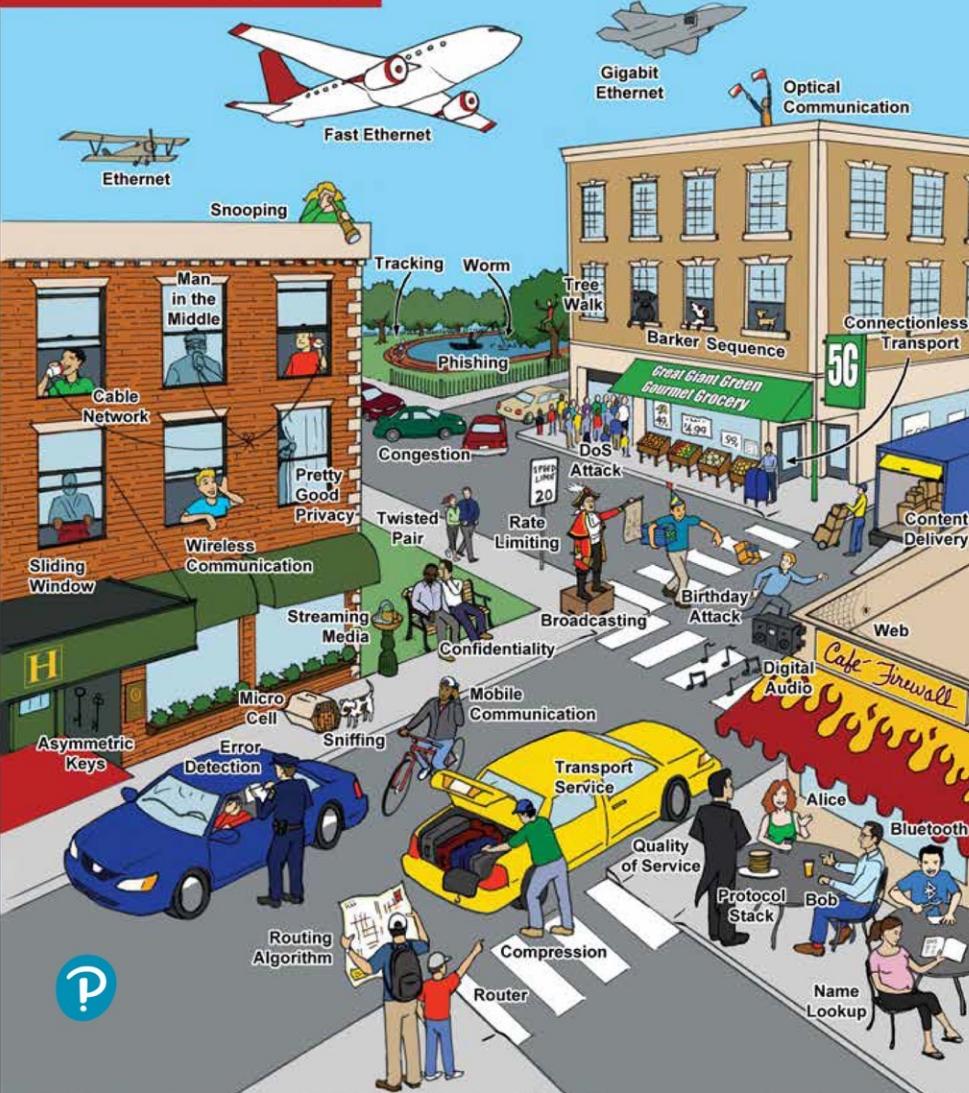


COMPUTER NETWORKS

Andrew S. Tanenbaum • Nick Feamster • David Wetherall

Sixth Edition



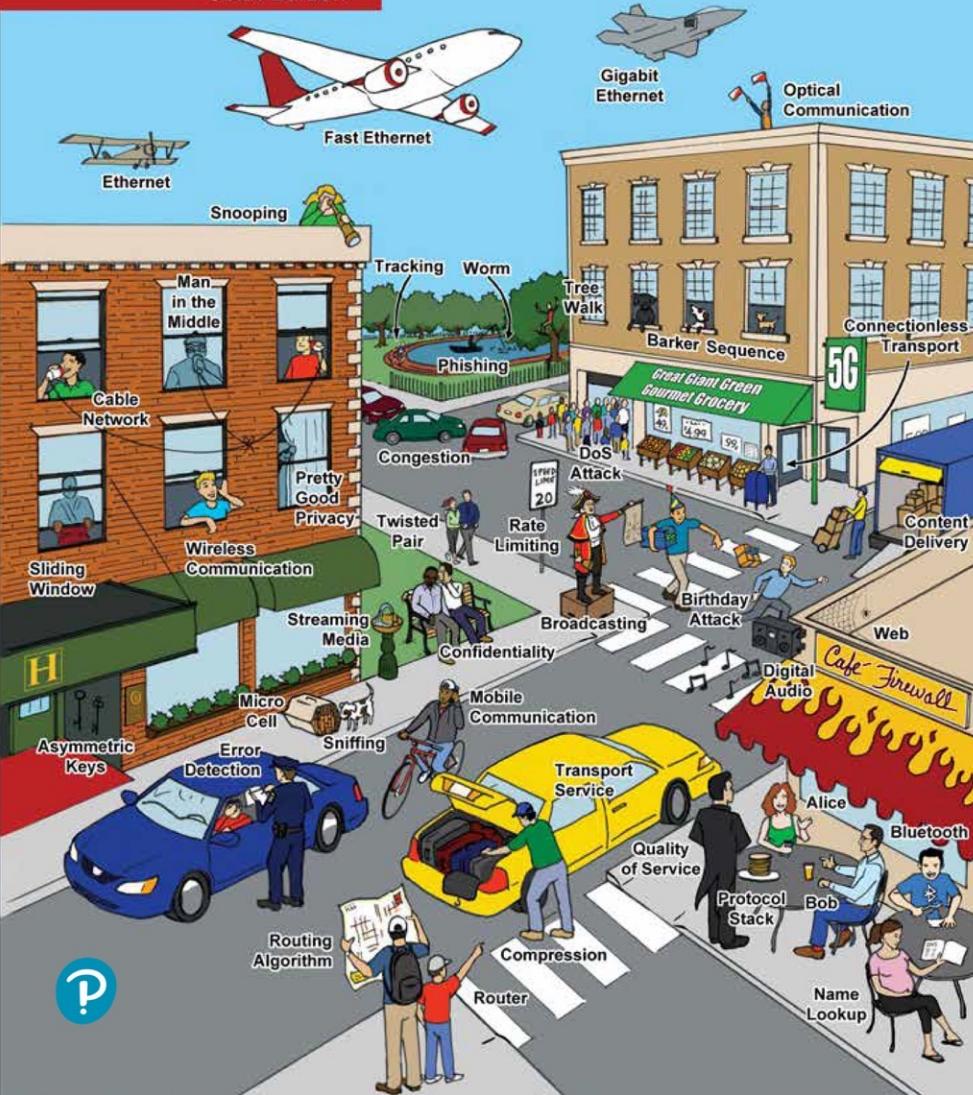
Chapter 3

The Data Link Layer

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Chapter 3

The Data Link Layer

Questions for you

Why is Cellular Network called cellular?

Major differences among 1G, 2G, 3G, 4G, 5G

How satellite transmits data?

Data Link Layer Design Issues (1 of 2)

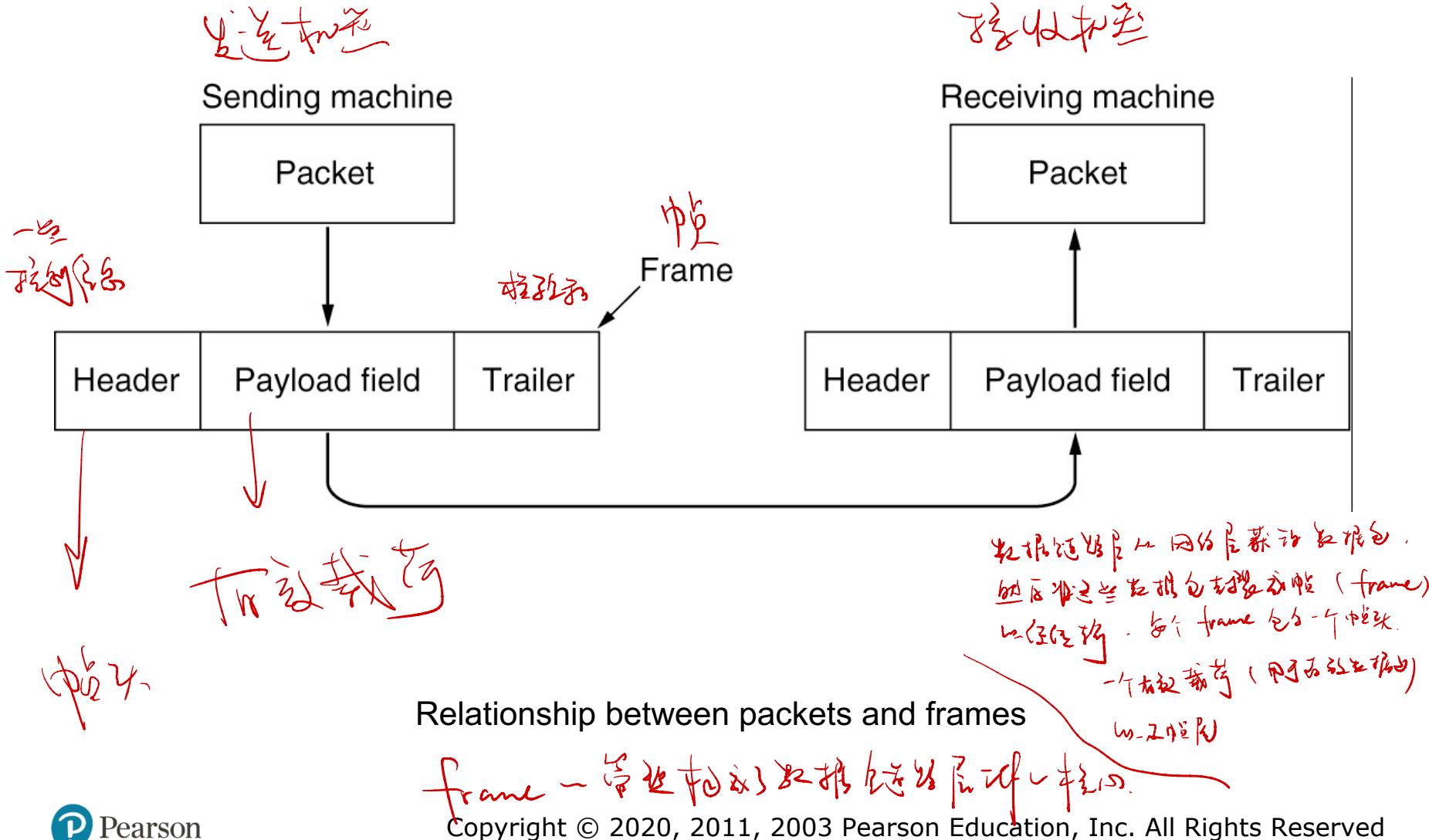
- Network layer services
- Framing
- Error control
纠错机制/检测
- Flow control
流量控制

Data Link Layer Functions

数据链路层 - 一个子层
服务子层

- Providing a well-defined service interface to the network layer.
- Framing sequences of bytes as self-contained segments.
即为节点间通信的实体 - 帧 .
- Detecting and correcting transmission errors.
- Regulating the flow of data so that slow receivers are not swamped by fast senders.

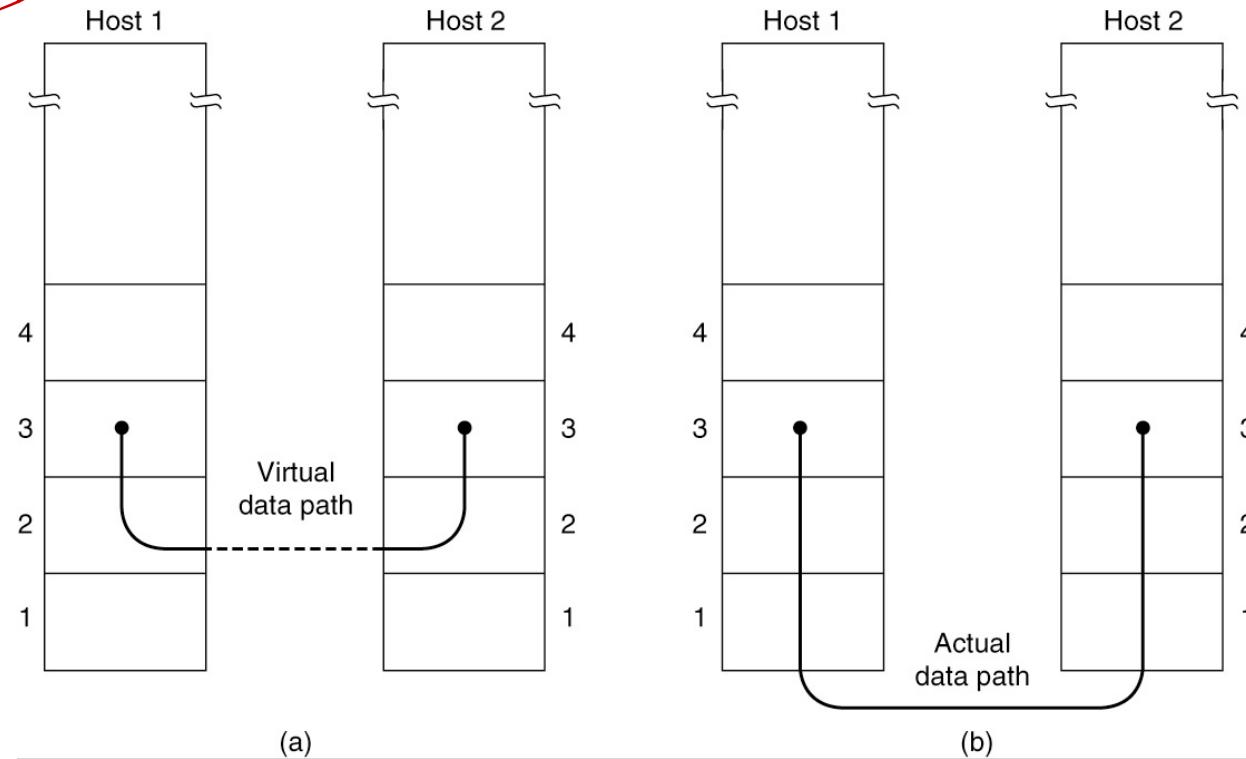
Data Link Layer Design Issues (2 of 2)



Services Provided to The Network Layer (1 of 2)

数据链路层服务

虚连接 (1)
物理连接



(a) Virtual communication. (b) Actual communication.

