} \begin{pmatrix} \psi_{1} \\ \psi_{2} \\ \vdots \end{pmatrix}.$$
(38)

- Tensor network: a diagrammatic representation of tensor contractions
 - Each object is a **tensor** (multi-dimensions array).
 - Vectors are 1d tensors, represented by an object with one leg



• Matrices are 2d tensors, represented by an object with two legs



• **Tensor contraction**: connected indices are contracted automatically. For example, matrix-vector multiplication can be expressed as a tensor contraction.

$$-M$$
 ψ = $-\phi$

 \bullet On an orthonormal basis, the matrix elements of an operator M can be extracted by

$$M_{ij} = \langle i | M | j \rangle, \tag{39}$$

because the following identity holds

$$M = \sum_{ij} |i\rangle \langle i| M |j\rangle \langle j|, \tag{40}$$

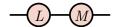
given that $\sum_{i} |i\rangle \langle i| = 1$ is an **identity operator**. This trick is commonly used to find representations of states and operators, and is called the *resolution of identity*. See also Eq. (21).

• Composition of operators: one operation following by another (from right to left)

$$L M = \sum_{ij} |i\rangle L_{ij} \langle j| \sum_{kl} |k\rangle M_{kl} \langle l|$$

$$= \sum_{ij} |i\rangle \left(\sum_{k} L_{ik} M_{kj} \right) \langle j|.$$
(41)

• Composing two operators \simeq multiplying two matrices.



■ Hermitian Conjugate

We have talked about how an operator acts on a ket-vector $|\psi\rangle$, what about its action on the bra-vector $|\psi\rangle$?

Hilbert space \Rightarrow dual Hilbert space $\text{ket-state} |\psi\rangle \Rightarrow \text{bra-state} \langle\psi|$ operator $M \Rightarrow \text{Hermitian conjutate operator } M^{\dagger}$

- If $M|\psi\rangle = |\phi\rangle$ then $\langle \psi|M^{\dagger} = \langle \phi|$ (which defines M^{\dagger} as a dual/conjugate of M).
- In terms of tensor networks, this corresponds to flipping tensors around.

$$\begin{array}{cccc} & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & \\ & & \\$$

Recall from Eq. (13):

$$|\psi\rangle = \sum_{i} \psi_{i} |i\rangle = \begin{pmatrix} \psi_{1} \\ \psi_{2} \\ \vdots \end{pmatrix}$$

$$\Rightarrow \langle \psi| = \sum_{i} \langle i| \psi_{i}^{*} = (\psi_{1}^{*} \ \psi_{2}^{*} \ \cdots), \tag{42}$$

the way to get $\langle \psi | M^{\dagger} = \langle \phi | \text{ is to define}$

$$M = \sum_{ij} |i\rangle \ M_{ij} \langle j| \simeq \begin{pmatrix} M_{11} & M_{12} & \cdots \\ M_{21} & M_{22} & \cdots \\ \vdots & \vdots & \ddots \end{pmatrix}$$

$$\Rightarrow M^{\dagger} = \sum_{ij} |i\rangle \ M_{ji}^* \langle j| \simeq \begin{pmatrix} M_{11}^* & M_{21}^* & \cdots \\ M_{12}^* & M_{22}^* & \cdots \\ \vdots & \vdots & \ddots \end{pmatrix}, \tag{43}$$

such that

$$\langle \psi | M^{\dagger} = \sum_{i} \langle i | \psi_{i}^{*} \sum_{jk} | j \rangle M_{kj}^{*} \langle k |$$

$$= \sum_{k} \phi_{k}^{*} \langle k | = \langle \phi |.$$

$$(44)$$

where Eq. (38) was used in the form of

$$\phi_{k}^{*} = \sum_{j} M_{kj}^{*} \psi_{j}^{*},$$

$$(\phi_{1}^{*} \quad \phi_{2}^{*} \quad \cdots) = (\psi_{1}^{*} \quad \psi_{2}^{*} \quad \cdots) \begin{pmatrix} M_{11}^{*} & M_{21}^{*} & \cdots \\ M_{12}^{*} & M_{22}^{*} & \cdots \\ \vdots & \vdots & \ddots \end{pmatrix}.$$

$$(45)$$

In terms of matrix representation, **Hermitian conjugate** acts as

- matrix transpose (interchanges the rows and columns),
- followed by **complex conjugation** of each matrix element.

How to think of it: Hermitian conjugate \sim a generalization of complex conjugate from complex numbers to matrices.

Hermitian conjugate has the following properties:

• **Duality**: suppose A is an operator

$$(A^{\dagger})^{\dagger} = A. \tag{46}$$

• Linearity: suppose A and B are operators, a and b are complex numbers,

$$(aA + bB)^{\dagger} = a^* A^{\dagger} + b^* B^{\dagger}. \tag{47}$$

• Factor reversal: suppose A and B are operators

$$(A B)^{\dagger} = B^{\dagger} A^{\dagger}. \tag{48}$$

■ Hermitian Operator

Real numbers play a special role in physics. The results of any measurements are real. If in quantum mechanics, physical observables are represented by operators, how do we impose the "reality" condition on operators?

- A **real number** is a number whose *complex conjugation* is itself.
- A real operator Hermitian operator is an linear operator whose Hermitian conjugate is itself.

For example, if $L = \sum_{ij} |i\rangle L_{ij} \langle j|$ is Hermitian, then

$$L = L^{\dagger},\tag{49}$$

or in terms of matrix elements,

$$L_{ij} = L_{ii}^*. ag{50}$$

• Given a complex number z, real part: Re $z = (z + z^*)/2$, imaginary part: Im $z = (z - z^*)/(2i)$. Similarity, given a linear operator M

Re
$$M = \frac{1}{2} (M + M^{\dagger})$$
, Im $M = \frac{1}{2i} (M - M^{\dagger})$. (51)

 \bullet Both Re M and Im M are Hermitian operators.

■ Eigenvalues and Eigenvectors

In general, a linear operator acting on a state will change the state. But for a fixed linear operator M, there can be special states $|\mu\rangle$ that remain the same under the operation. The only effect of M on these states is to rescale them by an overall factor μ (can be complex).

$$M|\mu\rangle = \mu|\mu\rangle. \tag{52}$$

• the μ (outside the ket) is a *number*, indicating how much the vector is rescaled under the action of M. This number is an **eigenvalue** of the operator.

• $|\mu\rangle$ is an **eigenvector** that is associated with its eigenvalue μ .

Given the matrix representation of an operator, its eigenvalues and eigenvectors can be found by solving the eigen equation by *Mathematica*.

Eigensystem[
$$\{\{0, -1\}, \{1, 0\}\}$$
]
 $\{\{\dot{1}, -\dot{1}\}, \{\{\dot{1}, 1\}, \{-\dot{1}, 1\}\}\}$

• For bra vectors,

$$M |\mu\rangle = \mu |\mu\rangle \Rightarrow \langle \mu| M^{\dagger} = \langle \mu| \mu^*.$$
 (53)

What is special about Hermitian operators?

- Eigenvalues of a Hermitian operator are real.
- *Eigenvectors* of a Hermitian operator for a **complete** set of basis. (Any vector can be expanded as a sum of these eigenvectors.)
 - If $\lambda_1 \neq \lambda_2$ are two *unequal* eigenvalues of a Hermitian operator, then their corresponding eigenvectors $|\lambda_1\rangle$ and $|\lambda_2\rangle$ are orthogonal (automatically).
 - Eigenvectors of the *same* eigenvalue can be made orthogonal (by orthogonalization, e.g. Gram-Schmidt procedure).

Orthogonalize[{{1, 2}, {3, 4}}]

$$\left\{ \left\{ \frac{1}{\sqrt{5}}, \frac{2}{\sqrt{5}} \right\}, \left\{ \frac{2}{\sqrt{5}}, -\frac{1}{\sqrt{5}} \right\} \right\}$$

- For bounded Hermitian operators (e.g. finite matrices in finite dimensional Hilbert space), eigenvectors can be normalized.
- In conclusion, each **Hermitian operator** generates a set of **complete** and **orthonormal** basis for Hilbert space. The set of basis is also called the **eigenbasis** of a Hermitian operator.

Suppose L is Hermitian $(L = L^{\dagger})$ and

$$L |\lambda_1\rangle = \lambda_1 |\lambda_1\rangle, L |\lambda_2\rangle = \lambda_2 |\lambda_2\rangle.$$
(54)

We can flip the first equation $\langle \lambda_1 | L^{\dagger} = \langle \lambda_1 | L = \langle \lambda_1 | \lambda_1^*,$

$$\langle \lambda_1 | L | \lambda_2 \rangle = \lambda_1^* \langle \lambda_1 | \lambda_2 \rangle,$$

$$\langle \lambda_1 | L | \lambda_2 \rangle = \lambda_2 \langle \lambda_1 | \lambda_2 \rangle.$$
(55)

• If $|\lambda_1\rangle = |\lambda_2\rangle$ (automatically implying $\lambda_1 = \lambda_2$), Eq. (55) implies $\langle \lambda | L | \lambda \rangle = \lambda^* \langle \lambda | \lambda \rangle = \lambda \langle \lambda | \lambda \rangle$, so λ is real.

- If $|\lambda_1\rangle$ and $|\lambda_2\rangle$ are two different (non-colinear) states,
 - with unequal eigenvalues $\lambda_1 \neq \lambda_2$, Eq. (55) implies $(\lambda_1 \lambda_2) \langle \lambda_1 | \lambda_2 \rangle = 0$, so $\langle \lambda_1 | \lambda_2 \rangle = 0$.

• but their eigenvalues $\lambda_1 = \lambda_2 = \lambda$ happen to be the same. In this case, $|\lambda_1\rangle$ and $|\lambda_2\rangle$ are **degenerate**. Degenerated states span a subspace, called the **degenerate subspace**. Any state in the degenerate subspace

$$|\lambda\rangle = z_1 |\lambda_1\rangle + z_2 |\lambda_2\rangle,\tag{56}$$

is an eigenvector of the Hermitian operator with the same eigenvalue λ , because

$$L |\lambda\rangle = z_1 L |\lambda_1\rangle + z_2 L |\lambda_2\rangle$$

$$= z_1 \lambda |\lambda_1\rangle + z_2 \lambda |\lambda_2\rangle$$

$$= \lambda(z_1 |\lambda_1\rangle + z_2 |\lambda_2\rangle)$$

$$= \lambda |\lambda\rangle.$$
(57)

• Hermitian operators admits the following spectral decomposition in its own eigenbasis,

$$L = \sum_{i} |\lambda_{i}\rangle \,\lambda_{i} \,\langle \lambda_{i}|. \tag{58}$$

- Note: unlike a generic matrix representation $L = \sum_{ij} |i\rangle l_{ij} \langle j|$, in the eigenbasis, the summation only run through the dimension of the Hilbert space once.
- In the eigenbasis, the Hermitian operator is represented as a **diagonal matrix**. So the procedure of bring the *matrix* representation to its *diagonal* form by transforming to its *eigenbasis* is called **diagonalization**. (We will discuss more about it later.)

■ Measurement Postulate

Now we are well prepared to come back to Axiom 2.

Axiom 2 (Observables): Physical observables of a quantum system are described by Hermitian operators (represented by Hermitian matrices) acting on the associated Hilbert space.

Suppose we have a physical observable described the Hermitian operator L. It has a set of eigenvalues and eigenvectors

$$L = \sum_{i} |\lambda_i\rangle \,\lambda_i \,\langle \lambda_i|. \tag{59}$$

- The possible results of a measurement are the eigenvalues λ_i . (Assuming they are not degenerate for now.)
- The **measurement** projects (collapses) the quantum state to the **eigenstate** $|\lambda_i\rangle$ that corresponds to the measurement outcome λ_i .

Now comes another axiom of quantum mechanics

Axiom 3 (Measurement): Given a quantum system in the **state** $|\psi\rangle$ and the **observable** L to be measured, the **probability** to observe the measurement outcome λ_i is $p(\lambda_i) = |\langle \lambda_i | \psi \rangle|^2$.

- No way to tell for certain which outcome will be observed. There is only a probability $p(\lambda_i)$.
- Probability is given by the *square* of the overlap. Why the square? Probability must be (i) real and positive, (ii) "gauge invariant" (i.e. independent of the overall phase of either states).
- Subsequent measurement must confirm the result. \Rightarrow After the initial measurement, the state must have been collapsed to the eigenstate $|\lambda_i\rangle$ (but how?).

What if there is a degenerate subspace corresponding to the eigen value λ ?

• Projection operator of the eigenspace associated to λ

$$P(\lambda) = \sum_{\lambda_i} |\lambda_i\rangle \,\delta(\lambda_i - \lambda) \,\langle \lambda_i|,$$

$$\delta(\lambda_i - \lambda) = \begin{cases} 1 & \lambda_i = \lambda, \\ 0 & \lambda_i \neq \lambda. \end{cases}$$
(60)

• The probability to observe the measurement outcome λ will be

$$p(\lambda) = \langle \psi | P(\lambda) | \psi \rangle. \tag{61}$$

• If the outcome λ is observed, the state must have collapsed to

$$|\psi\rangle \xrightarrow{\text{measure } L, \text{ get } \lambda} \frac{P(\lambda) |\psi\rangle}{\langle \psi | P(\lambda) |\psi\rangle^{1/2}}.$$
 (62)

• Expectation value of the observable. The averaged measurement outcome over many repeated experiments (initial state must be prepared each time). By definition and use $p(\lambda_i) = |\langle \lambda_i \mid \psi \rangle|^2$

$$\langle L \rangle = \sum_{i} \lambda_{i} \, p(\lambda_{i}) = \sum_{i} \langle \psi \mid \lambda_{i} \rangle \, \lambda_{i} \, \langle \lambda_{i} \mid \psi \rangle, \tag{63}$$

given $L = \sum_{i} |\lambda_{i}\rangle \lambda_{i} \langle \lambda_{i}|$ we have

$$\langle L \rangle = \langle \psi | L | \psi \rangle. \tag{64}$$

- The answer is a real scalar (as L is Hermitian).
- Represented as vectors and matrices,

$$(\psi_1^* \quad \psi_2^* \quad \cdots) \begin{pmatrix} L_{11} \quad L_{12} \quad \cdots \\ L_{21} \quad L_{22} \quad \cdots \\ \vdots \quad \vdots \quad \ddots \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \\ \vdots \end{pmatrix},$$
 (65)

• or in terms of tensor network,



■ Example: Single-Qubit Operators

For a single qubit (spin), the physical observables are $\sigma = (\sigma^x, \sigma^y, \sigma^z)$.

