



**Week 05.**

# **Linguistic Structure - Dependency parsing**

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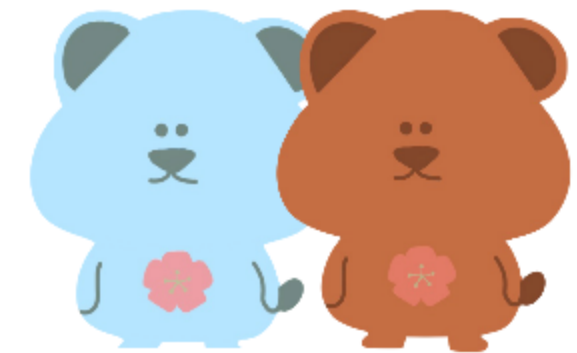
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# Parsing

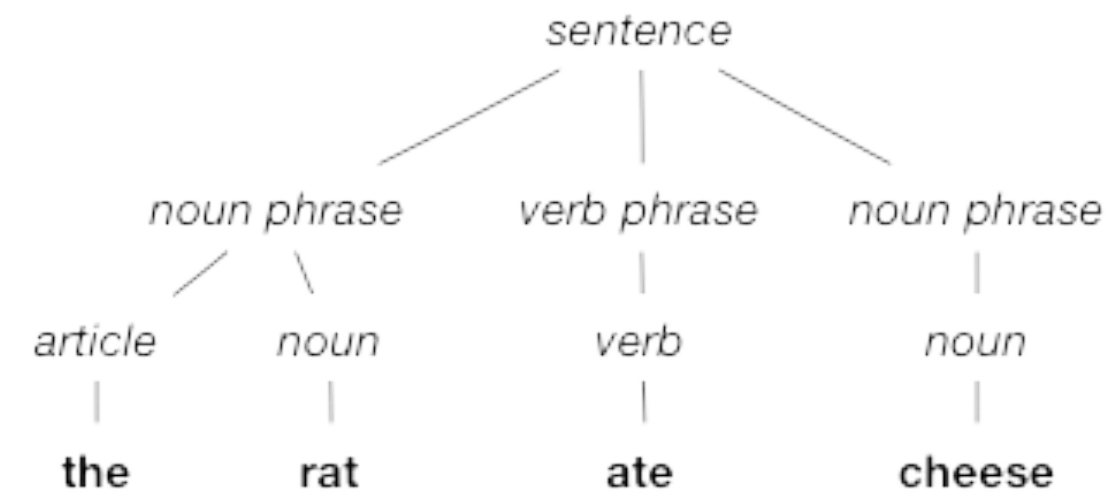


# Parsing 정의

## Parsing 이란?

각 문장의 문법적인 구성 또는 구문을 분석하는 과정 -> 구문분석 트리를 구성하는 것

- Constituency Parsing  
문장 구조 파악하기
- Dependency Parsing  
단어 간 관계를 파악하기



# Tokenizing vs Pos Tagging vs Parsing

## Tokenizing

텍스트에 대해 특정 기준 단위로  
문장을 나누는 것을 의미

Ex)

- 문장을 단어로
- 글을 문장 단위로

## Pos Tagging

품사 태깅(Part-of-Speech Tagging)

토큰(Token)들에게 품사를 붙여주는  
작업을 뜻한다.

# Tokenizing vs Pos Tagging vs Parsing

## Tokenizing

```
test_text = ['All', 'rights', 'reserved', '.']
```

## Pos Tagging

```
[['All', 'DT'], ['rights', 'NNS'], ['reserved', 'VBN'],  
['.', '.']]
```

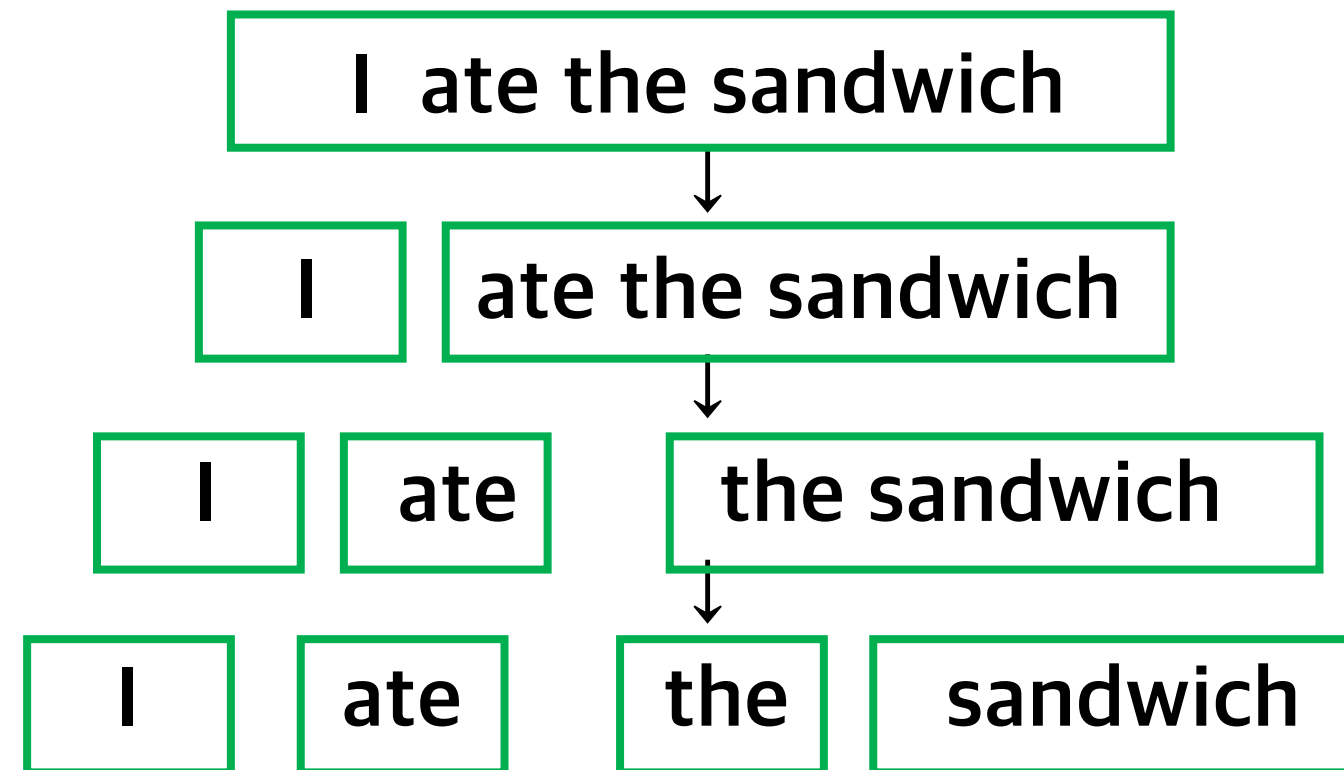
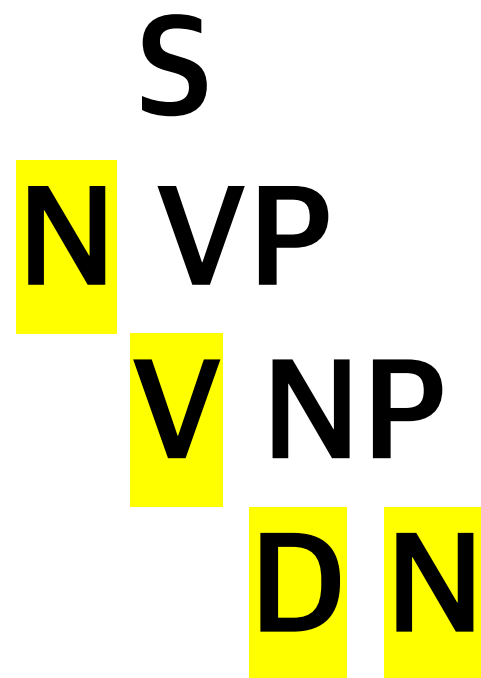
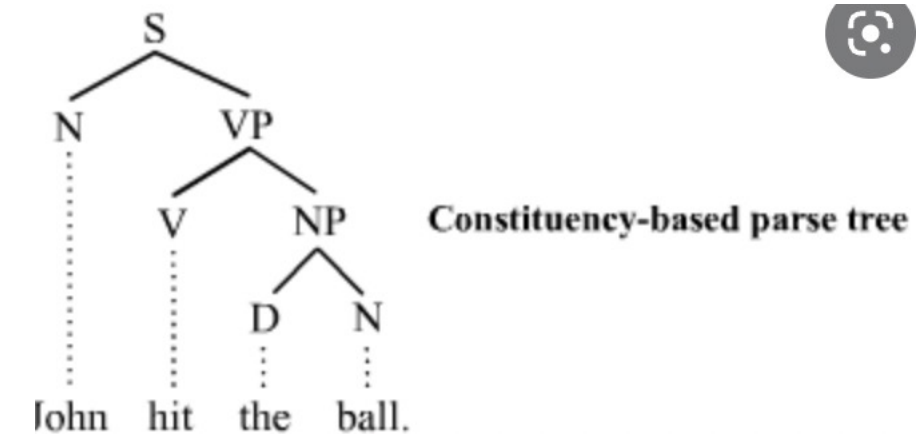
| 기호  | 의미  |  |
|-----|---|--|
| CC  | 등위 접속사  | coordinating conjunction   |
| CD  | 기본 숫자   | cardinal digit   |
| DT  | 한정사   | determiner   |
| EX  | existential there (장소를 뜻하는 there이 아닌, '~이 있다'라는 뜻의 there) | existential there (like: "there is" ... think of it like "there exists") |
| FW  | 외래어   | foreign word   |
| IN  | 전치사/종속 접속사  | preposition/subordinating conjunction                                    |
| JJ  | 형용사 // 'big'  | adjective 'big'  |
| JJR | 비교 형용사 // 'bigger'  | adjective, comparative 'bigger'  |
| JJS | 최상급 형용사 // 'biggest'                                      | adjective, superlative 'biggest'   |
| LS  | 리스트 마커 // 1)  | list marker 1)   |
| MD  | 조동사 // could, will  | modal could, will  |
| NN  | 단수 명사 // 'desk'   | noun, singular 'desk'  |
| NNS | 복수 명사 // 'desks'  | noun plural 'desks'  |

# Constituency Parsing



# Constituency Parsing

- 문장을 중첩된 성분으로 나누어 문장의 구조를 파악
- 어순이 고정적인 언어에서 주로 사용 (ex. 영어)
- 재귀적으로 적용 가능
- css224n 18강에서 추후 다뤄짐





# Dependency Parsing



# Dependency Parsing

- 단어 간 관계 파악
  - > 각 단어 간 의존 or 수식 관계를 파악 가능
- 자유 어순을 가지거나 문장 성분이 생략 가능한 언어에서 주로 사용됨 (ex. 한국어)



I ate the sandwich.

- 화살표가 향하는 방향: 수식 하는 방향

|          |        |          |              |
|----------|--------|----------|--------------|
| ate      | -----> | I        | (Subject)    |
| ate      | -----> | sandwich | (Object)     |
| sandwich | -----> | the      | (determiner) |

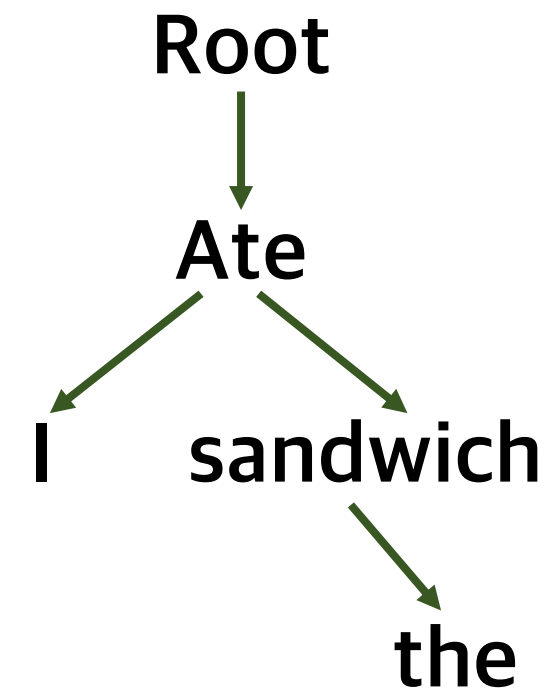
  

|          |           |
|----------|-----------|
| Head     | Dependent |
| governor | Modifier  |

# Dependency Parsing

- 단어 간 관계 파악
  - > 각 단어 간 의존 or 수식 관계를 파악 가능
- 자유 어순을 가지거나 문장 성분이 생략 가능한 언어에서 주로 사용됨 (ex. 한국어)

  
I ate the sandwich.



# Dependency 파싱이 필요한 이유

## 언어를 올바르게 이해하기 위해서

- 인간들은 작은 단어들을 큰 단어로 조합함으로써 복잡한 아이디어를 표현하고 전달함
- 어떤 단어가 무엇과 연결되었는지 정확히 이해해야, 그 의미를 정확하게 알 수 있음

# 파싱이 필요한 이유 - ambiguity

## Coordination Scope Ambiguity

- 특정 단어가 수식하는 대상의 범위가 모호
- 중의적으로 해석 \*작용역 중의성

[Shuttle veteran and longtime Nasa executive] Fred Gregory appointed to board.  
우주선 베테랑이자 오랜 나사의 임원인 Fred Gregory가 이사로 임명 되었다.

[Shuttle veteran] and [longtime Nasa executive] Fred Gregory appointed to board.  
우주선 베테랑과 오랜 나사의 임원인 Fred Gregory가 이사로 임명 되었다.

# 파싱이 필요한 이유 - ambiguity

## Phrase Attachment Ambiguity

- 형용사구, 동사구, 전치사구 등이 어떤 단어를 수식하는지에 따라 의미가 모호함

**San Jose cops kill man with knife**

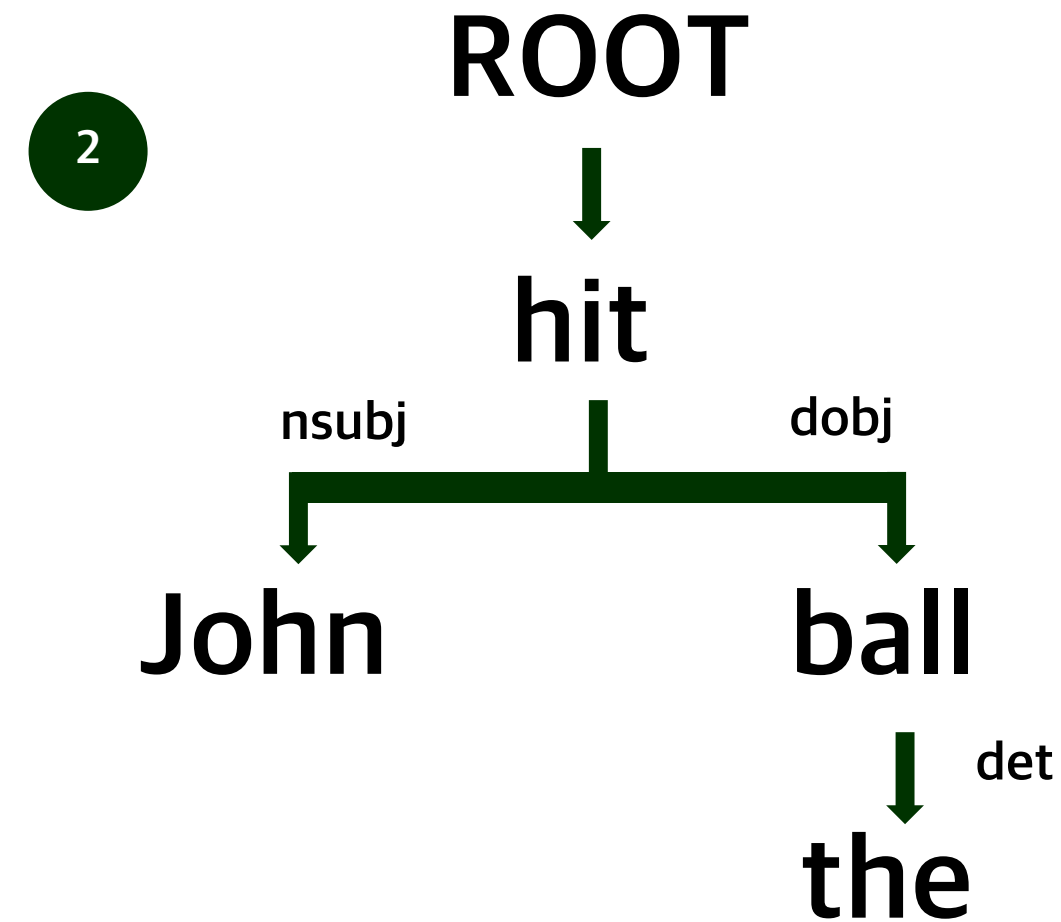
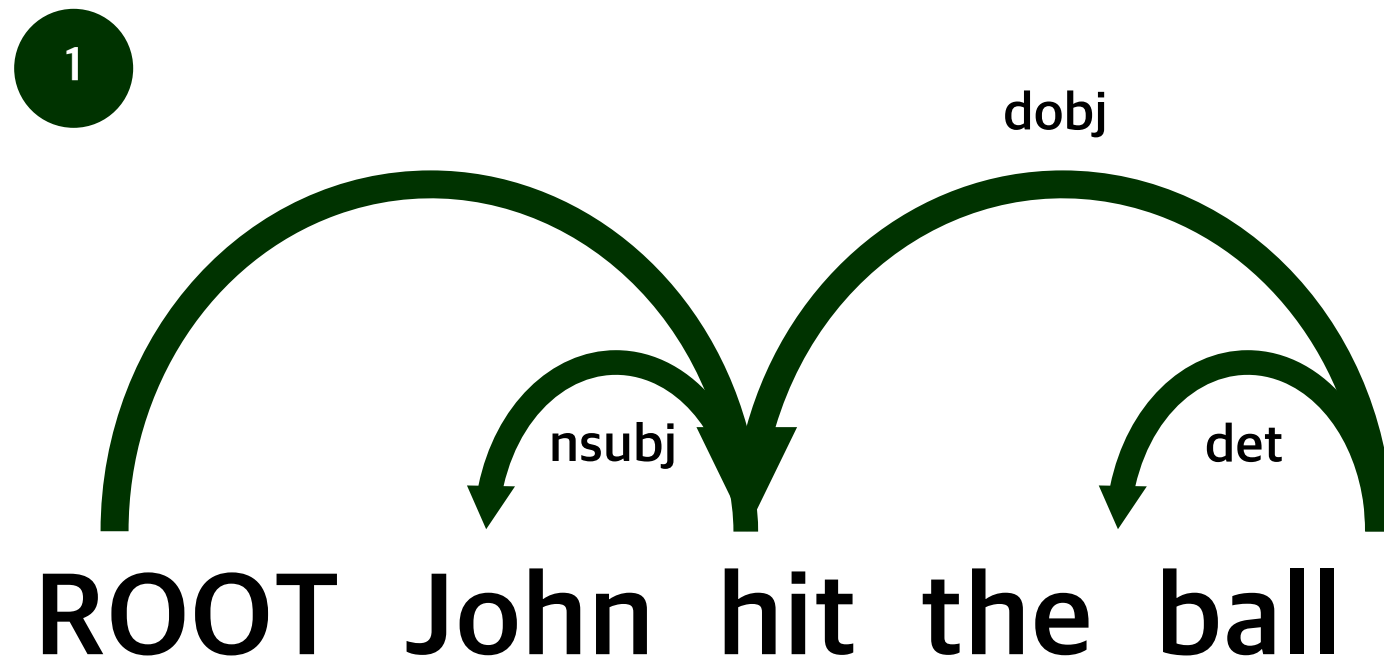
1. 산호세 경찰들은 칼을 든 남자를 죽였다.
2. 산호세 경찰들은 남자를 칼로 죽였다.