Services Provided to The Network Layer (2 of 2)

- Actual services vary from protocol to protocol
- Three possible services

- Unacknowledged connectionless service
- Acknowledged connectionless service
- Acknowledged connection-oriented service

un-ACK

wif:

无连接服务 - 例：IP 协议
无连接服务

有连接服务 - 例：TCP
有连接服务
有连接服务

Framing (1 of 4)

- Framing methods

- Byte count
- Flag bytes with byte stuffing
- Flag bits with bit stuffing
- Physical layer coding violations

字节计数法

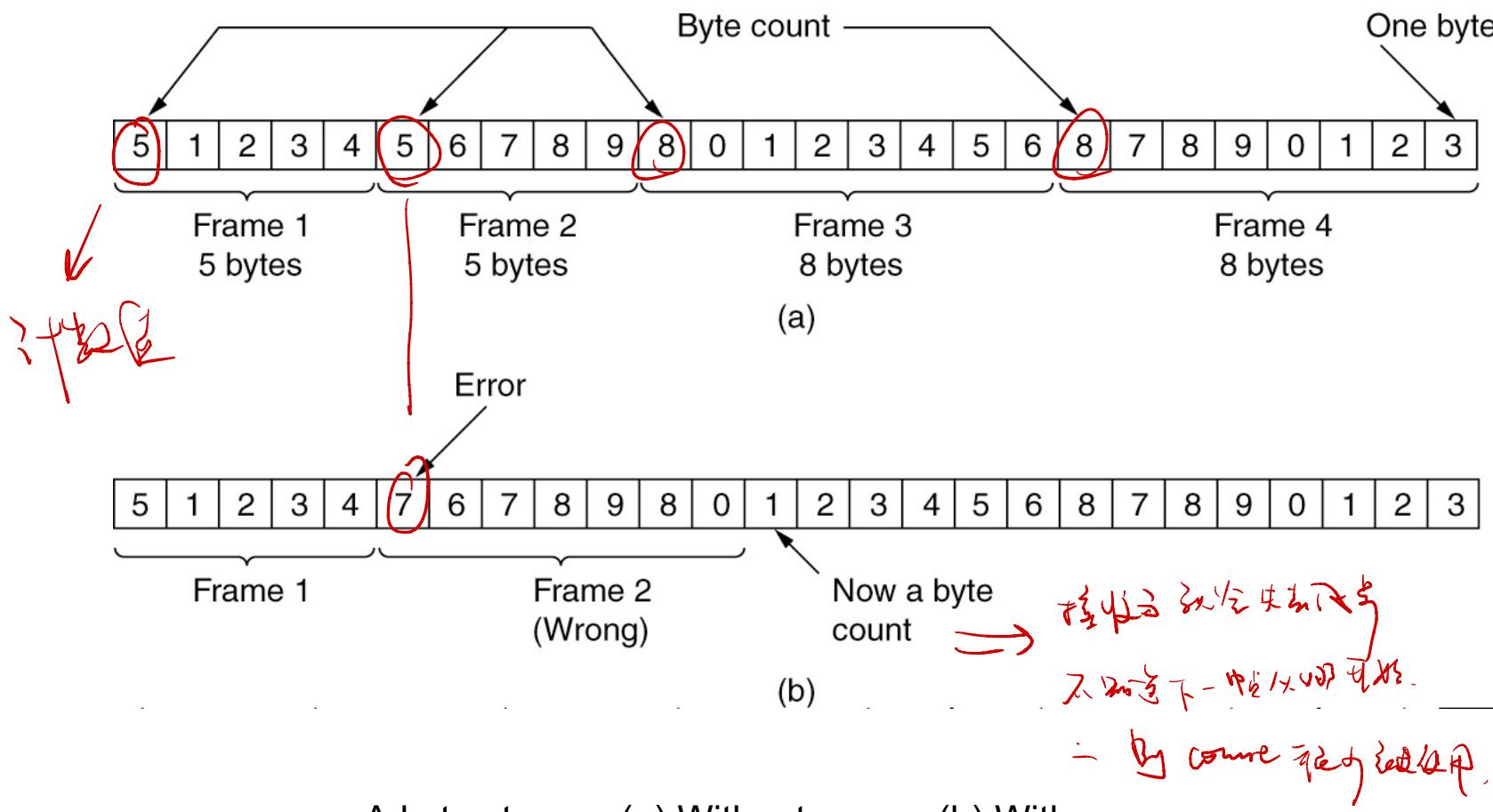
字节填充法

比特填充法

物理层编码违例

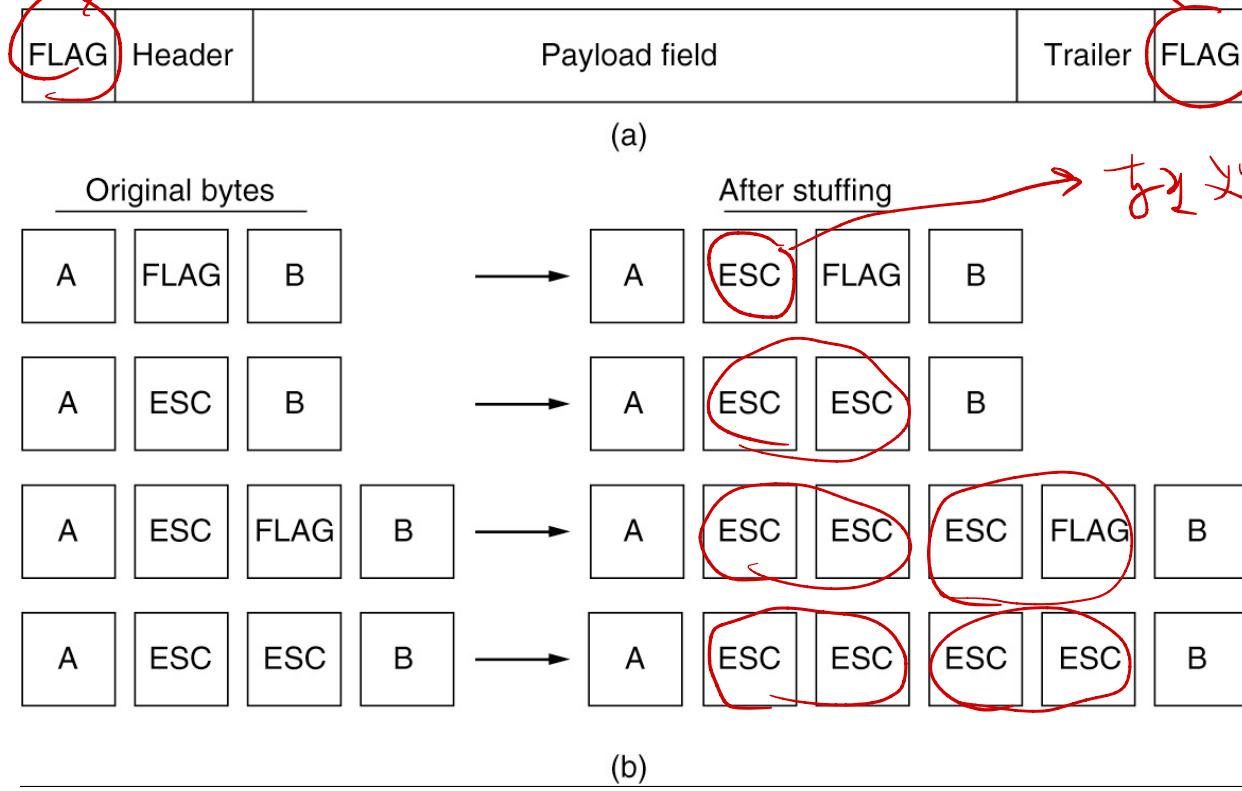
1 byte = 8 bits

Framing (2 of 4)



A byte stream. (a) Without errors. (b) With one error.

Framing (3 of 4)

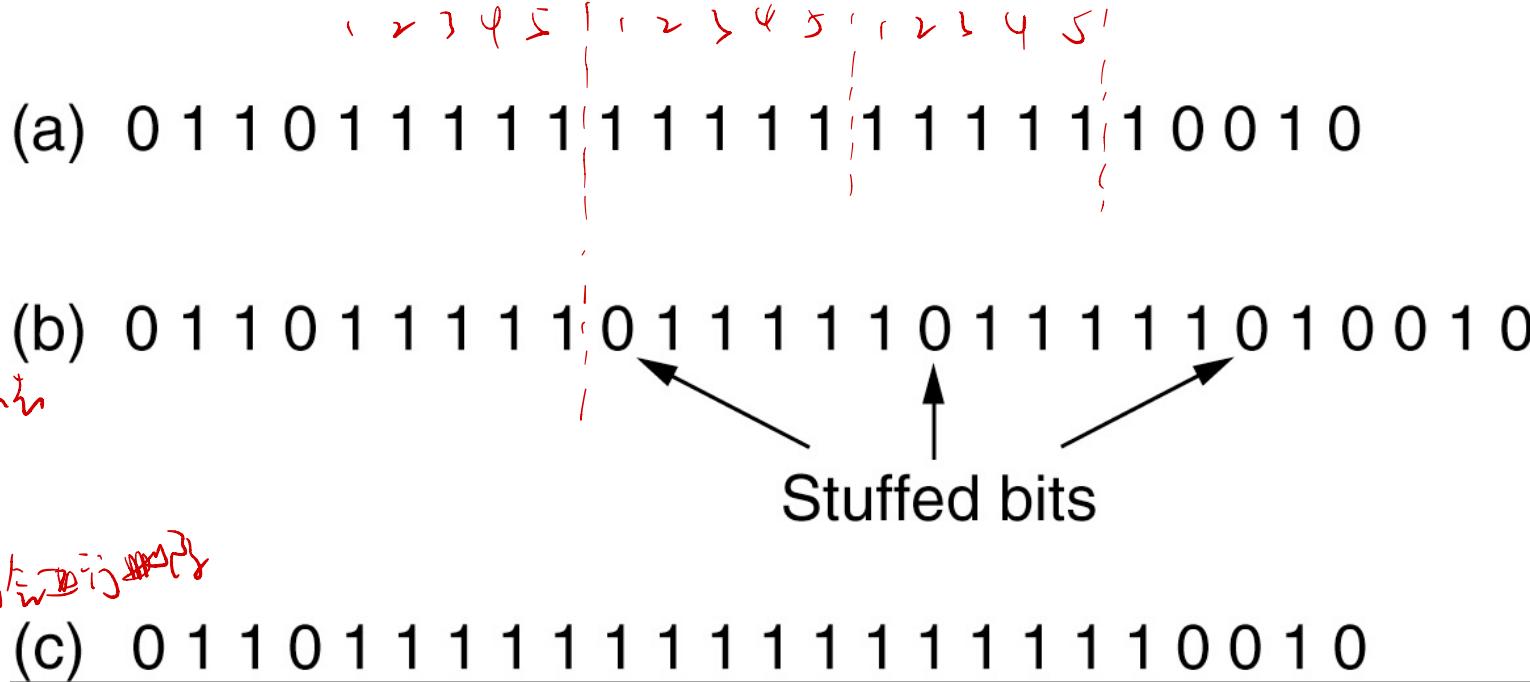


(a) A frame delimited by flag bytes. (b) Four examples of byte sequences before and after byte stuffing.



→ bit stuffing. 在 frame 2:0 一连串
五位都是 1 的情况下插入一个 0.

Framing (4 of 4)



Bit stuffing. (a) The original data. (b) The data as they appear on the line. (c) The data as they are stored in the receiver's memory after destuffing.