• Each **observable** corresponds to a **Hermitian operator** acting in the 2-dimensional Hilbert space.

• In the $|\uparrow\rangle$ and $|\downarrow\rangle$ basis, their **matrix** representations are

$$\sigma^{x} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix},$$

$$\sigma^{y} = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix},$$

$$\sigma^{z} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$
(66)

These matrices are called **Pauli matrices**.

- They are all *Hermitian* matrices.
- Their eigenvectors are given by Eq. (5), Eq. (27), and Eq. (28)

$$|\sigma^{x} = +1\rangle \simeq \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\1 \end{pmatrix}, \ |\sigma^{x} = -1\rangle \simeq \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\-1 \end{pmatrix};$$

$$|\sigma^{y} = +1\rangle \simeq \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\i \end{pmatrix}, \ |\sigma^{y} = -1\rangle \simeq \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\-i \end{pmatrix};$$

$$|\sigma^{z} = +1\rangle \simeq \begin{pmatrix} 1\\0 \end{pmatrix}, \ |\sigma^{z} = -1\rangle \simeq \begin{pmatrix} 0\\1 \end{pmatrix}.$$
(67)

Each set of eigenvectors form a set of *complete* and *orthonormal* basis of the qubit Hilbert space.

• Their corresponding eigenvalues are all ± 1 : no matter we measure the qubit along x, y, z directions, we only get to possible outcomes ± 1 .

Let $\mathbf{m} = (m_x, m_y, m_z)$ be a three-component real vector. Define the operator $\mathbf{m} \cdot \mathbf{\sigma} = m_x \, \sigma^x + m_y \, \sigma^y + m_z \, \sigma^z$.

- (i) Write down the matrix representation of $m \cdot \sigma$ in $|\uparrow\rangle$ and $|\downarrow\rangle$ basis.
- (ii) If we measure the observable $m \cdot \sigma$, what are the possible measurement outcomes? Now assume \hat{m} and \hat{n} are both three-component unit vectors (i.e. $\hat{m} \cdot \hat{m} = \hat{n} \cdot \hat{n} = 1$).
- (iii) Let $\hat{\boldsymbol{m}} = (\sin \theta \cos \varphi, \sin \theta \sin \varphi, \cos \theta)$. Calculate eigenvalues and eigenvectors (in terms of θ and φ) of $\hat{\boldsymbol{m}} \cdot \boldsymbol{\sigma}$.
- (iv) What is the probability of observing $\hat{\boldsymbol{n}} \cdot \boldsymbol{\sigma} = +1$ when measuring the observable $\hat{\boldsymbol{n}} \cdot \boldsymbol{\sigma}$ on the state $|\hat{\boldsymbol{m}} \cdot \boldsymbol{\sigma}| = +1$ (which was prepared by measuring $\hat{\boldsymbol{m}} \cdot \boldsymbol{\sigma}$ previously)? (in terms of $\hat{\boldsymbol{m}}$ and $\hat{\boldsymbol{n}}$)
- (v) What is the expectation value of the operator $\hat{\boldsymbol{n}} \cdot \boldsymbol{\sigma}$ on the state $|\hat{\boldsymbol{m}} \cdot \boldsymbol{\sigma} = +1\rangle$? (in terms of $\hat{\boldsymbol{m}}$ and $\hat{\boldsymbol{n}}$)

HW 2

Unitary Operators

■ Basis Transformation

What operator should we apply to switch from one basis to another?

• Example: from $|\rightarrow\rangle$ and $|\leftarrow\rangle$ basis to $|\uparrow\rangle$ and $-|\downarrow\rangle$ basis

$$U = |\uparrow\rangle \langle \rightarrow | - |\downarrow\rangle \langle \leftarrow |. \tag{68}$$

- U maps $|\rightarrow\rangle$ to $|\uparrow\rangle$ and maps $|\leftarrow\rangle$ to $-|\downarrow\rangle$.
- \bullet Using explicit *vector* representations

$$|\uparrow\rangle \simeq \begin{pmatrix} 1\\0 \end{pmatrix}, |\downarrow\rangle \simeq \begin{pmatrix} 0\\1 \end{pmatrix};$$

$$|\to\rangle \simeq \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\1 \end{pmatrix}, |\leftarrow\rangle \simeq \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\-1 \end{pmatrix}.$$
(69)

we find

$$U = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix}. \tag{70}$$

U is an example of **unitary operator**.

• It implements a basis transformation, e.g.

$$U(z_1 \mid \rightarrow) + z_2 \mid \leftarrow)) = z_1 \mid \uparrow \rangle - z_2 \mid \downarrow \rangle. \tag{71}$$

• A unitary operator is a linear operator whose Hermitian conjugation is its inverse, i.e.

$$U^{\dagger} U = U U^{\dagger} = 1. \tag{72}$$

- Two operators are *inverse* to each other \Leftrightarrow sequential application of them is equivalent to applying the *identity* (do-nothing) operator \mathbb{I} .
- The operation implemented by U is countered by that of U^{\dagger} , and vice versa.
- Unitary operators can implement basis transformations (between two sets of orthonormal basis $|\lambda_i\rangle$ and $|\mu_i\rangle$).

$$U = \sum_{i} |\lambda_{i}\rangle \langle \mu_{i}|, \tag{73}$$

• If $|\lambda_i\rangle$ and $|\mu_i\rangle$ are identical, U=1 becomes the identity operator (which is also unitary).

One can verify that

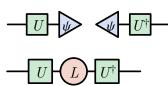
$$U^{\dagger}\ U = \sum_{i} |\mu_{i}\rangle \langle \lambda_{i}| \sum_{j} |\lambda_{j}\rangle \langle \mu_{j}|$$

$$\begin{split} &= \sum_{ij} |\mu_i\rangle \, \langle \lambda_i \mid \lambda_j\rangle \, \langle \mu_j| \\ &= \sum_{ij} |\mu_i\rangle \, \delta_{ij} \, \langle \mu_j| \\ &= \sum_{i} |\mu_i\rangle \, \langle \mu_i| = \mathbb{1}, \end{split}$$

and similar for $UU^{\dagger}=\mathbb{I}$. This means actually any basis transformation can be considered as a unitary operator.

• Applying basis transformations to

ket states :
$$|\psi\rangle \rightarrow U |\psi\rangle$$
,
bra states : $\langle\psi|\rightarrow \langle\psi|\ U^{\dagger}$,
operators : $L\rightarrow U\ L\ U^{\dagger}$. (75)



- Operator is made of ket and bra states, so the unitary operator must be applied from both sides.
- The expectation value of an observable is invariant under basis transformation. (Measurement outcome should be basis-independent.)

$$\langle L \rangle = \langle \psi | L | \psi \rangle \to \langle \psi | U^{\dagger} U L U^{\dagger} U | \psi \rangle = \langle \psi | \mathbb{1} L \mathbb{1} | \psi \rangle = \langle L \rangle. \tag{76}$$

• **Diagonalization** of a *Hermitian operator*: find a unitary operator to bring the Hermitian operator to *diagonal form* by transforming to its *eigenbasis*.

$$U = \sum_{i} |i\rangle \langle \lambda_{i}|,$$

$$L = \sum_{i} |\lambda_{i}\rangle \lambda_{i} \langle \lambda_{i}|,$$
(77)

such that under $L \to U L U^{\dagger}$,

$$\Lambda = U L U^{\dagger} = \sum_{i} |i\rangle \lambda_{i} \langle i| = \begin{pmatrix} \lambda_{1} & 0 & \cdots \\ 0 & \lambda_{2} & \cdots \\ \vdots & \vdots & \ddots \end{pmatrix}$$
 (78)

is diagonal.

• Every *Hermitian* matrix can be written as

$$L = U^{\dagger} \Lambda U, \tag{79}$$

where Λ is diagonal and U is unitary.

$$-L = -U^{\dagger} \wedge U$$

• Or equivalently, the *unitary* transformation *U* brings the *Hermitian* matrix to its *diagonal* form,

$$U L U^{\dagger} = \Lambda. \tag{80}$$

■ Hermitian Generators

If **Hermitian operators** are generalization of **real numbers**, then **unitary operators** are generalization of **phase factors**. $(u \in \mathbb{C} \text{ and } |u| = 1)$

$$u^* \ u = u \ u^* = |u|^2 = 1. \tag{81}$$

- For complex numbers, a phase factor can be written as $u = e^{i\theta}$, where $\theta \in \mathbb{R}$ is a real phase angle.
- Similar ideas apply to unitary operators: every **unitary operator** can be **generated** by a **Hermitian operator** in the form of

$$U = e^{iL}. (82)$$

Given a Hermitian operator L, by e^{iL} we mean

• in the eigen basis

$$e^{iL} = \sum_{i} |\lambda_{i}\rangle e^{i\lambda_{i}} \langle \lambda_{i}|. \tag{83}$$

• by operator Taylor expansion

$$e^{iL} = 1 + iL + \frac{(iL)^2}{2!} + \frac{(iL)^3}{3!} + \dots$$
 (84)

By definition, e^{iL} is unitary if L is Hermitian, since

$$U^{\dagger} \ U = (e^{iL})^{\dagger} \ e^{iL} = e^{-iL^{\dagger}} \ e^{iL} = e^{-iL} \ e^{iL} = \mathbb{I}, \tag{85}$$

and similar for $UU^{\dagger}=\mathbb{I}$.

For example, the unitary operator we encountered in Eq. (70) can be generated by the Hermitian operator σ^y ,

$$U = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} = e^{\frac{\pi}{4} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}} = e^{\frac{i\pi}{4} \sigma^y}.$$
 (86)

MatrixExp[$\pi/4$ {{0, 1}, {-1, 0}}]

$$\left\{ \left\{ \frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}} \right\}, \left\{ -\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}} \right\} \right\}$$

Another way to verify this is to switch to the eigenbasis as in Eq. (83)

$$e^{\frac{i\pi}{4}\sigma_y} = \left| \otimes \right\rangle e^{+\frac{i\pi}{4}} \left\langle \otimes \right| + \left| \odot \right\rangle e^{-\frac{i\pi}{4}} \left\langle \odot \right|, \tag{87}$$

then using Eq. (28) to show

$$e^{\frac{i\pi}{4}\sigma^{y}} = \frac{1}{2} \begin{pmatrix} 1\\ i \end{pmatrix} e^{+\frac{i\pi}{4}} (1 - i) - \frac{1}{2} \begin{pmatrix} 1\\ -i \end{pmatrix} e^{-\frac{i\pi}{4}} (1 i) = \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\ -1 1 \end{pmatrix}. \tag{88}$$

The coefficient $\pi/4$ in front of σ^y looks like an angle \Rightarrow let us try to replace it by an arbitrary angle θ :

$$e^{i\theta\sigma^{y}} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} = \cos\theta \, \mathbb{I} + i\sin\theta \, \sigma^{y}. \tag{89}$$

MatrixExp[θ {{0, 1}, {-1, 0}}]

$$\{\{\mathsf{Cos}[\theta], \mathsf{Sin}[\theta]\}, \{-\mathsf{Sin}[\theta], \mathsf{Cos}[\theta]\}\}$$

Let us verify it using Taylor expansion in Eq. (84)

$$e^{i\theta\sigma^{y}} = \mathbb{I} + i\theta\sigma^{y} + \frac{1}{2!}(i\theta\sigma^{y})^{2} + \frac{1}{3!}(i\theta\sigma^{y})^{3} + \frac{1}{4!}(i\theta\sigma^{y})^{4} + \dots$$

$$= \left(1 - \frac{1}{2!}\theta^{2} + \frac{1}{4!}\theta^{4} + \dots\right)\mathbb{I} + i\left(\theta - \frac{1}{3!}\theta^{3} + \dots\right)\sigma^{y}$$

$$= \cos\theta\mathbb{I} + i\sin\theta\sigma^{y}.$$
(90)

Note that $(\sigma^y)^2 = 1$. Consider $U(\theta) = e^{i\theta\sigma^y}$. It implements a **basis rotation** with θ being the **rotation angle**:

$$U(\theta) \mid \uparrow \rangle = \cos \theta \mid \uparrow \rangle - \sin \theta \mid \downarrow \rangle \simeq \begin{pmatrix} \cos \theta \\ -\sin \theta \end{pmatrix}. \tag{91}$$

Special case: when $\theta = 0$, $U(0) = 1 \Rightarrow$ no rotation is performed.

More generally, let $U(\theta)$ be the **unitary operator** that implements certain basis rotation by an **angle** θ . When $\theta = \Delta \theta$ is **small**, we can Taylor expand

$$U(\Delta\theta) = U(0) + U'(0) \,\Delta\theta + \dots = 1 + U'(0) \,\Delta\theta + \dots, \tag{92}$$

where U'(0) is $\partial_{\theta} U(\theta)$ evaluated at $\theta = 0$.

U'(0) is also an operator (matrix), usually denoted as U'(0) = i L. We call L the **generator** of the rotation/unitary operator, because it *generates* an **infinitesimal rotation**

$$U(\Delta\theta) = 1 + i \,\Delta\theta \,L + \dots \tag{93}$$

 $U(\Delta\theta)$ is unitary $\Rightarrow L$ is Hermitian.

 $U(\Delta\theta)^{\dagger} U(\Delta\theta)$

$$= (\mathbb{I} - i \Delta \theta L^{\dagger} + \dots) (\mathbb{I} + i \Delta \theta L + \dots)$$

$$= \mathbb{I} + i \Delta \theta (L - L^{\dagger}) + \dots = \mathbb{I}.$$
(94)

Large rotations can be accumulated from small rotations.

$$U(N \Delta \theta) = U(\Delta \theta)^{N} = (\mathbb{1} + i \Delta \theta L)^{N}. \tag{95}$$

As $\Delta\theta$ is small (but N can be large, s.t. $\theta = N \Delta\theta$ is finite),

$$\ln U(N \Delta \theta) = N \ln(\mathbb{I} + i \Delta \theta L) = i N \Delta \theta L, \tag{96}$$

So $U(N \Delta \theta) = e^{i N \Delta \theta L}$, we obtain the exponential form

$$U(\theta) = e^{i\,\theta\,L}.\tag{97}$$

Conclusion: every *Hermitian* operator generates a *unitary* operator.