## 04 Dependency Grammar



# 04 Dependency Grammar

## #1 Grammar and Structure



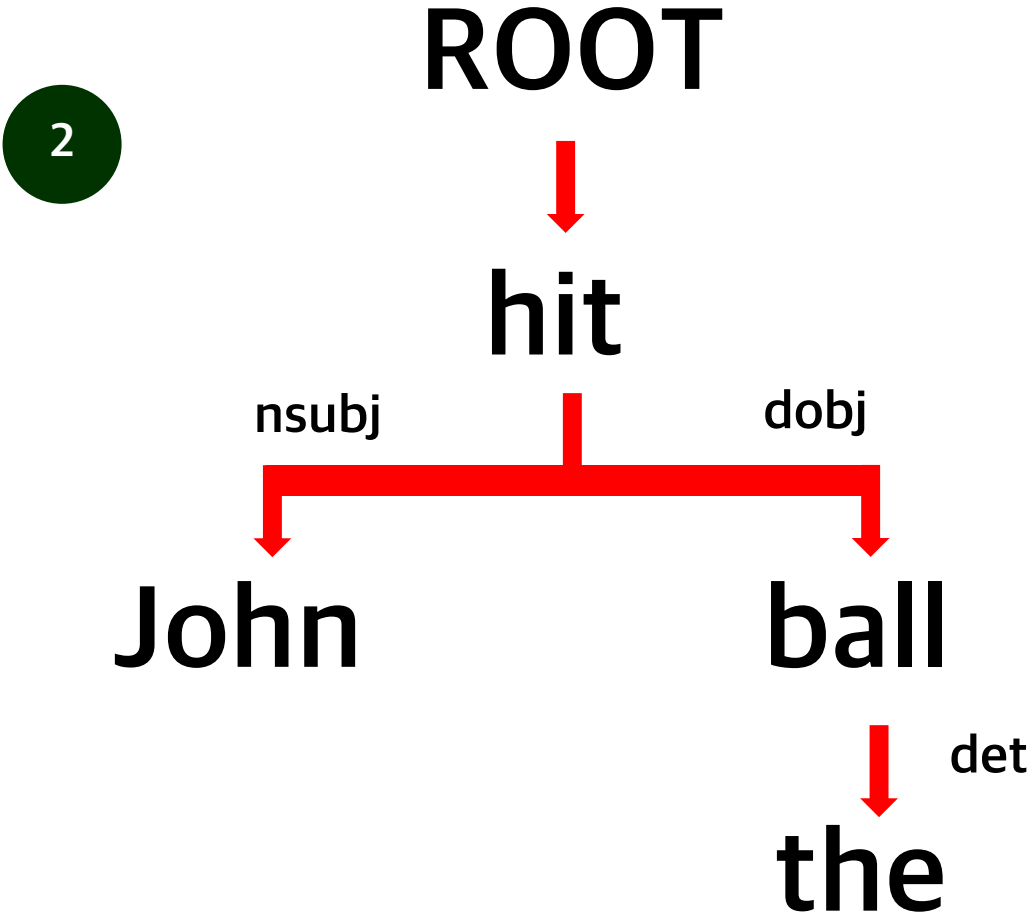
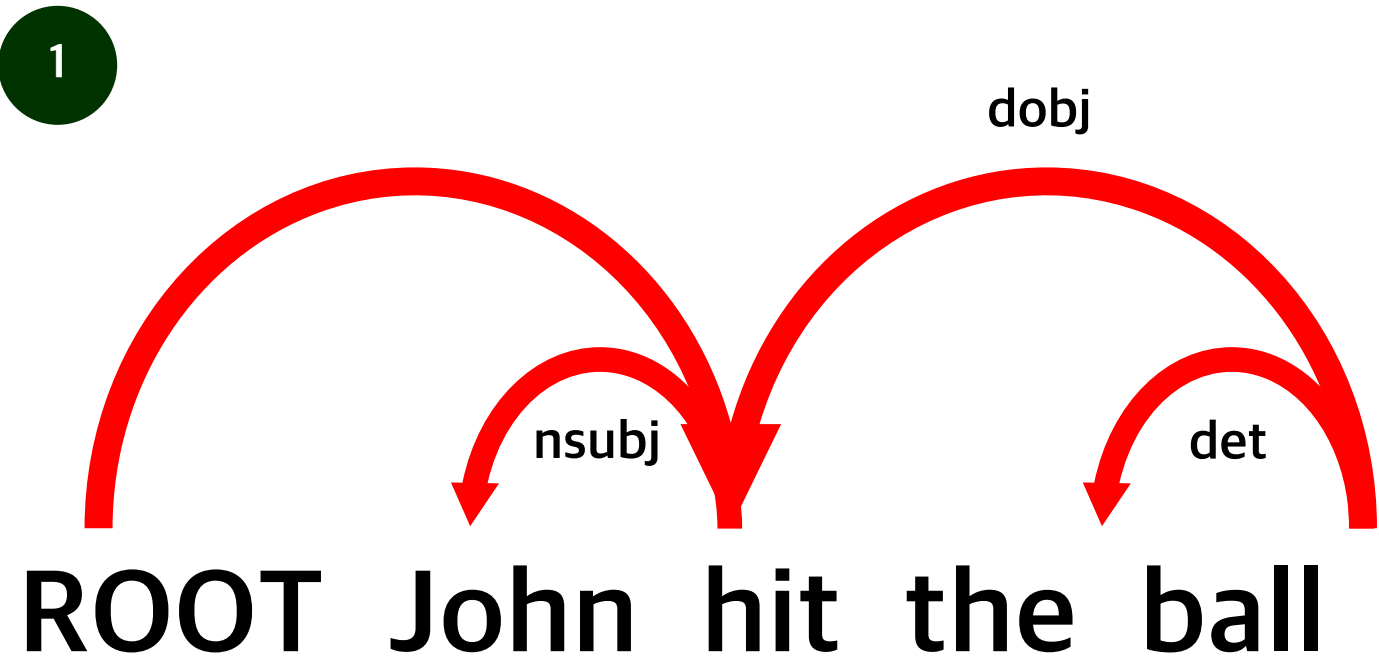
Dependency Structure는 **두가지** 형태로 표현 가능

1. 수식하는 단어를 화살표로 표현하는 방식
2. 트리 형태로 parsing output을 도출하는 방식



# 04 Dependency Grammar

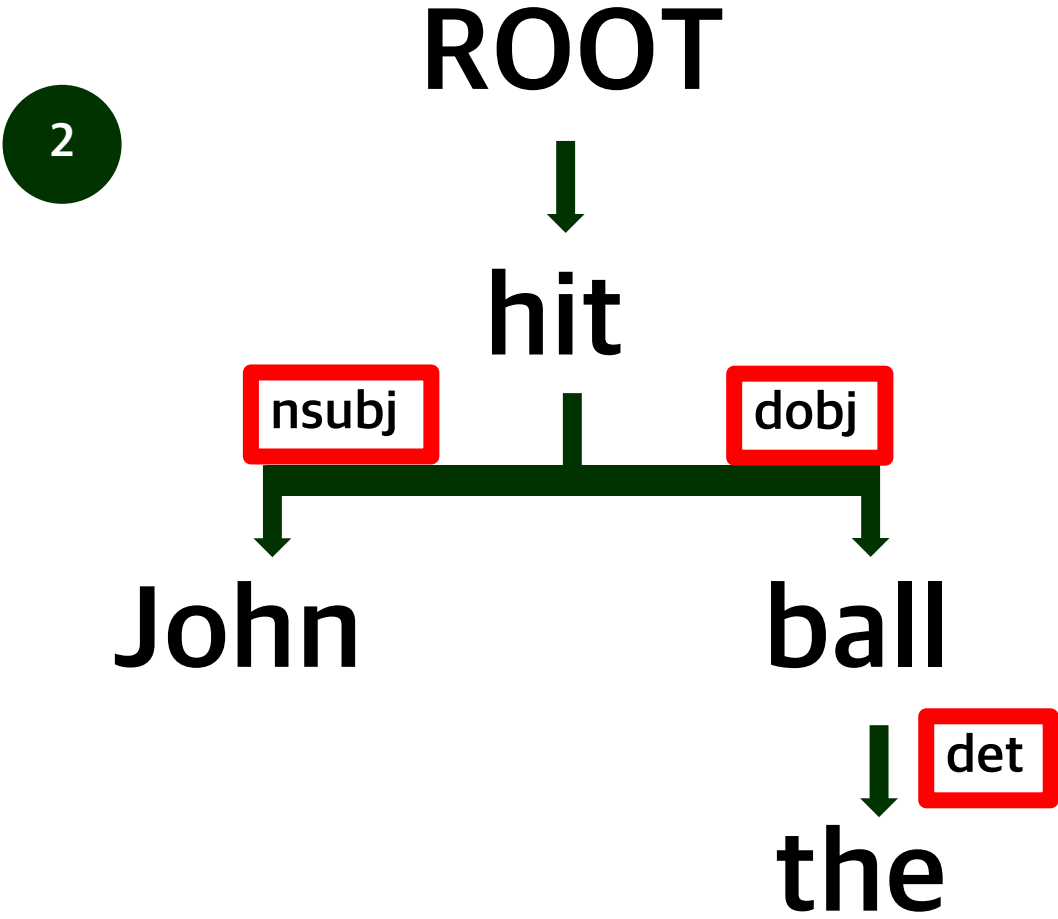
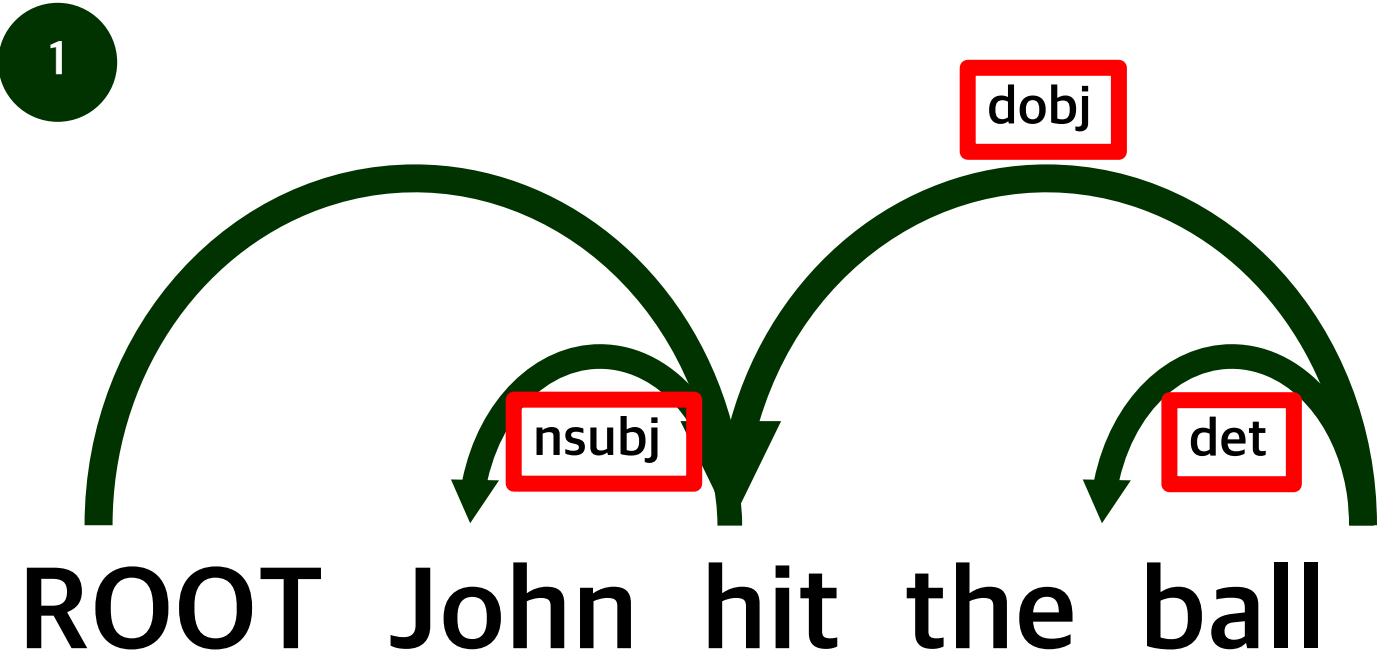
## #1 Grammar and Structure



화살표: head > dependent  
(수식을 받는 단어) (수식을 하는 단어)