3.1.3

Error Control

- Ensuring all frames are eventually delivered:
 - To the network layer at the destination
 - In the proper order
- Ensures reliable, connection-oriented service
- Requires acknowledgement frames and timers

3.1.3

3.1.3

Flow Control

流量控制

- Controlling the sending of transmission frames at a faster pace than they can be accepted
- Feedback-based flow control 基于反馈的流量控制
 - Receiver sends back information to the sender giving it permission to send more data
 - Or receiver tells the sender how the receiver is doing
- Rate-based flow control 按速率控制
 - Protocol has a built-in mechanism
 - Mechanism limits the rate at which senders may transmit data
 - No feedback from the receiver is necessary

3.2

Error Detection and Correction

纠错码

- Error-correcting codes

- Referred to as FEC (Forward Error Correction)
 - Include enough redundant information to enable the receiver to deduce what the transmitted data must have been

- Error-detecting codes

检错码

- Include only enough redundancy to allow the receiver to deduce that an error has occurred (but not which error) and have it request a retransmission

- Key consideration is the type of errors likely to occur

3.2.1

Error-Correcting Codes (1 of 4)

- Hamming codes
- Binary convolutional codes 二进制卷积码
- Reed-Solomon codes 雷德-所罗门码
- Low-Density Parity Check codes 低密度奇偶校验码

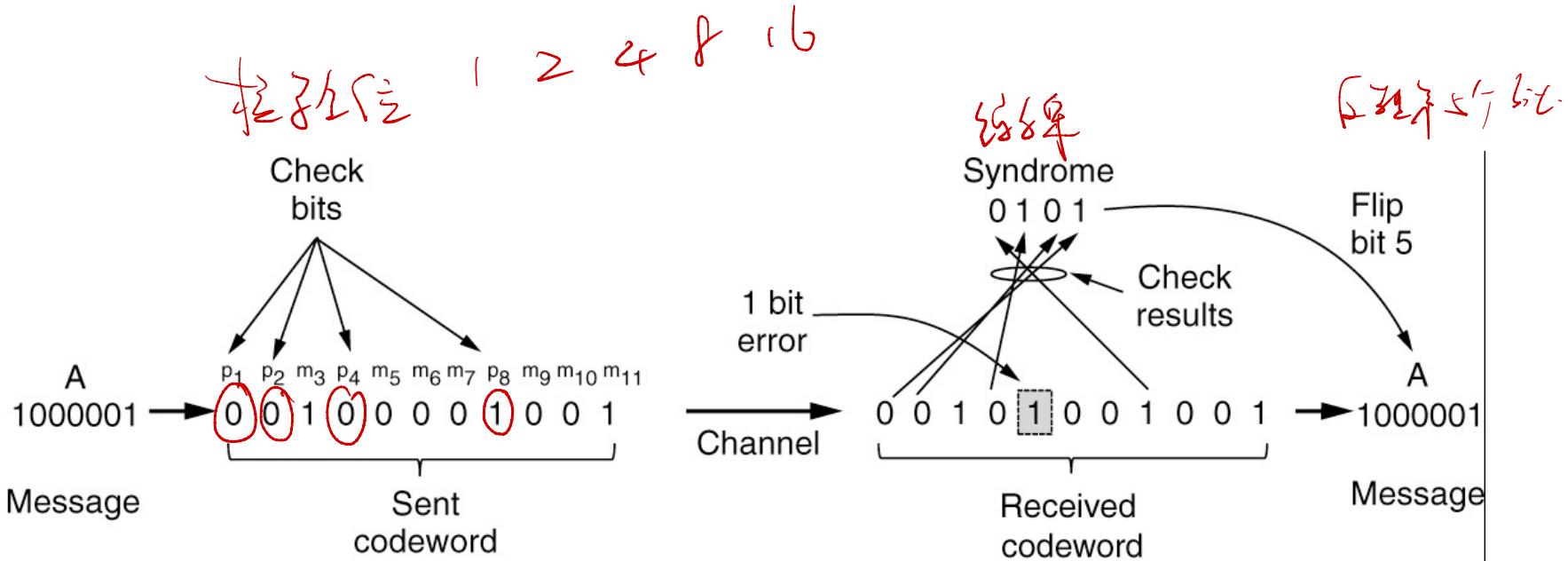
original \rightarrow 1 0 1 1 0 0 0 1

receiver \rightarrow 1 0 0 1 0 1 0 1

XOR 0 0 1 0 0 1 0 0

error

Error-Correcting Codes (2 of 4)



二进制码的纠正能力

奇偶校验码的纠正能力

③

Example of an (11, 7) Hamming code correcting a single-bit error

sent

receive

接收

1	2	3	4	5	6	7	8	9	10	11
0	0	1	0	0	0	0	1	0	0	1
0	0	1	0	1	0	0	1	0	0	1
P ₁	P ₂	P ₃					P ₄			

P₁ 报文(2⁰): 1, 3, 5, 7, 9, 11

P₂ 报文(2¹): 2, 3, 6, 7, 10, 11

P₃ 报文(2²): 4, 5, 6, 7

P₄ 报文(2³): 8, 9, 10, 11

接收报文位置 $\geq^{(i-1)}$

$$1 \geq^{1-1} = 2$$

$$1+2=3$$

$$3+2=5$$

$$5+2=7$$

$$7+2=9$$

$$9+2=11$$

$$2 \quad 2^{2-1} = 2, 3$$

P: $\{1, 2, 3\}$, V: $\{P_1, P_2, P_3, P_4, P_5, P_6, P_7\}$

	1	2	3	4	5	6	7	8	9	10	11
$\Sigma^0 = 1$	P_1		D_1		D_2		D_4		D_5		D_7
$\Sigma^1 = 2$		P_2	D_1			D_3	D_4		D_6	D_7	
$\Sigma^2 = 4$				P_3	D_2	D_3	D_4				
$\Sigma^3 = 8$								P_7	D_5	D_6	D_7

P₁: 1, 3, 5, 7, 9, 11

$$0 \oplus 1 \oplus 1 \oplus 0 \oplus 0 \oplus 1 = 1 \oplus 1 \oplus 0 \oplus 1 = 0 \oplus 0 \oplus 1 = 0 \oplus 1 = 1$$

P₂: 2, 3, 6, 7, 10, 11

$$0 \oplus 1 \oplus 0 \oplus 0 \oplus 0 \oplus 1 = 1 \oplus 0 \oplus 0 \oplus 1 = 1 \oplus 1 = 0$$

P₃: 4, 5, 6, 7

$$0 \oplus 1 \oplus 0 \oplus 0 = 1 \oplus 0 = 1$$

P₄: 8, 9, 10, 11

$$1 \oplus 0 \oplus 0 \oplus 1 = 1 \oplus 1 = 0$$

∴ 28k = 8, 4, 2, 1 → 28k ≡ 0, 1, 2, 4 (mod 5)

$$\rightarrow 4 \oplus 4 \oplus 4 \oplus 4 \oplus 1 \oplus 1 \oplus 1 \oplus 1 \oplus 1 = 5$$

∴ 28k ≡ 5 (mod 5)

Flip
bit 5
A
0 1 → 1000001
Message

rror

All Rights Reserved

original → 0 0 1 0 0 0 0 1 0 0 1 |

received → 0 0 | 0 1 0 0 | 1 0 0 1 |
X X X X

$$P_1 \rightarrow 1$$

$$P_2 \rightarrow 0$$

$$P_4 \rightarrow 1$$

$$P_8 \rightarrow 1$$

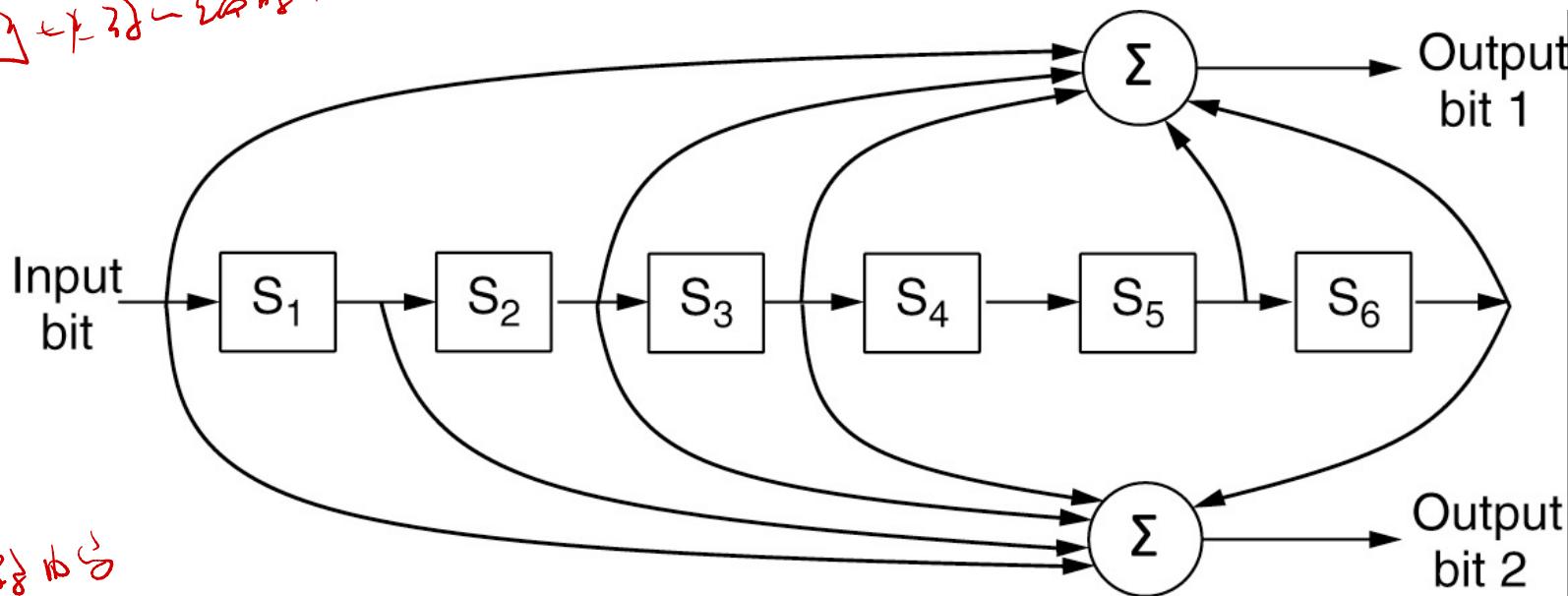
$$\begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

$$1 + 4, \rightarrow 5$$

Error-Correcting Codes (3 of 4)

卷积码

卷积码



The NASA binary convolutional code used in 802.11
The encoder has memory!

Error-Correcting Codes (4 of 4)

纠错码：）

- Reed-Solomon codes

- Linear block codes 线性块码 例 Ham code
- Often systematic 也是系统码
- Codes are based on the fact that every n degree polynomial is uniquely determined by $n + 1$ points n 度多项式由 $n+1$ 点唯一确定

- LDPC (Low-Density Parity Check) codes 低密度奇偶校验码

- Linear block codes 每个输出由一小部分一起入行构成
- Each output bit is formed from only a fraction of the input bits
- Practical for large block sizes 适合运用大块数据
- Have excellent error-correction abilities that outperform many other codes 具有出色的纠错能力，因为它们优于其他许多编码。

校验码

Error-Detecting Codes (1 of 3)

纠错码
校验码

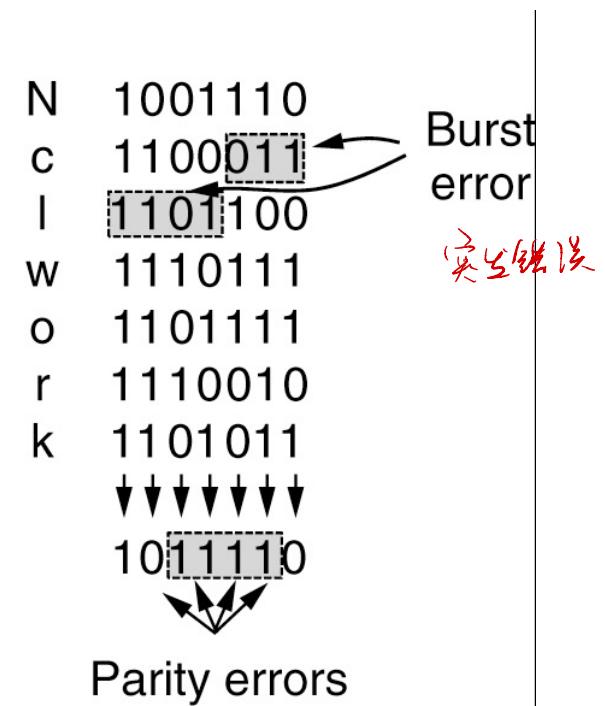
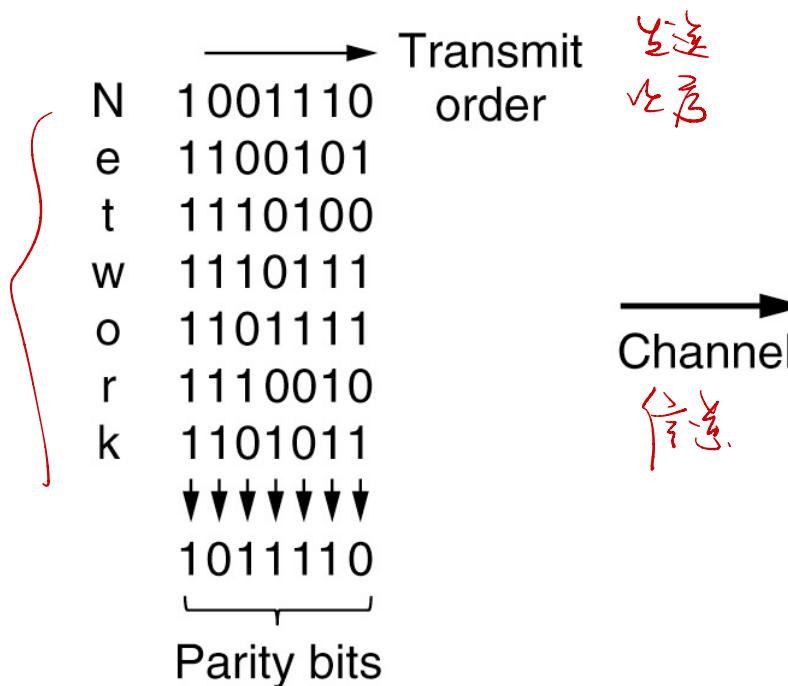
- Linear, systematic block codes

— Parity 奇偶校验

— Checksums 校验和

— Cyclic Redundancy Checks (CRCs) 循环冗余校验

Error-Detecting Codes (2 of 3)



交错冗余检测 (奇偶校验).