■ Time-Evolution is Unitary

Unitarity: *information* is never lost.

- Two *identical* and *isolated* systems, start out in **different** states ⇒ **remains** in **different** states (in both future and past).
- Two *identical* and *isolated* systems, start out in the **same** state ⇒ follow **identical evolution** (in both future and past).

Although **measurement** seems to be **non-deterministic**, evolution of quantum **state** is **deterministic**: suppose you know the *state* at one time, then the quantum *equation of motion* tell you what it will be later.

$$|\psi(t)\rangle = U(t)|\psi(0)\rangle, \tag{98}$$

 $|\psi(0)\rangle$ is the initial state, and $|\psi(t)\rangle$ is the state at time t. U(t) is the **time-evolution operator** that takes $|\psi(0)\rangle$ to $|\psi(t)\rangle$. \mathcal{P} We will show that U(t) should be unitary.

• Different states remain different (here, different states are states that can be told apart definitely by a measurement, due to their different outcomes, so they are actually orthogonal):

$$\langle \phi(0) \mid \psi(0) \rangle = 0 \Rightarrow \langle \phi(t) \mid \psi(t) \rangle = \langle \phi(0) \mid U(t)^{\dagger} \mid U(t) \mid \psi(0) \rangle = 0. \tag{99}$$

• Same states remain the same

$$\langle \psi(0) \mid \psi(0) \rangle = 1 \Rightarrow \langle \psi(t) \mid \psi(t) \rangle = \langle \psi(0) \mid U(t)^{\dagger} \mid U(t) \mid \psi(0) \rangle = 1. \tag{100}$$

Or, the fact that the probability adds up to 1 is preserved.

Treat $|\psi(0)\rangle$ and $|\phi(0)\rangle$ as members of any orthonormal basis, then Eq. (99) and Eq. (100) implies

$$\langle i | U(t)^{\dagger} U(t) | j \rangle = \delta_{ij} \Rightarrow U(t)^{\dagger} U(t) = 1.$$
 (101)

Therefore, the **time-evolution** operator U(t) is **unitary**.

Hamiltonian and Schrödinger Equation

Hamiltonian *generates* time-evolution!

As a *unitary* operator, the *time-evolution* operator is also *generated* by a *Hermitian* operator, called the **Hamiltonian**,

$$H = i \ U'(0) = i \ \partial_t \ U(t)|_{t=0}$$
 (102)

For small Δt , infinitesimal evolution is given by

$$U(\Delta t) = 1 - i H \Delta t + \dots, \tag{103}$$

therefore the state evolves as

$$|\psi(\Delta t)\rangle = U(\Delta t) |\psi(0)\rangle = |\psi(0)\rangle - i \Delta t H |\psi(0)\rangle, \tag{104}$$

meaning that

$$i \,\partial_t |\psi(0)\rangle = i \, \frac{|\psi(\Delta t)\rangle - |\psi(0)\rangle}{\Delta t} = H \, |\psi(0)\rangle. \tag{105}$$

There is nothing special about t = 0. Eq. (105) should hold at any time.

$$i \partial_t | \psi(t) \rangle = H | \psi(t) \rangle.$$
 (106)

This is the **Schrödinger equation**, the equation of motion for the quantum state.

- The Hamiltonian H(t) = i U'(t) can be **time-dependent** in general.
- But in many cases, we consider H to be **time-independent**, by assuming the **time-translation symmetry**.

What happens to Planck's constant?

$$\hbar = \frac{h}{2\pi} = 1.0545718 \,(13) \times 10^{-34} \,\mathrm{J s.} \tag{107}$$

In quantum mechanics, the *observable* associated with the **Hamiltonian** is the **energy**. To balance the *dimensionality* across the Schrödinger equation, *Planck's constant* is inserted for Eq. (106):

$$i \hbar \partial_t |\psi(t)\rangle = H |\psi(t)\rangle.$$
 (108)

Why is \hbar so small? Well, the answer has more to do with biology than with physics \Rightarrow Why we are so big, heavy and slow? A natural choice for quantum mechanics is to set the units such that $\hbar = 1$. It is a common practice in theoretical physics (we will also use this convention sometimes).

We conclude with another axiom of quantum mechanics

Axiom 4 (Dynamics): The **time-evolution** of the *state* of a quantum system is governed by the **Hamiltonian** of the system, according to the time-dependent **Schrödinger equation**.

$$i \hbar \partial_t |\psi(t)\rangle = H |\psi(t)\rangle.$$
 (109)

If the Hamiltonian H is **time-independent**, we can first find its eigenvalues (**eigenenergies**) and eigenvectors (**energy eigenstates**).

$$H|E_i\rangle = E_i|E_i\rangle. \tag{110}$$

This is also called the *time-independent* Schrödinger equation. Without solving a differential equation, we just need to diagonalize a Hermitian matrix in this case.

Each energy eigenstate will evolve in time simply by a rotating overall phase,

$$|E_i(t)\rangle = e^{-\frac{i}{\hbar}E_i t} |E_i\rangle. \tag{111}$$

- $|E_i\rangle$ form a complete set of orthonormal basis, called **energy eigenbasis**.
- Verify that Eq. (106) is a solution of Eq. (109):

$$i \hbar \partial_t |E_i(t)\rangle = i \hbar \partial_t \left(e^{-\frac{i}{\hbar} E_i t} |E_i\rangle \right) = E_i |E_i(t)\rangle,$$

$$H|E_i(t)\rangle = e^{-\frac{i}{\hbar} E_i t} H|E_i\rangle = E_i |E_i(t)\rangle.$$
(112)

So the two sides matches.

Any initial state $|\psi(0)\rangle$ will evolve in time by first representing the initial state in the energy eigenbasis, and attaching to each energy eigenstate by its rotating overall phase,

$$|\psi(t)\rangle = \sum_{i} e^{-\frac{i}{\hbar} E_{i} t} |E_{i}\rangle \langle E_{i} | \psi(0)\rangle$$

$$= e^{-\frac{i}{\hbar} H t} |\psi(0)\rangle.$$
(113)

A time-independent Hamiltonian generates the time-evolution via matrix exponentiation

$$U(t) = e^{-\frac{i}{\hbar}Ht}. ag{114}$$

However, for *time-dependent* Hamiltonian, there no such a clean formula. Evolution must be carried out step by step, denoted as a *time-ordered* exponential

$$U(t) = \mathcal{T} \exp\left(-\frac{i}{\hbar} \int_0^t H(t') dt'\right). \tag{115}$$

■ Example: Spin in a Magnetic Field

How to write down a Hamiltonian?

- derive it from experiment,
- borrow it from some theory we like,
- pick one and see what happens.

Hamiltonian must be *Hermitian* anyway. For a single qubit, the most general Hamiltonian takes the form of

$$H = h_0 \mathbb{1} + h_x \sigma^x + h_y \sigma^y + h_z \sigma^z$$

= $h_0 \mathbb{1} + \mathbf{h} \cdot \boldsymbol{\sigma}$, (116)

where $h_0, h_x, h_y, h_z \in \mathbb{R}$ are all real coefficients. $\mathbf{h} = (h_x, h_y, h_z)$ is a vector of numbers and $\boldsymbol{\sigma} = (\sigma^x, \sigma^y, \sigma^z)$ is a vector of operators.

• The time-evolution operator (set $\hbar = 1$ in the following)

$$U(t) = e^{-iHt}$$

$$= e^{-ih_0 t} \Big(\cos(|\boldsymbol{h}| t) \mathbb{1} - i \sin(|\boldsymbol{h}| t) \hat{\boldsymbol{h}} \cdot \boldsymbol{\sigma} \Big),$$
(117)

where $|\mathbf{h}| = \sqrt{\mathbf{h} \cdot \mathbf{h}}$ and $\hat{\mathbf{h}} = \mathbf{h}/|\mathbf{h}|$.

• A state $|\psi(0)\rangle$ will evolve with time following

$$|\psi(t)\rangle = U(t) |\psi(0)\rangle$$

$$= e^{-i h_0 t} \Big(\cos(|\boldsymbol{h}| t) \mathbb{1} - i \sin(|\boldsymbol{h}| t) \hat{\boldsymbol{h}} \cdot \boldsymbol{\sigma}\Big) |\psi(0)\rangle.$$
(118)

• If we measure σ on the state $|\psi(t)\rangle$, the expectation value will be given by

$$\langle \boldsymbol{\sigma} \rangle_{t} = \langle \psi(t) | \boldsymbol{\sigma} | \psi(t) \rangle$$

$$= \cos(2 |\boldsymbol{h}| t) \langle \boldsymbol{\sigma} \rangle_{0} + \sin(2 |\boldsymbol{h}| t) \hat{\boldsymbol{h}} \times \langle \boldsymbol{\sigma} \rangle_{0} + (1 - \cos(2 |\boldsymbol{h}| t)) \hat{\boldsymbol{h}} (\hat{\boldsymbol{h}} \cdot \langle \boldsymbol{\sigma} \rangle_{0}).$$
(119)

which also evolves with time.

- (i) Derive Eq. (117) from Eq. (116).(ii) Derive Eq. (119) from Eq. (118).

Special case: assume $h = (0, 0, h_z)$ along the z-direction, and parameterize the expectation of the spin vector by $\langle \boldsymbol{\sigma} \rangle = (\sin \theta \cos \varphi, \sin \theta \sin \varphi, \cos \theta)$.

$$\langle \boldsymbol{\sigma} \rangle_t = (\sin \theta_0 \cos (\varphi_0 + 2 h_z t), \sin \theta_0 \sin(\varphi_0 + 2 h_z t), \cos \theta_0), \tag{120}$$

where θ_0 and φ_0 are the initial azimuthal and polar angles.

- The spin should precess around the axis of the magnetic field $\Rightarrow h$ has the physical meaning of the external magnetic field.
- Energy of a spin in the magnetic field is $\langle H \rangle = h \cdot \langle \sigma \rangle$ (up to some constant energy shift h_0).

Operator Algebra

Commutator

• Commutator of two operators A and B

$$[A, B] = AB - BA. \tag{121}$$

- Commutator is antisymmetric, [A, B] = -[B, A]. As a result, commutator of an operator with itself always vanishes [A, A] = 0.
- If the commutator vanishes [A, B] = 0, we say that the two operators A and B commute.

Example of commutators:

$$[\sigma^{x}, \sigma^{y}] = 2 i \sigma^{z},$$

$$[\sigma^{y}, \sigma^{z}] = 2 i \sigma^{x},$$

$$[\sigma^{z}, \sigma^{x}] = 2 i \sigma^{y}.$$
(122)

Or more compactly as

$$\left[\sigma^a, \sigma^b\right] = 2 i \epsilon^{abc} \sigma^c, \tag{123}$$

for a, b, c = 1, 2, 3 (stand for x, y, z). This can be considered as the defining algebraic properties of single-qubit operators (Pauli matrices). Or even more compactly using the cross product of vectors

$$\sigma \times \sigma = 2 i \sigma. \tag{124}$$

Useful rules to evaluate commutators

• Bilinearity

$$[A, B+C] = [A, B] + [A, C],$$

$$[A+B, C] = [A, C] + [B, C].$$
(125)

• Product rules

$$[A, B C] = [A, B] C + B[A, C],$$

$$[A B, C] = [A, C] B + A[B, C].$$
(126)

• Jacobi identity (as a replacement of associative law)

$$[A, [B, C]] + [B, [C, A]] + [C, [A, B]] = 0,$$

$$[[A, B], C] + [[B, C], A] + [[C, A], B] = 0.$$
(127)

■ Commutation Relation

• A and B commute: AB = BA (operators can pass though each other as if they were numbers) \Rightarrow it does not matter which operator is applied first, the consequence will be the same.

Examples:

- A: put on the socks,
- B: put on the shoes,
- C: put on the hat,

A and B do not commute (changing the order leads to different result). But A and C commute, B and C also commute (changing the order does not affect the result).

- An operator always commutes with itself.
- *Identity* operator *commutes* with any operator.

Commutation Relation (Single-Qubit)

For a generic qubit state $|z\rangle \simeq \begin{pmatrix} z_1 \\ z_2 \end{pmatrix}$,

$$\sigma^{z} \sigma^{x} |z\rangle : \begin{pmatrix} z_{1} \\ z_{2} \end{pmatrix} \stackrel{\sigma^{z}}{\to} \begin{pmatrix} z_{2} \\ z_{1} \end{pmatrix} \stackrel{\sigma^{z}}{\to} \begin{pmatrix} z_{2} \\ -z_{1} \end{pmatrix},$$

$$\sigma^{x} \sigma^{z} |z\rangle : \begin{pmatrix} z_{1} \\ z_{2} \end{pmatrix} \stackrel{\sigma^{z}}{\to} \begin{pmatrix} z_{1} \\ -z_{2} \end{pmatrix} \stackrel{\sigma^{z}}{\to} \begin{pmatrix} -z_{2} \\ z_{1} \end{pmatrix}.$$

$$(128)$$

Conclusion: σ^x and σ^z do not commute. In fact, $[\sigma^z, \sigma^x] = 2 i \sigma^y \neq 0$, which can be readily verified from their matrix representations

$$\sigma^z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \ \sigma^x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}. \tag{129}$$

 $|\uparrow\rangle$ and $|\downarrow\rangle$ are eigenstates of σ^z with different eigenvalues. σ^z marks the states differently, and σ^x mixes the states. In general, "markers" and "mixers" do not commute.

Commutation Relation (Two-Qubit)

Define $\sigma^{ab} = \sigma^a \otimes \sigma^b$, e.g.

$$\sigma^{12} = \sigma^{1} \otimes \sigma^{2} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \otimes \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & -i \\ 0 & 0 & i & 0 \\ \hline 0 & -i & 0 & 0 \\ i & 0 & 0 & 0 \end{pmatrix},$$

$$\sigma^{23} = \sigma^{2} \otimes \sigma^{3} = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 0 & 0 & -i & 0 \\ 0 & 0 & 0 & i \\ \hline i & 0 & 0 & 0 \\ 0 & -i & 0 & 0 \end{pmatrix}.$$
(130)

Consider two Hermitian operators A and B in this four dimensional Hilbert space:

$$A = \sigma^{12}, \ B = \sigma^{23}. \tag{131}$$

Do A and B commute?

