

Transformers as Effective Fields

A quantum-physics theory of AI



Feature



State



Architecture



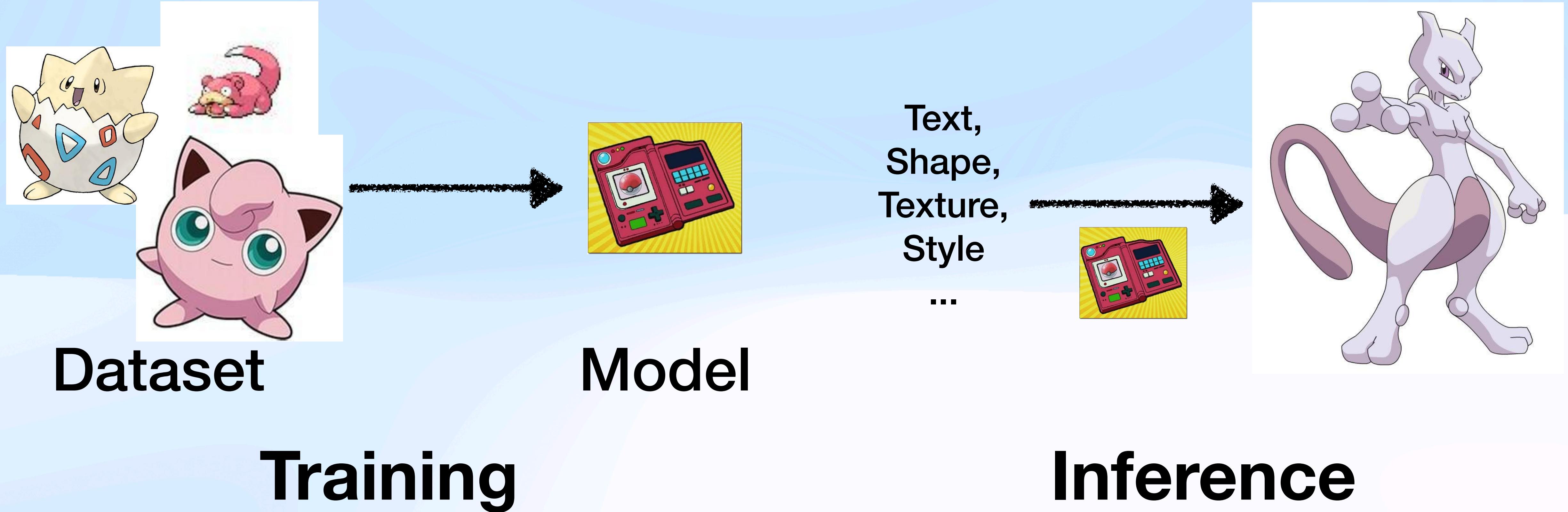
Learning



Evaluation

Changqing Fu, presented at ML Collective, November 2025

Generative Models



What happened inside?



Take Home Message: Transformer is not a mere engineering coincidence, but is grounded in principles from (quantum) physics.

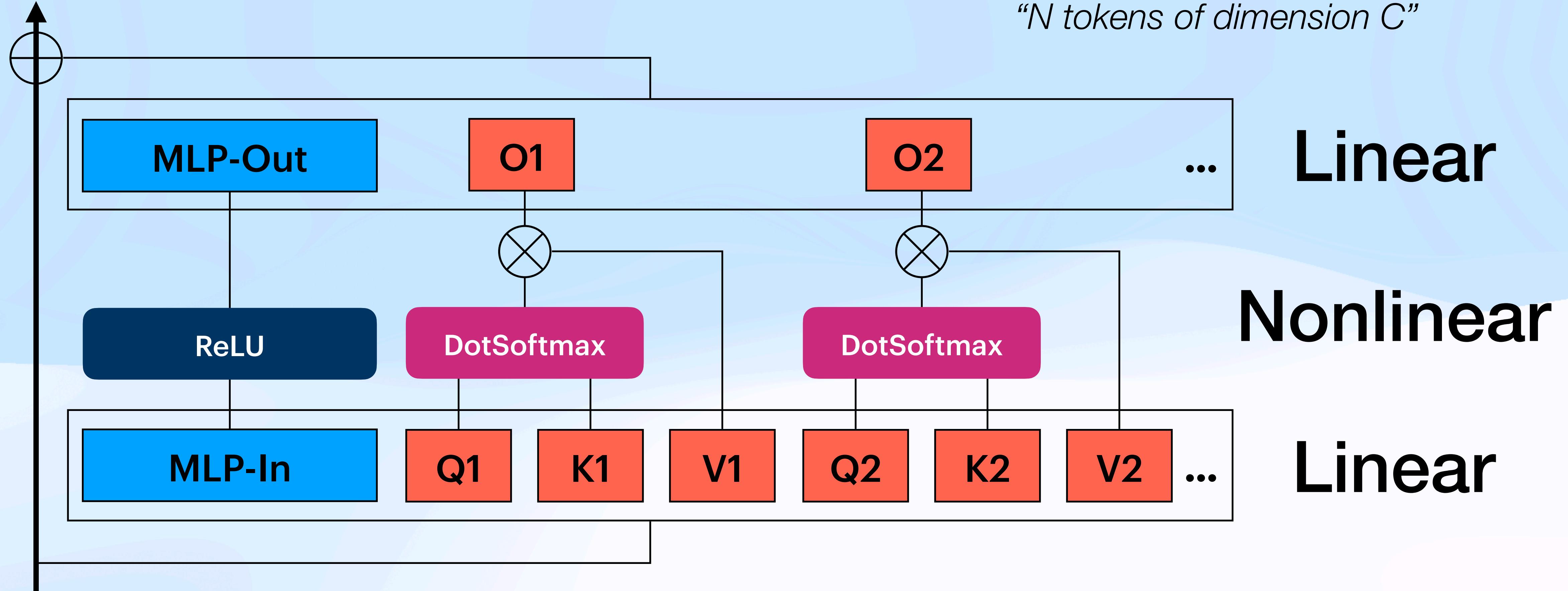
Today's Goal: I don't see this idea ANYwhere after asking many people... Please correct it or guide me to any existing papers :)