# 04 Dependency Grammar

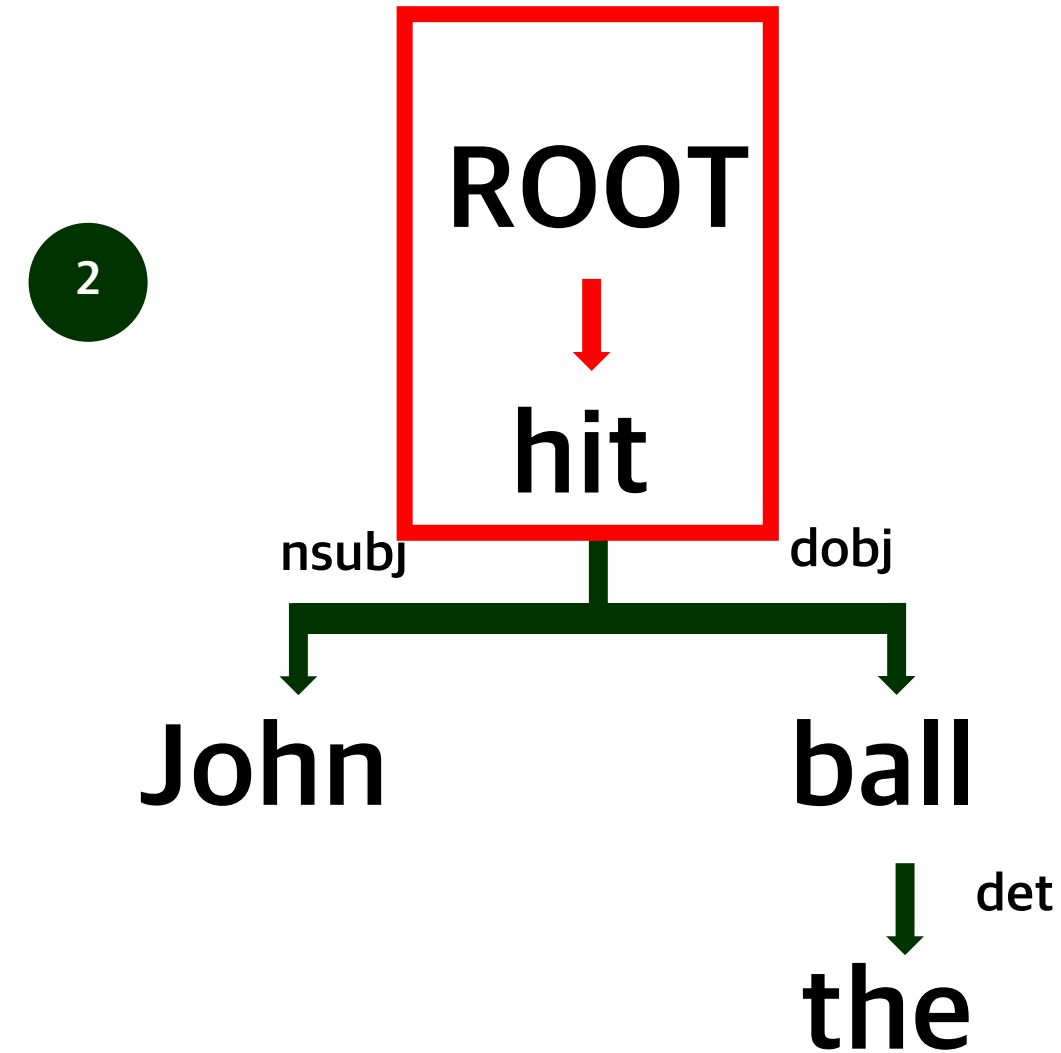
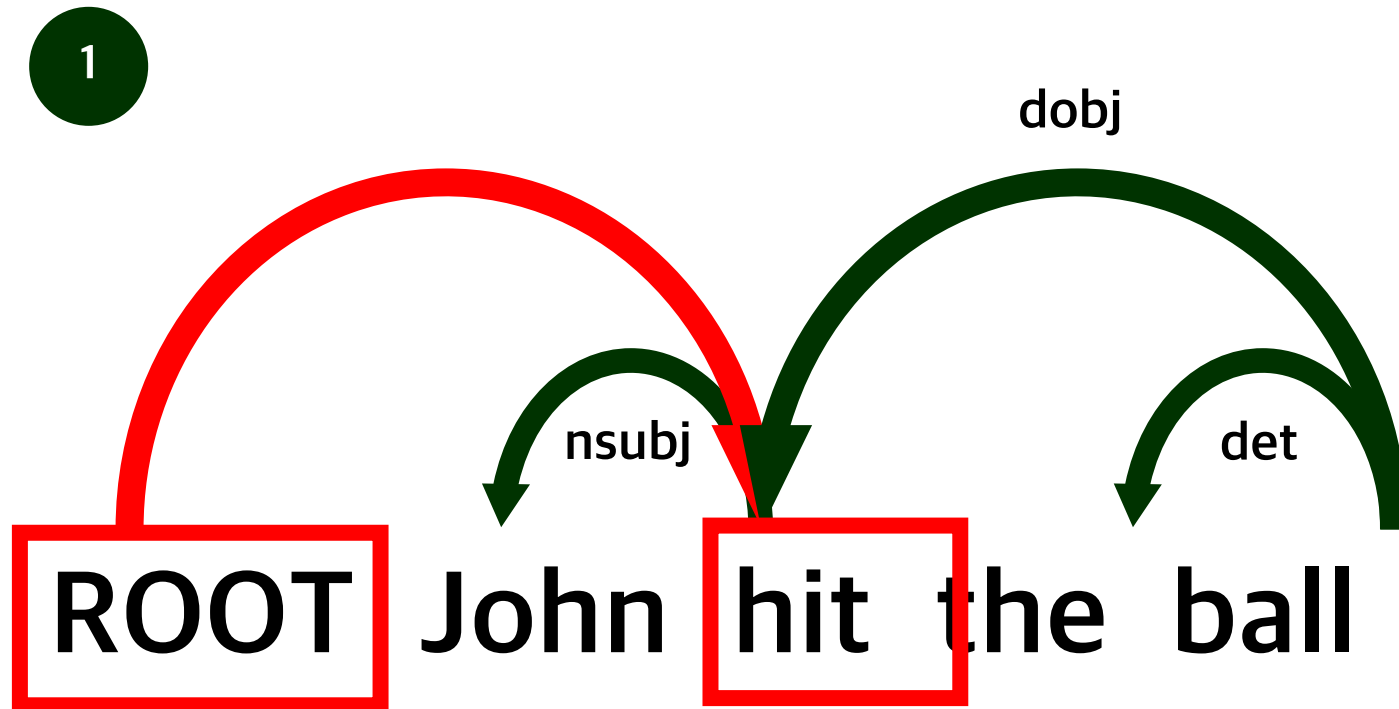
## #1 Grammar and Structure



화살표 위 label은 **dependency** (단어간 문법적 관계)를 의미

# 04 Dependency Grammar

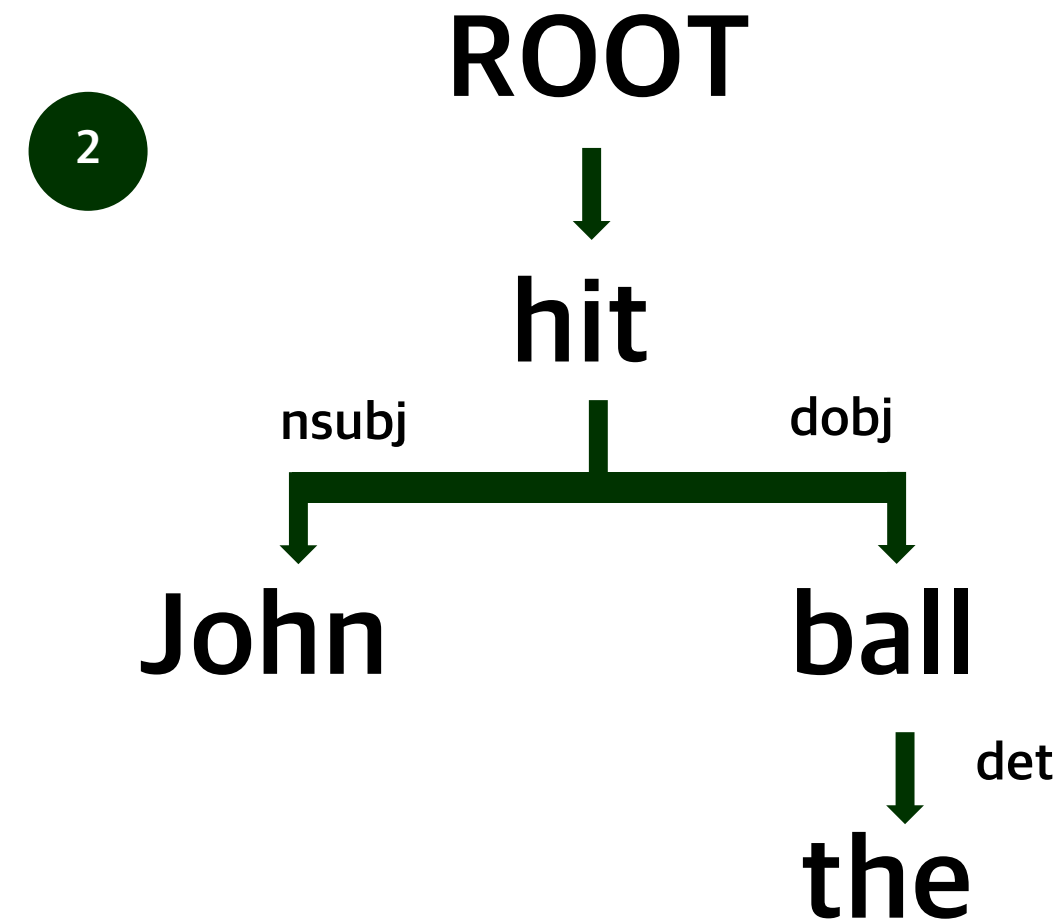
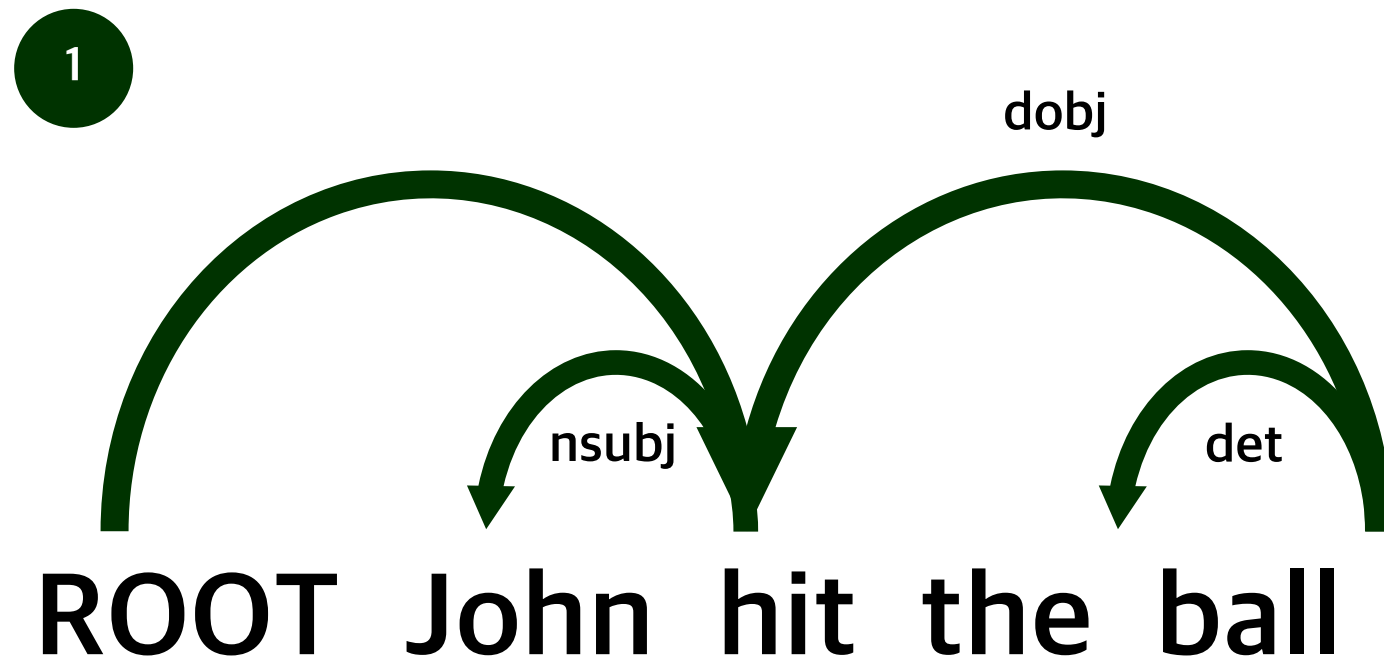
## #1 Grammar and Structure



**ROOT:** 어떠한 단어의 수식도 받지 않는 단어를 위해 만들어짐  
> 모든 단어가 최소한 1개 node의 dependent

# 04 Dependency Grammar

## #1 Grammar and Structure



화살표는 순환하지 않음  
> Parsing 결과물을 tree 형태로 표현 가능

# 04 Dependency Grammar

## #2 Universal Feature

What are the **sources of information** for dependency parsing?

1. **Bilexical affinities**

- [issues > the] is plausible

2. **Dependency distance**

- mostly with nearby words

3. **Intervening material**

- Dependencies rarely span intervening verbs or punctuation

4. **Valency of heads**

- How many dependents on which side are usual for a head?

# 04 Dependency Grammar

## #3 Tree Bank Dataset



Figure 1: Slider for selecting sentiments in range 0-25

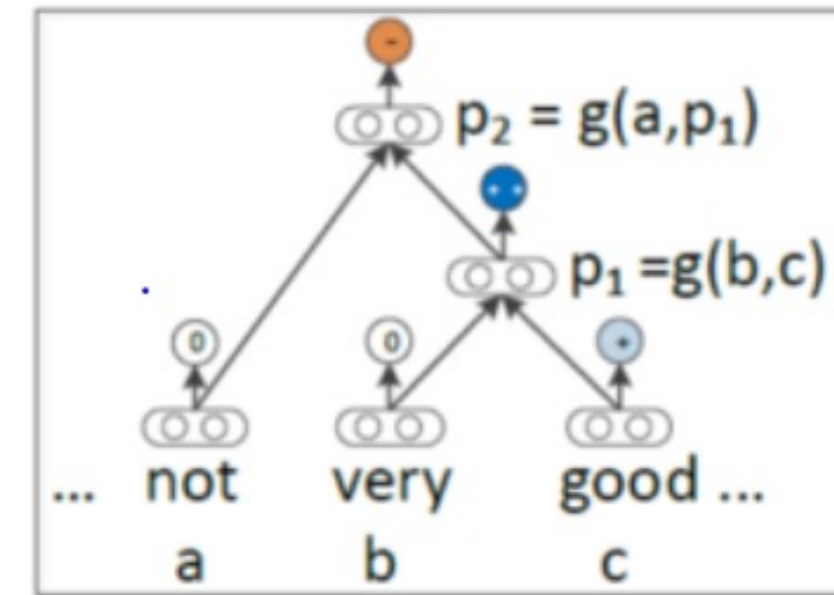


Figure 2: Recursive models computing parent vector in a bottom up approach

짧은 문장의 극성을 예측하고 문장의 어순을 무시하는 bag-of-words 접근 방식  
> 어려운 부정 예제를 분류하는 효율적인 모델 생성

감성 분석 작업의 **이진 분류 정확도** 상승

## 05 Dependency Parsing Method



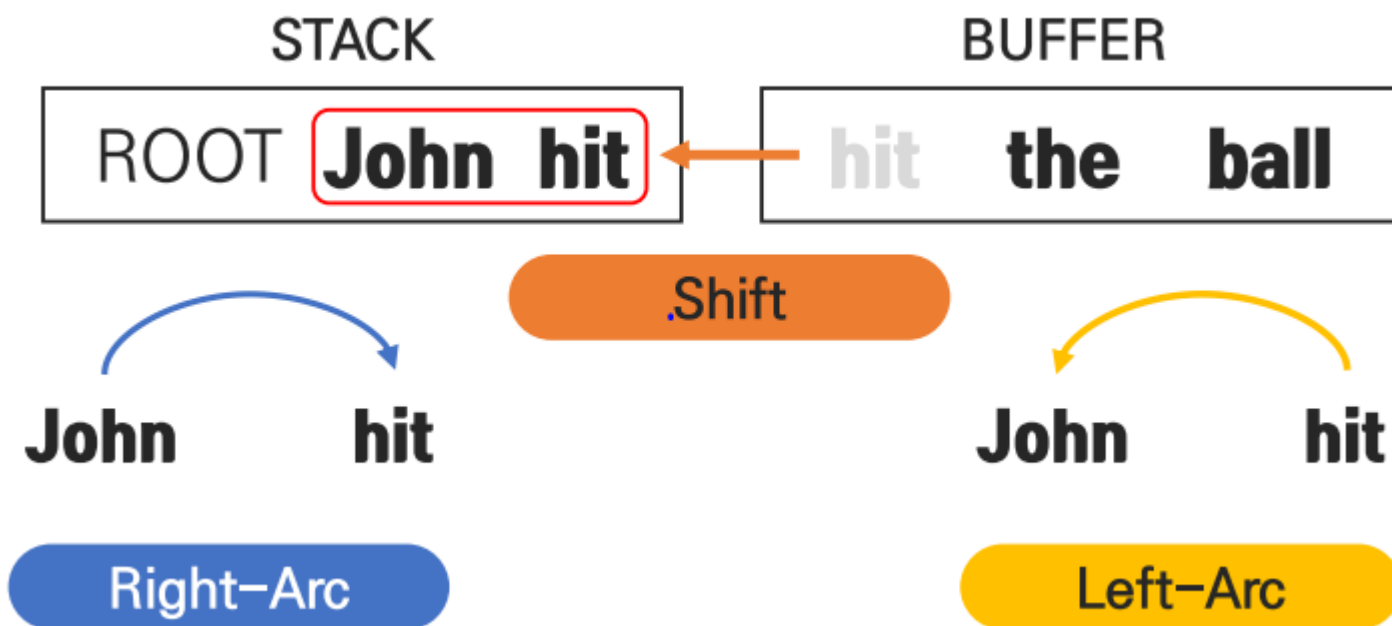
# 05 Dependency Parsing Method

## #1 Main Method

1

### Transition-based

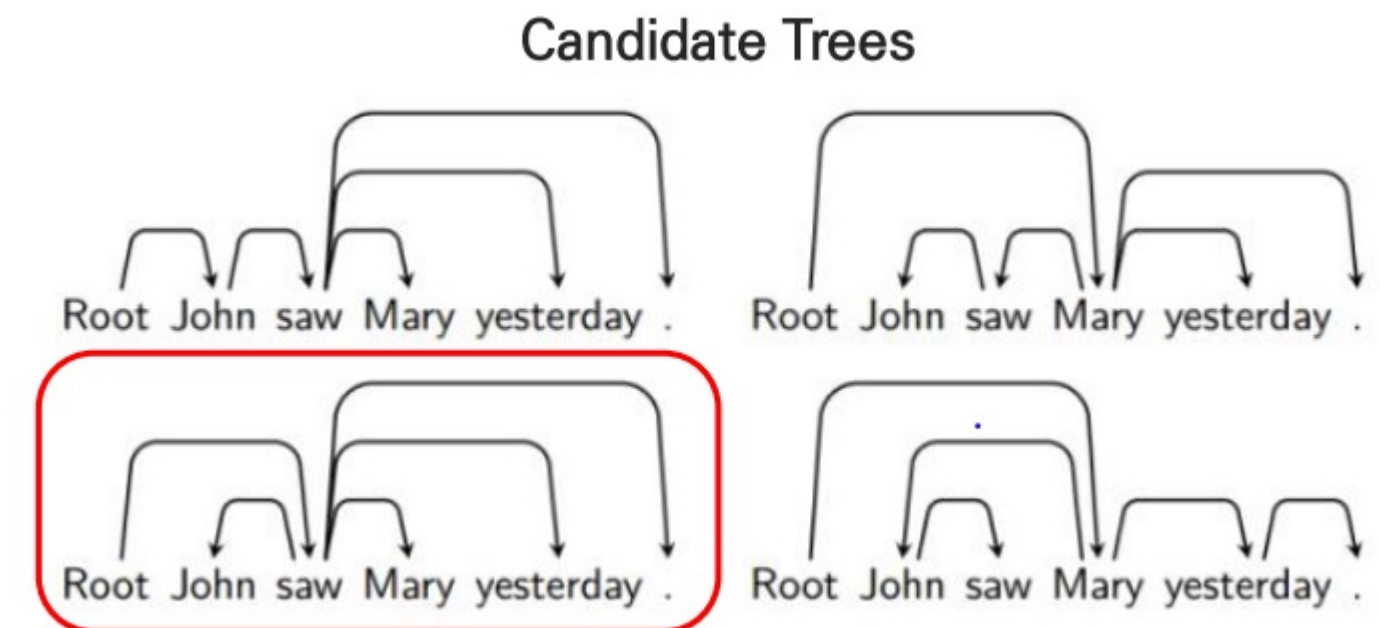
두 단어의 **의존여부**를 순서대로 결정하며  
점진적으로 구문분석 트리를 구성