奇偶校验.

Interleaving of parity bits to detect a burst error

Error-Detecting Codes (3 of 3)

校验和

- The word “checksum” is often used to mean a group of check bits associated with a message, regardless of how the bits are calculated.
- A group of parity bits is one example of a checksum.
- Stronger checksums based on a running sum of the data bits of the message.
- The checksum is usually placed at the end of the message, as the complement of the sum function.

一组奇偶校验位之校验和

校验和通常是在消息末尾，作为求和函数的补数。

Error-Detecting Codes (3 of 3)

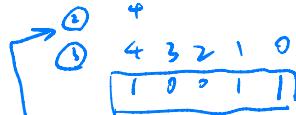
- A third and stronger kind of error-detecting code is in widespread use at the link layer: the CRC (Cyclic Redundancy Check), also known as a polynomial code.
多项式冗余码
- Polynomial codes are based upon treating bit strings as representations of polynomials with coefficients of 0 and 1 only.
把位串看成二项式。即 1 和 0 两种

Example calculation of the CRC

B₃ 上
本課程可取之
之餘。

e.g. 1. 實驗證 $x^4 + x^3 + x^2 + x + 1 \mid 110101011$.
運用 $(x^4 - 1) \text{ 整除 } p(x) = x^4 + x^3 + x^2 + x + 1$.

解: $y_1 \text{ 得到 } ① \quad 110101011$



餘數為 0.

$$\begin{array}{r} & 1100001010 \\ 10011 & \overline{)11010110110000} \\ & 10011 \\ & \hline 010011 \\ & 10011 \\ & \hline 0000010110 \\ & 10011 \\ & \hline 0010100 \\ & 10011 \\ & \hline 00000 \end{array}$$

步驟 2 計算:

$$1 \bmod 1 = 0$$

$$1 \bmod 0 = 1$$

$$0 \bmod 1 = 1$$

餘數為 0. $0 \bmod 0 = 0$

所以 R
是 110101011
的餘數

$$R: 1101011011 \underbrace{\mid}_{\mid} \underbrace{1110 \mid}$$

所以 R 是 110101011 的餘數。由上得出 R
是 0. 由此得證。

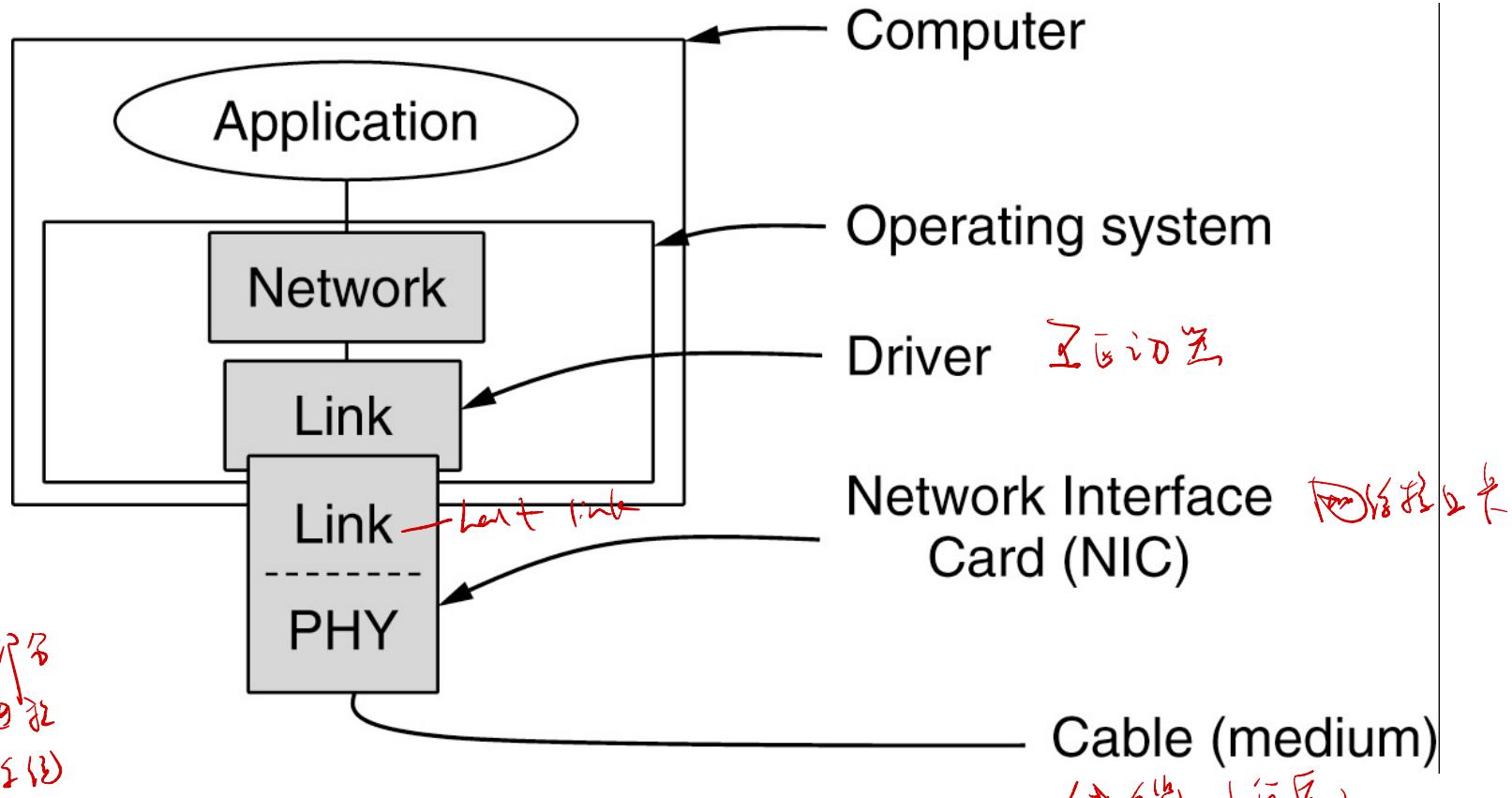
Elementary Data Link Protocols

- Assumptions underly the communication model
- Three simplex link-layer protocols *rules*
 - Utopia: No Flow Control or Error Correction
 - Adding Flow Control: Stop-and-Wait
 - Adding Error Correction: Sequence Numbers and ARQ

Initial Simplifying Assumptions (1 of 2)

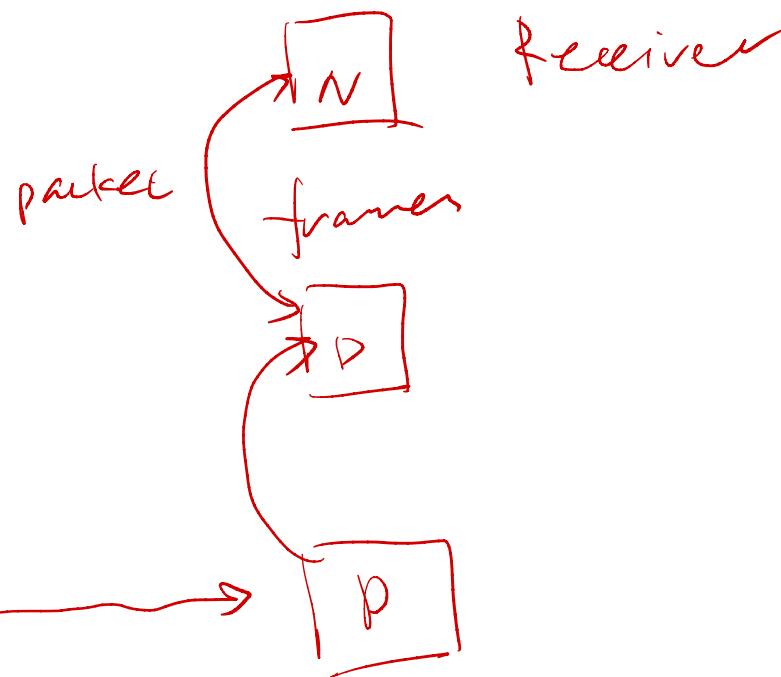
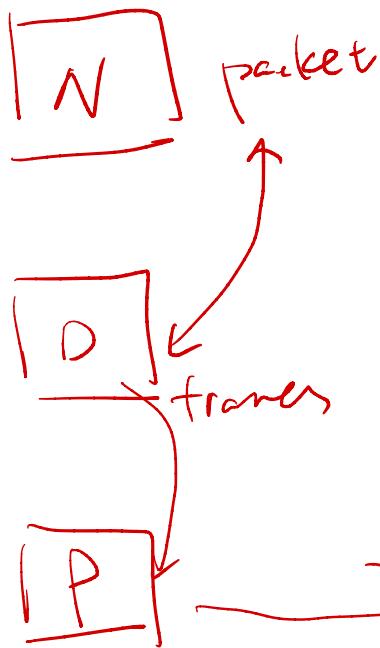
- Independent processes
物理层、数据链路层、网络层
物理层、数据链路层、
网络层都是独立的
互相之间没有关系
物理层、数据链路层、
网络层都是独立的
互相之间没有关系
- Physical, data link, and network layers are independent
- Communicate by passing messages back and forth
- Unidirectional communication
– Machines use a reliable, connection-oriented service
- Reliable machines and processes
– Machines do not crash

Initial Simplifying Assumptions (2 of 2)



Implementation of the physical, data link, and network layers

Sender



Receiver

Basic Transmission And Receipt (1 of 6)

在每个帧中，它必须包含 MAX_PKT 个字节 数据。
在空闲帧中，它必须标注为零。

```
#define MAX_PKT 4           /* determines packet size in bytes */ true false  
typedef enum {false, true} boolean; /* boolean type */  
typedef unsigned int seq_nr; /* sequence or ack numbers */ → 小型整数用来标记序号  
typedef struct {unsigned char data[MAX_PKT];} packet; /* packet definition */ 以及  
typedef enum {data, ack, nak} frame_kind; /* frame_kind definition */ 用以区分  
frame number  
上层子协议上网络层  
高层链路层之间，或者  
不同机器上一网络层  
对实体之间直接通信  
单元
```

→ 语义
MAX_SEQ

Some definitions needed in the protocols to follow. These definitions are located in the file *protocol.h* ... continued on the next slide

Basic Transmission And Receipt (2 of 6)

包子结构图

```
typedef struct {  
    frame_kind kind;  
    seq_nr seq;  
    seq_nr ack;  
    packet info;  
} frame;
```

/* frames are transported in this layer */
/* what kind of a frame is it? */
/* sequence number */ 序号
/* acknowledgement number */ 确认
/* the network layer packet */

包子的组成

kind (子帧指出帧中上层协议)
seq, ack (子帧指出本层四部分上层
协议信息, 上层和网络包个逻辑
包, 子帧数据包 -
pk.)

info (子帧包了一个数据包; 指明用
一个info子帧使用此一个至多个一
个逻辑子帧会使用一个逻辑info子
帧或若干个逻辑info子帧。

Some definitions needed in the protocols to follow. These definitions are located in the file *protocol.h* ... continued on the next slide

Basic Transmission And Receipt (3 of 6)

/* Wait for an event to happen; return its type in event. */
void wait_for_event(event_type *event);

/* Fetch a packet from the network layer for transmission on the channel. */
void from_network_layer(packet *p);

/* Deliver information from an inbound frame to the network layer. */
void to_network_layer(packet *p);

sender

N
D

N_r
D
receive

P

从网层接收数据包

Some definitions needed in the protocols to follow. These definitions are located in the file *protocol.h* ... continued on the next slide

Basic Transmission And Receipt (4 of 6)

```
/* Go get an inbound frame from the physical layer and copy it to r. */  
void from_physical_layer(frame *r);
```

```
/* Pass the frame to the physical layer for transmission. */  
void to_physical_layer(frame *s);
```

```
/* Start the clock running and enable the timeout event. */  
void start_timer(seq_nr k);
```

从物理层接收
向物理层发送

启动时钟 - 定时器 = T_2
设置 - 750

丢失帧
未应答

Some definitions needed in the protocols to follow. These definitions are located in the file *protocol.h* ... continued on the next slide

Basic Transmission And Receipt (5 of 6)

启动时钟，停止时钟在进行而且调用 stop_time 方法，这样事件才能发生。

```
/* Stop the clock and disable the timeout event. */
void stop_timer(seq_nr k);

/* Start an auxiliary timer and enable the ack_timeout event. */
void start_ack_timer(void);

/* Stop the auxiliary timer and disable the ack_timeout event. */
void stop_ack_timer(void);
```

启动 -> 停止
开始定时器
启动辅助定时器
停止辅助定时器

Some definitions needed in the protocols to follow. These definitions are located in the file *protocol.h* ... continued on the next slide

Basic Transmission And Receipt (6 of 6)

④ 在网络层中，不能通过网络层直接向物理层发送。必须先通过网桥后，由网桥将网络层的数据包发送到物理层。

④ event = network_layer_ready

/* Allow the network layer to cause a network_layer_ready event. */
void enable_network_layer(void);

禁用这种情况下

ready

/* Forbid the network layer from causing a network_layer_ready event. */
void disable_network_layer(void);

/* Macro inc is expanded in-line: Increment k circularly. */
#define inc(k) if (k < MAX_SEQ) k = k + 1; else k = 0

frame 序号在 [0, MAX_SEQ]，如果超过 MAX_SEQ 则会从 0。这本中
以 4 为序号为例，加 1 变成 (对 MAX_SEQ 取模 0)。
↑ inc 语句执行之后会进循环。

Some definitions needed in the protocols to follow. These definitions are located in the file *protocol.h* (end of code)

Simplex Link-Layer Protocols (1 of 10)

- Utopia: No Flow Control or Error Correction
- Adding Flow Control: Stop-and-Wait
- Adding Error Correction: Sequence Numbers and ARQ

Simplex Link-Layer Protocols (2 of 10)

上入組之

/* Protocol 1 (utopia) provides for data transmission in one direction only, from sender to receiver. The communication channel is assumed to be error free, and the receiver is assumed to be able to process all the input infinitely fast.