- Yes, because we can explicitly verify $[\sigma^{12}, \sigma^{23}] = 0$ using the matrix representation.
- But is there a better way to see this?

Switch to the $diagonal\ basis$ of A: find a unitary operator (choice is not unique) to diagonalize A

$$U_1 = e^{\frac{i\pi}{4}\sigma^{22}} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 0 & 0 & -i \\ 0 & 1 & i & 0 \\ 0 & i & 1 & 0 \\ -i & 0 & 0 & 1 \end{pmatrix}. \tag{132}$$

 U_1 takes A and B to the **block diagonal** form

$$A \to A' = U_1 A U_1^{\dagger} \simeq \sigma^{30} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ \hline 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix},$$

/199

$$B \to B' = U_1 B U_1^{\dagger} \simeq -\sigma^{01} = \begin{pmatrix} 0 & -1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & -1 \\ 0 & 0 & -1 & 0 \end{pmatrix}.$$

B' does not mix different eigenspaces of $A' \Rightarrow A'$ and B' commute $\Rightarrow A$ and B also commute.

Show that the fact that two operator commute (or not commute) is independent of the choice of basis, i.e. suppose $A' = UAU^{\dagger}$ and $B' = UBU^{\dagger}$, then $[A, B] = 0 \Leftrightarrow [A', B'] = 0$.

Mixing within the block (by B') does not cause a problem, why? Because A' look like an identity matrix within each block, which commutes with any matrix within the same block.

Diagonal blocks can be further *diagonalized independently* (within each block). For example, we can take

$$U_2 \simeq e^{\frac{i\pi}{4}\sigma^{02}} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 & 0 & 0 \\ -1 & 1 & 0 & 0 \\ \hline 0 & 0 & 1 & 1 \\ 0 & 0 & -1 & 1 \end{pmatrix},\tag{134}$$

under which

$$A' \to A'' = U_2 A' U_2^{\dagger} \simeq \sigma^{30} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ \hline 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix},$$

$$B' \to B'' = U_2 B' U_2^{\dagger} \simeq \sigma^{03} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ \hline 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}.$$

$$(135)$$

The combined unitary transformation $U = U_2 U_1$ simultaneously diagonalize A and B, such that $A'' = U A U^{\dagger}$ and $B'' = U B U^{\dagger}$ are both diagonal.

Commutation Relation (General Discussions)

In fact, **commuting operators** can always be *simultaneously* diagonalized.

• Suppose $\{A_1, A_2, ...\}$ is a set of commuting (Hermitian) operators, i.e. $\forall i, j: [A_i, A_j] = 0$, the general algorithm to simultaneous diagonalize them is to first form a random Hamiltonian

$$H = \sum_{i} r_i A_i, \tag{136}$$

with r_i being random real numbers. Find a unitary operator U to diagonalize the Hamiltonian H, the same unitary U would simultaneously diagonalize all A_i with probability 1.

```
As = {KroneckerProduct[PauliMatrix[1], PauliMatrix[2]],
   KroneckerProduct[PauliMatrix[2], PauliMatrix[3]]};
MatrixForm/@
```

As

$$\left\{ \left(\begin{array}{ccccc} 0 & 0 & 0 & - \mathrm{i} \\ 0 & 0 & \mathrm{i} & 0 \\ 0 & - \mathrm{i} & 0 & 0 \\ \mathrm{i} & 0 & 0 & 0 \end{array} \right) \text{, } \left(\begin{array}{ccccc} 0 & 0 & - \mathrm{i} & 0 \\ 0 & 0 & 0 & \mathrm{i} \\ \mathrm{i} & 0 & 0 & 0 \\ 0 & - \mathrm{i} & 0 & 0 \end{array} \right) \right\}$$

H = RandomReal[{-1, 1}, Length@As].As;

MatrixForm@H

```
 \begin{pmatrix} 0.+0. & \dot{1} & 0.+0. & \dot{1} & 0.-0.959637 & 0.+0.678076 & \dot{1} \\ 0.+0. & \dot{1} & 0.+0. & \dot{1} & 0.-0.678076 & 0.+0.959637 & \dot{1} \\ 0.+0.959637 & 0.+0.678076 & 0.+0. & \dot{1} & 0.+0. & \dot{1} \\ 0.-0.678076 & 0.-0.959637 & 0.+0. & \dot{1} & 0.+0. & \dot{1} \end{pmatrix}
```

U = Conjugate@Eigenvectors@H;

MatrixForm@Chop[U.#.ConjugateTranspose[U]] & /@ As

$$\left\{ \begin{pmatrix} -1. & 0 & 0 & 0 \\ 0 & 1. & 0 & 0 \\ 0 & 0 & 1. & 0 \\ 0 & 0 & 0 & -1. \end{pmatrix}, \begin{pmatrix} 1. & 0 & 0 & 0 \\ 0 & -1. & 0 & 0 \\ 0 & 0 & 1. & 0 \\ 0 & 0 & 0 & -1. \end{pmatrix} \right\}$$

Commuting can share a set of **common eigenvectors**, which can always be constructed by simultaneous diagonalization. For example, if [A, B] = 0, there exist a set of vectors $|\alpha, \beta\rangle$,

$$A |\alpha, \beta\rangle = \alpha |\alpha, \beta\rangle, B |\alpha, \beta\rangle = \beta |\alpha, \beta\rangle.$$
(137)

Each eigenvector is labeled jointly by the eigenvalues α and β .

- Commuting physical observables can be *simultaneously* measured.
 - The possible outcomes of a *joint measurement* of (A, B) are given by the **pairs of eigenvalues** (α, β) .
 - On a given state $|\psi\rangle$, the *probability* to obtain the measurement outcome (α, β) is given by $p(\alpha, \beta) = |\langle \alpha, \beta | \psi \rangle|^2$. (138)
 - After the measurement, the state is projected to the **common eigenstate** $|\alpha, \beta\rangle$ that corresponds to the measurement outcome (α, β) .
- Non-commuting physical observables do not share common eigenstates, therefore do not support a consistent joint measurement. The amount of *inconsistency* (uncertainty) of the joint measurement is characterized by the commutator. This statement is more precisely formulated as the uncertainty relation.

■ Uncertainty Relation

Statistics of measurement. Consider an *observable L*, whose eigenvalues are λ (i.e. $L |\lambda\rangle = \lambda |\lambda\rangle$), measured on a *state* $|\psi\rangle$ in *repeated* experiments (prepare $|\psi\rangle \rightarrow$ measure $L \rightarrow$ repeat). Possible outcomes λ appear with *probability* $p(\lambda) = |\langle \lambda | \psi \rangle|^2$.

• Mean (expectation value):

$$\langle L \rangle = \sum_{\lambda} \lambda \ p(\lambda) = \langle \psi | \ L | \psi \rangle. \tag{139}$$

• Variance (2nd moment):

$$\operatorname{var} L = \sum_{\lambda} (\lambda - \langle L \rangle)^2 \ p(\lambda) = \langle \psi | (L - \langle L \rangle \, \mathbb{I})^2 \, | \psi \rangle. \tag{140}$$

Introduce the observable (the fluctuation of L around its expectation value)

$$\Delta L = L - \langle L \rangle \, \mathbb{I},\tag{141}$$

The variance can be written as var $L = \langle (\Delta L)^2 \rangle$.

• Standard deviation: characterizes the uncertainty of the measurement of L

$$\operatorname{std} L = (\operatorname{var} L)^{1/2} = \langle (\Delta L)^2 \rangle^{1/2}. \tag{142}$$

Uncertainty Relation: for any pair of *observables* A and B measured on any given *state* (repeatedly),

$$(\operatorname{std} A) (\operatorname{std} B) \ge \frac{1}{2} |\langle [A, B] \rangle|. \tag{143}$$

- In words, the product of the *uncertainties* cannot be smaller than half of the magnitude of the expectation value of the *commutator*.
- For commuting observables ([L, M] = 0), (std L) (std M) ≥ 0 , it is possible to have std L = std M = 0 simultaneously, i.e. L and M can be jointly measured with perfect certainty.
- For non-commuting observables, if $|\langle [L, M] \rangle| \neq 0$, it is impossible to have std L = std M = 0 simultaneously, i.e. L and M can not be jointly measured with certainty.

Proof of the uncertainty relation:

Suppose A and B are Hermitian operators. Let $|\phi\rangle = (A + i x B) |\psi\rangle$. For any choice of $x \in \mathbb{R}$,

$$\langle \psi | (A - i x B) (A + i x B) | \psi \rangle = \langle \phi | \phi \rangle \ge 0. \tag{144}$$

On the other hand,

$$\langle \psi | (A - i x B) (A + i x B) | \psi \rangle$$

$$= \langle \psi | A^{2} + i x [A, B] + x^{2} B^{2} | \psi \rangle$$

$$= \langle B^{2} \rangle x^{2} + i \langle [A, B] \rangle x + \langle A^{2} \rangle \ge 0,$$
(145)

where $\langle * \rangle$ is a shorthand notation of $\langle \psi | * | \psi \rangle$. The quadratic equation $\langle B^2 \rangle x^2 + i \langle [A, B] \rangle x + \langle A^2 \rangle = 0$

has no (or only one) real root, implying that its discriminant Δ must be negative (or zero), i.e.

$$\Delta = \left(i\left\langle \left[A, B\right]\right\rangle\right)^2 - 4\left\langle B^2\right\rangle \left\langle A^2\right\rangle \le 0. \tag{146}$$

Therefore for any A, B on any state $|\psi\rangle$,

$$\langle A^2 \rangle^{1/2} \langle B^2 \rangle^{1/2} \ge \frac{1}{2} \left| \langle [A, B] \rangle \right|. \tag{147}$$

The uncertainty relation Eq. (143) can be shown by replacing $A \to \Delta A$ and $B \to \Delta B$.

Suppose A and B are Hermitian operators.

- (i) Show that $\langle A^2 \rangle$, $\langle B^2 \rangle$ and $i \langle [A, B] \rangle$ are real. (ii) Show that $[\Delta A, \Delta B] = [A, B]$.

Operator Dynamics

Two pictures of the quantum dynamics:

• Schrödinger picture: state evolves in time, operator remains fixed,

$$\langle L(t) \rangle = \langle \psi(t) | L | \psi(t) \rangle. \tag{148}$$

• **Heisenberg picture**: operator evolves in time, state remains fixed,

$$\langle L(t) \rangle = \langle \psi | L(t) | \psi \rangle. \tag{149}$$

The two pictures are consistent, if

$$|\psi(t)\rangle = U(t)|\psi\rangle \Rightarrow L(t) = U(t)^{\dagger} L U(t),$$
 (150)

such that both Eq. (148) and Eq. (149) implies

$$\langle L(t) \rangle = \langle \psi | U(t)^{\dagger} L U(t) | \psi \rangle. \tag{151}$$

Note: one should only apply one picture at a time, i.e. either the state or the operator is timedependent, but not both.

In the *Heisenberg picture*, the time-evolution of an operator

$$L(t) = U(t)^{\dagger} L U(t) \tag{152}$$

follows from the **Heisenberg equation**

$$i \, \hbar \, \partial_t L(t) = [L(t), H]. \tag{153}$$

Correspondingly, its expectation value evolves as

$$i \, h \, \partial_t \langle L(t) \rangle = \langle [L(t), H] \rangle. \tag{154}$$

If [L, H] = 0, the Heisenberg equation Eq. (153) implies that $\partial_t L = 0$, i.e. L will be invariant in time. The observable L is a conserved quantity (or an integral of motion) if L commutes with the Hamiltonian H.

Consider a single-qubit Hamiltonian $H = h \cdot S$, where $S = \frac{\hbar}{2} \sigma$ is the spin operator.

- (i) Show that the expectation values of the spin operator evolves as $\partial_t \langle S \rangle = h \times \langle S \rangle$.
- (ii) Show that

$$\langle \boldsymbol{S}(t) \rangle = \cos(|\boldsymbol{h}| \ t) \ \langle \boldsymbol{S}(0) \rangle + \sin(|\boldsymbol{h}| \ t) \ \hat{\boldsymbol{h}} \times \langle \boldsymbol{S}(0) \rangle + (1 - \cos(|\boldsymbol{h}| \ t)) \ \hat{\boldsymbol{h}} \Big(\hat{\boldsymbol{h}} \cdot \langle \boldsymbol{S}(0) \rangle \Big)$$

is a solution of $\partial_t \langle S \rangle = h \times \langle S \rangle$, where $\hat{h} = h/|h|$.

This describes the dynamics of a spin in a magnetic field h.

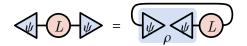
(iii) Show that the spin component along the magnetic field $\hat{h} \cdot S$ is a conserved quantity, that generates the SO(2) symmetry of the Hamiltonian.

■ Density Matrix

■ Idea of Density Matrix

Motivation: an alternative way to think about the expectation value of an observable L

$$\langle L \rangle = \langle \psi | L | \psi \rangle = \text{Tr} | \psi \rangle \langle \psi | L. \tag{155}$$



Introduce the **density matrix** (**density operator**) of a quantum state $|\psi\rangle$

$$\rho = |\psi\rangle\langle\psi|,\tag{156}$$

as an equivalent description of the state.