Plan

- What are Transformers
- Potential Field of Linear Transformer
- Why is the Field Effective?
- Axiomize Softmax / Activation Functions

Transformers

"Scaling Vision Transformers to 22 Billion Parameters", ICML 2023



Discrete

$$X(t+1) = X(t) + W'(t) \circ \lambda \circ W(t)(X(t))$$

Continuous $\dot{X} = W' \circ \lambda \circ W(X)$

$$X \in \mathbb{C}^{N \times C}, W_{\text{in},i}, W_{\text{out},i}, Q_i, K_i, V_i, O_i \in \mathbb{C}^{C \times r}$$

2

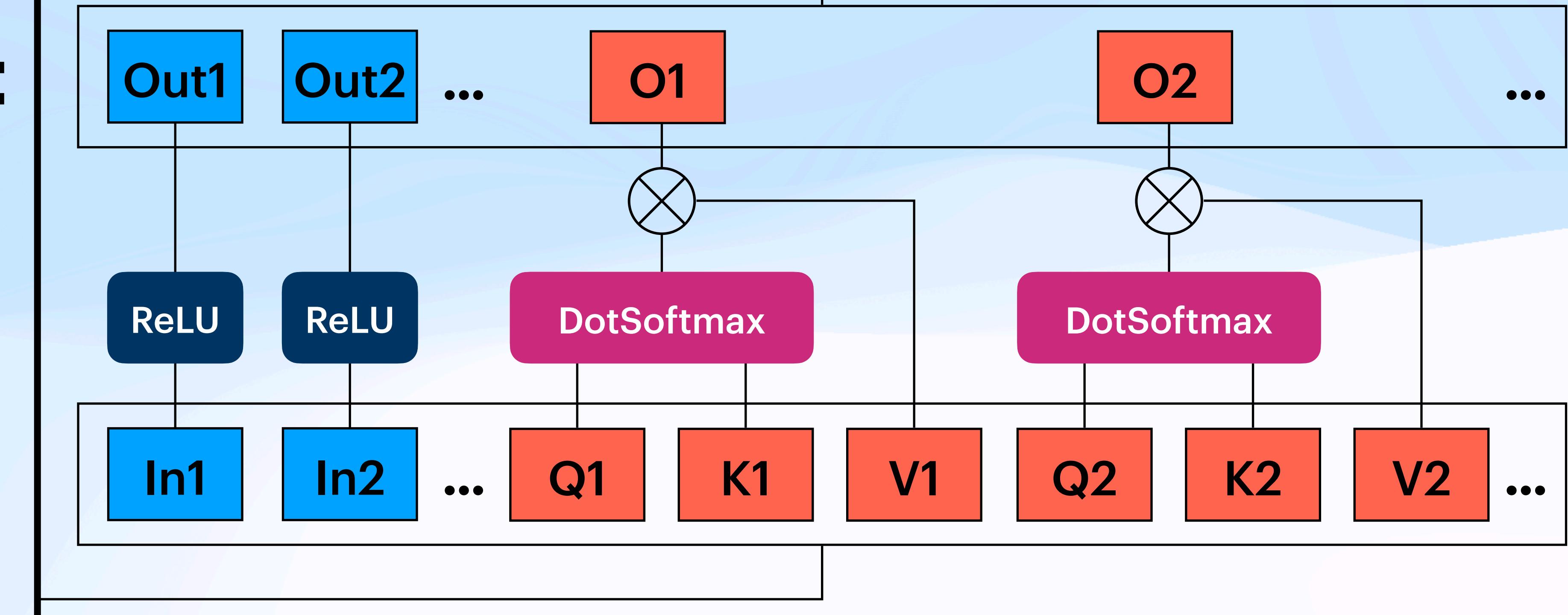
Low-Rank / Random Projection

*Simplification:

*MultiHeadMLP

=

Wide MLP



$$\dot{X} = \sum_i (\dot{X} W_{\text{in},i})_+ W_{\text{out},i}^\top + \sum_i \text{Softmax}(r^{-1/2} \dot{X} Q_i K_i^\top \dot{X}^\top) \dot{X} V_i O_i^\top$$

