

# Learning Hierarchical Policies from Unsegmented Demonstrations using Causal Information



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### Introduction

Learning complex tasks require learning sub-task specific policies. We use a directed graphical model to learn the interaction between such sub-tasks and resulting state-action trajectory sequences. Our algorithm, *Causal-Info GAIL* learns sub-task policies from unsegmented demonstrations by maximizing the causal information flow in the resulting graphical model.

## **Imitation Learning**

• Generative Adversarial Imitation Learning (GAIL) [1] Objective,

$$\min_{\pi} \max_{D} \mathbb{E}_{\pi}[\log D(s, a)] + \mathbb{E}_{\pi_{E}}[1 - \log D(s, a)] - \lambda H(\pi)$$

• *GAIL for mixture of experts* [2, 3] c: Latent variable denoting expert

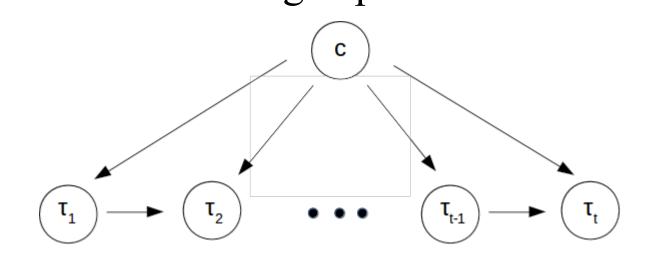


Figure 1: Graphical model in [2, 3]

Maximize lower bound to mutual information,  $L_1(\pi,Q) = \mathbb{E}_{c \sim p(c), a \sim \pi(\cdot|s,c)} \log Q(c|\tau) + H(c) \leq I(c;\tau)$  Overall objective,

$$\min_{\pi,q} \max_{D} \mathbb{E}_{\pi}[\log D(s,a)] + \mathbb{E}_{\pi_{E}}[1 - \log D(s,a)]$$
$$-\lambda_{1}L_{1}(\pi,q) - \lambda_{2}H(\pi)$$

## Proposed Approach: Graphical Model

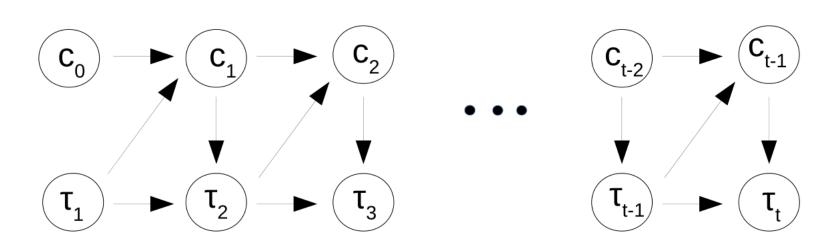


Figure 2: Graphical model used in this work

• Limitation of using mutual information

$$L(\pi, q) = \sum_{t} \mathbb{E}_{c^{1:t} \sim p(c^{1:t}), a^{t-1} \sim \pi(\cdot | s^{t-1}, c^{1:t-1})} \left[ \log q(c^t | c^{1:t-1}, \boldsymbol{\tau}) \right] + H(\boldsymbol{c}) \leq I(\boldsymbol{\tau}; \boldsymbol{c})$$

Dependence of q on the entire trajectory precludes its use at test time where only trajectory up to current time is known

#### \* - Equal contribution

## Proposed Approach: Causal Information

• Causal Information

$$I(\boldsymbol{\tau} \to \boldsymbol{c}) = H(\boldsymbol{c}) - H(\boldsymbol{c}||\boldsymbol{\tau})$$
$$= H(\boldsymbol{c}) - \sum_{t} H(c^{t}|c^{1:t-1}, \tau^{1:t})$$

• Using lower bound to causal information,

$$L_{1}(\pi, q) = \sum_{t} \mathbb{E}_{c^{1:t} \sim p(c^{1:t}), a^{t-1} \sim \pi(\cdot | s^{t-1}, c^{1:t-1})} \left[ \log q(c^{t} | c^{1:t-1}, \tau^{1:t}) \right] + H(\mathbf{c}) \leq I(\mathbf{\tau} \to \mathbf{c})$$

where  $L_1$  is the lower bound to *causal information*.

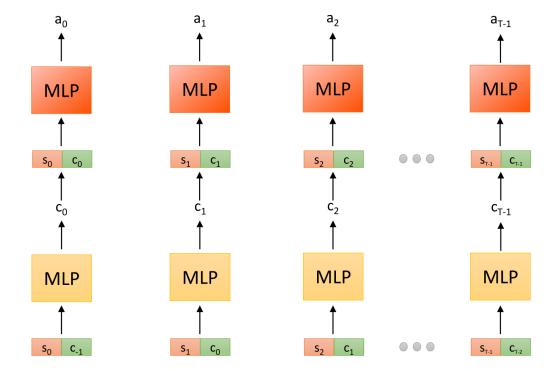
Using causal information removes dependence of q on future unobserved trajectory. Thus, q can now be used as a macro-policy to select the next sub-task latent variable.