• The normalization of the state $\langle \psi \mid \psi \rangle = 1$ implies the **normalization** of the density matrix

$$\operatorname{Tr} \rho = 1. \tag{157}$$

• The expectation value of an physical observable L measured with respect to the state ρ is given by

$$\langle L \rangle = \operatorname{Tr} \rho L.$$
 (158)

Example: density matrix of a qubit. Assume a qubit describe by the following state

$$|z\rangle = z_{\uparrow} |\uparrow\rangle + z_{\downarrow} |\downarrow\rangle \simeq \left(\frac{z_{\uparrow}}{z_{\downarrow}}\right). \tag{159}$$

Density matrix can be constructed as

$$\rho = |z\rangle\langle z| = \begin{pmatrix} z_{\uparrow} \\ z_{\downarrow} \end{pmatrix} (z_{\uparrow}^* \quad z_{\downarrow}^*) = \begin{pmatrix} |z_{\uparrow}|^2 & z_{\uparrow} & z_{\downarrow}^* \\ z_{\downarrow} & z_{\uparrow}^* & |z_{\downarrow}|^2 \end{pmatrix}. \tag{160}$$

Evaluate expectation values of qubit operators using density matrix

$$\langle \sigma^x \rangle = \operatorname{Tr} \rho \, \sigma^x \simeq \operatorname{Tr} \begin{pmatrix} |z_\uparrow|^2 & z_\uparrow \, z_\downarrow^* \\ z_\downarrow \, z_\uparrow^* & |z_\downarrow|^2 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = z_\uparrow^* \, z_\downarrow + z_\downarrow^* \, z_\uparrow,$$

$$\begin{split} \langle \sigma^y \rangle &= \operatorname{Tr} \rho \, \sigma^y \simeq \operatorname{Tr} \left(\begin{array}{cc} |z_\uparrow|^2 & z_\uparrow \, z_\downarrow^* \\ z_\downarrow \, z_\uparrow^* & |z_\downarrow|^2 \end{array} \right) \left(\begin{array}{cc} 0 & -i \\ i & 0 \end{array} \right) = -i \, z_\uparrow^* \, z_\downarrow + i \, z_\downarrow^* \, z_\uparrow, \\ \langle \sigma^z \rangle &= \operatorname{Tr} \rho \, \sigma^z \simeq \operatorname{Tr} \left(\begin{array}{cc} |z_\uparrow|^2 & z_\uparrow \, z_\downarrow^* \\ z_\downarrow \, z_\uparrow^* & |z_\downarrow|^2 \end{array} \right) \left(\begin{array}{cc} 1 & 0 \\ 0 & -1 \end{array} \right) = |z_\uparrow|^2 - |z_\downarrow|^2. \end{split}$$

What there is a 50 % possibility that the system is prepared in $|\psi\rangle$ and 50 % probability in $|\phi\rangle$? The expectation value should be

$$\langle L \rangle = \frac{1}{2} \operatorname{Tr} |\psi\rangle \langle \psi| L + \frac{1}{2} \operatorname{Tr} |\phi\rangle \langle \phi| L$$

$$= \operatorname{Tr} \left(\frac{1}{2} |\psi\rangle \langle \psi| + \frac{1}{2} |\phi\rangle \langle \phi| \right) L. \tag{162}$$

We are just averaging over our *ignorance* of the state preparation. Now we can define a *density* matrix to describe our knowledge about the system

$$\rho = \frac{1}{2} |\psi\rangle \langle \psi| + \frac{1}{2} |\phi\rangle \langle \phi|, \tag{163}$$

such that the rule to compute expectation value is still $\langle L \rangle = \text{Tr } \rho L$ as in Eq. (158).

In general, the **density matrix** is defined for an **ensemble** of **quantum systems**, other than a single quantum system.

• Suppose the *system* is prepared in the *state* $|\phi_i\rangle$ with *probability* p_i , the density matrix of the ensemble is given by

$$\rho = \sum_{i} p_i |\phi_i\rangle \langle \phi_i|. \tag{164}$$

- A density matrix should satisfy the following properties
 - Hermitian: $\rho^{\dagger} = \rho$.
 - Normalization (trace one): $\operatorname{Tr} \rho = 1$.
 - Positive (semi)definite: $\forall |\psi\rangle : \langle \psi | \rho | \psi \rangle \ge 0$.
- Not every density matrix can be expressed in the form of $|\psi\rangle\langle\psi| \Rightarrow A$ density matrix is richer and more general than a state vector.

Quantum Tomography: reconstruction of the *density matrix* from (repeated) *measurements* on the systems taken from the *ensemble*. For a single qubit, by measuring $\langle \boldsymbol{\sigma} \rangle$, the density matrix can be reconstructed as

$$\rho = \frac{1}{2} (\mathbb{I} + \langle \boldsymbol{\sigma} \rangle \cdot \boldsymbol{\sigma}). \tag{165}$$

As ρ is the only solution of the density matrix that is normalized and reproduces the expectation values of all measurements on the qubit.

HW 7 Check that the density matrix ρ in Eq. (165) is normalized $\operatorname{Tr} \rho = 1$ and reproduces all measurement expectation values $\operatorname{Tr} \rho \sigma = \langle \sigma \rangle$.

■ Dynamics of Density Matrix

The *time-evolution* of the *density matrix* follows the **von Neumann equation** (also known as the Liouville-von Neumann equation)

$$i \hbar \partial_t \rho(t) = [H, \rho(t)]. \tag{166}$$

- Here the density matrix is taken to be in the **Schrödinger picture**.
- Even though the von Neumann equation looks like the Heisenberg equation $i \hbar \partial_t L(t) = -[H, L(t)]$ (which governs the operator evolution in the Heisenberg picture), but there is a crucial sign difference.
- However in the **Heisenberg picture**, the density matrix is *time-independent*, because the *state* does not evolve in the Heisenberg picture and the density matrix *follows the state*.

HW 8 In the case of $\rho(t)=|\psi(t)\rangle\langle\psi(t)|$, derive the von Neumann equation Eq. (166) from the Schrödinger equation Eq. (109).

If the time-evolution of the state is described by the unitary operator U(t), the density matrix evolves as

$$\rho(t) = U(t)\,\rho(0)\,\,U(t)^{\dagger}.\tag{167}$$

Example: Consider a single-qubit Hamiltonian $H = h \sigma^z$. Starting from the initial density matrix (in the diagonal basis of H)

$$\rho(0) \simeq \begin{pmatrix} |z_{\uparrow}|^2 & z_{\uparrow} & z_{\downarrow}^* \\ z_{\downarrow} & z_{\uparrow}^* & |z_{\downarrow}|^2 \end{pmatrix}. \tag{168}$$

Under time evolution (set $\hbar = 1$),

$$\rho(t) = \begin{pmatrix} |z_{\uparrow}|^2 & z_{\uparrow} z_{\downarrow}^* e^{-2iht} \\ z_{\downarrow} z_{\uparrow}^* e^{2iht} & |z_{\downarrow}|^2 \end{pmatrix}. \tag{169}$$

The **diagonal** elements are *invariant*, the **off-diagonal** elements *rotates* in time following $e^{\pm 2iht}$ (with an angular frequency of 2h).

■ Measurement and Decoherence

Measurement Postulate in terms of density matrix

- An ensemble of quantum states is described by a density matrix ρ .
- A physical observable is described by a Hermitian operator $L = \sum_i |\lambda_i\rangle \lambda_i\langle \lambda_i|$.

Define the **projection operator** $P(\lambda)$, which projects to the eigenspace of L of the eigenvalue λ (it is also fine if λ is not an eigenvalue of L, $P(\lambda)$ will then project out all states),

$$P(\lambda) = \sum_{i} |\lambda_{i}\rangle \,\delta(\lambda - \lambda_{i}) \,\langle \lambda_{i}| = \delta(\lambda - L). \tag{170}$$

• The **probability** to observe the measurement outcome λ by measuring L on ρ is given by

$$p(\lambda) = \operatorname{Tr} \rho \ P(\lambda).$$
 (171)

• The **expectation value** of the observable L is given by

$$\langle L \rangle = \operatorname{Tr} \rho L.$$
 (172)

• The ensemble **post-selected** upon the observation of outcome λ is described by

$$\rho \xrightarrow{\text{measure } L, \text{ get } \lambda} \frac{P(\lambda) \rho P(\lambda)}{p(\lambda)}.$$
(173)

Measurement couples the quantum **system** to the **apparatus** (and eventually the entire **environment**). In the view of the system, suppose the coupling resembles a *relative energy shift* between $|\uparrow\rangle$ and $|\downarrow\rangle$ states, i.e. $H = h \sigma^z$. The density matrix evolves as Eq. (169),

$$\rho(t) = \begin{pmatrix} |z_{\uparrow}|^2 & z_{\uparrow} z_{\downarrow}^* e^{-2iht} \\ z_{\downarrow} z_{\uparrow}^* e^{2iht} & |z_{\downarrow}|^2 \end{pmatrix}. \tag{174}$$

If h is large (coupling is strong) and noisy (environment is chaotic), $e^{\pm 2iht}$ looks like a fast fluctuating **random phase**, which averages to zero over a short period of time.

$$\overline{\rho} = \frac{1}{T} \int_0^\infty \rho(t) e^{-t/T} dt$$

$$\simeq \begin{pmatrix} |z_\uparrow|^2 & \frac{z_\uparrow z_\uparrow^*}{1+2ihT} \\ \frac{z_\downarrow z_\uparrow^*}{1-2ihT} & |z_\downarrow|^2 \end{pmatrix} \xrightarrow{hT \gg 1} \begin{pmatrix} |z_\uparrow|^2 & 0 \\ 0 & |z_\downarrow|^2 \end{pmatrix}. \tag{175}$$

The **off-diagonal** elements of the density matrix **decays** much more *quickly* than the **diagonal** elements, due to its fast oscillating phase (in this model). (We will come back later with a better model.)

Quantum Decoherence (brief idea): the **loss** of *off-diagonal* density matrix elements (**quantum coherence**) over time in the *measurement basis* determined by how the system is coupled to the apparatus.

After quantum decoherence, the time-averaged density matrix

$$\overline{\rho} = |\uparrow\rangle |z_{\uparrow}|^2 \langle\uparrow| + |\downarrow\rangle |z_{\downarrow}|^2 \langle\downarrow| \tag{176}$$

describes a qubit ensemble with

probability to be in the sate

$$|z_{\uparrow}|^2 \qquad |\uparrow\rangle,$$
 (177) $|z_{\downarrow}|^2 \qquad |\downarrow\rangle.$

Note: quantum decoherence dose not generate actual quantum state collapse. It only provides an ensemble of quantum states that matches the measurement postulate. The measurement

problem "How the *measurement* actually leads to the *realization* of precisely one state in the ensemble?" remains an issue of interpretation.

■ Pure State and Mixed State

- Pure state: a coherent quantum state, described by a state vector |ψ⟩, or a pure state density matrix of the form ρ = |ψ⟩ ⟨ψ|.
- Mixed state: a statistical mixture of pure states, can *not* be described by any single state vector, described by a **mixed state density matrix** as a *superposition* of *pure state density matrices*.
- Superposition at different levels:
 - Quantum superposition (pure state superposition): superposition of state vectors

$$|\psi\rangle = z_1 |\phi_1\rangle + z_2 |\phi_2\rangle + \dots \tag{178}$$

The result is still a pure state.

• Statistical superposition (mixed state superposition): superposition of density matrices

$$\rho = p_1 |\phi_1\rangle \langle \phi_1| + p_2 |\phi_2\rangle \langle \phi_2| + \dots, \tag{179}$$

or more generally, $\rho = p_1 \rho_1 + p_2 \rho_2 + \dots$ The result is generally a mixed state.

In terms of the density matrix, a quantum superposition of Eq. (178) is expressed as

$$|\psi\rangle\langle\psi| = |z_1|^2 |\phi_1\rangle\langle\phi_1| + |z_2|^2 |\phi_2\rangle\langle\phi_2| + + z_1 z_2^* |\phi_1\rangle\langle\phi_2| + z_2 z_1^* |\phi_2\rangle\langle\phi_1| + \dots,$$

$$(180)$$

also involves *cross terms* that represents quantum coherence.

Spectral decomposition of the density matrix

$$\rho = \sum_{i} p_{i} |\phi_{i}\rangle \langle \phi_{i}|. \tag{181}$$

- As ρ is Hermitian, its eigenvectors $|\phi_i\rangle$ form an orthonormal basis.
- The eigenvalues p_i has the physical meaning of probability, with the following properties:
 - Hermitian: $\rho^{\dagger} = \rho \iff p_i \in \mathbb{R}$.
 - Normalization (trace one): $\operatorname{Tr} \rho = 1 \Leftrightarrow \sum_i p_i = 1$.
 - Positive (semi)definite: $\forall |\psi\rangle : \langle \psi | \rho | \psi \rangle \ge 0 \Leftrightarrow p_i \ge 0$.

The density matrix ρ describes an **ensemble** of quantum systems, where each *pure state* $|\phi_i\rangle$ is prepared with probability p_i .

- If p_i have only a single one followed by all zeros, e.g. $p_1 = 1$, $p_2 = p_3 = ... = 0$, the density matrix ρ is **pure**, since it can be written as $\rho = |\phi_1\rangle \langle \phi_1|$.
- Otherwise, for generic distribution of p_i , the density matrix ρ is **mixed**.

Purity: to quantify to which degree the density matrix is pure/mixed,

$$\operatorname{Tr} \rho^2 = \sum_i p_i^2 \tag{182}$$

By construction, $\operatorname{Tr} \rho^2 \in [0, 1]$. The criteria to determine if a density matrix ρ is pure or mixed is

$$\rho \text{ is } \begin{cases} \text{pure} & \text{if } \operatorname{Tr} \rho^2 = 1, \\ \text{mixed} & \text{if } \operatorname{Tr} \rho^2 < 1. \end{cases}$$
 (183)

HW

- (i) Show that for a single qubit, the purity is related to the spin expectation value $\langle \sigma \rangle = \text{Tr} \, \rho \, \sigma$ by $\text{Tr} \, \rho^2 = \left(1 + \langle \sigma \rangle^2\right) / 2$.
- (ii) For pure state, what is the norm of the spin expectation value $|\langle \sigma \rangle|$?
- (iii) What is the minimal possible purity of a qubit? When the minimal purity is achieved (the qubit is maximally mixed) what is the spin expectation value $\langle \sigma \rangle$?

■ von Neumann and Rényi Entropy

von Neumann entropy of a density matrix

$$S^{(1)} = -\operatorname{Tr} \rho \ln \rho. \tag{184}$$

In terms of the eigenvalues p_i , $S^{(1)} = -\sum_i p_i \ln p_i$ matches the **Shannon entropy** of a probability distribution in the information theory.

Rényi entropy of a density matrix

$$S^{(n)} = \frac{1}{1-n} \ln \operatorname{Tr} \rho^n. \tag{185}$$

In terms of the eigenvalues p_i , $S^{(n)} = (1-n)^{-1} \ln \sum_i p_i^n$.

- *n* is the **Rényi index**.
 - n = 0: max-entropy, simply counts the log of the Hilbert space dimension $S^{(0)} = \ln \dim \mathcal{H}$.
 - $n \to 1$ limit: equivalent to the von Neumann entropy, i.e. $S^{(1)} = \lim_{n \to 1} S^{(n)}$.

HW 10

Show that in the $n \to 1$ limit, the Rényi entropy reduces to the von Neumann entropy.