Linear Transformers*

*Without Loss of Generality

* ReLU

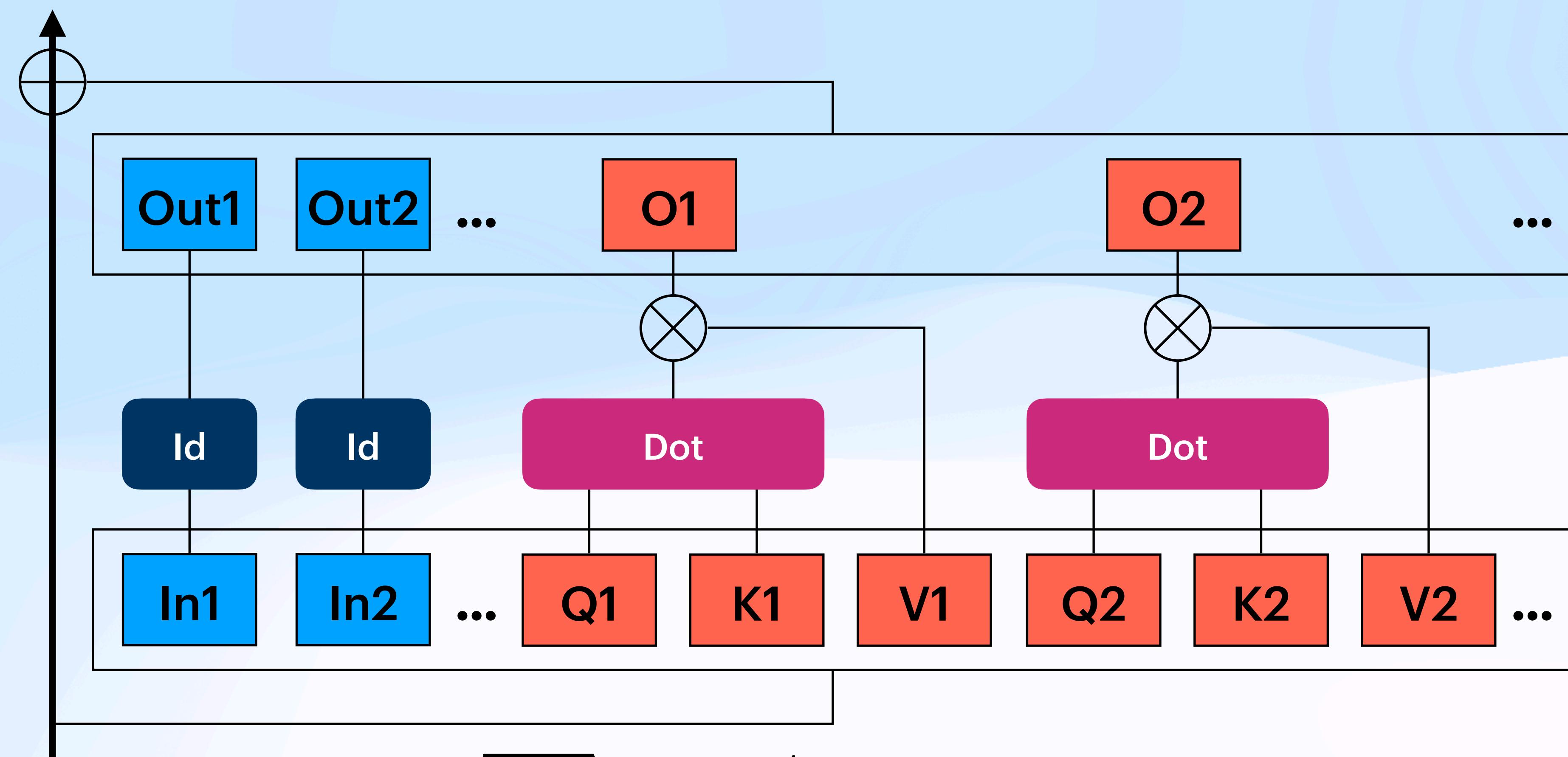
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Conic Proj-GD

* Softmax

=

LogSumExp Energy



$$\dot{\mathbf{X}} = \sum_i \mathbf{X} \mathbf{W}_{\text{in},i} \mathbf{W}_{\text{out},i}^* + \sum_i r^{-1/2} \mathbf{X} \mathbf{Q}_i \mathbf{K}_i^* \mathbf{X}^* \mathbf{X} \mathbf{V}_i \mathbf{O}_i^*$$

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“In-Context Learning” Desires $i\dot{X} = \frac{\partial V}{\partial X^*}$

* Complex Field = Dissipative + Conservative **Previous MLC Talks

* Otherwise we will get self-adjoint / symmetric weights

$$V(X) = \frac{i}{2} \text{Tr}(XW_iX^*) + \frac{i}{2\sqrt{r}} \sum_{i=1}^{n/2} \text{Tr}(XW_{Ai}X^*XW_{Bi}^*X^*)$$

$$\frac{\partial V}{\partial X^*} = \text{Transformers Architecture!}$$

Key Observation $V = V_2 + V_4 + O(\|X\|^6)$, $X/\hbar \xrightarrow{\text{replace}} X$

Why Is $i\dot{X} = \frac{\partial V}{\partial X^*}$ Canonical?

Euler-Lagrange Eq
Schrödinger Eq
Feynman Path Integral

$$Z = \int \exp(iS(X)) \mathcal{D}X$$

$$S(X) := \int_0^1 \text{Tr}\left(\frac{i}{2}(\dot{X}X^* - X\dot{X}^*) - V(X)\right) dt$$

$$X = \frac{q + ip}{\sqrt{2}}, \quad X^* = \frac{q - ip}{\sqrt{2}}, \quad \frac{1}{2}(\dot{X}X^* - X\dot{X}^*) = \frac{i}{2}(X^*\dot{X} - \dot{X}^*X) = p\dot{q}$$

Legendre Transform

$$L^*(p) = \max_{\dot{q}} p\dot{q} - L(\dot{q})$$

$$\omega = \frac{i}{2}((dX)X^* - XdX^*) \quad d\omega = i dX \wedge dX^*$$

Symplectic Form

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Why Is V “Effective”?

NO MORE TERMS!!!

Axiom 1: Real Analyticity $V = V_2 + V_4 + O(\|X\|^6)$, $X/\hbar \xrightarrow{\text{replace}} X$
(Multi-Scale, Free+Interactive terms, RG / Phase Transition)

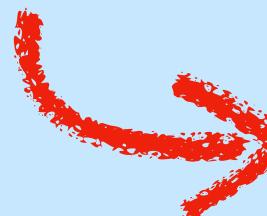
Axiom 2: Left Permutation Symmetry $V(X^* X) : \mathbb{C}^{N \times N} \rightarrow \mathbb{C}$
(Word Exchangeability, *broken by RoPE)

Axiom 3: Right Learnable U(1) Symmetry $\text{Tr } V(\{XW_iX^*\}_i) : \mathbb{C}^n \rightarrow \mathbb{C}$
(Hamiltonian Matrix/RMT)

$$V(X) = \frac{i}{2} \text{Tr}(XW_iX^*) + \frac{i}{2\sqrt{r}} \sum_{i=1}^{n/2} \text{Tr}(XW_{Ai}X^*XW_{Bi}^*X^*)$$

This is the Minimal Interactive Polynomial Field!

Why Is V “Effective”?