Consequently, the sender just sits in a loop pumping data out onto the line as fast as it can. */

```
typedef enum {frame_arrival} event_type;  
#include "protocol.h"
```

协议的简单性：一个发送进程和一个接收进程。发送进程
运行在一条单一方向的链路上；接收进程运行在另一条相同的链路上。

A utopian simplex protocol ... continued on the next slide

① L-(单工协议) 因为只存在一个物理层
② 利用单工只存在一个物理层

Simplex Link-Layer Protocols (3 of 10)

③ 通过连接物理层发送消息

```
void sender1(void)
{
    frame s;          /* buffer for an outbound frame */
    packet buffer;    /* buffer for an outbound packet */

    while (true) {
        from_network_layer(&buffer);      /* go get something to send */ ①
        s.info = buffer;                  /* copy it into s for transmission */ ②
        to_physical_layer(&s);           /* send it on its way */ ③
    }   /* tomorrow, and tomorrow, and tomorrow,
          Creeps in this petty pace from day to day
          To the last syllable of recorded time;
          - Macbeth, V, v */
}
```

→ 这是单向的简单协议，从上到下需要 MAX-SEQ

A utopian simplex protocol ... continued on the next slide

Simplex Link-Layer Protocols (4 of 10)

```
void receiver1(void)
{
    frame r;
    event_type event;      /* filled in by wait, but not used here */

    while (true) {
        wait_for_event(&event); /* only possibility is frame_arrival */
        from_physical_layer(&r);           /* go get the inbound frame */
        to_network_layer(&r.info);         /* pass the data to the network layer */
    }
}
```

接收事件
从物理层读取帧
将数据传递给网络层

A utopian simplex protocol (end of code) 讲义

Simplex Link-Layer Protocols (5 of 10)

单向发送一帧，对方不能识别就继续发送。

停-等协议。

/* Protocol 2 (stop-and-wait) also provides for a one-directional flow of data from sender to receiver. The communication channel is once again assumed to be error free, as in protocol 1. However, this time, the receiver has only a finite buffer capacity and a finite processing speed, so the protocol must explicitly prevent the sender from flooding the receiver with data faster than it can be handled. */

```
typedef enum {frame_arrival} event_type;  
#include "protocol.h"
```

A simplex stop-and-wait protocol ... continued on the next slide

Simplex Link-Layer Protocols (6 of 10)

```
void sender2(void)
{
    frame s;          /* buffer for an outbound frame */
    packet buffer;    /* buffer for an outbound packet */
    event_type event; /* frame_arrival is the only possibility */

    while (true) {
        from_network_layer(&buffer);      /* go get something to send */
        s.info = buffer;                  /* copy it into s for transmission */
        to_physical_layer(&s);           /* bye bye little frame */
        wait_for_event(&event); /* do not proceed until given the go ahead */
    }
}
```

A simplex stop-and-wait protocol ... continued on the next slide

Simplex Link-Layer Protocols (7 of 10)

```
void receiver2(void)
{
    frame r, s; /* buffers for frames */
    event_type event; /* frame_arrival is the only possibility */
    while (true) {
        wait_for_event(&event); /* only possibility is frame_arrival */
        from_physical_layer(&r); /* go get the inbound frame */
        to_network_layer(&r.info); /* pass the data to the network layer */
        to_physical_layer(&s); /* send a dummy frame to awaken sender */
    }
}
```

acknowledged -

A simplex stop-and-wait protocol (end of code)

Simplex Link-Layer Protocols (8 of 10)

如果先一个帧没收到，会自动重传。如果接收到一个帧，但接收到了
错误 (ARQ, Automatic Repeat reQuest) 或者是肯定的确认 (PNAK, Positive
Acknowledgement with Retransmission). 为了防止出现重传，可以在 PNAK 上加
一个序号。

/* Protocol 3 (par) allows unidirectional data flow over an unreliable channel. */

```
#define MAX_SEQ 1      /* must be 1 for protocol 3 */
typedef enum {frame_arrival, cksum_err, timeout} event_type;
#include "protocol.h"
```

A positive acknowledgement with retransmission protocol ... continued on the next slide

Simplex Link-Layer Protocols (9 of 10)

```
void sender3(void)
{
    seq_nr next_frame_to_send;      /* seq number of next outgoing frame */
    frame s;                      /* scratch variable */
    packet buffer;                /* buffer for an outbound packet */
    event_type event;

    next_frame_to_send = 0;         /* initialize outbound sequence numbers */
    from_network_layer(&buffer); /* fetch first packet */
    while (true) {
        s.info = buffer;          /* construct a frame for transmission */
        s.seq = next_frame_to_send; /* insert sequence number in frame */
        to_physical_layer(&s);   /* send it on its way */
        start_timer(s.seq);       /* if answer takes too long, time out */
        wait_for_event(&event); /* frame_arrival, cksum_err, timeout */
        if (event == frame_arrival) {
            from_physical_layer(&s); /* get the acknowledgement */
            if (s.ack == next_frame_to_send) {
                from_network_layer(&buffer); /* get the next one to send */
                inc(next_frame_to_send);     /* invert next_frame_to_send */
            }
        }
    }
}
```

A positive acknowledgement with retransmission protocol ... continued on the next slide

Simplex Link-Layer Protocols (10 of 10)

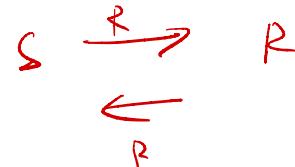
```
void receiver3(void)
{
    seq_nr frame_expected;
    frame r, s;
    event_type event;

    frame_expected = 0;
    while (true) {
        wait_for_event(&event); /* possibilities: frame_arrival, cksum_err */
        if (event == frame_arrival) {
            /* A valid frame has arrived. */
            from_physical_layer(&r);          /* go get the newly arrived frame */
            if (r.seq == frame_expected) {
                /* This is what we have been waiting for. */
                to_network_layer(&r.info);      /* pass the data to the network layer */
                inc(frame_expected);           /* next time expect the other sequence nr */
            }
            s.ack = 1 - frame_expected;       /* tell which frame is being acked */
            to_physical_layer(&s);          /* only the ack field is use */
        }
    }
}
```

A positive acknowledgement with retransmission protocol (end of code)

Improving Efficiency

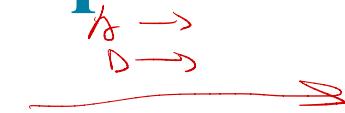
提高效率的上可行方法。



- Need bidirectional data transmission
- Link layer efficiency improvement
 - Send multiple frames simultaneously before receiving an acknowledgement

Midterm : 1, 2, 3 Oct 12.

Bidirectional Transmission, Multiple Frames in Flight (1 of 3)



- Bidirectional transmission: **piggybacking**
 - Use the same link for data in both directions
 - Interleave data and control frames on the same link
 - Temporarily delay outgoing acknowledgements so they can be hooked onto the next outgoing data frame
send data together
- Piggybacking advantages
 - A better use of the available channel bandwidth
 - Lighter processing load at the receiver
- Piggybacking issue
 - Determining time data link layer waits for a packet to piggyback the acknowledgement

协议层(高层)下一层
数据链路层(速率. 逻辑)
物理层(物理层)(物理层)
将数据放在下一个帧上
在帧中上.

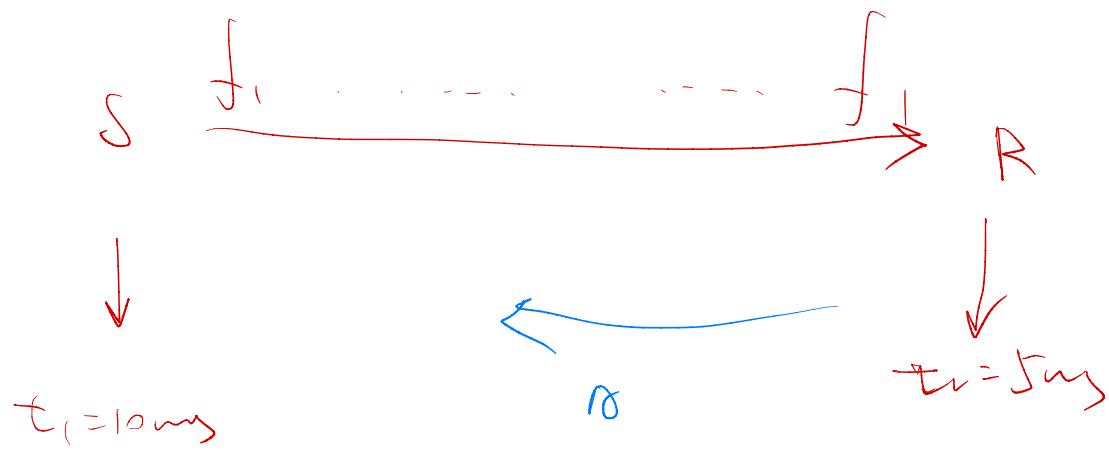
数据链路层(逻辑)下一层
物理层(物理层)?

Bidirectional Transmission, Multiple Frames in Flight (2 of 3)

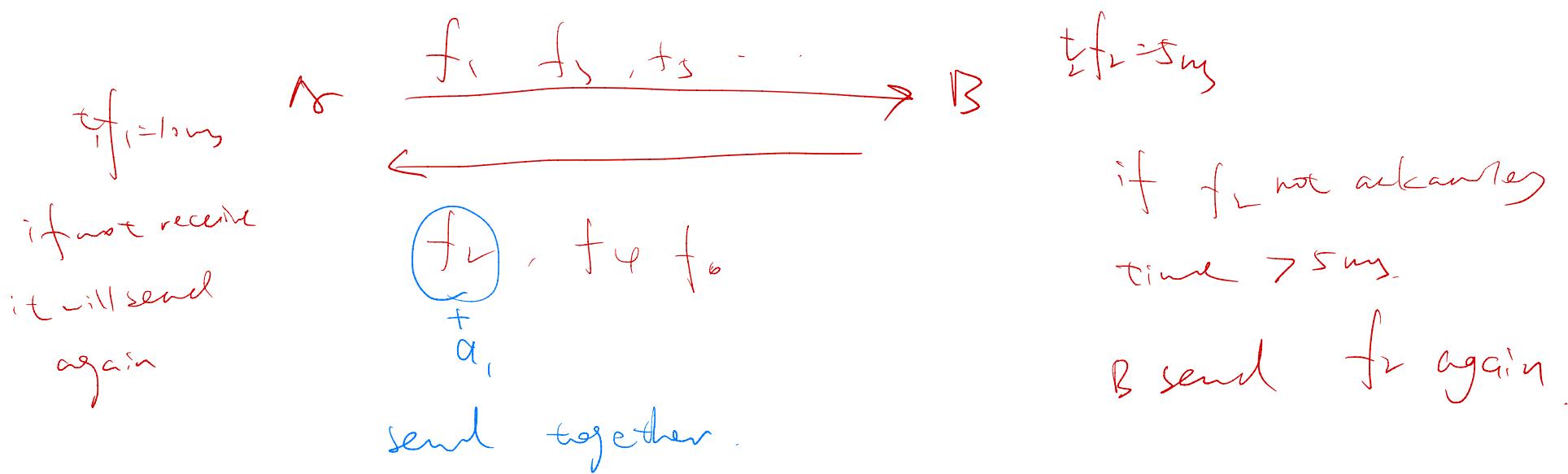
双向窗口

- Three bidirectional sliding window protocols
 - One-bit sliding window, go-back-n, selective repeat
- Consider any instant of time
 - Sender maintains a set of sequence numbers corresponding to frames it is permitted to send
 - Frames are said to fall within the sending window
 - Receiver maintains a receiving window corresponding to the set of frames it is permitted to accept
- Differ among themselves in terms of efficiency, complexity, and buffer requirements

you should
know the
concepts,
and answer
it.



send again



S $f_5 f_4 f_3 f_2 f_1$ $\rightarrow R$

$\boxed{f_1 f_2 f_3 f_4 f_5}$ $a_3 a_1 a_4 a_5$



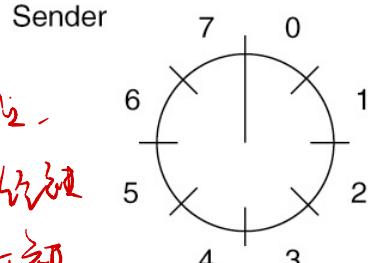
not sorted

Bidirectional Transmission, Multiple Frames in Flight (3 of 3)

The diagram shows a circular path from the **Sender** to the **Receiver**, divided into four quadrants labeled 4, 5, 6, and 7. Red Chinese characters are written along this path, representing the message being transmitted.