- n = 2: the **2nd Rényi entropy** is directly related to **purity** by $S^{(2)} = -\ln \operatorname{Tr} \rho^2$.
- $n = \infty$: min-entropy, lower bond of all Rényi entropies, $S^{(\infty)} = -\ln \max_i p_i$.
- The **spectrum** of the **density matrix**, i.e. all eigenvalues p_i , can be reconstructed from the family of Rényi entropies (by solving the following equations, in principle).

$$\sum_{i} p_i^n = e^{(1-n)S^{(n)}} \text{ (for } n = 1, 2, ..., \dim \mathcal{H}).$$
(186)

The *Rényi entropy* (including the *von Neumann entropy* as a special case) can characterize how much the *ensemble* is *mixed*.

$$\rho \text{ is } \begin{cases} \text{ pure } & \text{if } S^{(n)} = 0, \\ \text{mixed } & \text{if } S^{(n)} > 0, \end{cases} \text{ for } n = 1, 2, \dots$$
 (187)

Pure state has **no entropy**. A *pure* state represents the *maximal knowledge* we can have of a system.

Entropy measures our **ignorance** about the quantum system. If the ensemble is pure, the system is in a definite quantum state, hence no entropy. If the ensemble is mixed, there are several possible states that the system can take, our ignorance is quantified by the entropy.

• Jensen's inequality: Rényi entropy is generally decreasing with the Rényi index,

$$\ln \dim \mathcal{H} = S^{(0)} \ge S^{(1)} \ge S^{(2)} \ge \dots \ge S^{(\infty)} \ge 0. \tag{188}$$

The equality is achieved (simultaneously) if all p_i are equal.

$$\forall i: p_i = \frac{1}{\dim \mathcal{H}} \Rightarrow \forall n \ge 0: S^{(n)} = \ln \dim \mathcal{H}. \tag{189}$$

In this case, all *Rényi entropies* reach the *maximum*, and the *ensemble* is **maximally mixed**. The *density matrix* is proportional to *identity matrix* for *maximally mixed* ensemble.

$$\rho = \frac{1}{\dim \mathcal{H}} \mathbb{I}. \tag{190}$$

Any quantum state can be realized with equal possibility in a maximally mixed ensemble \Rightarrow we are completely ignorant about the system \Rightarrow entropy is therefore maximized.

Maximally mixed qubit: SU(2) symmetric, no preferred spin direction, i.e. $\langle \boldsymbol{\sigma} \rangle = 0$. Then according to Eq. (165),

$$\rho = 1/2 = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}. \tag{191}$$

- Application: if the qubit basis corresponds to the *left-circular* and *right-circular* **photon polarization**, then the density matrix in Eq. (191) describes the **natural light** ensemble of photons.
- All Rényi entropies are identically ln 2 for a maximally mixed qubit,

$$S^{(n)} = \frac{1}{1-n} \ln \left(\frac{1}{2^n} + \frac{1}{2^n} \right) = \ln 2 = 1 \text{ bit.}$$
 (192)

• This is the *maximal entropy* that a qubit could have: our ignorance about a qubit is at most 1 bit. This is why a *qubit* is called a **quantum bit**.

Let us conclude our discussion in the following table:

ensemble	pure	mixed	maximally mixed
entropy	0	\longleftrightarrow	$\ln \dim \mathcal{H}$
knowledge	max	\longleftrightarrow	none

Quantum Entanglement

■ Two-Qubit Systems

■ Two-Qubit States

Each qubit has two basis states $|\uparrow\rangle$ and $|\downarrow\rangle$ (forming a 2-dim Hilbert space) \Rightarrow two qubits together have four basis states

$$\frac{\text{qubit } B}{|\uparrow\rangle \quad |\downarrow\rangle} \\
\frac{|\uparrow\rangle \quad |\uparrow\rangle}{|\downarrow\rangle \quad |\uparrow\uparrow\rangle \quad |\uparrow\downarrow\rangle} \\
\text{qubit } A \quad |\uparrow\rangle \quad |\uparrow\uparrow\rangle \quad |\uparrow\downarrow\rangle$$

The precise meaning of $|\uparrow\uparrow\rangle$ is a **tensor product** of $|\uparrow\rangle_A$ and $|\uparrow\rangle_B$ states. In the *vector representation*,

$$|\uparrow\uparrow\rangle = |\uparrow\rangle_A \otimes |\uparrow\rangle_B \simeq \begin{pmatrix} 1\\0 \end{pmatrix} \otimes \begin{pmatrix} 1\\0 \end{pmatrix} = \begin{pmatrix} 1\\0\\0 \end{pmatrix}. \tag{194}$$

Similarly,

$$|\uparrow\downarrow\rangle = |\uparrow\rangle_A \otimes |\downarrow\rangle_B \simeq \begin{pmatrix} 1\\0 \end{pmatrix} \otimes \begin{pmatrix} 0\\1 \end{pmatrix} = \begin{pmatrix} 0\\\frac{1}{0}\\0 \end{pmatrix},$$

$$|\downarrow\uparrow\rangle = |\downarrow\rangle_A \otimes |\uparrow\rangle_B \simeq \begin{pmatrix} 0\\1 \end{pmatrix} \otimes \begin{pmatrix} 1\\0 \end{pmatrix} = \begin{pmatrix} 0\\0\\\overline{1}\\0 \end{pmatrix},\tag{195}$$

$$|\downarrow\downarrow\rangle = |\downarrow\rangle_A \otimes |\downarrow\rangle_B \simeq \begin{pmatrix} 0\\1 \end{pmatrix} \otimes \begin{pmatrix} 0\\1 \end{pmatrix} = \begin{pmatrix} 0\\0\\0\\1 \end{pmatrix}.$$

These four basis states span the two-qubit Hilbert space.

A generic state in the two-qubit Hilbert space is a superposition of these four basis states,

$$|\psi\rangle = \psi_1 |\uparrow\uparrow\rangle + \psi_2 |\uparrow\downarrow\rangle + \psi_3 |\downarrow\uparrow\rangle + \psi_4 |\downarrow\downarrow\rangle \simeq \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix}. \tag{196}$$

Normalization is still expected: $\langle \psi \mid \psi \rangle = \sum_{i} |\psi_{i}|^{2} = 1$.

• Product state: a state that can be factorized as a tensor product of single-qubit states.

Suppose $|z\rangle = z_1 |\uparrow\rangle + z_2 |\downarrow\rangle$ is a state of the first qubit and $|w\rangle = w_1 |\uparrow\rangle + w_2 |\downarrow\rangle$ is a state of the

second qubit. A two-qubit product state takes the general form of

$$|z\rangle \otimes |w\rangle = (z_1 |\uparrow\rangle + z_2 |\downarrow\rangle) \otimes (w_1 |\uparrow\rangle + w_2 |\downarrow\rangle)$$

$$= z_1 w_1 |\uparrow\uparrow\rangle + z_1 w_2 |\uparrow\downarrow\rangle + z_2 w_1 |\downarrow\uparrow\rangle + z_2 w_2 |\downarrow\downarrow\rangle.$$
(197)

The main feature of a *product state* is that each qubit behaves **independently** of the other: measurement or unitary operation of one qubit will not affect the other.

Not every state in the two-qubit Hilbert space can be written as product state. Why? Let us count the degrees of freedom:

- A generic state as $|\psi\rangle$ in Eq. (196) has six real parameters. $4 \times 2 1 1 = 6$.
- A generic product state as $|z\rangle \otimes |w\rangle$ in Eq. (197) has only four real parameters. $(2 \times 2 1 1) \times 2 = 4$.

A generic state has more freedom than a product state, the additional freedom has to do with quantum entanglement.

• Entangled state: any state that can *not* be factorized to *product states* are *entangled*. Example: the state $\frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$ is entangled.

HW 11 Prove that $\frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$ can not be written as a product state.

Question: Is the state $\frac{1}{2}(|\uparrow\uparrow\rangle+|\uparrow\downarrow\rangle+|\downarrow\uparrow\rangle+|\downarrow\downarrow\rangle)$ entangled?

It is not obvious to see if a state is entangled or not \Rightarrow we need to develop *measures of entangle-ment*, such that by measuring these quantities, we can decide how much the state is entangled... (to be discussed later)

■ Two-Qubit Operators

Any *physical observable* of a two-qubit system is represented as a *Hermitian operator* acting on the two-qubit Hilbert space.

• Single-qubit observables:

$$\sigma_A = (\sigma_A^x, \sigma_A^y, \sigma_A^z),$$

$$\sigma_B = (\sigma_B^x, \sigma_B^y, \sigma_B^z).$$
(198)

• Two-qubit observables (joint measurements):

$$\boldsymbol{\sigma}_{A} \otimes \boldsymbol{\sigma}_{B} = \begin{pmatrix} \sigma_{A}^{x} \sigma_{B}^{x} & \sigma_{A}^{y} \sigma_{B}^{x} & \sigma_{A}^{z} \sigma_{B}^{x} \\ \sigma_{A}^{x} \sigma_{B}^{y} & \sigma_{A}^{y} \sigma_{B}^{y} & \sigma_{A}^{z} \sigma_{B}^{y} \\ \sigma_{A}^{x} \sigma_{B}^{z} & \sigma_{A}^{y} \sigma_{B}^{z} & \sigma_{A}^{z} \sigma_{B}^{z} \end{pmatrix}. \tag{199}$$

The precise meaning of σ_A^x :

$$\sigma_A^x \otimes \mathbb{I}_B \simeq \sigma^{10} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ \hline 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}. \tag{200}$$

The precise meaning of $\sigma_A^z \sigma_B^y$:

$$\sigma_A^z \otimes \sigma_B^y \simeq \sigma^{32} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \otimes \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} = \begin{pmatrix} 0 & -i & 0 & 0 \\ i & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & i \\ 0 & 0 & -i & 0 \end{pmatrix}. \tag{201}$$

Note: the tensor product of matrices should be consistent with that of vectors.

The single-qubit observables σ_A , σ_B , two-qubit observables $\sigma_A \otimes \sigma_B$ together with the identity observable 1 (altogether $3+3+3\times3+1=16$ observables) form the **complete set of observables** for a two-qubit system, i.e. any physical observables of a two-qubit system must be a linear superposition of these 16 basis observables.

■ A Two-Qubit Model

Two-qubit Heisenberg model. Consider two qubits governed by the Hamiltonian

$$H = \frac{J}{A} \boldsymbol{\sigma}_A \cdot \boldsymbol{\sigma}_B = \frac{J}{A} (\sigma_A^x \sigma_B^x + \sigma_A^y \sigma_B^y + \sigma_A^z \sigma_B^z). \tag{202}$$

First write down the matrix representation,

$$H = \frac{J}{4} \left(\sigma^{11} + \sigma^{22} + \sigma^{33} \right) = \frac{J}{4} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 2 & 0 \\ 0 & 2 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}. \tag{203}$$

Then diagonalize the Hamiltonian.

• Eigenvalue $E_s = -3 J/4$: a unique eigenstate \Rightarrow spin-singlet state

$$|s\rangle = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle). \tag{204}$$

• Eigenvalue $E_t = J/4$: three degenerated eigenstates \Rightarrow **spin-triplet** states (there is a basis freedom here, we make the following choice)

$$|t_{1}\rangle = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle),$$

$$|t_{2}\rangle = \frac{1}{\sqrt{2}} (|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle),$$

$$|t_{3}\rangle = \frac{1}{\sqrt{2}} (|\uparrow\uparrow\rangle - |\downarrow\downarrow\rangle).$$
(205)

The lowest energy eigenstate is called the **ground state**, the rest of the eigenstates are **excited** states. In this model, assuming J > 0, the ground state is the spin-singlet state.

- Classical picture: $H = (J/4) \sigma_A \cdot \sigma_B$ with $J > 0 \Rightarrow$ energy is lowered if $\sigma_A \cdot \sigma_B < 0$, i.e. σ_A and σ_B are *anti-aligned*, or in an **antiferromagnetic correlation**.
- The *singlet state* is a superposition of $|\uparrow\downarrow\rangle$ and $|\downarrow\uparrow\rangle$, consistent with the classical picture, but there is more to explore.

■ The Spin-Singlet State

Use the vector representation of the spin-single state

$$|s\rangle = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) \simeq \frac{1}{\sqrt{2}} (0 \quad 1 \quad -1 \quad 0)^{\mathrm{T}}.$$
 (206)

• Expectation value of **single-qubit** observables

$$\langle s | \sigma_A | s \rangle = (0, 0, 0),$$

$$\langle s | \sigma_B | s \rangle = (0, 0, 0).$$
(207)

• Expectation value of **two-qubit** observables

$$\langle s | \boldsymbol{\sigma}_A \otimes \boldsymbol{\sigma}_B | s \rangle = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix}. \tag{208}$$

HW 12

Verify Eq. (207) and Eq. (208).

There is something unusual.

- $|s\rangle$ is a **pure state** of the *two-qubit* system \Rightarrow the system is in a *definite* quantum state, *entropy* of the *entire system* = 0 \Rightarrow we have the *full knowledge* about the system.
- However $\langle s | \sigma_A | s \rangle = 0$ implies nothing is know about qubit A, because qubit A is in a **maximally mixed state** with maximal *entropy* of the *subsystem* (1bit) \Rightarrow we are *completely ignorant* about the subsystems. (Same argument applies for qubit B)

The phenomenon that we may know *everything* about a *quantum system* yet *nothing* about its *subsystems* is a demonstration of **quantum entanglement**.

- Classical information is stored *locally* (bit-by-bit) in every single classical bit. Knowing the entire system = knowing the state of every classical bit.
- Quantum information can be stored *jointly* in the *interrelations* among qubits, but *not locally* in single qubits. Knowing the entire system does not imply the knowledge of its subsystem.