2

### Graph-based

가능한 **의존 관계**를 모두 고려한 뒤  
가장 확률이 높은 구문분석 트리 선택





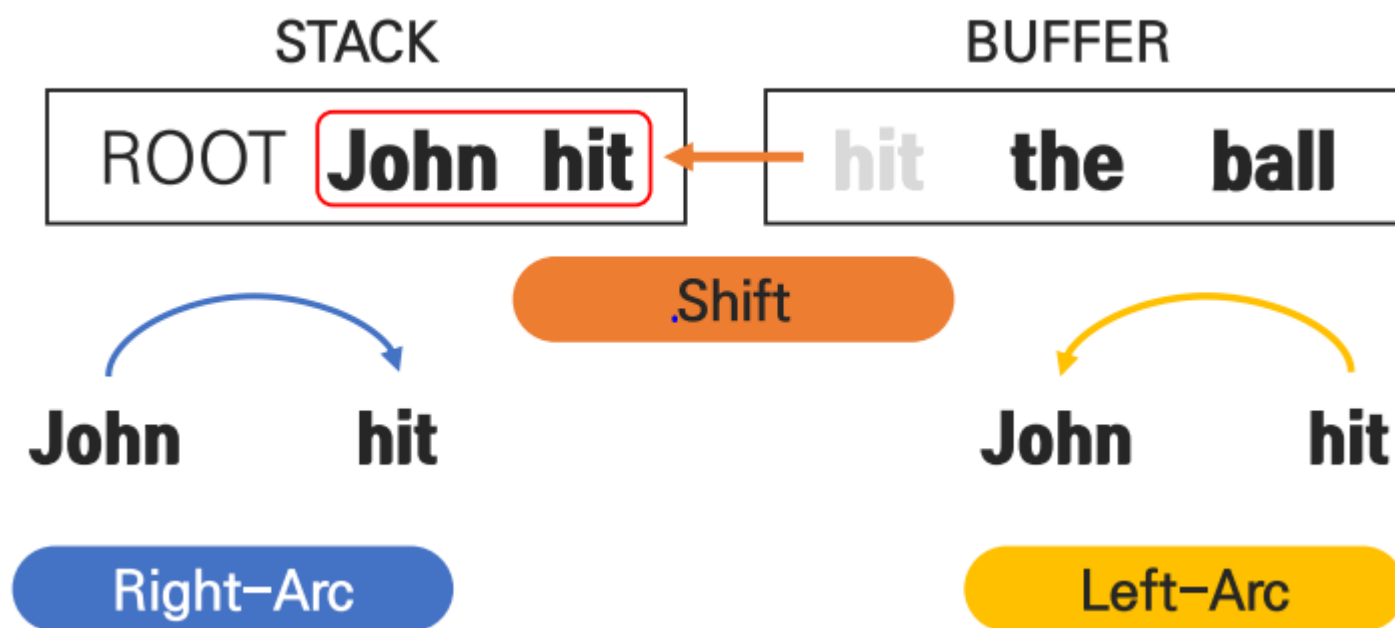
# 05 Dependency Parsing Method

## #1 Main Method

1

### Transition-based

두 단어의 의존여부를 순서대로 결정하며  
점진적으로 구문분석 트리를 구성



Graph-based에 비해

속도가 빠르지만 정확도가 낮음

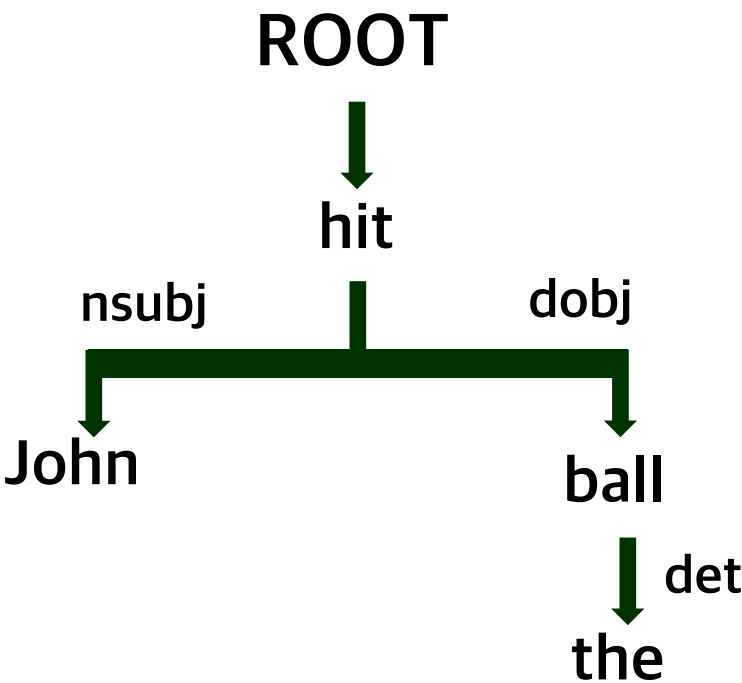
# 05 Dependency Parsing Method

## #2 Transition-based Parsing

Input Sentence  
“John hit the ball”

$c = (\sigma, \beta, A)$   
State ( $c$ )

모든 decision은 State  $c$  를 input으로 하는  
함수  $f(c)$  를 통해 이루어짐 (ex: SVM, NN )



ROOT

STACK ( $\sigma$ )

John hit the ball

BUFFER ( $\beta$ )

$\emptyset$

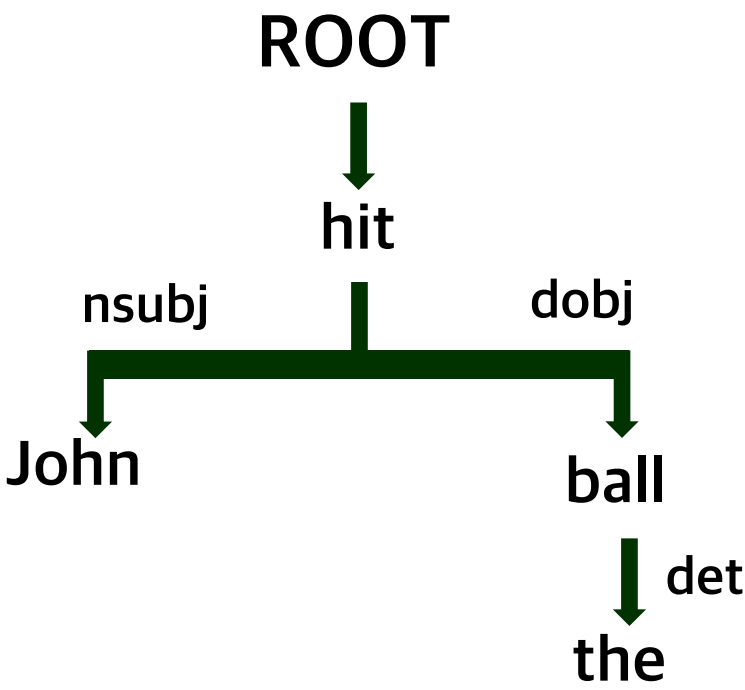
Set of Arcs ( $A$ )

# 05 Dependency Parsing Method

## #2 Transition-based Parsing

Input Sentence  
“John hit the ball”

$c = (\sigma, \beta, A)$   
State ( $c$ )



decision

1. Shift

2. Right-Arc

3. Left-Arc

nsbj (주어) Dobj (직접목적어) det (한정사) acomp (형용사보어)  
nsbj (주어) Dobj (직접목적어) det (한정사) acomp (형용사보어)

1 개

$N_1$  개

$N_1$  개

$> 2N_1 + 1$  개

ROOT

STACK ( $\sigma$ )

John hit the ball

BUFFER ( $\beta$ )

$\emptyset$

Set of Arcs ( $A$ )

# 05 Dependency Parsing Method

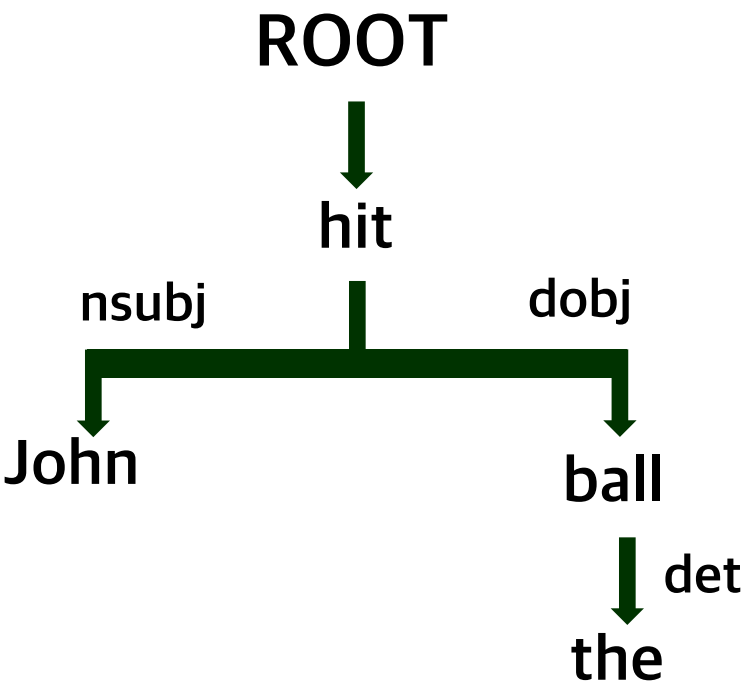
## #2 Transition-based Parsing

Right-arc 또는 Left-arc  
Decision은 Stack top 1,2  
단어를 대상으로 이루어짐

Input Sentence

“John hit the ball”

$c = (\sigma, \beta, A)$   
State ( $c$ )



ROOT John

STACK ( $\sigma$ )

John hit the ball

BUFFER ( $\beta$ )

$\emptyset$

Set of Arcs ( $A$ )

Decision Process

shift

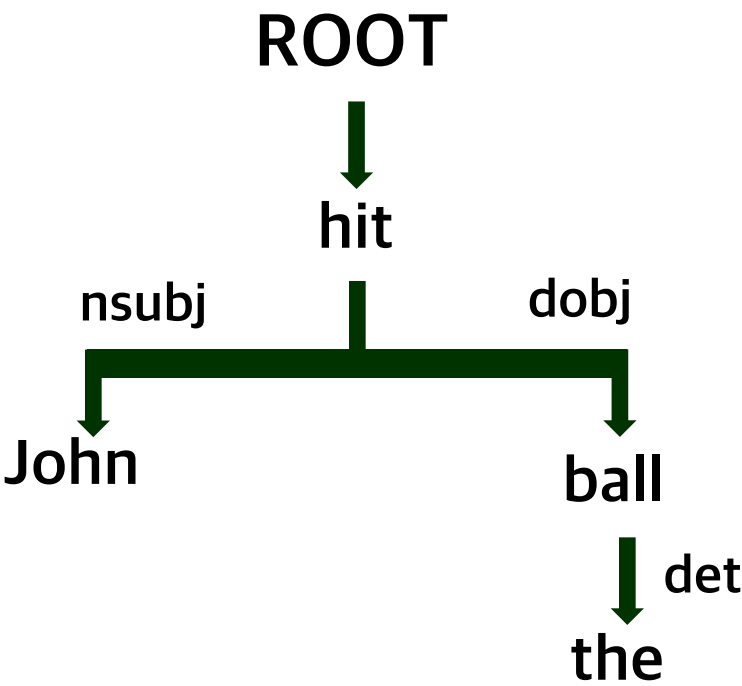
# 05 Dependency Parsing Method

## #2 Transition-based Parsing

ROOT token은 BUFFER가 비워질 때까지 decision의 대상이 되지 않음

Input Sentence  
“John hit the ball”

$c = (\sigma, \beta, A)$   
State (c)