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$$V(PX) = V(X), \forall P \in S_N$$

$$\forall i, V(XQ^*) = V(X), \forall Q \text{ s.t. } [Q, W_i] = 0$$

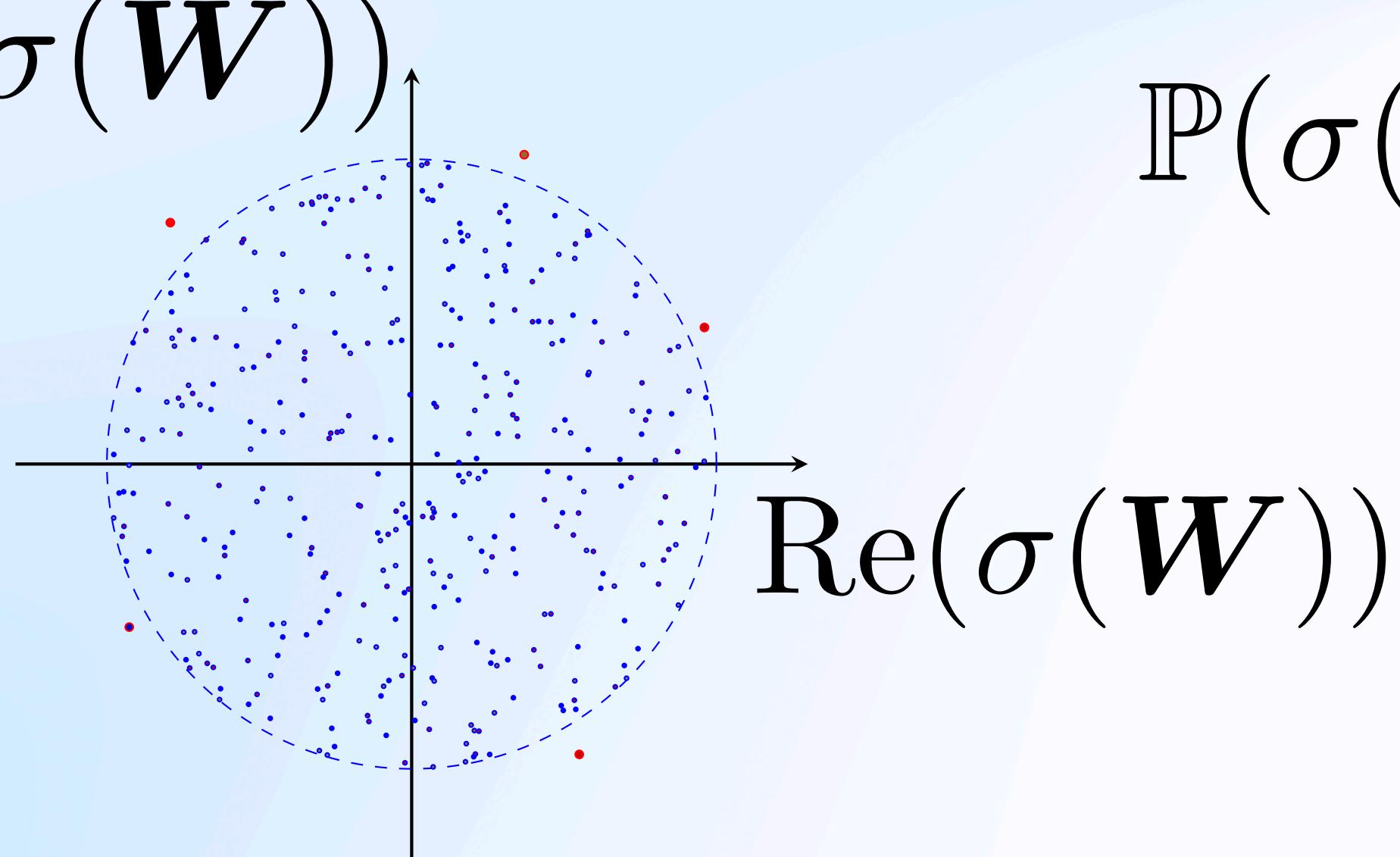
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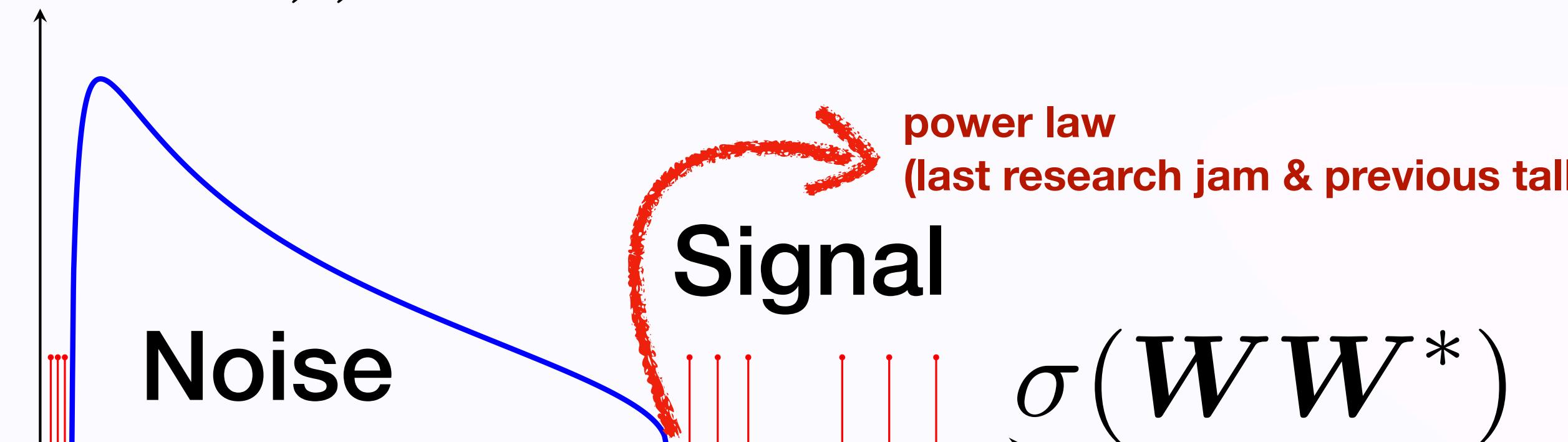
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 $\text{Im}(\sigma(W))$



$P(\sigma(WW^*))$

(Hamiltonian Matrix/RMT)



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Q1: What About Softmax?