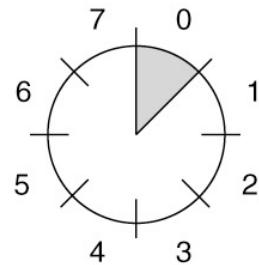
Sender

Receiver

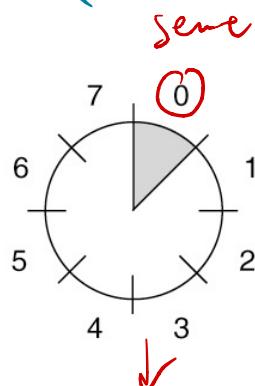


Receiver

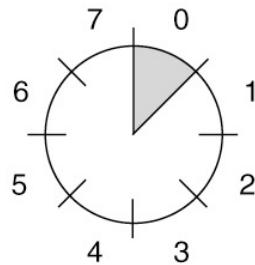
expected



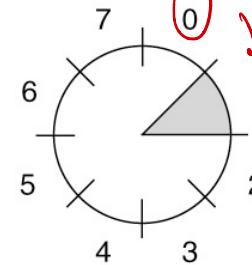
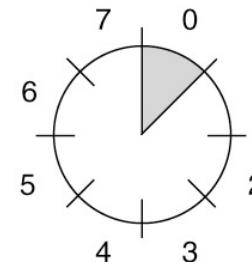
(a)



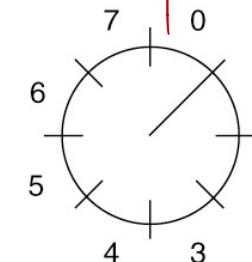
✓



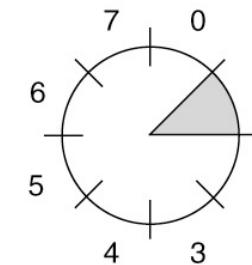
(b)



(c)



1



(c)

A sliding window of size 1, with a 3-bit sequence number. (a) Initially. (b) After the first frame has been sent. (c) After the first frame has been received. (d) After the first acknowledgement has been received.

Examples (1 of 20)

```
/* Protocol 4 (sliding window) is bidirectional and is more robust than protocol 3. */

#define MAX_SEQ 1      /* must be 1 for protocol 4 */
typedef enum {frame_arrival, cksum_err, timeout} event_type;
#include "protocol.h"
```

A 1-bit sliding window protocol ... continued on the next slide

Examples (2 of 20)

```
void protocol4 (void)
{
    seq_nr next_frame_to_send; /* 0 or 1 only */
    seq_nr frame_expected; /* 0 or 1 only */
    frame r, s; /* scratch variables */
    packet buffer; /* current packet being sent */
    event_type event;

    next_frame_to_send = 0; /* next frame on the outbound stream */
    frame_expected = 0; /* number of frame arriving frame expected */
    from_network_layer(&buffer); /* fetch a packet from the network layer */
    s.info = buffer; /* prepare to send the initial frame */
    s.seq = next_frame_to_send; /* insert sequence number into frame */
    s.ack = 1 - frame_expected; /* piggybacked ack */
    to_physical_layer(&s); /* transmit the frame */
    start_timer(s.seq); /* start the timer running */
```

序列号是通过发送一帧来更新的。

帧是通过接收一帧来更新的。

接收方发送一个帧
发送方接收一个帧
发送方发送一个帧
接收方发送一个帧

A 1-bit sliding window protocol ... continued on the next slide

happen in both way

Examples (3 of 20)

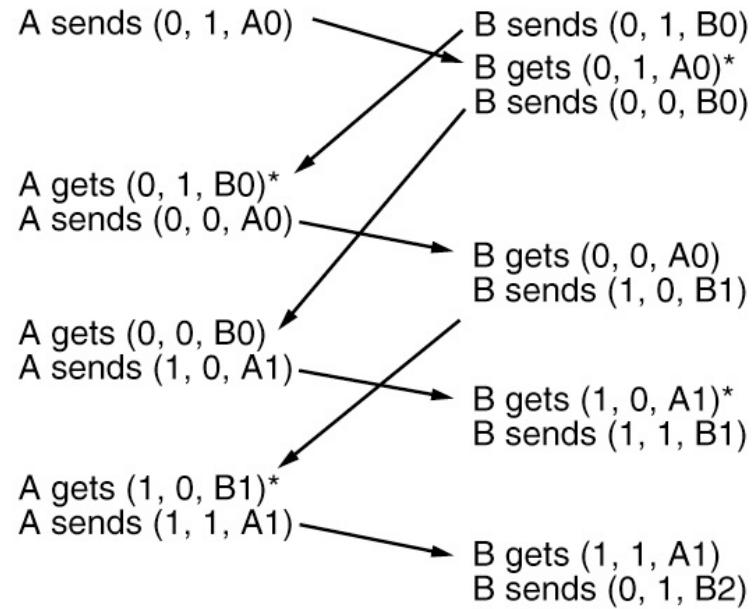
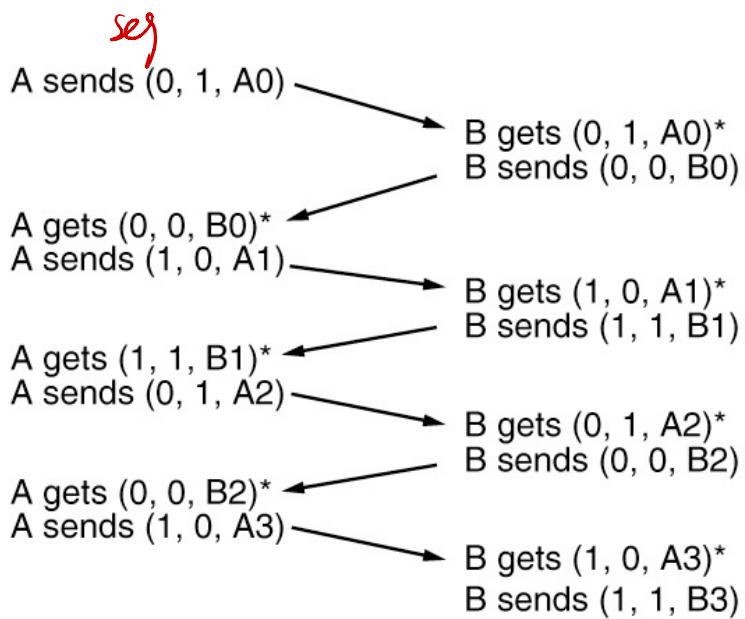
```
while (true) {  
    wait_for_event(&event); /* could be: frame_arrival, cksum_err, timeout */  
    if (event == frame_arrival) { /* a frame has arrived undamaged. */  
        from_physical_layer(&r); /* go get it */  
  
        if (r.seq == frame_expected) { /* Handle inbound frame stream. */  
            to_network_layer(&r.info); /* pass packet to network layer */  
            inc(frame_expected); /* invert sequence number expected next */  
        }  
  
        if (r.ack == next_frame_to_send) { /* handle outbound frame stream. */  
            from_network_layer(&buffer); /* fetch new packet from network layer */  
            inc(next_frame_to_send); /* invert sender's sequence number */  
        }  
    }  
  
    s.info = buffer; /* construct outbound frame */  
    s.seq = next_frame_to_send; /* insert sequence number into it */  
    s.ack = 1 - frame_expected; /* seq number of last received frame */  
    to_physical_layer(&s); /* transmit a frame */  
    start_timer(s.seq); /* start the timer running */  
}
```

Annotations in red:

- 指向 `frame_expected` 的注释：从物理层读取帧，如果序列号匹配，则处理 inbound 流。
- 指向 `to_network_layer(&r.info);` 的注释：将帧传递给网络层。
- 指向 `inc(frame_expected);` 的注释：反转下一个期望的序列号。
- 指向 `next_frame_to_send` 的注释：从网络层读取新包，反转发送者的序列号。
- 指向 `inc(next_frame_to_send);` 的注释：反转下一个发送帧的序列号。
- 指向 `s.info = buffer;` 的注释：构造 outbound 帧。
- 指向 `s.seq = next_frame_to_send;` 的注释：将序列号插入帧中。
- 指向 `s.ack = 1 - frame_expected;` 的注释：设置接收方的序列号为上一个接收到的帧的反码。
- 指向 `to_physical_layer(&s);` 的注释：向物理层传输帧。
- 指向 `start_timer(s.seq);` 的注释：启动定时器。

A 1-bit sliding window protocol (end of code)

Examples (4 of 20)



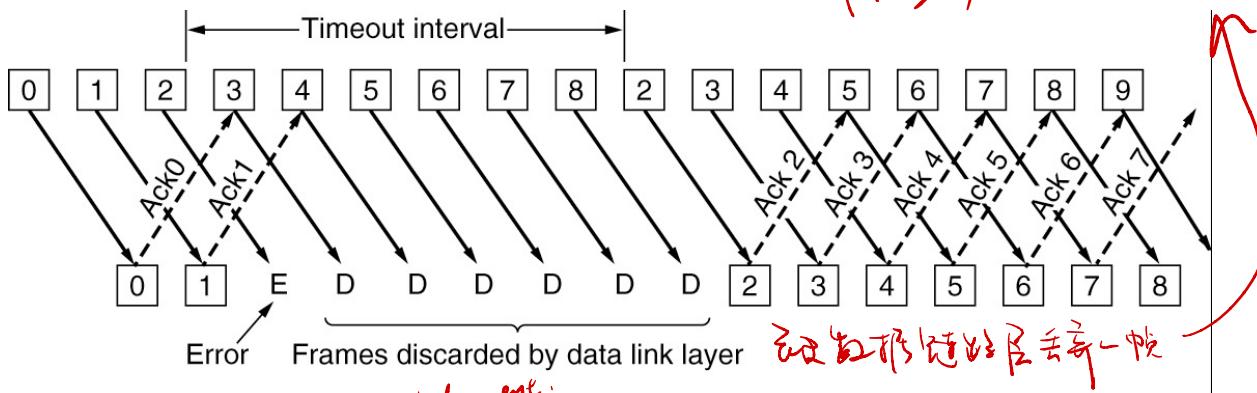
Two scenarios for protocol 4. (a) Normal case. (b) Abnormal case. The notation is (seq, ack, packet number). An asterisk indicates where a network layer accepts a packet.



very important

Examples (5 of 20)

go-back-n 技术是当接收到的帧不正确时
会向发送方返回一个否定确认帧，即反向
重传，而且丢弃这些丢失帧。因此返回
的序列号比接收的序列号小 1。
这样，如果遇到一个错误帧，这种技术
会将大量的带宽。



selective repeat

差错纠正

接收端收到的数据帧

检测到错误后丢弃

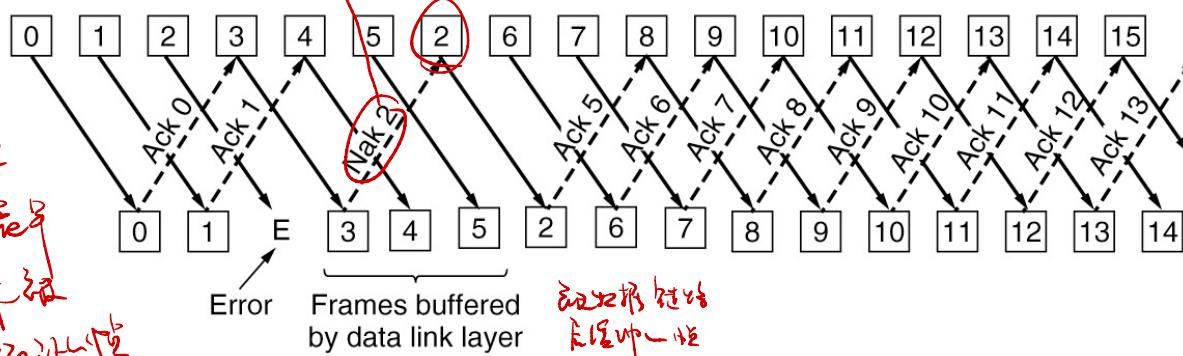
重新发送

直到成功为止

时间延迟

negative acknowledgement

(a)



time
faster
but memory

Pipelining and error recovery. Effect of an error when (a) receiver's window size is 1 and (b) receiver's window size is large.

接收窗口大的情况下
影响



Pearson

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Examples (6 of 20)

```
/* Protocol 5 (Go-back-n) allows multiple outstanding frames. The sender may transmit up
   to MAX_SEQ frames without waiting for an ack. In addition, unlike the previous protocols,
   the network layer is not assumed to have a new packet all the time. Instead, the
   network layer causes a network_layer_ready event when there is a packet to send. */

#define MAX_SEQ 7      /* should be 2^n - 1 */
typedef enum {frame_arrival, cksum_err, timeout, network_layer_ready} event_type;
#include "protocol.h"
```

A sliding window protocol using go-back-n ... continued on the next slide

Examples (7 of 20)

```
static boolean between(seq_nr a, seq_nr b, seq_nr c)
{
    /* Return true if (a <= b < c circularly; false otherwise. */
    if (((a <= b) && (b < c)) || ((c < a) && (a <= b)) || ((b < c) && (c < a)))
        return(true);
    else
        return(false);
}
```

A sliding window protocol using go-back-n ... continued on the next slide

Examples (8 of 20)

```
static void send_data(seq_nr frame_nr, seq_nr frame_expected, packet buffer[])
{
/* Construct and send a data frame. */
frame s;          /* scratch variable */

s.info = buffer[frame_nr];    /* insert packet into frame */
s.seq = frame_nr;            /* insert sequence number into frame */
s.ack = (frame_expected + MAX_SEQ) % (MAX_SEQ + 1);    /* piggyback ack */
to_physical_layer(&s);      /* transmit the frame */
start_timer(frame_nr);       /* start the timer running */
}
```

A sliding window protocol using go-back-n ... continued on the next slide

Examples (9 of 20)

```
void protocol5(void)
{
    seq_nr next_frame_to_send;      /* MAX_SEQ > 1; used for outbound stream */
    seq_nr ack_expected;          /* oldest frame as yet unacknowledged */
    seq_nr frame_expected;        /* next frame expected on inbound stream */
    frame r;                     /* scratch variable */
    packet buffer[MAX_SEQ+1];     /* buffers for the outbound stream */
    seq_nr nbuffered;            /* # output buffers currently in use */
    seq_nr i;                    /* used to index into the buffer array */
    event_type event;

    enable_network_layer();       /* allow network_layer_ready events */
    ack_expected = 0;             /* next ack expected inbound */
    next_frame_to_send = 0;        /* next frame going out */
    frame_expected = 0;           /* number of frame expected inbound */
    nbuffered = 0;                /* initially no packets are buffered */
```