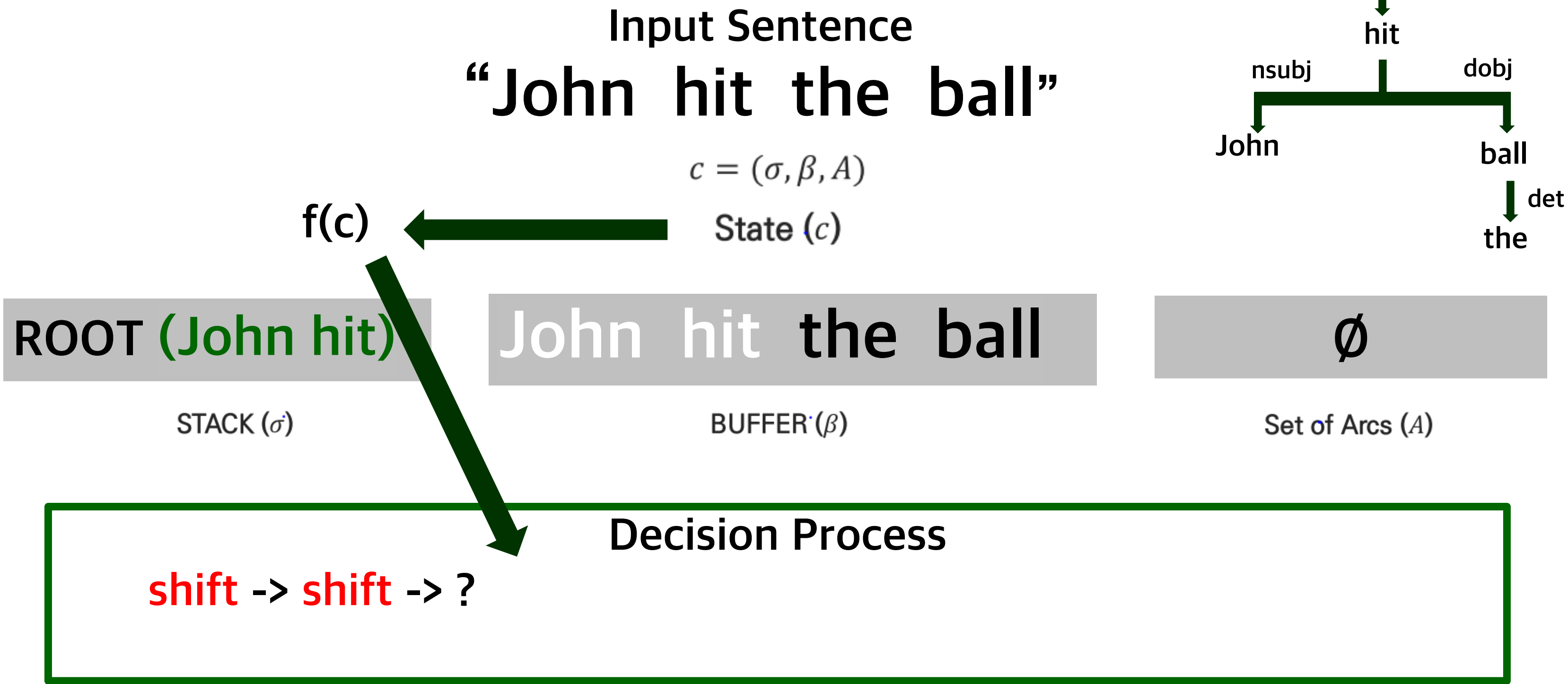


Decision Process

shift -> shift

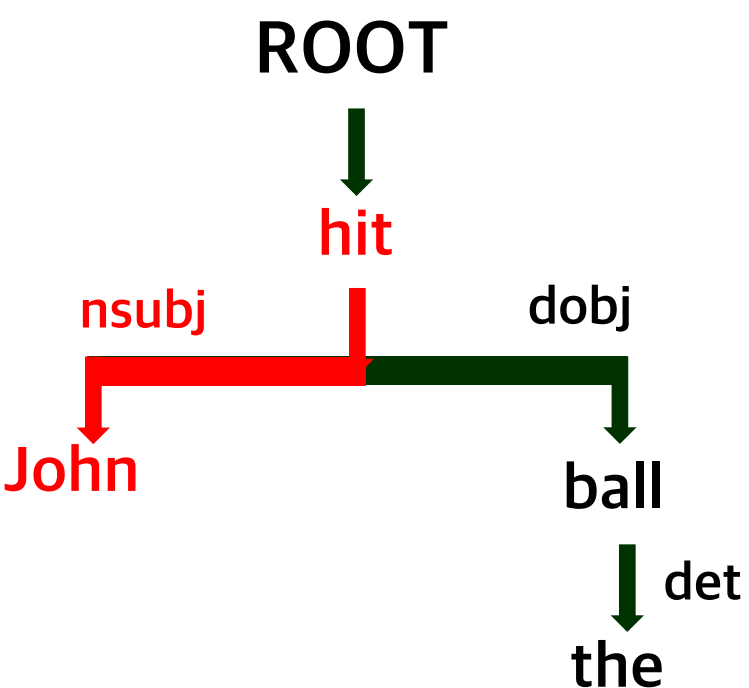
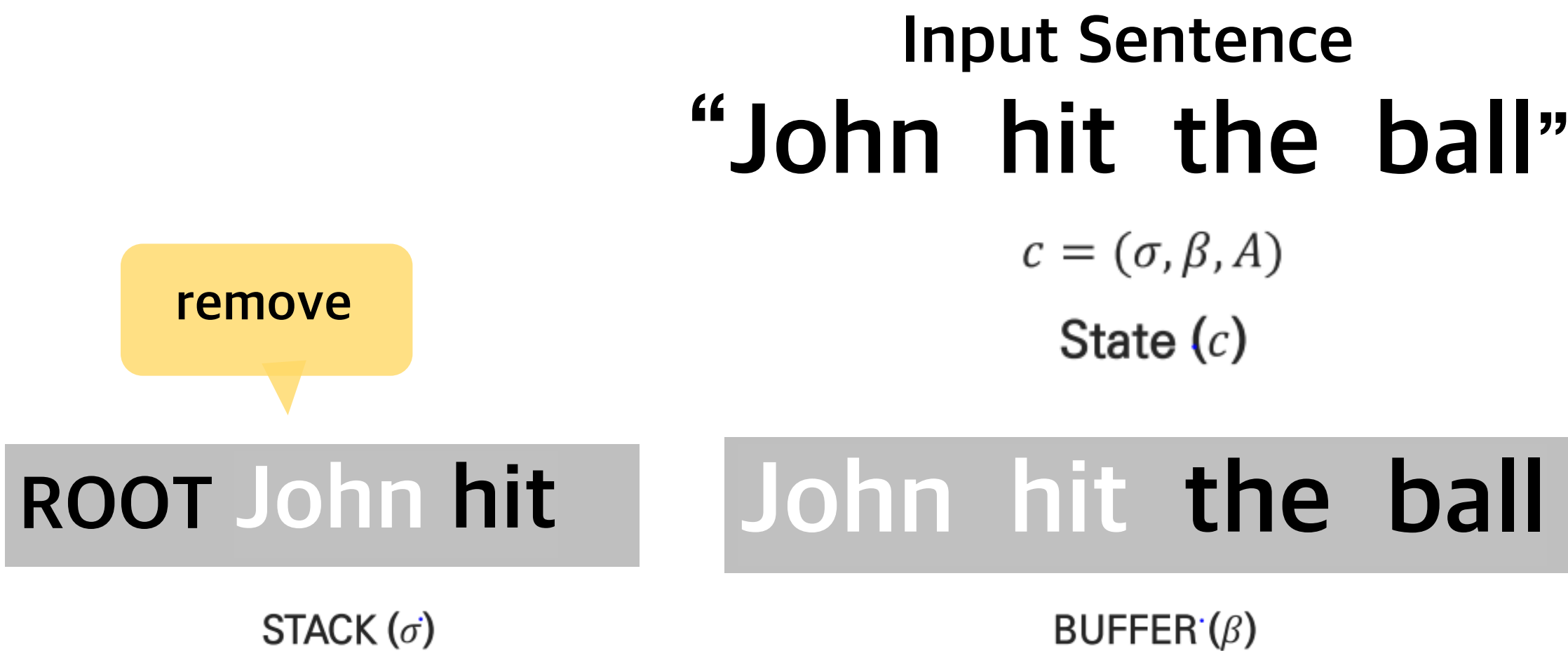
# 05 Dependency Parsing Method

## #2 Transition-based Parsing



# 05 Dependency Parsing Method

## #2 Transition-based Parsing



(hit, nsubj, John)

Set of Arcs ( $A$ )

Decision Process

shift -> shift -> Left-Arc (nsubj)

# 05 Dependency Parsing Method

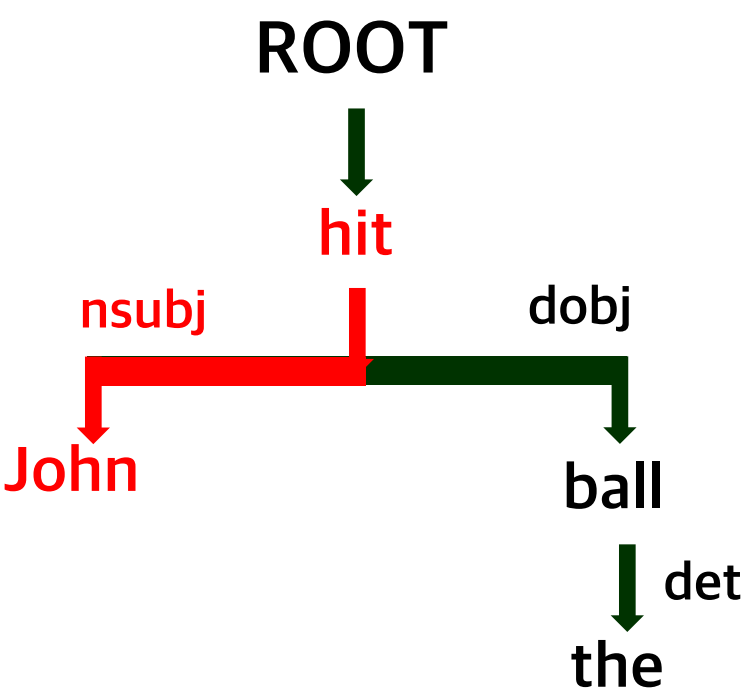
## #2 Transition-based Parsing

Input Sentence

“John hit the ball”

$$c = (\sigma, \beta, A)$$

State (c)



ROOT hit the John hit the ball

STACK ( $\sigma$ )

BUFFER ( $\beta$ )

(hit, nsubj, John)

Set of Arcs (A)

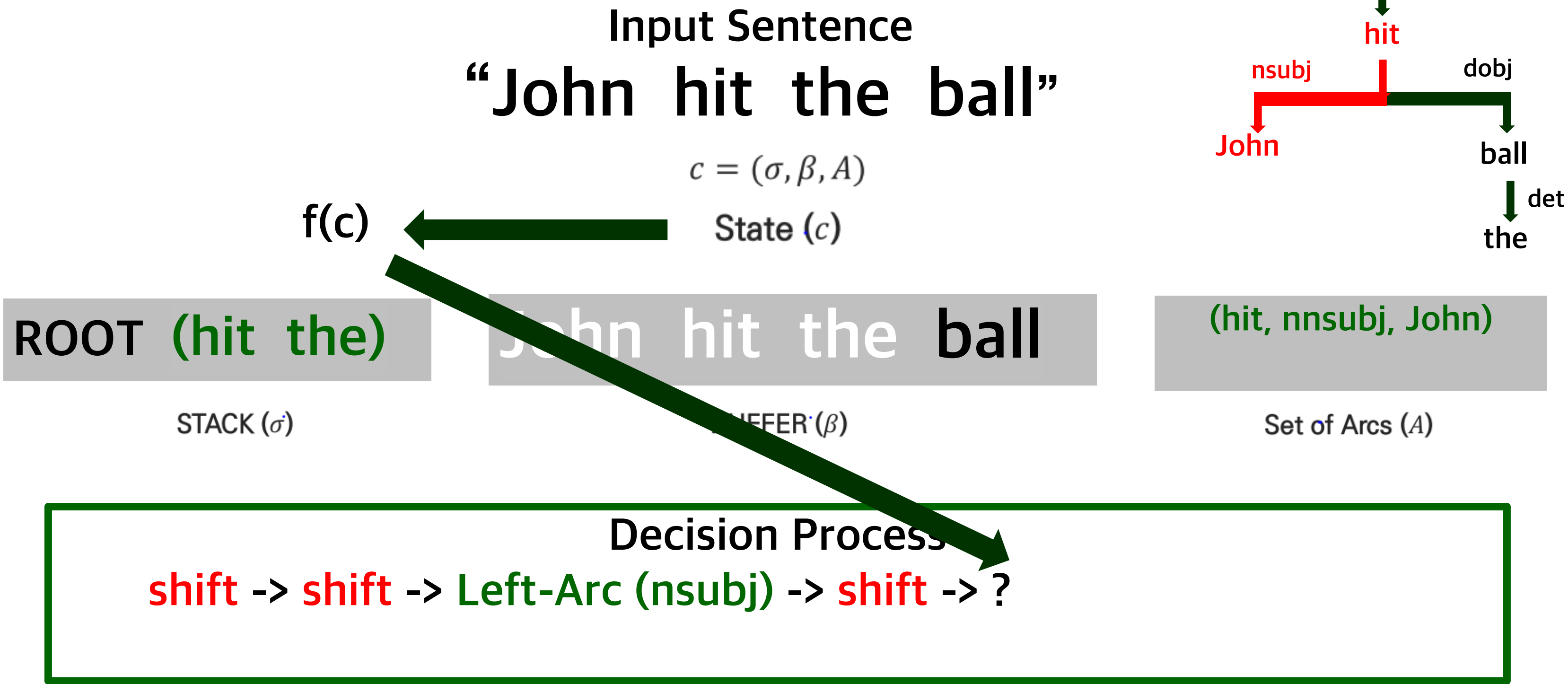
Decision Process

shift -> shift -> Left-Arc (nsubj) -> shift



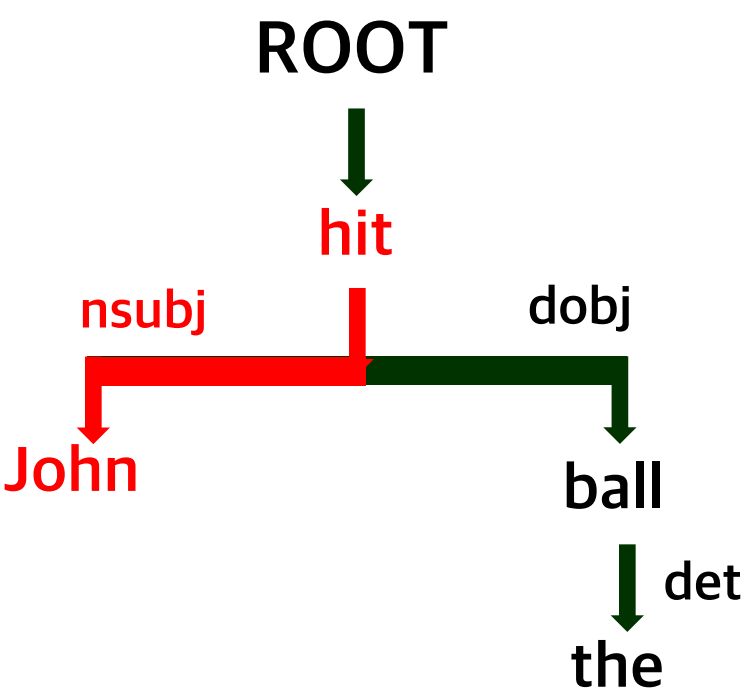
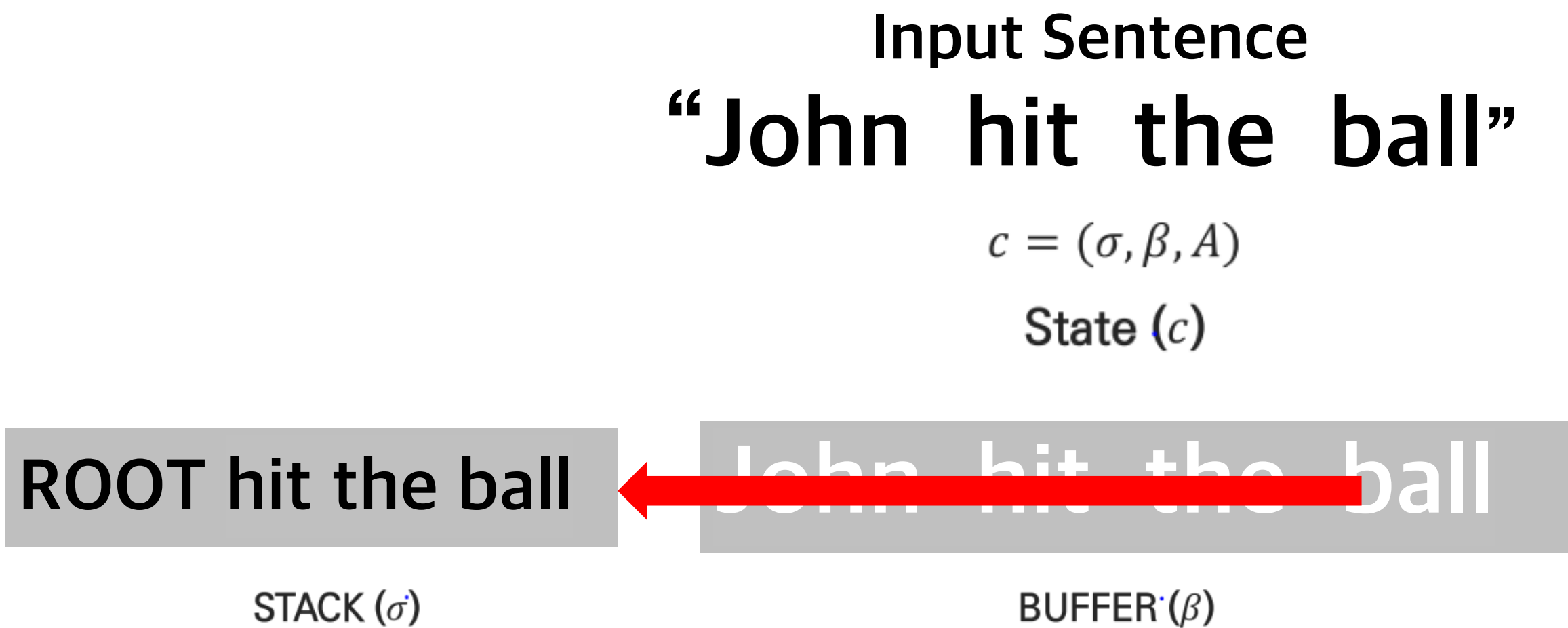
# 05 Dependency Parsing Method

## #2 Transition-based Parsing



# 05 Dependency Parsing Method

## #2 Transition-based Parsing



(hit, nsubj, John)

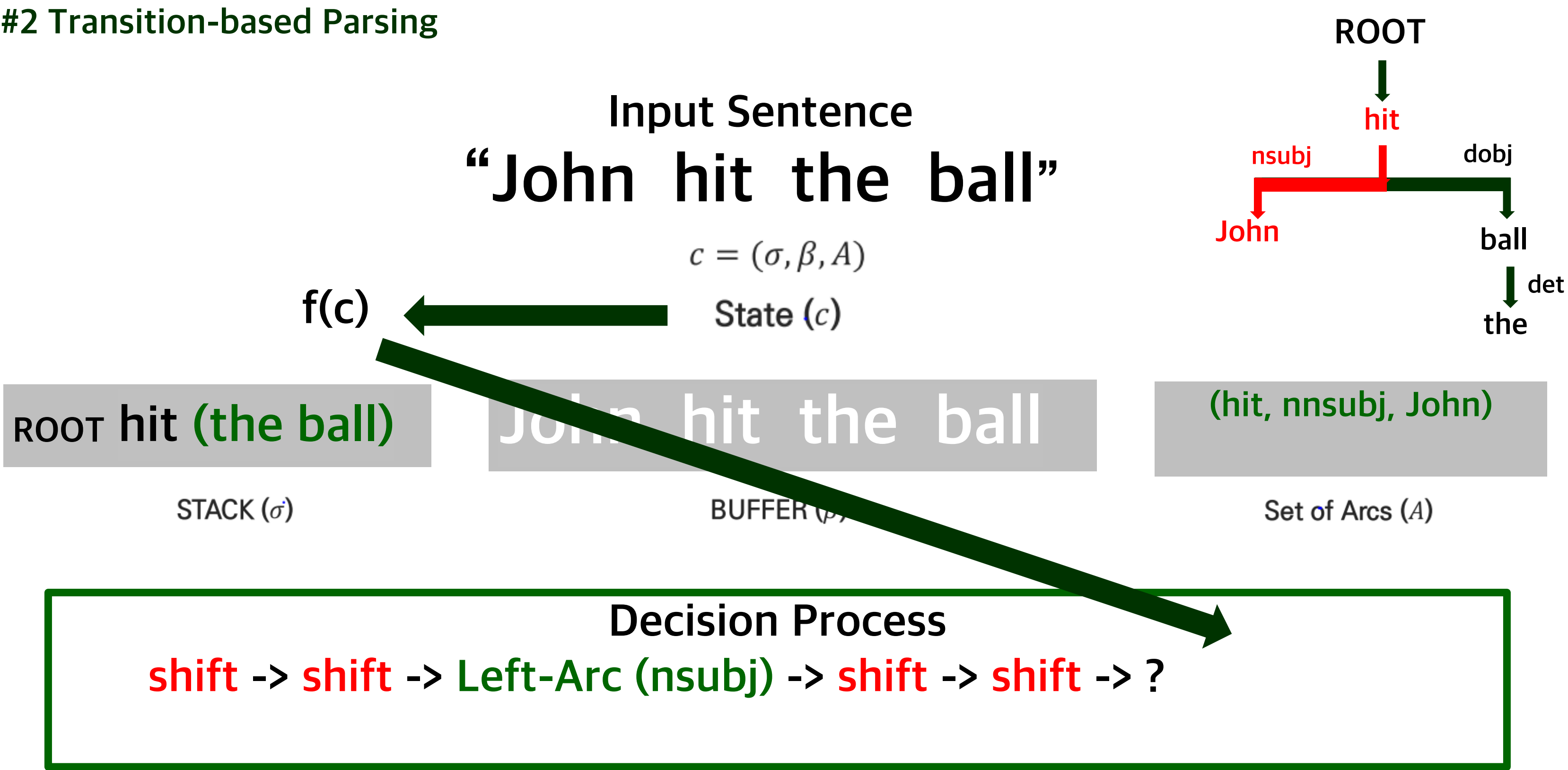
Set of Arcs ( $A$ )