Overall Causal-Info GAIL objective,

$$\min_{\pi,q} \max_{D} \mathbb{E}_{\pi}[\log D(s,a)] + \mathbb{E}_{\pi_{E}}[1 - \log D(s,a)]$$
$$-\lambda_{1}L_{1}(\pi,q) - \lambda_{2}H(\pi)$$

• Variational Auto-encoder (VAE) pre-training

Learn approximate prior over latent variables using VAE



# Connection to Options framework

- Option:  $o \in \mathcal{O}$  Option activation policy:  $\pi(o|s)$
- Sub-policy:  $\pi(a|s,o)$  Termination policy:  $\pi(b|s,\bar{o})$
- Daniel et al. [6] provide a probabilistic perspective of options framework and maximize the following lower bound (collapsing b and o into single latent variable c)

$$p(\tau) \ge \sum_{t} \sum_{c^{t-1:t}} p(c^{t-1:t}|\tau) \log p(c^{t}|s^{t}, c^{t-1}))$$

$$+ \sum_{t} \sum_{c^{t}} p(c^{t}|\tau) \log \pi(a^{t}|s^{t}, c^{t})$$

• Our proposed Causal-Info GAIL can thus be considered as the general adversarial variant of imitation learning using the options framework.

## Experiments

Discrete environment

15x11 grid with 4 rooms connected via corridors. An object is placed at the center of a random room at the beginning of the episode. The agent spawns at a random location in the grid.

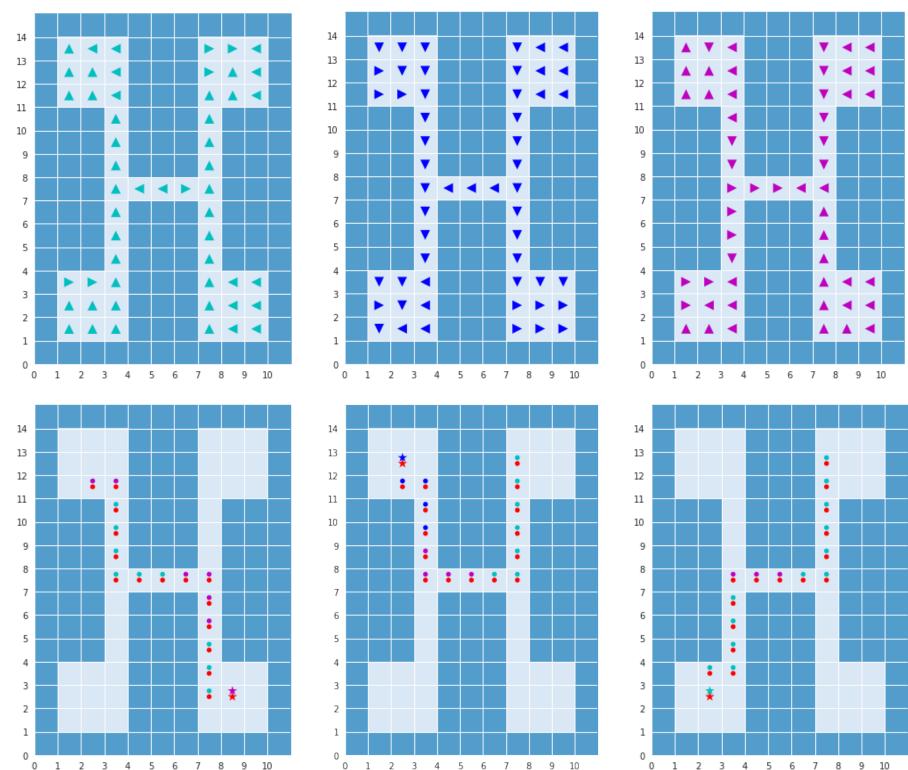
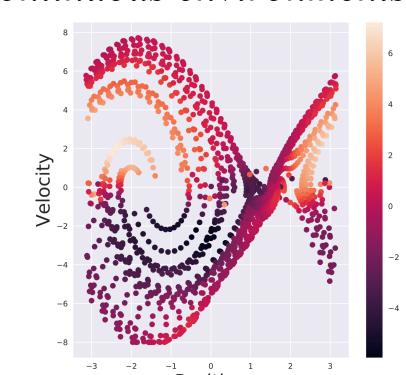


Figure 3: Visualization of sub-policy actions (top) and macro-policy actions (bottom)

• Continuous environments



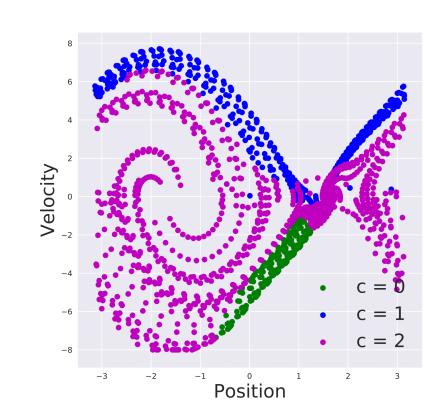


Figure 4: Visualization of sub-policy actions (left) and macro-policy actions (right) on Pendulum-v0

Environment	GAIL	VAE	Causal-Info GAIL
Pendulum	-121.4 ± 94.1	$-142.9 \pm 95.6$	$-125.4 \pm 103.8$
Inverted Pendulum	$1000.0 \pm 15.2$	$218.8 \pm 8.0$	$1000.0 \pm 15.0$

Table 1: Returns over 300 episodes on continuous environments

## References

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- [3] K. Hausman, Y. Chebotar, S. Schaal, G. Sukhatme and J. J. Lim. "Multi-modal Imitation Learning from Unstructured Demonstrations using Generative Adversarial Nets." *NIPS*, 2017.
- [4] C. Daniel, H. V. Hoof, J. Peters and G. Neumann. "Probabilistic Inference for determining Options." *Machine Learning*, 2016.
- [5] R. Sutton, D. Precup and S. P. Singh. "Intra-option learning about temporally abstract actions." *ICML*, 1998
- [6] J. Massey. "Causality, Feedback and Directed Information." ISITA, 1990