■ Entanglement Entropy

The **entanglement entropy** of the qubit A in a two-qubit state $|\psi\rangle$ is given by

$$S(A) = -\operatorname{Tr} \rho_A \ln \rho_A. \tag{209}$$

where ρ_A is the **reduced density matrix** of qubit A obtained by tracing out qubit B in the full **density matrix** $|\psi\rangle\langle\psi|$

$$\rho_A = \operatorname{Tr}_B |\psi\rangle \langle \psi|. \tag{210}$$

One may also define a more general Rényi version as

$$S^{(n)}(A) = \frac{1}{1-n} \ln \operatorname{Tr} \rho_A^n.$$
(211)

Example I: take the spin-singlet state

$$|\psi\rangle = |s\rangle = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle).$$
 (212)

• Full density matrix

$$|s\rangle\langle s| = \frac{1}{2} \begin{pmatrix} 0\\1\\-1\\0 \end{pmatrix} (0 \quad 1 \quad -1 \quad 0) = \frac{1}{2} \begin{pmatrix} 0 & 0 & 0 & 0\\0 & 1 & -1 & 0\\0 & 0 & 0 & 0 \end{pmatrix}. \tag{213}$$

• Partial trace over qubit $B \Rightarrow reduced\ density\ matrix\ of\ qubit\ A$

 $\rho_A = \operatorname{Tr}_B |s\rangle \langle s|$

$$\approx \frac{1}{2} \begin{pmatrix} \operatorname{tr}\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} & \operatorname{tr}\begin{pmatrix} 0 & 0 \\ -1 & 0 \end{pmatrix} \\ \operatorname{tr}\begin{pmatrix} 0 & -1 \\ 0 & 0 \end{pmatrix} & \operatorname{tr}\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.$$
(214)

Note that ρ_A indeed describes a maximally mixed qubit.

• Compute the *entropy* of the *reduced density matrix*,

$$S(A) = -\operatorname{Tr} \rho_A \ln \rho_A = \ln 2 = 1 \text{ bit.}$$
(215)

Example II: take the **product state**

$$|\psi\rangle = \frac{1}{2} (|\uparrow\uparrow\rangle + |\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle + |\downarrow\downarrow\rangle). \tag{216}$$

• Full density matrix

$$\rho = |\psi\rangle\langle\psi| = \frac{1}{4} \begin{pmatrix} 1\\1\\1\\1 \end{pmatrix} (1 \quad 1 \quad 1 \quad 1) = \frac{1}{4} \begin{pmatrix} 1 & 1 & 1 & 1\\ \frac{1}{1} & 1 & 1 & 1\\ \frac{1}{1} & 1 & 1 & 1\\ 1 & 1 & 1 & 1 \end{pmatrix}. \tag{217}$$

• Partial trace over qubit $B \Rightarrow reduced\ density\ matrix\ of\ qubit\ A$

$$\rho_A = \mathrm{Tr}_B \rho$$

$$= \frac{1}{4} \begin{pmatrix} \operatorname{tr} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} & \operatorname{tr} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \\ \operatorname{tr} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} & \operatorname{tr} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}.$$
 (218)

• Compute the *entropy* of the *reduced density matrix*,

$$S(A) = -\text{Tr}\,\rho_A \ln \rho_A = -(0 \ln 0 + 1 \ln 1) = 0 \text{ bit.}$$
(219)

Conclusion: The entanglement entropy characterizes the amount of quantum entanglement

between subsystem A and its complement \overline{A} (which is B here), given that the full system $A \cup \overline{A}$ is pure.

	$ \psi\rangle$ (pure)	product	entangled	maximally entangled
	$ ho_A$	pure	mixed	maximally mixed
	$S^{(n)}(A)$	0	\longleftrightarrow	$\ln \dim \mathcal{H}$
е	entanlement	none	\longleftrightarrow	max

- For diagnostic purpose (to distinguish product state from entangled state), any $R\acute{e}nyi$ index $n=1,2,\ldots$ will work.
- Why entropy provides a measure of entanglement? Quantum entanglement: the *nonlocal* nature of quantum information in an entangled state (i.e. information shared jointly among subsystems) ⇒ separating out a subsystem would lead to lost of information ⇒ hence the production of (entanglement) entropy.
- The *full system* must be *pure*, otherwise there are other source of entropy productions. What about entanglement in a *mixed* state?
- Good to describe bipartite entanglement. What about multipartite entanglement?

■ Mutual Information

The **mutual information** between qubit A and qubit B is

$$I(A:B) = S(A) + S(B) - S(A \cup B).$$
 (221)

Or more generally, one may define the *Rényi version*,

$$I^{(n)}(A:B) = S^{(n)}(A) + S^{(n)}(B) - S^{(n)}(A \cup B).$$
(222)

- $I^{(n)}(A:B)$ = the amount of information shared by A and B.
- Subadditivity of entropy $S^{(n)}(A) + S^{(n)}(B) \ge S^{(n)}(A \cup B) \Leftrightarrow$ positivity of mutual information $I^{(n)}(A:B) \ge 0$.

Example: take the **spin-singlet state**, we have

$$S^{(n)}(A) = S^{(n)}(B) = 1 \text{ bit},$$

 $S^{(n)}(A \cup B) = 0 \text{ bit},$ (223)

hence 2 bit mutual information (regardless of the Rényi index n)

$$I^{(n)}(A:B) = S^{(n)}(A) + S^{(n)}(B) - S^{(n)}(A \cup B) = 2 \text{ bit.}$$
(224)

This is a surprising result!

- For classical systems, the *mutual information* between two *classical bits* will never exceed 1 bit. How can we tell more than 1 bit of information about B by measuring A?
- The maximal mutual information between two classical bits is achieved when they are perfectly correlated, e.g.

$$p(\uparrow\downarrow) = p(\downarrow\uparrow) = 1/2, \ p(\uparrow\uparrow) = p(\downarrow\downarrow) = 0. \tag{225}$$

• Entanglement is more than correlation: the extra bit of quantum information shared between qubits A and B is their quantum entanglement, that goes beyond the classical correlation.

For a two-qubit system, the 2nd Rényi (n=2) mutual information $I^{(2)}(A:B)$ between the two qubits is related to the *spin observables* in a relatively simple way

$$I^{(2)}(A:B) = \ln\left(1 + \frac{\operatorname{tr}\langle \boldsymbol{\sigma}_A \otimes \boldsymbol{\sigma}_B \rangle^2 - \langle \boldsymbol{\sigma}_A \rangle^2 \langle \boldsymbol{\sigma}_B \rangle^2}{\left(1 + \langle \boldsymbol{\sigma}_A \rangle^2\right)\left(1 + \langle \boldsymbol{\sigma}_B \rangle^2\right)}\right). \tag{226}$$

Note: the small "tr" is take the trace on $\langle \sigma_A \otimes \sigma_B \rangle^2$ as a 3×3 matrix, not to trace over the Hilbert

Prove Eq. (226). Hint: by quantum tomography, the two-qubit density matrix reads $\rho = \frac{1}{4} (1 + \langle \sigma_A \rangle \cdot \sigma_A + \langle \sigma_B \rangle \cdot \sigma_B + \sigma_A \cdot \langle \sigma_A \otimes \sigma_B \rangle \cdot \sigma_B).$

$$\rho = \frac{1}{4} \left(\mathbb{1} + \langle \boldsymbol{\sigma}_A \rangle \cdot \boldsymbol{\sigma}_A + \langle \boldsymbol{\sigma}_B \rangle \cdot \boldsymbol{\sigma}_B + \boldsymbol{\sigma}_A \cdot \langle \boldsymbol{\sigma}_A \otimes \boldsymbol{\sigma}_B \rangle \cdot \boldsymbol{\sigma}_B \right)$$

• Classical state: statistical superposition

$$\rho = \frac{1}{2} |\uparrow\downarrow\rangle\langle\uparrow\downarrow| + \frac{1}{2} |\downarrow\uparrow\rangle\langle\downarrow\uparrow|, \tag{227}$$

Observables

$$\langle \boldsymbol{\sigma}_A \rangle = \langle \boldsymbol{\sigma}_B \rangle = (0, 0, 0),$$

$$\langle \boldsymbol{\sigma}_A \otimes \boldsymbol{\sigma}_B \rangle = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}. \tag{228}$$

• Mutual information

$$I^{(2)}(A:B) = \ln(1 + \operatorname{tr} \langle \sigma_A \otimes \sigma_B \rangle^2) = \ln(1+1) = \ln 2 = 1 \text{ bit.}$$
 (229)

• Quantum state: quantum superposition

$$\rho = |s\rangle \langle s|,$$

$$|s\rangle = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle). \tag{230}$$

Observables

$$\langle \boldsymbol{\sigma}_A \rangle = \langle \boldsymbol{\sigma}_B \rangle = (0, 0, 0),$$

$$\langle \boldsymbol{\sigma}_A \otimes \boldsymbol{\sigma}_B \rangle = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix}. \tag{231}$$

• Mutual information

$$I^{(2)}(A:B) = \ln(1 + \operatorname{tr} \langle \sigma_A \otimes \sigma_B \rangle^2) = \ln(1+3) = \ln 4 = 2 \text{ bit.}$$
 (232)

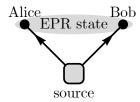
In a spin-singlet state, not only $\sigma_A^z \sigma_B^z$ is perfectly correlated, but $\sigma_A^x \sigma_B^x$ and $\sigma_A^y \sigma_B^y$ are also perfectly correlated. Such additional correlations (by changing measurement basis) can not be realized by classical bits. The additional information channel enables the two-qubit system to store all its two bits of quantum information purely in the "cloud", as shared information between qubits, without using any "local storage".

■ EPR Pair and Bell Inequality

Bell states: maximally entangled pure states of two qubits. Also known as Einstein-Podolsky-Rosen (**EPR**) pair states. The spin-singlet state in Eq. (204) is one example. Here is another example:

$$|\text{EPR}\rangle = \frac{1}{\sqrt{2}} (|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle).$$
 (233)

Suppose a machine can repeatedly *prepare* such EPR pairs and *distribute* the qubits separately to Alice and Bob,



Alice and Bob can measure their own qubit and record the measurement outcome. After the measurement, the pair of qubits are discarded. New EPR pairs will be acquired from the source.

• Alice defines her set of observables:

$$\sigma_A = (\sigma_A^x, \sigma_A^y, \sigma_A^z) = (\sigma^{10}, \sigma^{20}, \sigma^{30}). \tag{234}$$

• Bob defines his set of observables:

$$\boldsymbol{\sigma}_B = (\sigma_B^x, \sigma_B^y, \sigma_B^z) \simeq (\sigma^{01}, -\sigma^{02}, \sigma^{03}). \tag{235}$$

Note that σ_B^y is defined unusually with a minus sign (Bob has the freedom to define his σ^y).

• Such *choice* of observables provides a nice and convenient property: the observables are *perfectly correlated* between Alice and Bob

$$\langle \text{EPR} | \boldsymbol{\sigma}_A | \text{EPR} \rangle = \langle \text{EPR} | \boldsymbol{\sigma}_B | \text{EPR} \rangle = (0, 0, 0),$$

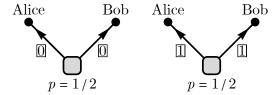
$$\langle \text{EPR} | \boldsymbol{\sigma}_A \otimes \boldsymbol{\sigma}_B | \text{EPR} \rangle = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}. \tag{236}$$

If Alice and Bob both measure σ^z , they will find

$$\sigma_A^z = \sigma_B^z = \begin{cases} +1 & p = 1/2 \\ -1 & p = 1/2 \end{cases}$$
 (237)

• Quantum explanation: can be inferred from $\langle \sigma_A^z \rangle = \langle \sigma_B^z \rangle = 0$ and $\langle \sigma_A^z \sigma_B^z \rangle = 1$.

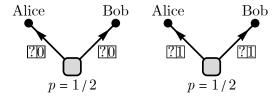
• This is not too surprising: just a perfect correlation between two random variables. *Classically*, one may model the perfect correlation by a **hidden variable**:



If Alice and Both both measure σ^x , they will find

$$\sigma_A^x = \sigma_B^x = \begin{cases} +1 & p = 1/2 \\ -1 & p = 1/2 \end{cases}$$
 (238)

- Quantum explanation: can be inferred from $\langle \sigma_A^x \rangle = \langle \sigma_B^x \rangle = 0$ and $\langle \sigma_A^x \sigma_B^x \rangle = 1$.
- To model this *classically*: we will need to introduce *another* hidden variable to encode the perfect correlation in σ^x channel.



As Alice and Bob can choose to measure either σ^z or σ^x at their free will \Rightarrow Classically, both hidden variables about σ^z and σ^x must be sent with the qubit. (Although a single $|EPR\rangle$ state is sufficient to explain all situations in the quantum way).

If Alice measures σ_A^z and Bob measures σ_B^x , they will find independently that

$$\sigma_A^z = \begin{cases} +1 & p = 1/2 \\ -1 & p = 1/2 \end{cases}, \ \sigma_B^x = \begin{cases} +1 & p = 1/2 \\ -1 & p = 1/2 \end{cases}. \tag{239}$$

- Quantum explanation: can be inferred from $\langle \sigma_A^z \rangle = \langle \sigma_B^x \rangle = 0$ and $\langle \sigma_A^z \sigma_B^x \rangle = 0$.
- The *classical* hidden variables can reproduce this behavior only if they follow the joint distribution

Alice Bob
$$p$$
 $00 00 1/4$
 $01 01 1/4$
 $10 10 1/4$
 $11 11 1/4$
 (240)

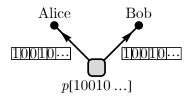
So far so good. But Alice and Bob can also decide to measure σ^y , or more generally, any linear combination of their observables ... What if Alice measures $n_A \cdot \sigma_A$ and Bob measures $n_B \cdot \sigma_B$? Their outcomes will follow the joint distribution

$$\frac{n_A \cdot \sigma_A \quad n_B \cdot \sigma_B}{+1} \qquad \frac{p}{+1} \qquad \frac{1 + n_A \cdot n_B}{4} + 1 \qquad \frac{1 - n_A \cdot n_B}{4} + 1 \qquad$$

The probability that Alice and Bob obtain the same outcome is

$$p(\boldsymbol{n}_A \cdot \boldsymbol{\sigma}_A = \boldsymbol{n}_B \cdot \boldsymbol{\sigma}_B) = \frac{1 + \boldsymbol{n}_A \cdot \boldsymbol{n}_B}{2}.$$
 (242)

- Quantum explanation: can be inferred from $\langle \mathbf{n}_A \cdot \boldsymbol{\sigma}_A \rangle = \langle \mathbf{n}_B \cdot \boldsymbol{\sigma}_B \rangle = 0$ and $\langle \mathbf{n}_A \cdot \boldsymbol{\sigma}_A \mathbf{n}_B \cdot \boldsymbol{\sigma}_B \rangle = \mathbf{n}_A \cdot \mathbf{n}_B$.
- Classically, to reproduce all these, we will need many (could be infinitely many) hidden variables. (This is ugly but not fatal yet.)