### Decision Process

shift -> shift -> Left-Arc (nsubj) -> shift -> shift

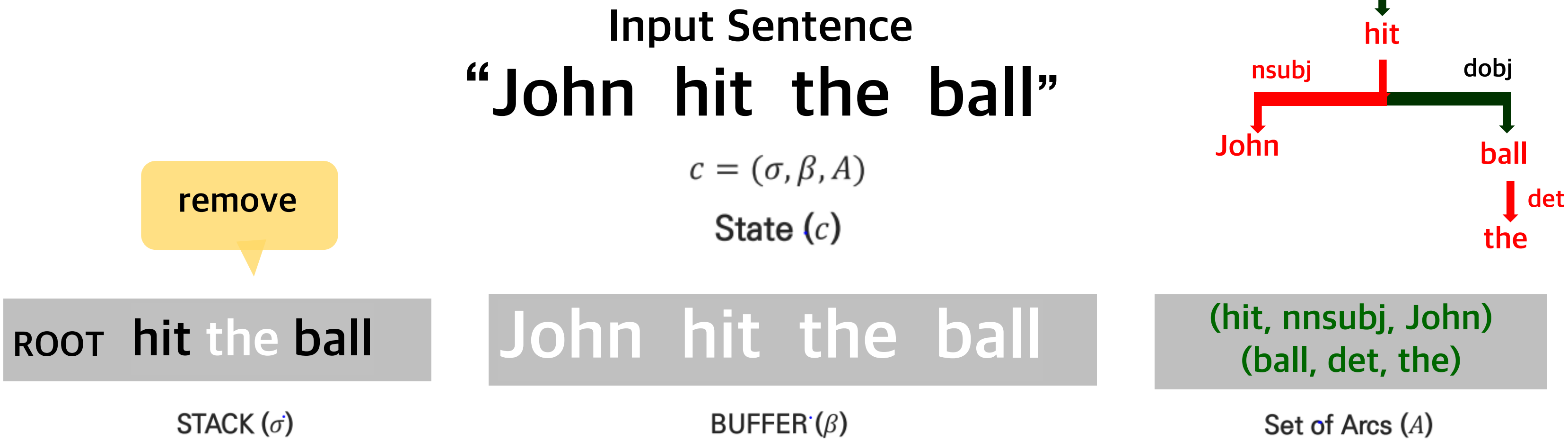
# 05 Dependency Parsing Method

## #2 Transition-based Parsing



# 05 Dependency Parsing Method

## #2 Transition-based Parsing

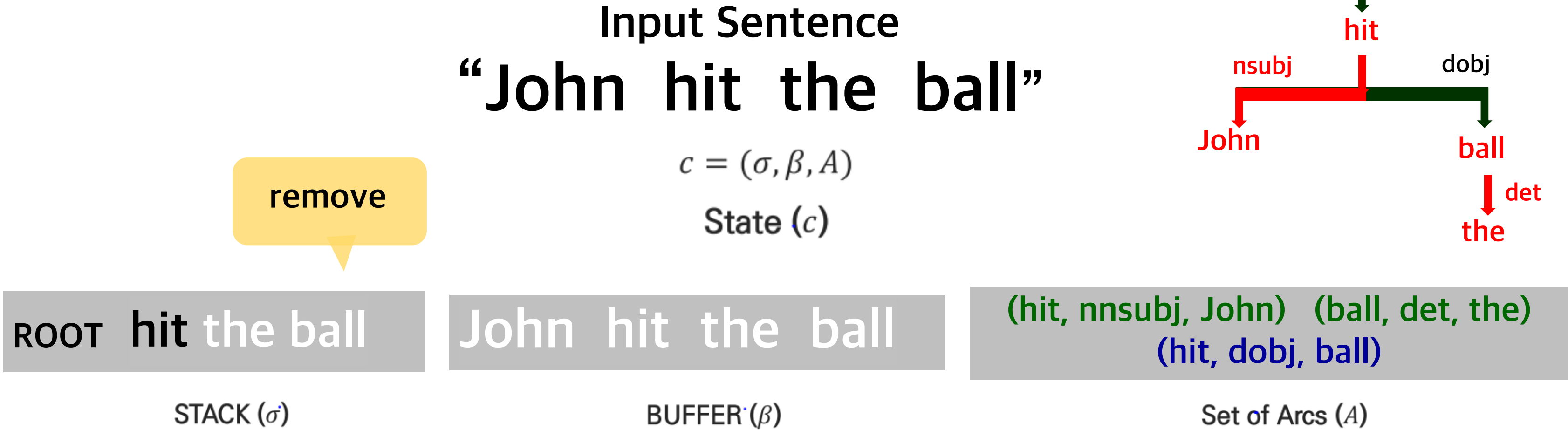


### Decision Process

shift -> shift -> Left-Arc (nsubj) -> shift -> shift -> Left-Arc (det)

# 05 Dependency Parsing Method

## #2 Transition-based Parsing

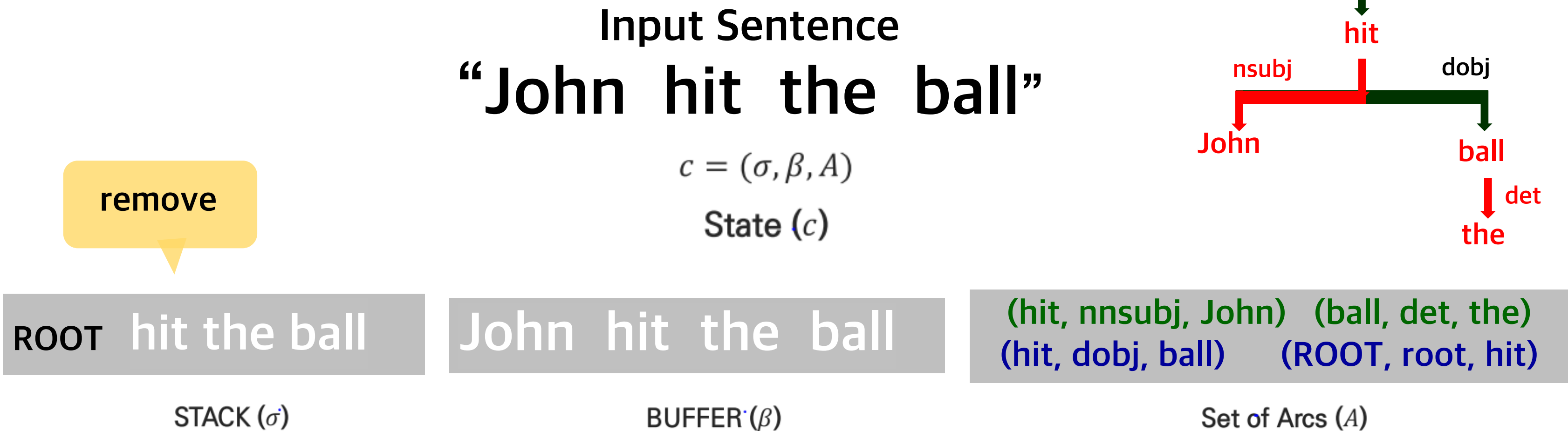


Decision Process

shift -> shift -> Left-Arc (nsubj) -> shift -> shift -> Left-Arc (det)  
-> Right-Arc (dobj)

# 05 Dependency Parsing Method

## #2 Transition-based Parsing



### Decision Process

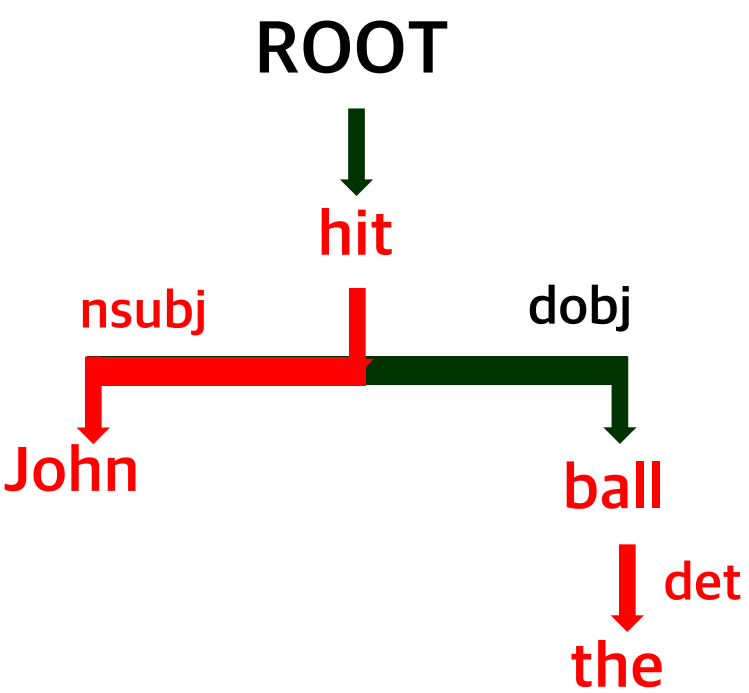
shift -> shift -> Left-Arc (nsubj) -> shift -> shift -> Left-Arc (det)  
-> Right-Arc (dobj) -> Right-Arc (dobj)

# 05 Dependency Parsing Method

## #2 Transition-based Parsing

Input Sentence  
“John hit the ball”

$$c = (\sigma, \beta, A)$$



ROOT hit the ball

STACK ( $\sigma$ )

John hit the ball

BUFFER ( $\beta$ )

(hit, nsubj, John) (ball, det, the)  
(hit, dobj, ball) (ROOT, root, hit)

Set of Arcs ( $A$ )

### Decision Process

shift -> shift -> Left-Arc (nsubj) -> shift -> shift -> Left-Arc (det)  
-> Right-Arc (dobj) -> Right-Arc (dobj)

# 05 Dependency Parsing Method

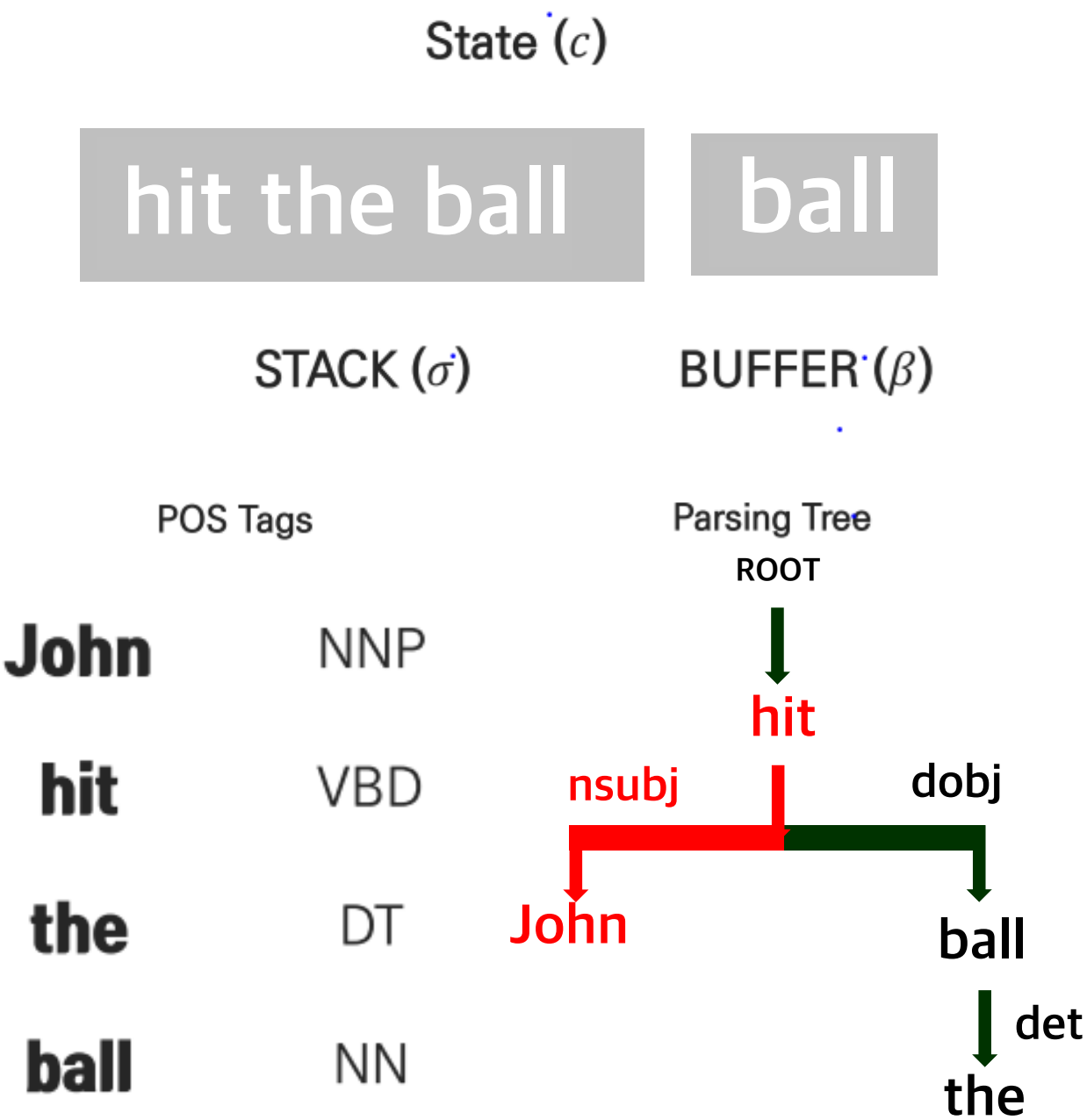
## #3 Conventional Feature Representation

### Notations

|             |                                       |
|-------------|---------------------------------------|
| $s_1.w$     | Stack의 첫번째 단어                         |
| $b_1.w$     | Buffer의 첫번째 단어                        |
| $s_1.t$     | Stack의 첫번째 단어의 POS Tag                |
| $lc(s_1).w$ | Stack의 첫번째 단어의 left-child 단어          |
| $rc(s_1).w$ | Stack의 첫번째 단어의 right-child 단어         |
| $lc(s_1).t$ | Stack의 첫번째 단어의 left-child 단어의 POS Tag |
| ...         | ...                                   |

### Example

|         |         |             |             |
|---------|---------|-------------|-------------|
| $s_1.w$ | $b_1.t$ | $lc(s_2).w$ | $rc(s_2).t$ |
| the     | NN      | John        | NULL        |





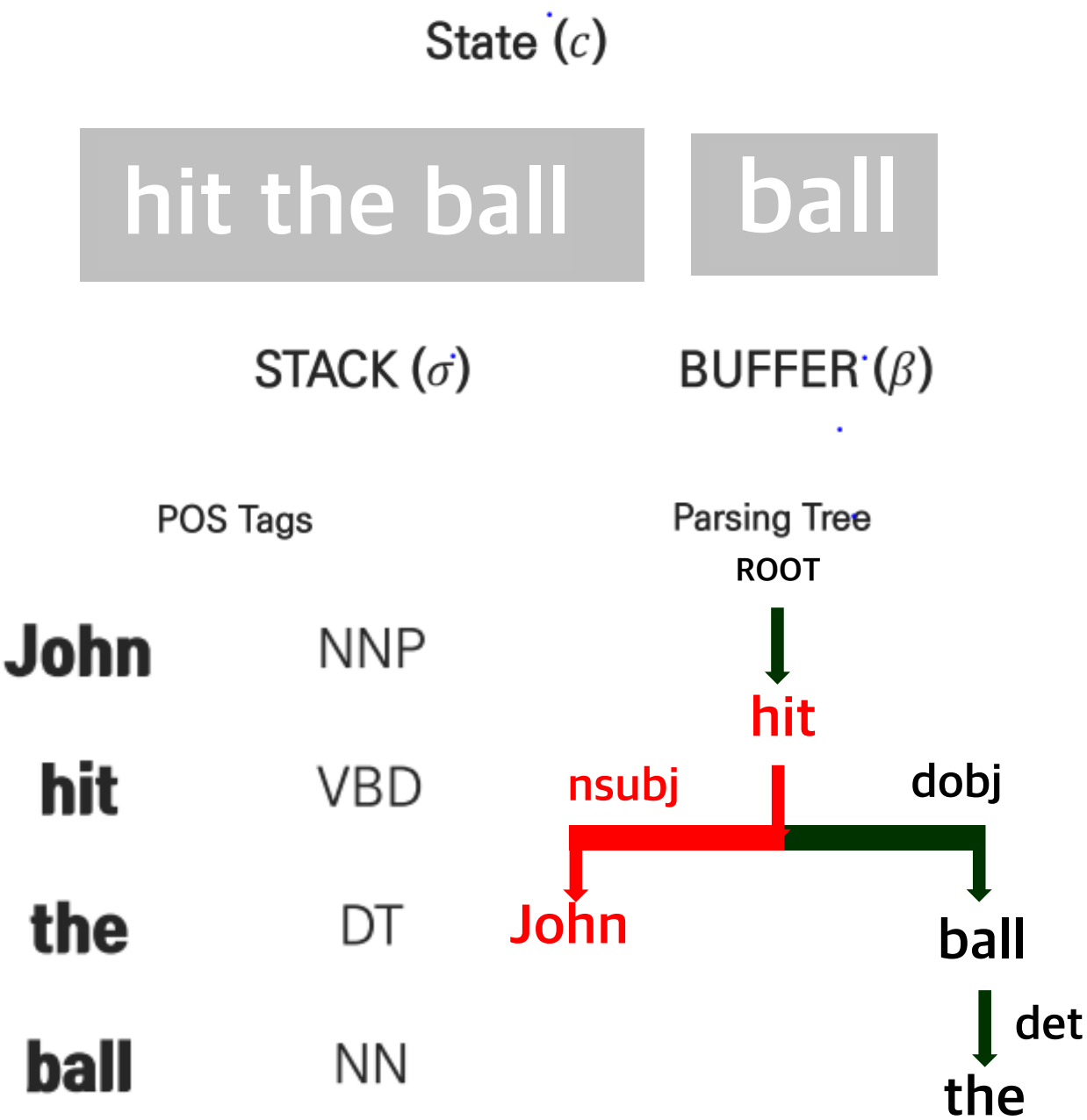
# 05 Dependency Parsing Method

## #3 Conventional Feature Representation

Indicator Features

|     |                             |                            |                     |
|-----|-----------------------------|----------------------------|---------------------|
| 1   | $s_1.w = \mathbf{the}$      | $s_1.t = \text{DT}$        |                     |
| 0   | $s_2.w = \mathbf{hit}$      | $s_2.t = \text{VBD}$       | $b_1.t = \text{DT}$ |
| 0   | $lc(s_2).w = \mathbf{John}$ | $lc(s_1).w = \mathbf{hit}$ |                     |
| 1   | $lc(s_2).w = \mathbf{John}$ | $lc(s_1).t = \text{NNP}$   |                     |
| ... |                             |                            |                     |