Why Softmax = LogSumExp Potential?

$$V(X) = \frac{i}{2} \sum_{i=1}^{\text{nHead}} \sum_{j=1}^{N} \log \sum_{k=1}^N \exp\left(\left[\frac{1}{\sqrt{r}} X W_i X^*\right]_{jk}\right)$$

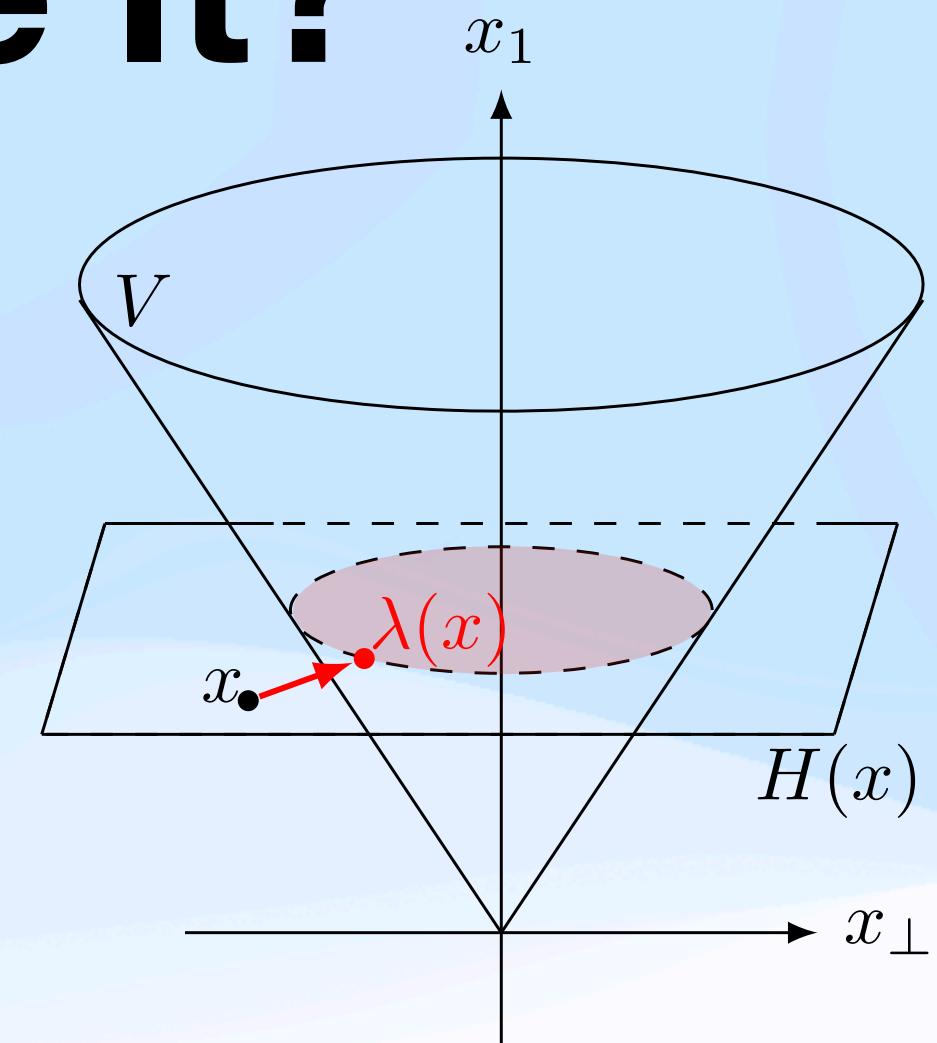