There should be complicated correlation among hidden variables in an **attempt** to match quantum predictions (but the attempt may fail). Suppose two of the hidden variables happen to determine the outcome of $n_1 \cdot \sigma$ and $n_2 \cdot \sigma$. After marginalize (sum) over all the other hidden variables, the marginal distribution should be

Now consider Alice and Bob can choose to measure any one of the *three* observables $n_1 \cdot \sigma$, $n_2 \cdot \sigma$ and $n_3 \cdot \sigma$ (on their own qubits respectively).

• Classically, there must be three hidden variables associated with the three observables, following some marginal distribution

Alice Bob
$$p$$

...000... $..000$... p_1

...001... $..001$... p_2

...010... $..010$... p_3

...011... $..011$... p_4

...100... $..100$... p_5

...101... $..101$... p_6

...111... $..111$... p_8

The probability must sum up to 1, i.e.

$$p_1 + p_2 + \dots + p_8 = 1. (245)$$

• If Alice measures $n_1 \cdot \sigma_A$ and Bob measures $n_2 \cdot \sigma_B$, the probability that they obtain the same outcome is

$$p(\mathbf{n}_1 \cdot \mathbf{\sigma}_A = \mathbf{n}_2 \cdot \mathbf{\sigma}_B) = p_1 + p_2 + p_7 + p_8. \tag{246}$$

• If Alice measures $n_2 \cdot \sigma_A$ and Bob measures $n_3 \cdot \sigma_B$, the probability that they obtain the same outcome is

$$p(\mathbf{n}_2 \cdot \boldsymbol{\sigma}_A = \mathbf{n}_3 \cdot \boldsymbol{\sigma}_B) = p_1 + p_4 + p_5 + p_8. \tag{247}$$

• If Alice measures $n_3 \cdot \sigma_A$ and Bob measures $n_1 \cdot \sigma_B$, the probability that they obtain the same outcome is

$$p(\mathbf{n}_3 \cdot \mathbf{\sigma}_A = \mathbf{n}_1 \cdot \mathbf{\sigma}_B) = p_1 + p_3 + p_6 + p_8. \tag{248}$$

Put together,

$$p(\mathbf{n}_{1} \cdot \boldsymbol{\sigma}_{A} = \mathbf{n}_{2} \cdot \boldsymbol{\sigma}_{B}) + p(\mathbf{n}_{2} \cdot \boldsymbol{\sigma}_{A} = \mathbf{n}_{3} \cdot \boldsymbol{\sigma}_{B}) + p(\mathbf{n}_{3} \cdot \boldsymbol{\sigma}_{A} = \mathbf{n}_{1} \cdot \boldsymbol{\sigma}_{B})$$

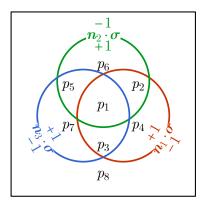
$$= 3 p_{1} + p_{2} + p_{3} + p_{4} + p_{5} + p_{6} + p_{7} + 3 p_{8}$$

$$= 1 + 2 p_{1} + 2 p_{8}$$
(249)

This leads to a (version of) **Bell inequality**.

$$p(\mathbf{n}_1 \cdot \boldsymbol{\sigma}_A = \mathbf{n}_2 \cdot \boldsymbol{\sigma}_B) + p(\mathbf{n}_2 \cdot \boldsymbol{\sigma}_A = \mathbf{n}_3 \cdot \boldsymbol{\sigma}_B) + p(\mathbf{n}_3 \cdot \boldsymbol{\sigma}_A = \mathbf{n}_1 \cdot \boldsymbol{\sigma}_B) \ge 1.$$
 (250)

A diagrammatic illustration:



• Now what is the **quantum** mechanical prediction? Recall the *quantum* result in Eq. (242), the Bell inequality would require

$$\frac{1 + \mathbf{n}_1 \cdot \mathbf{n}_2}{2} + \frac{1 + \mathbf{n}_2 \cdot \mathbf{n}_3}{2} + \frac{1 + \mathbf{n}_3 \cdot \mathbf{n}_1}{2} \ge 1,\tag{251}$$

for three unit vectors n_1 , n_2 and n_3 .

Consider a special case, where the three vectors are 120° to each other in a plane.

$$n_3$$
 n_1

$$n_1 \cdot n_2 = n_2 \cdot n_3 = n_3 \cdot n_1 = -1/2.$$
 (252)

Then Eq. (251) would require

$$\frac{1}{4} + \frac{1}{4} + \frac{1}{4} = \frac{3}{4} \ge 1,\tag{253}$$

which is not true.

The *violation* of Bell inequality indicates that no classical model of *local hidden variables* can ever reproduce all the predictions of quantum mechanics. This is the **Bell's theorem**.

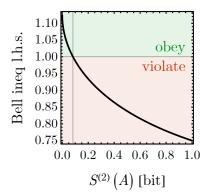
How does Bell inequality tell us about entanglement?

Consider a two qubit state $|\psi\rangle = \cos\alpha |\uparrow\uparrow\rangle + \sin\alpha |\downarrow\downarrow\rangle$, where α is a phase angle.

- (i) Calculate the 2nd Rényi entanglement entropy $S^{(2)}(A)$ of qubit A (as a function of α).
- (ii) Use the observables defined in Eq. (234) and Eq. (235) to evaluate $\langle \psi | \sigma_A | \psi \rangle$, $\langle \psi | \sigma_B | \psi \rangle$ and $\langle \psi | \sigma_A \otimes \sigma_B | \psi \rangle$.
- (iii) Let n_1 , n_2 , n_3 be three unit vectors 120° to each other in the xz plane, evaluate the left-hand-side of the Bell inequality

 $p(\mathbf{n}_1 \cdot \boldsymbol{\sigma}_A = \mathbf{n}_2 \cdot \boldsymbol{\sigma}_B) + p(\mathbf{n}_2 \cdot \boldsymbol{\sigma}_A = \mathbf{n}_3 \cdot \boldsymbol{\sigma}_B) + p(\mathbf{n}_3 \cdot \boldsymbol{\sigma}_A = \mathbf{n}_1 \cdot \boldsymbol{\sigma}_B)$ as a function of α .

We can plot the l.h.s. of the Bell inequality v.s. the 2nd Rényi entanglement entropy for different α :



- For pure state, such as $|\psi\rangle$ in the above example, entanglement entropy $S^{(2)}(A) > 0 \Leftrightarrow$ the state is entangled. But the Bell inequality is not always violated. \Rightarrow It is an **entanglement witness**.
- For *mixed* state, entropy no longer provides a good measure of quantum entanglement. We had to rely on Bell inequalities and other entanglement witness.

HW 14

Quantum Many-Body Systems

Combining Systems

• Tensor product of states. Suppose $|\psi\rangle = \sum_i \psi_i \, |i\rangle_A, \, |\phi\rangle = \sum_j \phi_j \, |j\rangle_B$

$$|\psi\rangle \otimes |\phi\rangle = \sum_{i,j} \psi_i \, \phi_j \, |i\rangle_A \otimes |j\rangle_B = \sum_{i,j} \psi_i \, \phi_j \, |ij\rangle. \tag{254}$$

- Note: the **double index** ij labels a **single state** $|ij\rangle$.
- Rule of inner product.

$$\langle ij \mid kl \rangle = \langle j|_B \otimes \langle i|_A \mid k \rangle_A \otimes \mid k \rangle_B = \langle i \mid k \rangle_A \langle j \mid k \rangle_B = \delta_{ik} \delta_{jl}. \tag{255}$$

• Tensor product of operators. Suppose $A = \sum_{i,j} |i\rangle_A A_{ij} \langle j|_A$, $B = \sum_{k,l} |k\rangle_B B_{kl} \langle l|_B$,

$$A \otimes B = \sum_{i,j,k,l} A_{ij} B_{kl} |i\rangle_A |k\rangle_B \otimes \langle l|_B \langle j|_A$$

$$= \sum_{i,j,k,l} A_{ij} B_{kl} |ik\rangle \otimes \langle lj|.$$
(256)

Axiom 5 (Composition): The *Hilbert space* of a *combined* quantum system is the **direct** product of the *Hilbert space* of each *subsystem*.

Suppose systems A and B are associated with the Hilbert spaces \mathcal{H}_A and \mathcal{H}_B respectively,

$$\mathcal{H}_A = \operatorname{span}\{|i\rangle_A\}, \ \mathcal{H}_B = \operatorname{span}\{|j\rangle_B\},$$
 (257)

the composite system $A \cup B$ will be associated with the Hilbert space

$$\mathcal{H}_{A \cup B} = \mathcal{H}_A \otimes \mathcal{H}_B = \operatorname{span}\{|i\rangle_A \otimes |j\rangle_B\} = \operatorname{span}\{|ij\rangle\}. \tag{258}$$

• Hilbert space tensor product ⇒ Hilbert space dimension multiplies

$$\dim \mathcal{H}_{A \cup B} = \dim \mathcal{H}_A \dim \mathcal{H}_B. \tag{259}$$

• Generic states in $\mathcal{H}_{A \cup B}$

$$|\psi\rangle = \sum_{i,j} \psi_{ij} |ij\rangle. \tag{260}$$

• Generic operators in $\mathcal{H}_{A \cup B}$

$$L = \sum_{i,j,k,l} |ij\rangle L_{ij,kl} \langle kl|, \tag{261}$$

where the matrix (tensor) element

$$L_{ij,kl} = \langle ij | L | kl \rangle. \tag{262}$$

■ Tensor Network and Quantum Circuit

Quantum Decoherence

Consider a qubit coupled to a bath.

• System A: a qubit \rightarrow two-dimensional Hilbert space

$$\mathcal{H}_A = \operatorname{span}\{|\uparrow\rangle, |\downarrow\rangle\},\tag{263}$$

• System B: a bath \rightarrow d-dimensional Hilbert space (d is supposed to be large)

$$\mathcal{H}_B = \operatorname{span}\{|i\rangle\}_{i=1,\dots,d} \tag{264}$$

The Hilbert space of the combined system

$$\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_B = \operatorname{span} \{ |\uparrow\rangle \otimes |i\rangle, |\downarrow\rangle \otimes |i\rangle \}_{i=1,\dots,d}. \tag{265}$$

Suppose the qubit **interacts** with the bath via the **Hamiltonian**

$$H = \sigma^z \otimes M, \tag{266}$$

where M is a Hermitian operator acting on \mathcal{H}_B (or represented as a $d \times d$ Hermitian matrix).

• Initial state: a **product state** of qubit ρ_A and bath ρ_B

$$\rho(0) = \rho_A(0) \otimes \rho_B(0). \tag{267}$$

Evolve the system with H by time t,

$$\rho(t) = U(t)\,\rho(0)\,\,U(t)^{\dagger},\tag{268}$$

where $U(t) = e^{-iHt} = e^{-i\sigma^z \otimes Mt}$.

• Goal: trace out the bath and focus on the reduced density matrix of the qubit

$$\rho_A(t) = \operatorname{Tr}_B \rho(t). \tag{269}$$

In general, recall Eq. (165), $\rho_A(t)$ takes the form

$$\rho_A(t) = \frac{1}{2} \left(\mathbb{I} + \langle \boldsymbol{\sigma} (t) \rangle \cdot \boldsymbol{\sigma} \right). \tag{270}$$

Alternatively, we can try to determine $\langle \sigma(t) \rangle$, which is directly related to physical observables.

Numerics

Start by setting up a $d \times d$ random Hermitian matrix M

Construct the Hamiltonian and then define the unitary operator

Prepare a initial state

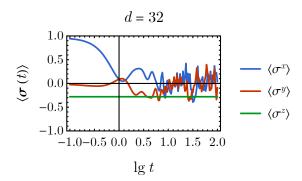
$$\rho(0) = |\psi(0)\rangle \langle \psi(0)|,$$

$$|\psi(0)\rangle = \frac{3|\uparrow\rangle + 4|\downarrow\rangle}{5} \otimes |1\rangle.$$
(271)

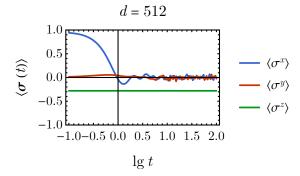
$$\psi$$
0 = Flatten[({3, 4} / 5) \otimes SparseArray[{1 \rightarrow 1}, d]];

Evolve the state and measure spin expectation values of the qubit

Plot the spin expectation values (in log time scale)



With a larger bath (5 qubits \rightarrow 9 qubits), the fluctuation is quickly suppressed.



Observations:

- As time evolves, $\langle \sigma^x \rangle$, $\langle \sigma^y \rangle$ decays to zero (+ fluctuations) in O(1) time.
- $\langle \sigma^z \rangle$ is conserved (since $[\sigma^z, H] = 0$).

The consequence is that the *off-diagonal* elements of ρ_A decays with time \Rightarrow **decoherence** of the qubit under the **interaction** with a bath. Note: the *diagonal basis* is set by how the qubit is coupled to the bath (if $H = \sigma^x \otimes M$ then $\langle \sigma^y \rangle$ and $\langle \sigma^z \rangle$ will decay, and the eigenbasis of σ^x is the diagonal basis).

• In general, if a qubit couples to a bath via a spin operator $\hat{n} \cdot \sigma$, e.g.

$$H = \hat{\boldsymbol{n}} \cdot \boldsymbol{\sigma} \otimes M,\tag{272}$$

any perpendicular spin operator $\hat{\boldsymbol{m}} \cdot \boldsymbol{\sigma}$ (i.e. $\hat{\boldsymbol{m}} \cdot \hat{\boldsymbol{n}} = 0$) will have its expectation value decay to zero under unitary time evolution e^{-iHt} of the combined system

$$\langle \hat{\boldsymbol{m}} \cdot \boldsymbol{\sigma} (t) \rangle \stackrel{t\gg 1}{\longrightarrow} 0.$$
 (273)

The decoherence of the qubit is also reflected in the **growth** of its **entanglement entropy**.

$$S_A(t) = -\operatorname{Tr} \rho_A(t) \ln \rho_A(t). \tag{274}$$

- ρ_A evolves from a pure state $(S_A = 0)$ to a mixed state $(S_A > 0)$.
- The qubit-bath coupling **entangles** the qubit with the bath under unitary time evolution. ⇒ Quantum information of the qubit (partially) spread into the bath via the **quantum entanglement**. For the qubit itself, as if the information is lost ⇒ entropy must grow.