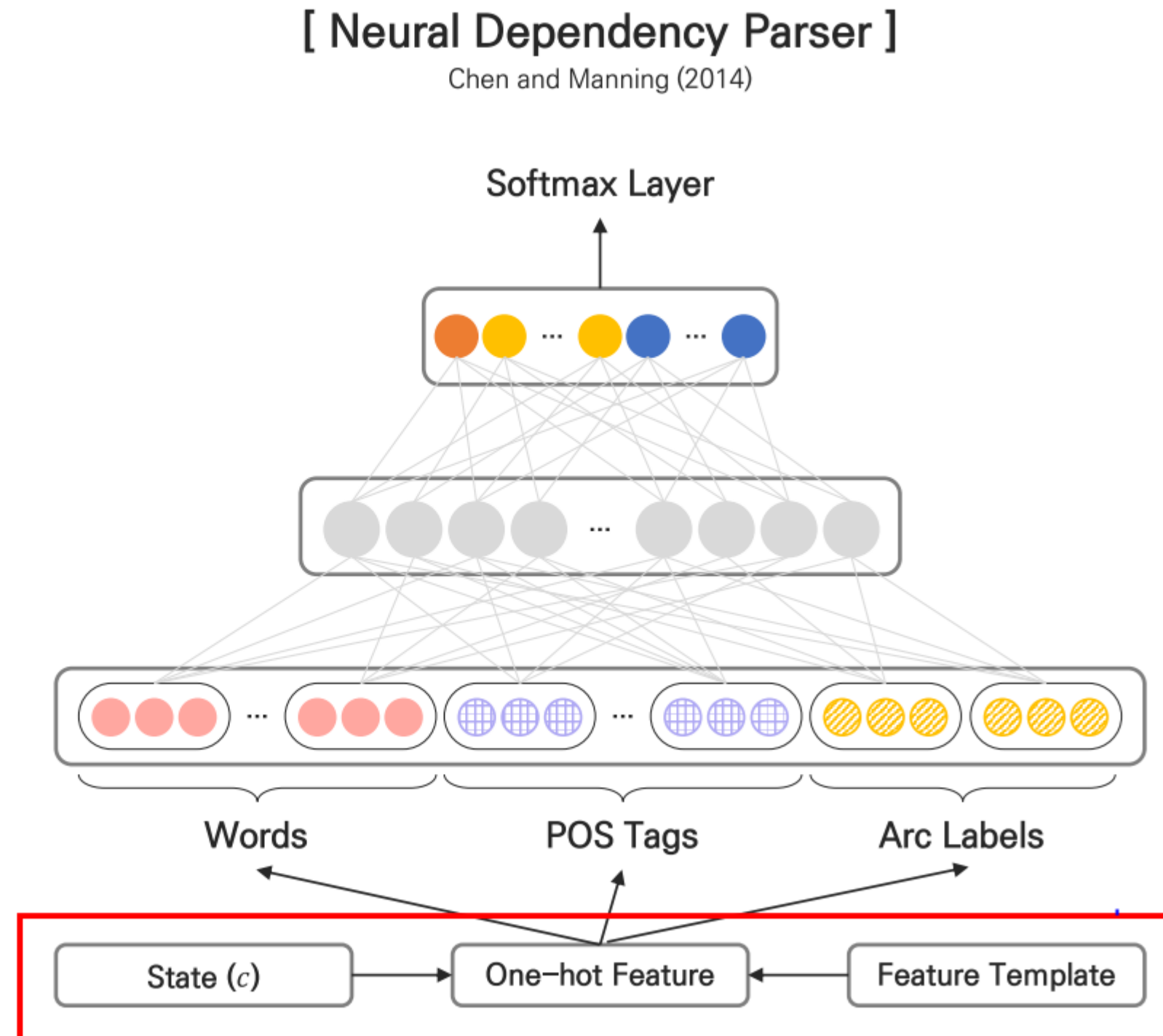
- Binary & Sparse representation
- 일반적으로 1~3개 요소가 결합된 indicator features
- 계산비용 높음
- 단어 또는 POS Tag의 의미 반영 못함



# 05 Dependency Parsing Method

## #4 Neural Dependency Parser

### 1. Feature Selection



# 05 Dependency Parsing Method

## #4 Neural Dependency Parser

### Feature Template

- STACK과 BUFFER의 top 3 단어 (6개)  $s_1, s_2, s_3, b_1, b_2, b_3$   
[ over, quick, ROOT, the, lazy, dog ]
- STACK top 1, 2 단어의 1<sup>st</sup> and 2<sup>nd</sup> left and right child 단어 (8개)  
 $lc_1(s_1), rc_1(s_1), lc_2(s_1), rc_2(s_1) \quad lc_1(s_2), rc_1(s_2), lc_2(s_2), rc_2(s_2)$   
[ Null, Null, Null, Null ] [ fox, jumping, is, and ]
- STACK top 1, 2 단어의 (left of left) and (right of right) child 단어 (4개)  
 $lc_1(lc_1(s_1)), rc_1(rc_1(s_1)), lc_1(lc_1(s_2)), rc_1(rc_1(s_2))$   
[ Null, Null ] [ the, Null ]
- 선택된 word feature에 해당하는 POS Tag (18개)  
[ IN(전치사), JJ(형용사), ROOT, DT(한정사), ..., Null, DT(한정사), Null ]
- STACK과 BUFFER의 6개 단어를 제외하고 선택된 word에 달린 arc-label (12개)  
[ Null, Null, ..., nsubj(주어), conj(접속사), cop(연결사), cc(등위), ..., Null]

### Example State (c)

Input Sentence

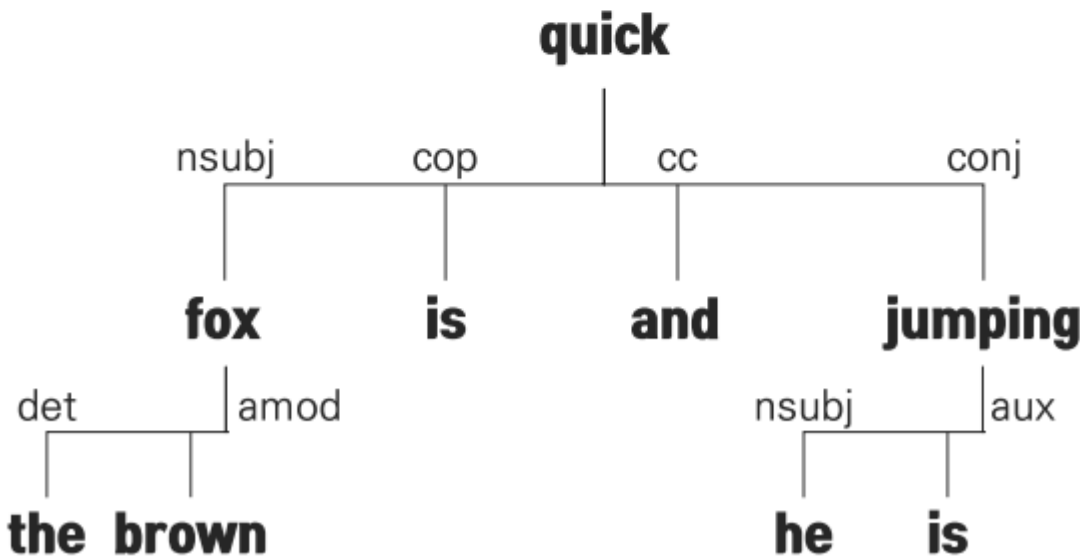
**“The brown fox is quick and  
he is jumping over the lazy dog”**

STACK ( $\sigma$ )

**ROOT quick over**

BUFFER ( $\beta$ )

**the lazy dog**



# 05 Dependency Parsing Method

## #4 Neural Dependency Parser

One-hot Representation

Word Features

$S^w = [ \text{over, quick, ROOT, the, } \dots, \text{and, Null, Null, the, Null} ]$

|       | Null | ROOT | and | the | ... | over | quick |
|-------|------|------|-----|-----|-----|------|-------|
| over  | 0    | 0    | 0   | 0   | 0   | 1    | 0     |
| quick | 0    | 0    | 0   | 0   | 0   | 0    | 1     |
| ROOT  | 0    | 1    | 0   | 0   | 0   | 0    | 0     |
| ...   |      |      |     | ... |     |      |       |
| Null  | 1    | 0    | 0   | 0   | 0   | 0    | 0     |
| The   | 0    | 0    | 0   | 1   | 0   | 0    | 0     |
| Null  | 1    | 0    | 0   | 0   | 0   | 0    | 0     |

$$S'^w \in \mathbb{R}^{18 \times N_w}$$

POS Tag Features

$S^t = [ \text{IN, JJ, ROOT, DT, JJ, } \dots, \text{CC, Null, Null, DT, Null} ]$

|      | Null | ROOT | DT | NN  | ... | JJ | VBD |
|------|------|------|----|-----|-----|----|-----|
| IN   | 0    | 0    | 0  | 0   | 0   | 0  | 0   |
| JJ   | 0    | 0    | 0  | 0   | 0   | 1  | 0   |
| ROOT | 0    | 1    | 0  | 0   | 0   | 0  | 0   |
| ...  |      |      |    | ... |     |    |     |
| Null | 1    | 0    | 0  | 0   | 0   | 0  | 0   |
| DT   | 0    | 0    | 1  | 0   | 0   | 0  | 0   |
| Null | 1    | 0    | 0  | 0   | 0   | 0  | 0   |

$$S'^t \in \mathbb{R}^{18 \times N_t}$$

Arc-label Features

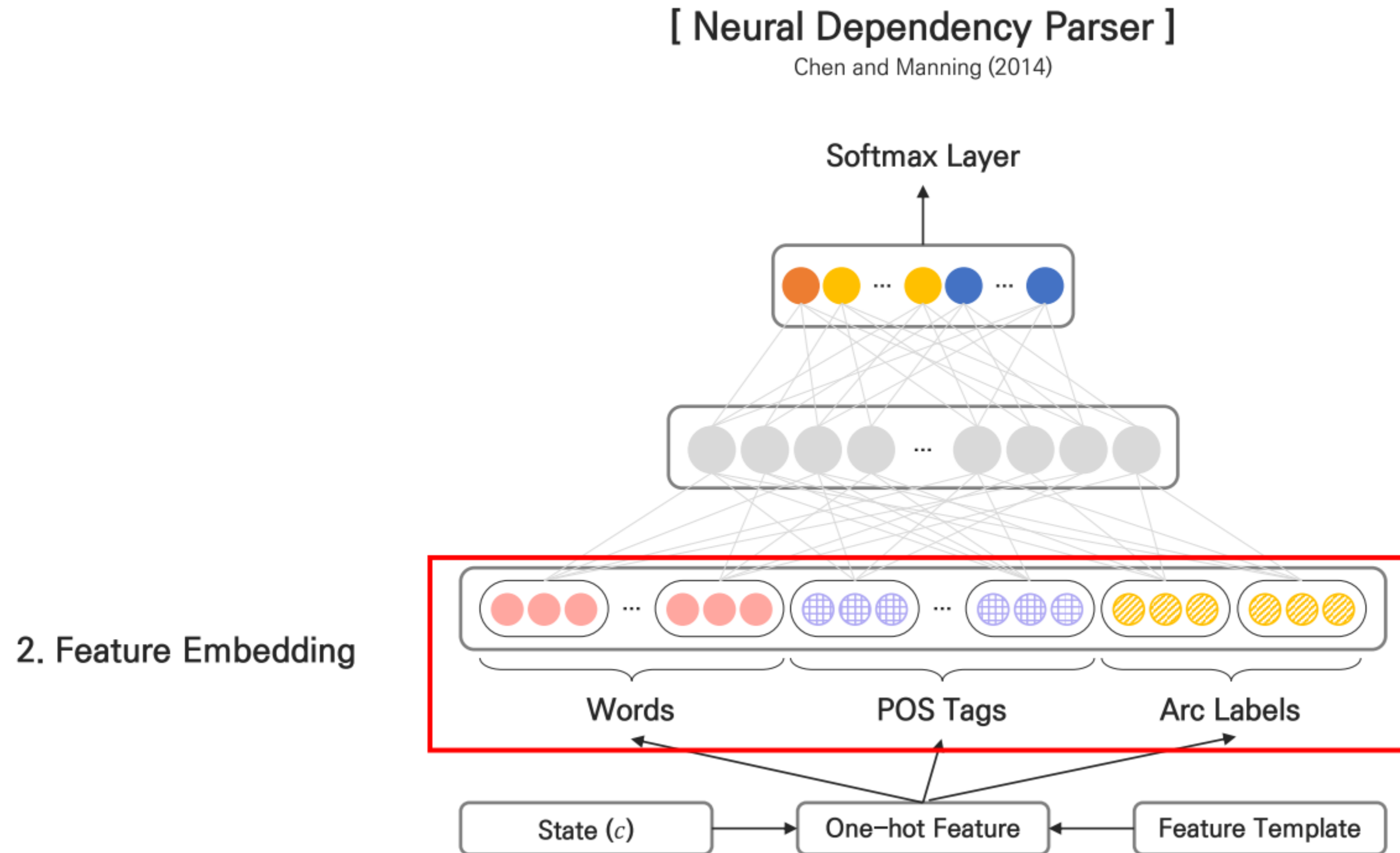
$S^l = [ \text{Null, Null, Null, } \dots, \text{nsubj, conj, cop, cc, Null, } \dots ]$

|       | Null | ROOT | nsubj | cc  | ... | cop | conj |
|-------|------|------|-------|-----|-----|-----|------|
| Null  | 1    | 0    | 0     | 0   | 0   | 0   | 0    |
| Null  | 1    | 0    | 0     | 0   | 0   | 0   | 0    |
| Null  | 1    | 0    | 0     | 0   | 0   | 0   | 0    |
| ...   |      |      |       | ... |     |     |      |
| nsubj | 0    | 0    | 1     | 0   | 0   | 0   | 0    |
| conj  | 0    | 0    | 0     | 0   | 0   | 0   | 1    |
| cop   | 0    | 0    | 0     | 0   | 0   | 1   | 0    |