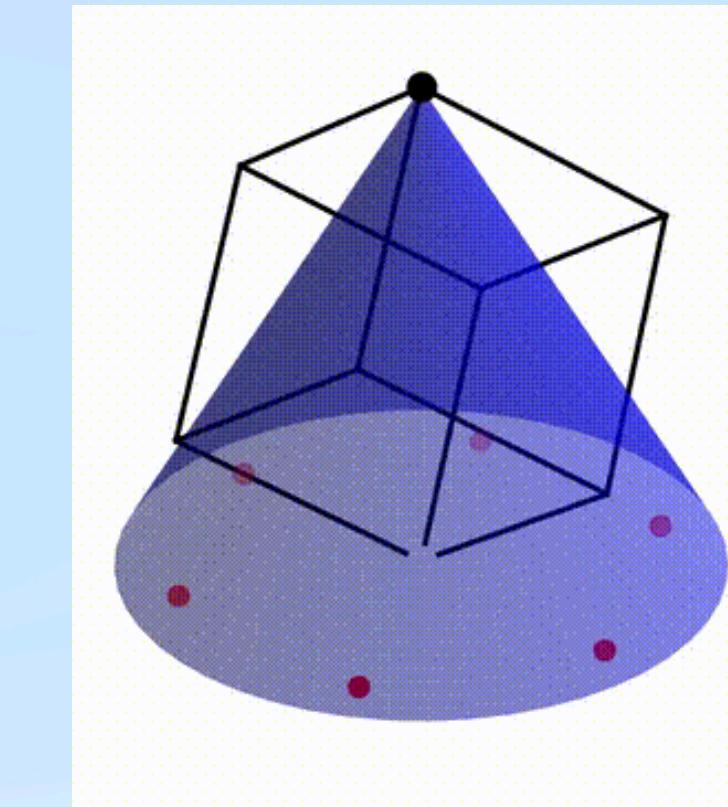
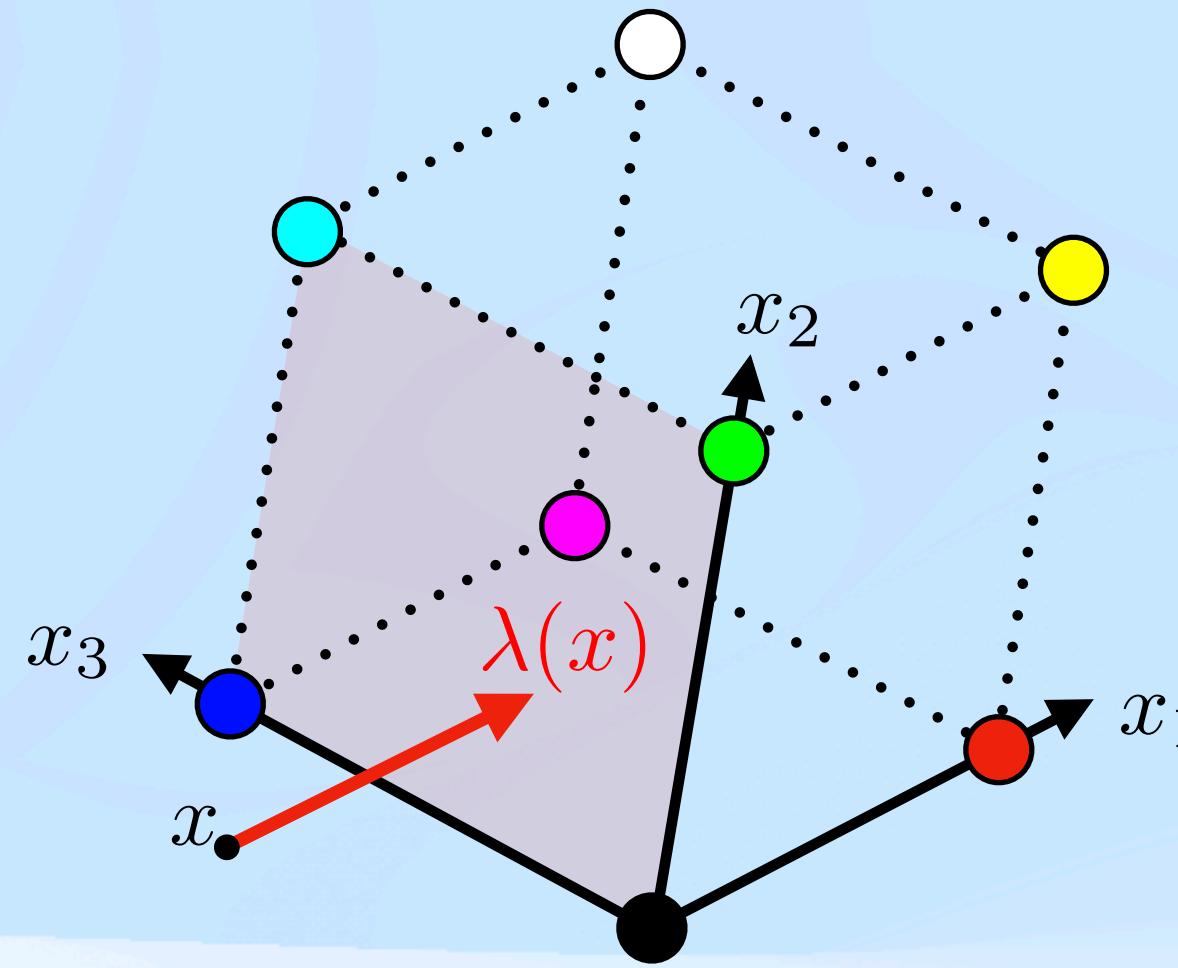
$$\frac{\partial V}{\partial X^*} = \frac{i}{2} \sum_{i=1}^{\text{nHead}} \text{Softmax}\left(\frac{1}{\sqrt{r}} X W_i X^*\right) X W_i$$