A sliding window protocol using go-back-n ... continued on the next slide

Examples (10 of 20)

在這個過程中，第一次接收到的事件是
由上層網路層發送過來的，因為這是由
一個新的事件所引起的，它會將一個 network_layer_ ready 事件。

```
while (true) {
    wait_for_event(&event); /* four possibilities: see event_type above */

    switch(event) {
        case network_layer_ready: /* the network layer has a packet to send */
            /* Accept, save, and transmit a new frame. */
            from_network_layer(&buffer[next_frame_to_send]); /* fetch new packet */
            nbuffered = nbuffered + 1; /* expand the sender's window */
            send_data(next_frame_to_send, frame_expected, buffer); /* transmit the frame */
            inc(next_frame_to_send); /* advance sender's upper window edge */
            break;

        case frame_arrival: /* a data or control frame has arrived */
            from_physical_layer(&r); /* get incoming frame from physical layer */

            if (r.seq == frame_expected) {
                /* Frames are accepted only in order. */
                to_network_layer(&r.info); /* pass packet to network layer */
                inc(frame_expected); /* advance lower edge of receiver's window */
            }
    }
}
```

A sliding window protocol using go-back-n ... continued on the next slide

Examples (11 of 20)

```
/* Ack n implies n - 1, n - 2, etc. Check for this. */
while (between(ack_expected, r.ack, next_frame_to_send)) {
    /* Handle piggybacked ack. */
    nbuffered = nbuffered - 1;          /* one frame fewer buffered */
    stop_timer(ack_expected);          /* frame arrived intact; stop timer */
    inc(ack_expected);                /* contract sender's window */
}
break;

case cksum_err: ;           /* just ignore bad frames */
break;
```

A sliding window protocol using go-back-n ... continued on the next slide

Examples (12 of 20)

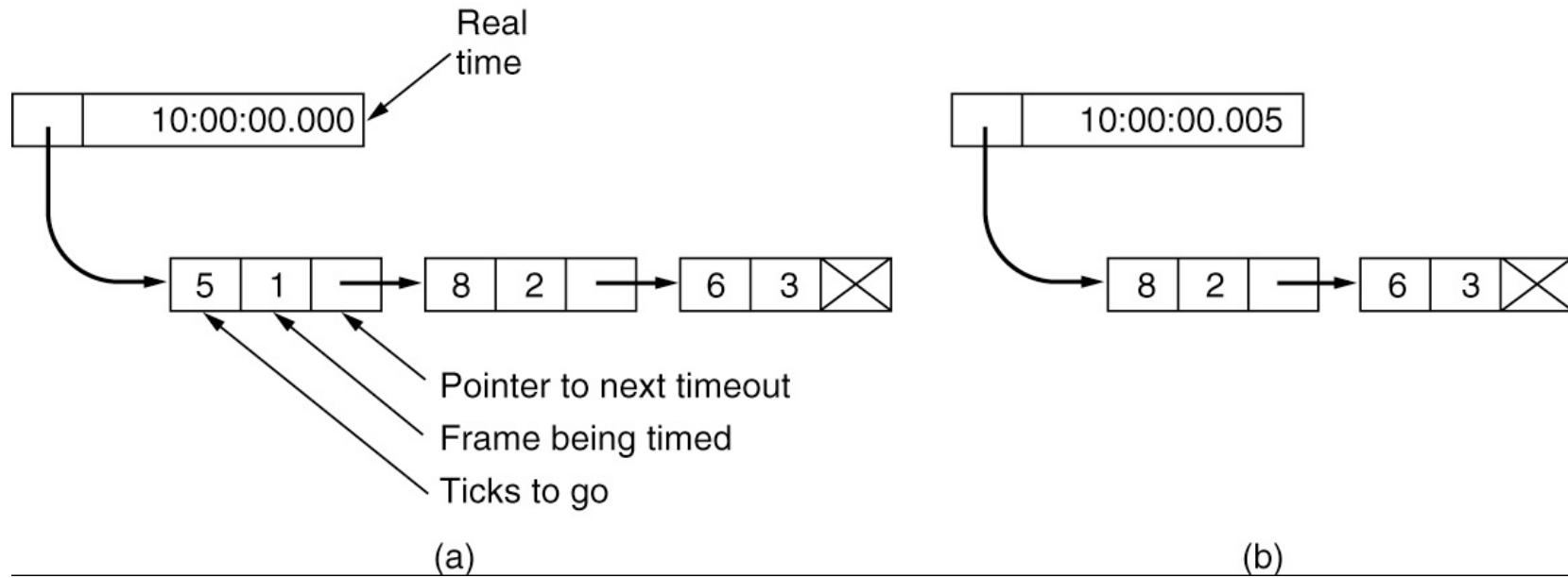
```
case timeout: /* trouble; retransmit all outstanding frames */
    next_frame_to_send = ack_expected; /* start retransmitting here */
    for (i = 1; i <= nbuffered; i++) {
        send_data(next_frame_to_send, frame_expected, buffer); /* resend 1 frame */
        inc(next_frame_to_send); /* prepare to send the next one */
    }
}

if (nbuffered < MAX_SEQ)
    enable_network_layer();
else
    disable_network_layer();
```

对) 使能网络层机制可配置为
根据一发送窗口大小或者缓冲区大小
而变化，这样当窗口溢出时可以
自动从窗口中移除

A sliding window protocol using go-back-n (end of code)

Examples (13 of 20)



Simulation of multiple timers in software. (a) The queued timeouts. (b) The situation after the first timeout has expired.

Examples (14 of 20)

```
/* Protocol 6 (nonsequential receive) accepts frames out of order, but passes packets to the
   network layer in order. Associated with each outstanding frame is a timer. When the timer
   goes off, only that frame is retransmitted, not all the outstanding frames, as in protocol 5. */

#define MAX_SEQ 7      /* should be 2^n - 1 */
#define NR_BUFS ((MAX_SEQ + 1)/2)
typedef enum {frame_arrival, cksum_err, timeout, network_layer_ready, ack_timeout} event_type;
#include "protocol.h"
boolean no_nak = true; /* no nak has been sent yet */
seq_nr oldest_frame = MAX_SEQ+1; /* init value is for the simulator */
```

A sliding window protocol using selective repeat ... continued on the next slide

Examples (15 of 20)

```
static boolean between(seq_nr a, seq_nr b, seq_nr c)
{
/* Same as between in protocol5, but shorter and more obscure. */
    return ((a <= b) && (b < c)) || ((c < a) && (a <= b)) || ((b < c) && (c < a));
}

static void send_frame(frame_kind fk, seq_nr frame_nr, seq_nr frame_expected, packet buffer[])
{
/* Construct and send a data, ack, or nak frame. */
    frame s;      /* scratch variable */

    s.kind = fk; /* kind == data, ack, or nak */
    if (fk == data) s.info = buffer[frame_nr % NR_BUFS];
    s.seq = frame_nr; /* only meaningful for data frames */
    s.ack = (frame_expected + MAX_SEQ) % (MAX_SEQ + 1);
    if (fk == nak) no_nak = false; /* one nak per frame, please */
    to_physical_layer(&s); /* transmit the frame */
    if (fk == data) start_timer(frame_nr % NR_BUFS);
    stop_ack_timer(); /* no need for separate ack frame */
}
```

A sliding window protocol using selective repeat ... continued on the next slide

Examples (16 of 20)

```
void protocol6(void)
{
    seq_nr ack_expected; /* lower edge of sender's window */
    seq_nr next_frame_to_send; /* upper edge of sender's window + 1 */
    seq_nr frame_expected; /* lower edge of receiver's window */
    seq_nr too_far; /* upper edge of receiver's window + 1 */
    int i; /* index into buffer pool */
    frame r; /* scratch variable */
    packet out_buf[NR_BUFS]; /* buffers for the outbound stream */
    packet in_buf[NR_BUFS]; /* buffers for the inbound stream */
    boolean arrived[NR_BUFS]; /* inbound bit map */
    seq_nr nbuffered; /* how many output buffers currently used */
    event_type event;

    enable_network_layer(); /* initialize */
    ack_expected = 0; /* next ack expected on the inbound stream */
    next_frame_to_send = 0; /* number of next outgoing frame */
    frame_expected = 0; /* frame number expected */
    too_far = NR_BUFS; /* receiver's upper window + 1 */
    nbuffered = 0; /* initially no packets are buffered */
```

A sliding window protocol using selective repeat ... continued on the next slide

Examples (17 of 20)

```
for (i = 0; i < NR_BUFS; i++) arrived[i] = false;
while (true) {
    wait_for_event(&event);      /* five possibilities: see event_type above */
    switch(event) {
        case network_layer_ready:          /* accept, save, and transmit a new frame */
            nbuffered = nbuffered + 1;      /* expand the window */
            from_network_layer(&out_buf[next_frame_to_send % NR_BUFS]); /* fetch new packet */
            send_frame(data, next_frame_to_send, frame_expected, out_buf); /* transmit the frame */
            inc(next_frame_to_send);       /* advance upper window edge */
            break;
    }
}
```

A sliding window protocol using selective repeat ... continued on the next slide

Examples (18 of 20)

```
case frame_arrival: /* a data or control frame has arrived */
    from_physical_layer(&r); /* fetch incoming frame from physical layer */
    if (r.kind == data) {
        /* An undamaged frame has arrived. */
        if ((r.seq != frame_expected) && no_nak)
            send_frame(nak, 0, frame_expected, out_buf); else start_ack_timer();
        if (between(frame_expected, r.seq, too_far) && (arrived[r.seq%NR_BUFS] == false)) {
            /* Frames may be accepted in any order. */
            arrived[r.seq % NR_BUFS] = true; /* mark buffer as full */
            in_buf[r.seq % NR_BUFS] = r.info; /* insert data into buffer */
            while (arrived[frame_expected % NR_BUFS]) {
                /* Pass frames and advance window. */
                to_network_layer(&in_buf[frame_expected % NR_BUFS]);
                no_nak = true;
                arrived[frame_expected % NR_BUFS] = false;
                inc(frame_expected); /* advance lower edge of receiver's window */
                inc(too_far); /* advance upper edge of receiver's window */
                start_ack_timer(); /* to see if (a separate ack is needed */
            }
        }
    }
}
```

A sliding window protocol using selective repeat ... continued on the next slide

Examples (19 of 20)

```
if((r.kind==nak) && between(ack_expected,(r.ack+1)%(MAX_SEQ+1),next_frame_to_send))
    send_frame(data, (r.ack+1) % (MAX_SEQ + 1), frame_expected, out_buf);

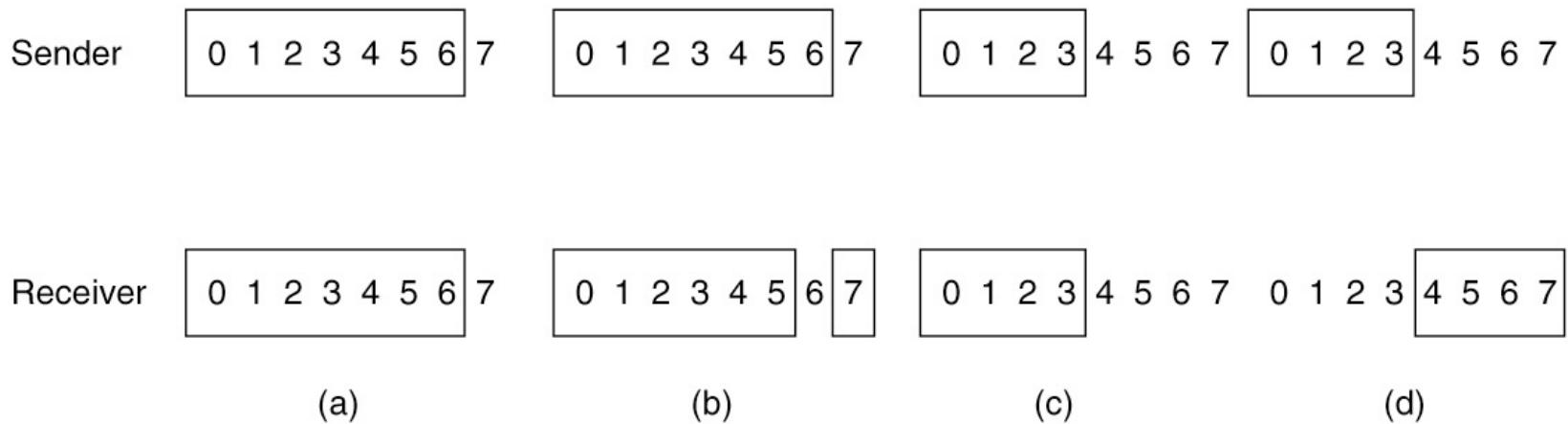
while (between(ack_expected, r.ack, next_frame_to_send)) {
    nbuffered = nbuffered - 1;          /* handle piggybacked ack */
    stop_timer(ack_expected % NR_BUFS); /* frame arrived intact */
    inc(ack_expected);                /* advance lower edge of sender's window */
}
break;

case cksum_err: if (no_nak) send_frame(nak, 0, frame_expected, out_buf); break; /* damaged frame */
case timeout: send_frame(data, oldest_frame, frame_expected, out_buf); break; /* we timed out */
case ack_timeout: send_frame(ack,0,frame_expected, out_buf); /* ack timer expired; send ack */
}

if (nbuffered < NR_BUFS) enable_network_layer(); else disable_network_layer();
}
```