$$\min_{p \in \Delta^{n-1}} \mathbb{E}_p[E] + \tau \mathbb{E}_p[\log p] \implies p = \text{Softmax}(-E/\tau)$$

“Energy + Entropic Regularization”

Q2: What About Activation Functions? (Previous Works)

Why ReLU-MLP = Projected Gradient Descent? Or Even: How to Improve It?



ReLU = Orthant Projection

Axiom

- Component-Wise**

Property

$$\forall i, \lambda\pi_i = \pi_i\lambda$$

Formulation

$$\lambda(x_i) = \lambda(x)_i$$

- Positive 1-Homogeneity

$$\forall t > 0, \lambda(tx) = t\lambda(x)$$

$$\lambda|_{\Omega} = \text{id}$$

- Idempotence/Projection

$$\lambda\lambda = \lambda$$

$$\lambda(\Omega^c) = \partial\Omega$$

Permutation ✓

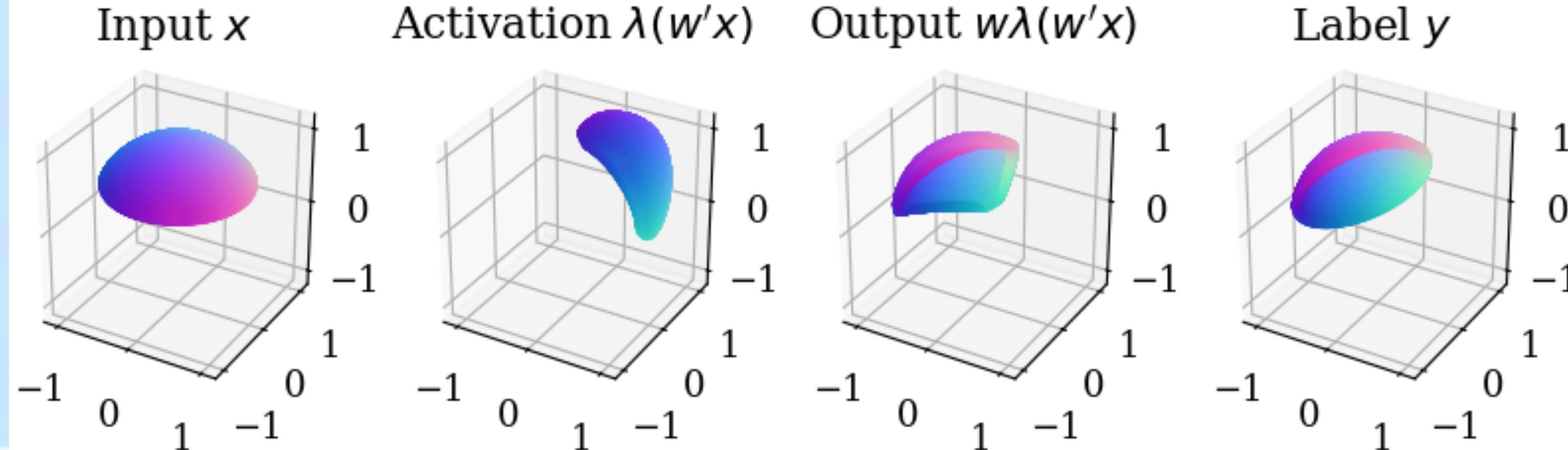
Permutation+Rotation ✓

PSD \rightarrow Conic Programming

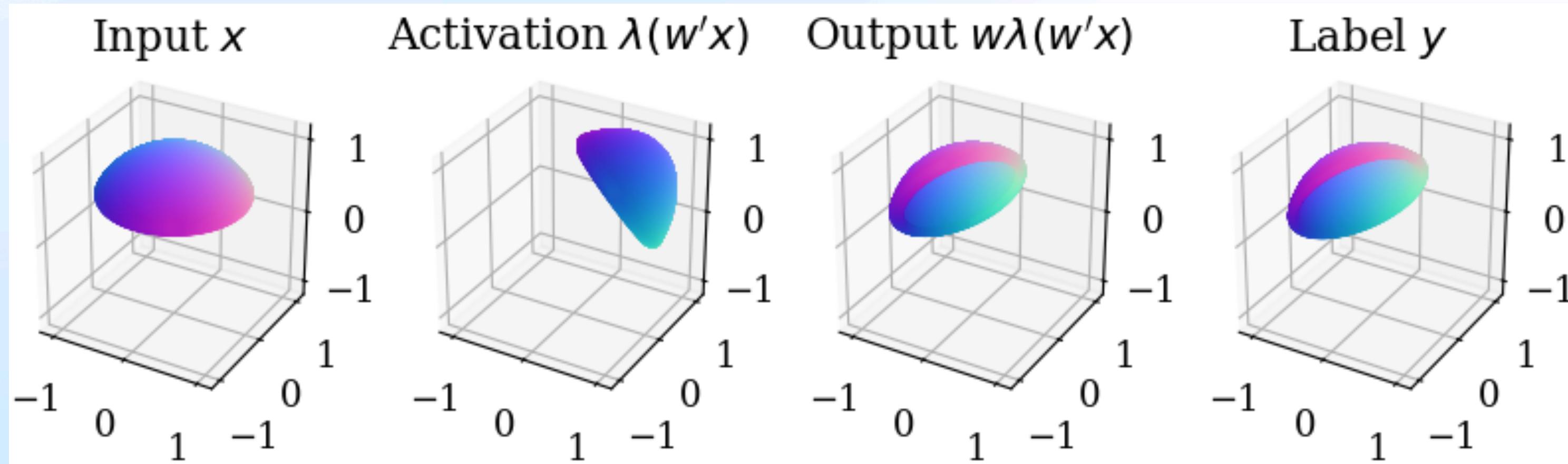
Conic Activation Functions

A symmetry constraint on generative models for improved **generalization property** and better **learning and performance**.

Embedding Space Favors Orthogonal Symmetry

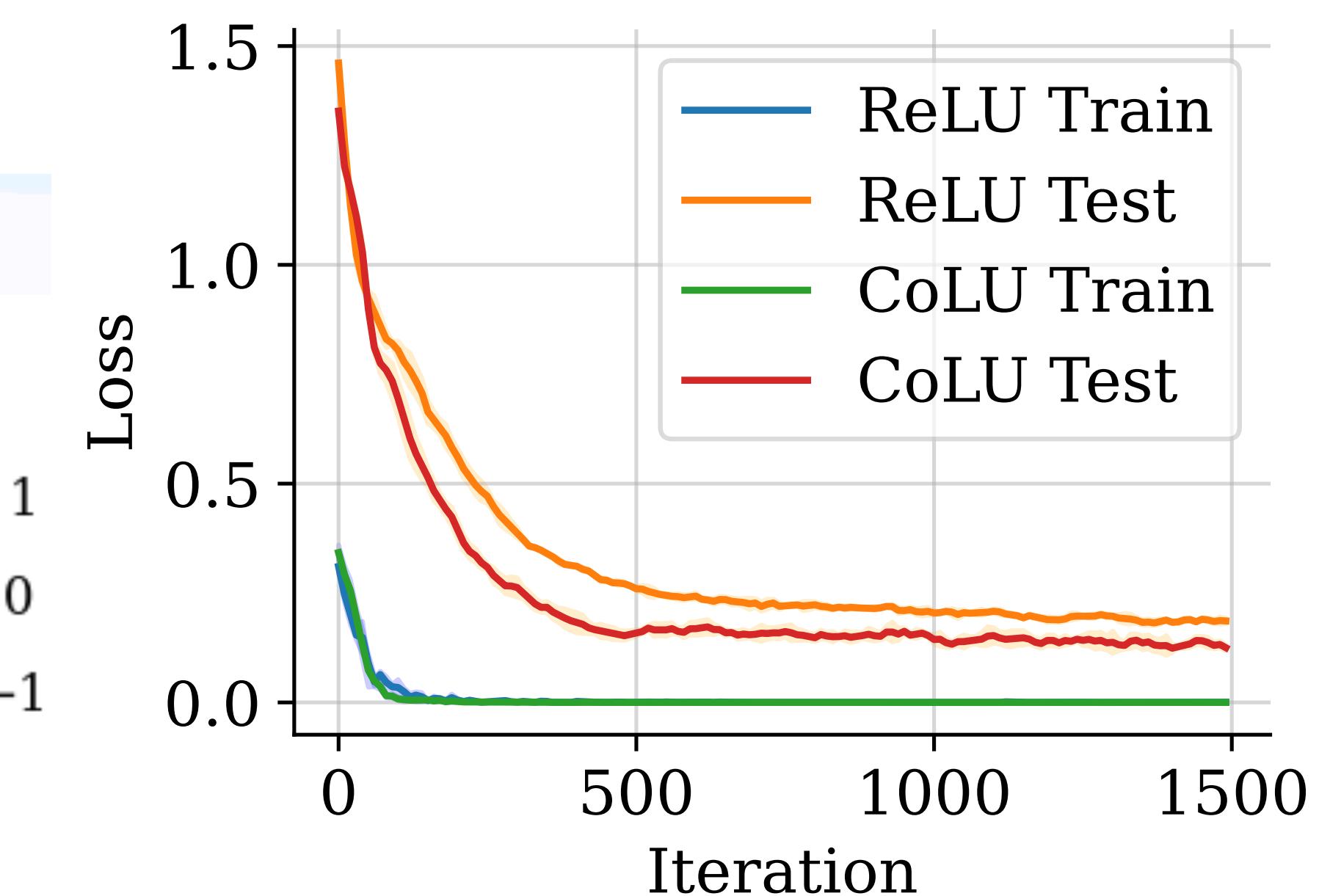


ReLU: permutation symmetry



CoLU: orthogonal/rotary symmetry

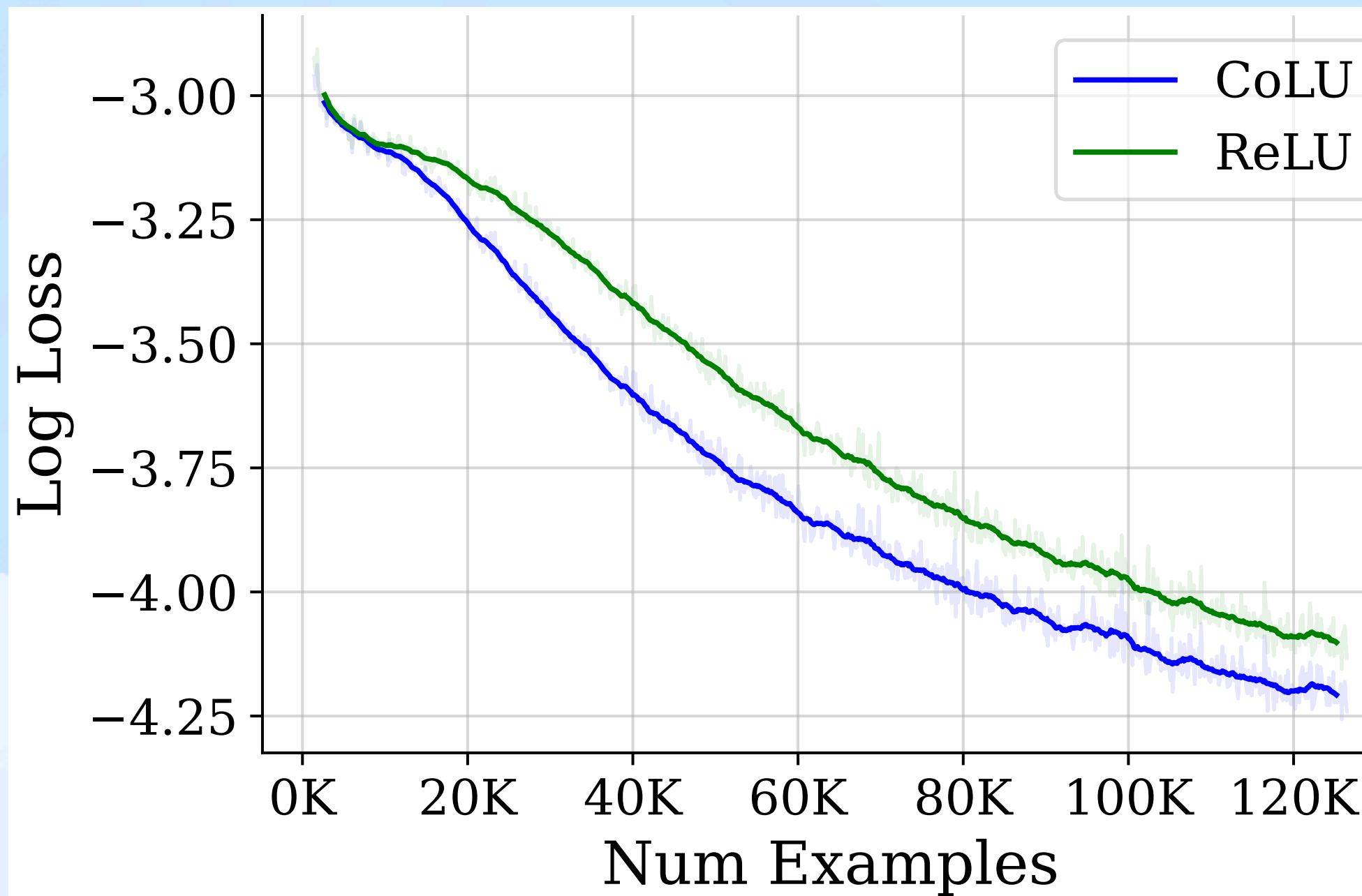
- Minimal Example (C=3)
- Improved Generalization



Conic Activation Functions

A symmetry constraint on generative models for improved **generalization property** and better **learning and performance**.

Embedding Space Favors Orthogonal Symmetry



Diffusion Transformer 0.8B
(Oxford102)

GPT2 MLP (FineWeb10M)		ReLU	CoLU
Forward FLOPs		39.064M	39.101M
Test Loss		3.4569 ± 0.1182	3.3804 ± 0.1159
ResNet-56 (CIFAR10)		ReLU	CoLU
Forward FLOPs		0.252M	0.257M
Test Accuracy		92.7282 ± 0.357	93.5851 ± 0.442
Diffusion Model (CIFAR10)		ReLU	CoLU (Faster)
Train Loss		0.1653	0.1458
Early Samples			

Conic linear units: improved model fusion and rotational-symmetric generative model. VISAPP 2024.
Conic activation functions. UniReps@NeurIPS 2024. PMLR 2025.

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Future Work: Other architectures...

Preprint: <https://changqingfu.com/pdf/transformer.pdf>

I'm on the job market!

Contact me  evergreenqcqfu at gmail

Thank you!