A sliding window protocol using selective repeat (end of code)

Examples (20 of 20)

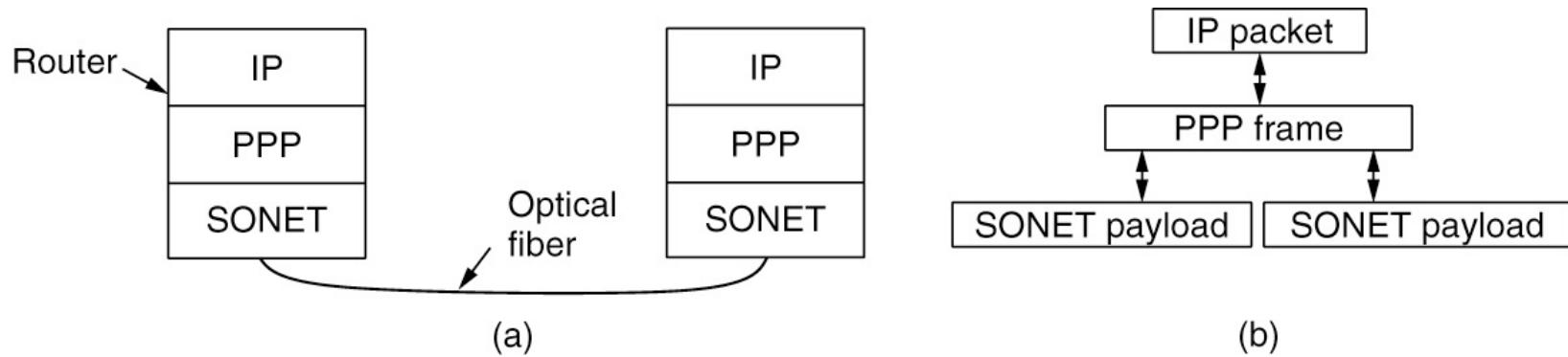


- (a) Initial situation with a window of size 7. (b) After 7 frames have been sent and received but not acknowledged. (c) Initial situation with a window size of 4. (d) After 4 frames have been sent and received but not acknowledged.

Data Link Protocols in Practice

- Packet over SONET
- ADSL (Asymmetric Digital Subscriber Loop)

Packet over SONET (1 of 4)



Packet over SONET. (a) A protocol stack. (b) Frame relationships.

Packet over SONET (2 of 4)

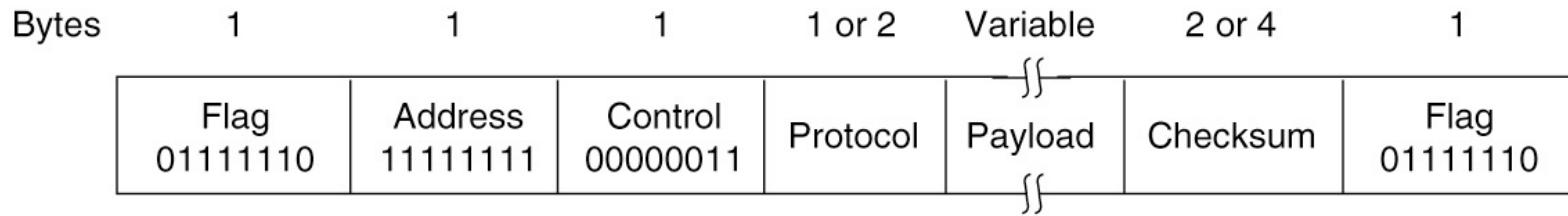
- PPP (Point-to-Point Protocol) features

- Separate packets, error detection
- Link Control Protocol (LCP)
- Network Control Protocol (NCP)

physical

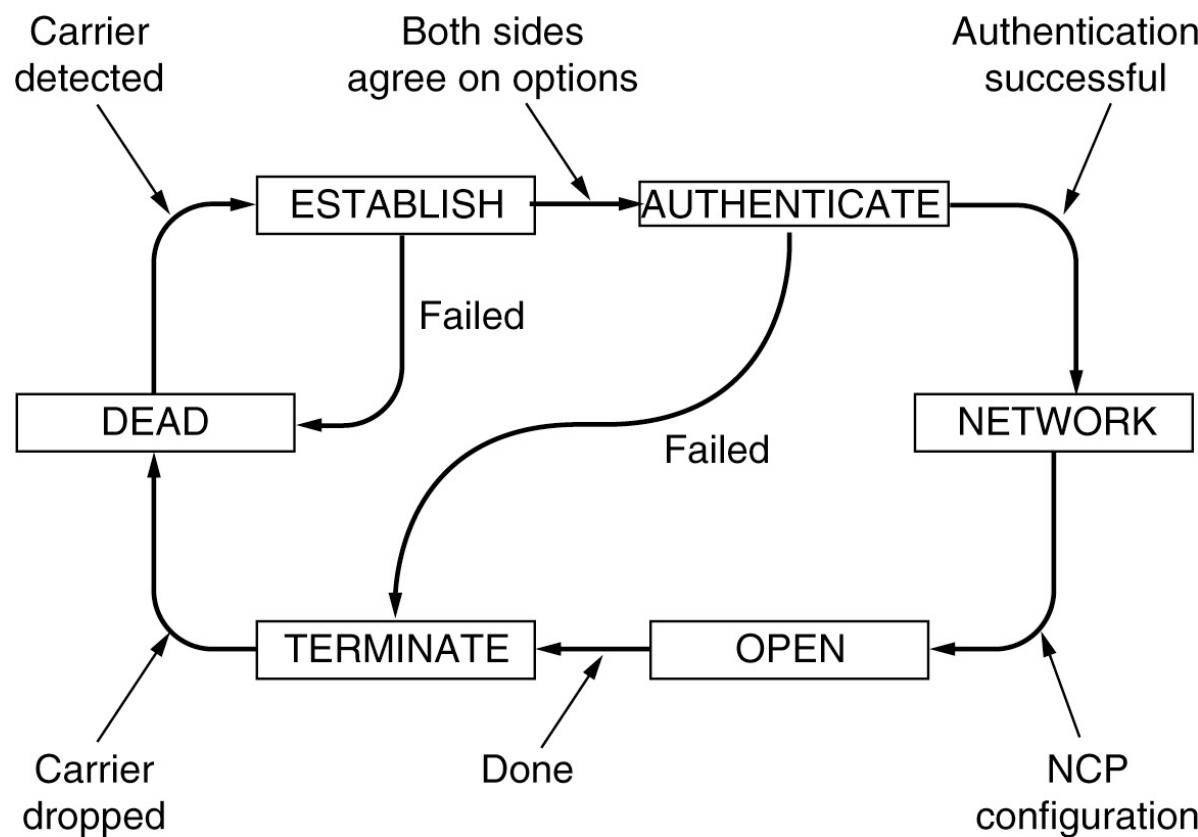
network layer

Packet over SONET (3 of 4)



The PPP full frame format for unnumbered mode operation

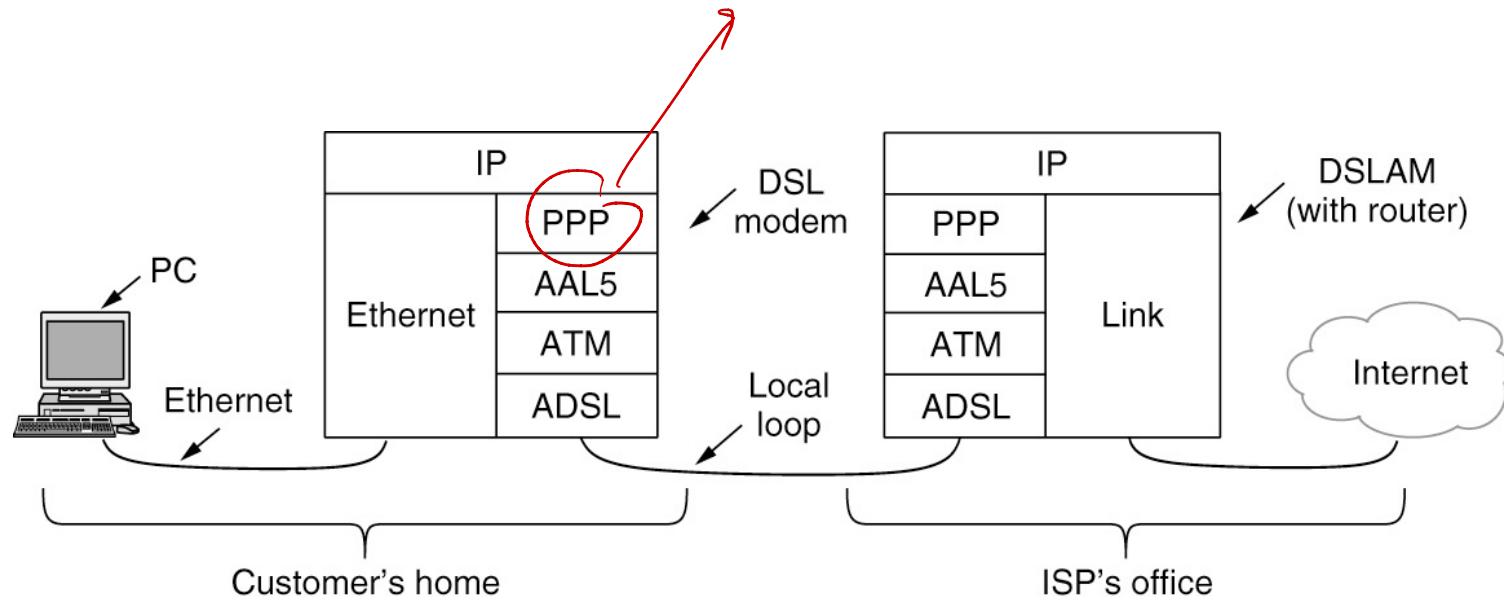
Packet over SONET (4 of 4)



State diagram for bringing a PPP link up and down

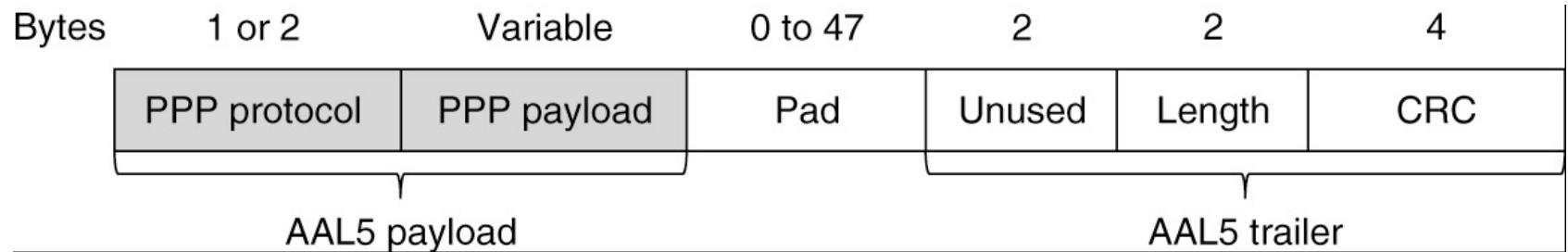
ADSL (1 of 2)

Data link layer



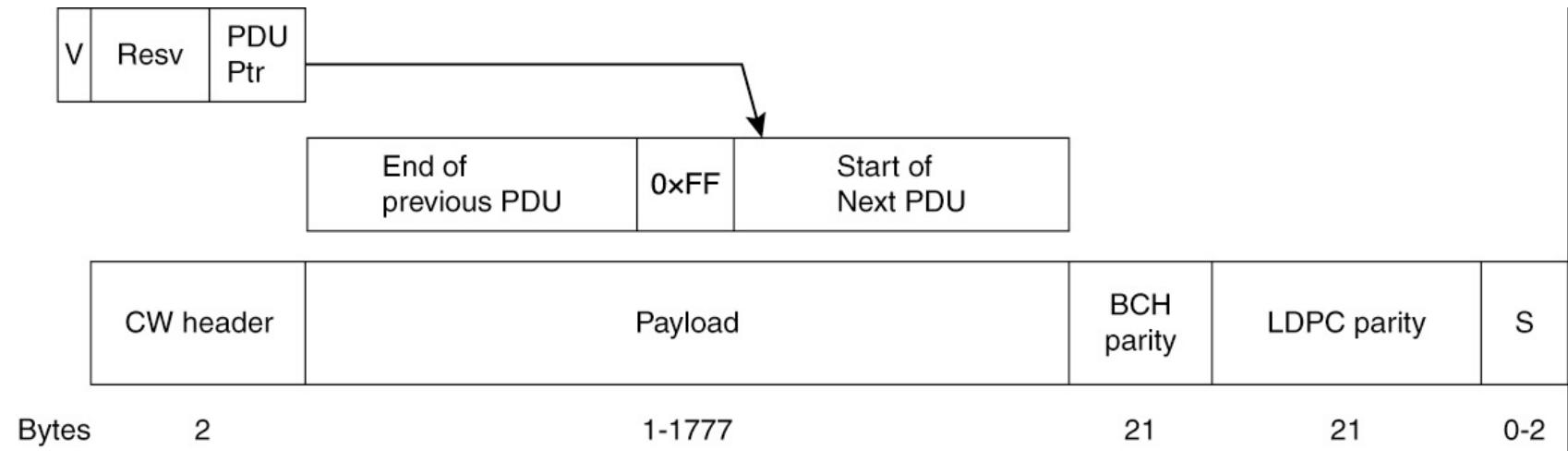
ADSL protocol stacks

ADSL (2 of 2)



AAL5 frame carrying PPP data

Data Over Cable Service Interface Specification (DOCSIS)



DOCSIS Frame to codeword mapping

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