$$S'^l \in \mathbb{R}^{12 \times N_l}$$

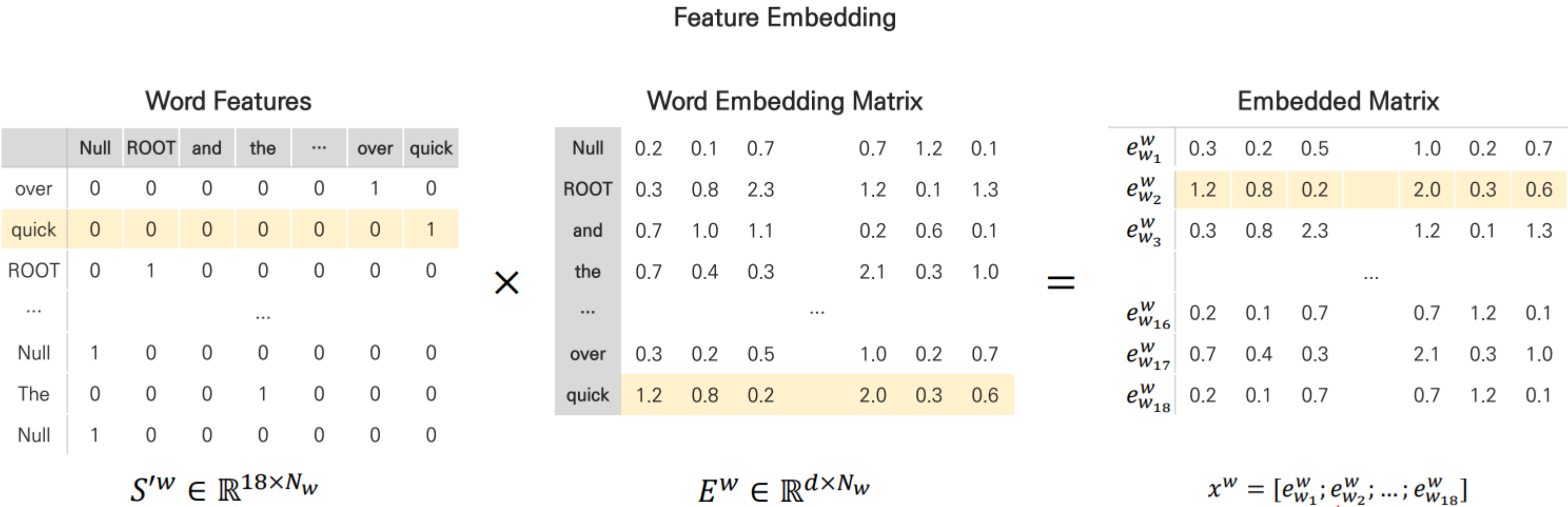
# 05 Dependency Parsing Method

## #4 Neural Dependency Parser



# 05 Dependency Parsing Method

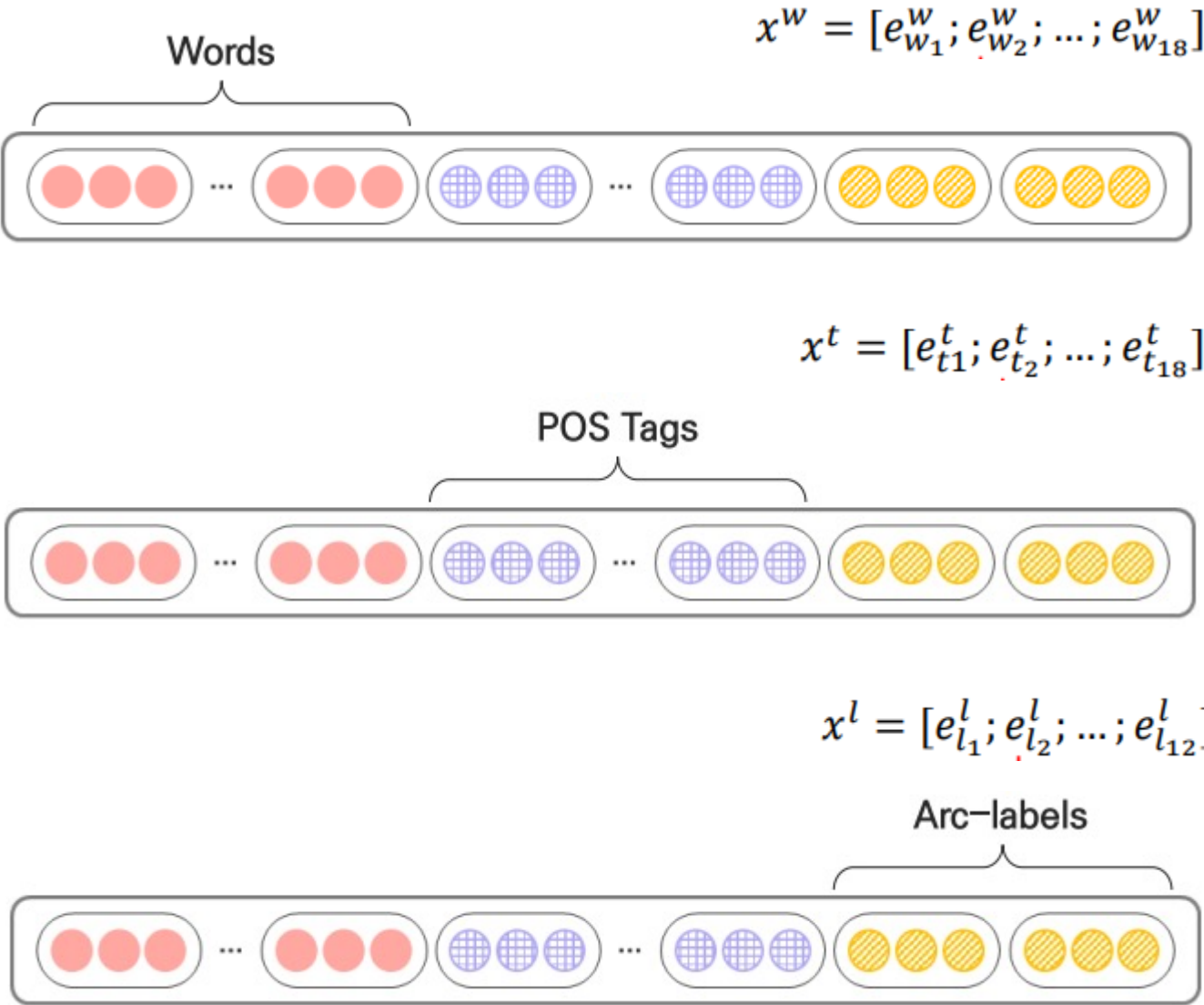
## #4 Neural Dependency Parser





# 05 Dependency Parsing Method

## #4 Neural Dependency Parser

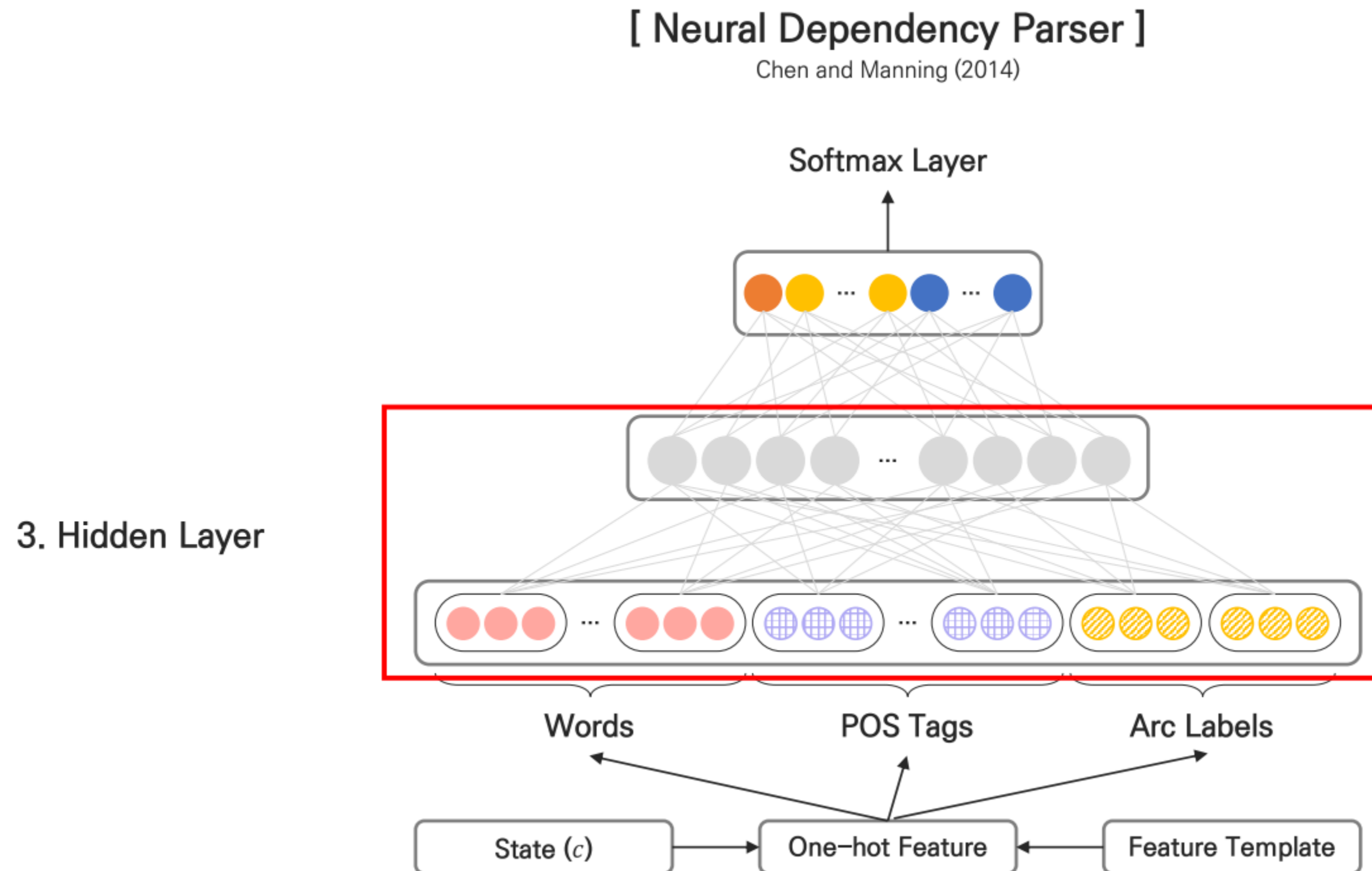


Embedded Matrix

|                |     |     |     |     |     |     |     |
|----------------|-----|-----|-----|-----|-----|-----|-----|
| $e_{w_1}^w$    | 0.3 | 0.2 | 0.5 |     | 1.0 | 0.2 | 0.7 |
| $e_{w_2}^w$    | 1.2 | 0.8 | 0.2 |     | 2.0 | 0.3 | 0.6 |
| $e_{w_3}^w$    | 0.3 | 0.8 | 2.3 |     | 1.2 | 0.1 | 1.3 |
|                |     |     |     | ... |     |     |     |
| $e_{w_{16}}^w$ | 0.2 | 0.1 | 0.7 |     | 0.7 | 1.2 | 0.1 |
| $e_{w_{17}}^w$ | 0.7 | 0.4 | 0.3 |     | 2.1 | 0.3 | 1.0 |
| $e_{w_{18}}^w$ | 0.2 | 0.1 | 0.7 |     | 0.7 | 1.2 | 0.1 |

# 05 Dependency Parsing Method

## #4 Neural Dependency Parser



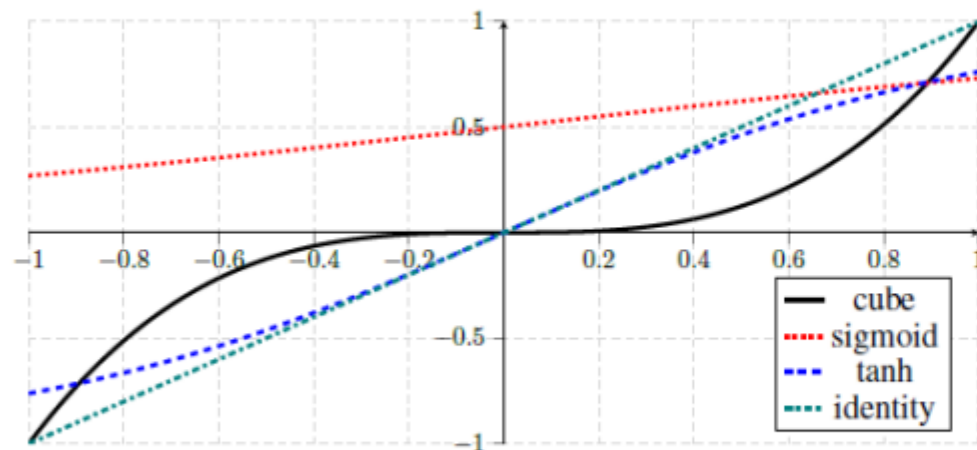


# 05 Dependency Parsing Method

## #4 Neural Dependency Parser

**Hidden Layer** : 일반적인 feed forward network

- Embedding vector \* weight matrix + bias vector
- ReLU, Sigmoid, Tanh와 같은 일반적인 activation function을 사용하지 않음
- word, POS tag, arc-label 간 상호작용을 반영할 수 있는 cube function을 사용함



$$h = (W_1[x^w; x^t; x^l] + b)^3$$

$$= (w_1x_1 + w_1x_2 + \dots + w_{48}x_{48} + b)^3$$

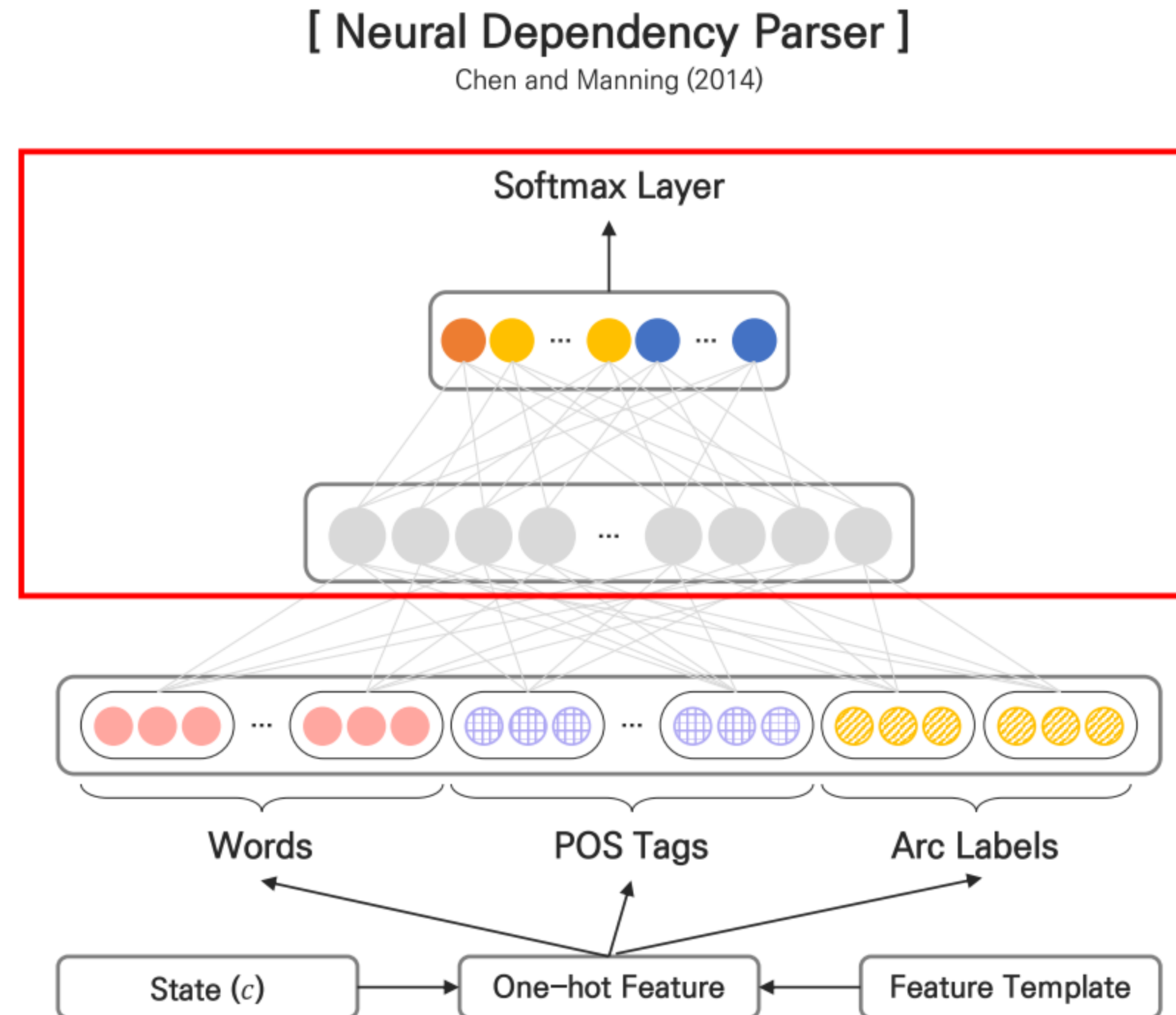
$$= \sum_{i,j,k} (w_iw_jw_k) \underline{x_ix_jx_k} + \sum_{i,j} b(w_iw_j)x_ix_j + \dots$$

각 word, POS tag, arc-label의 조합

# 05 Dependency Parsing Method

## #4 Neural Dependency Parser

### 4. Softmax Layer

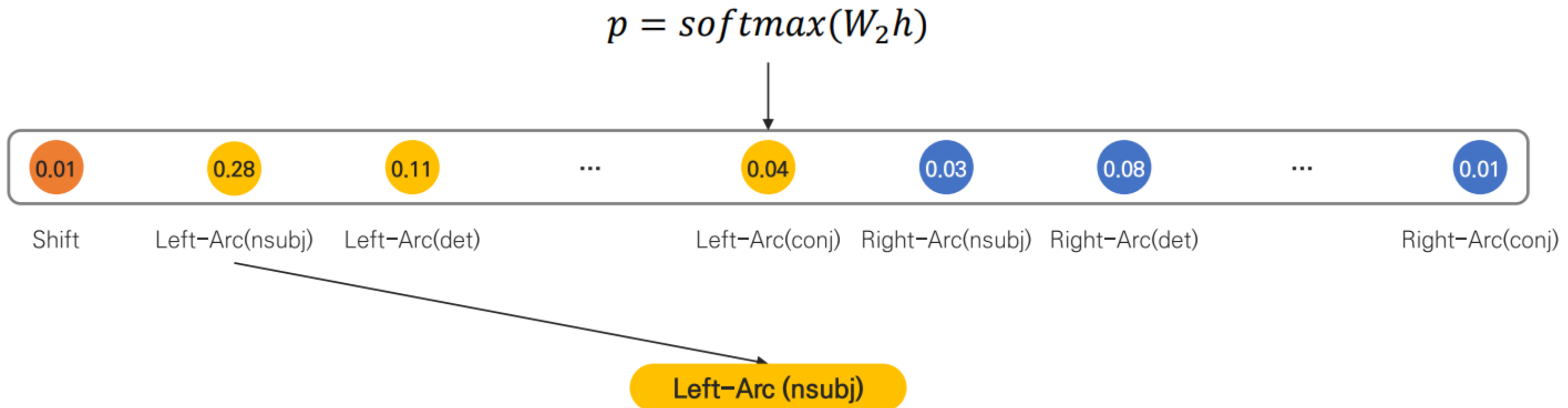


# 05 Dependency Parsing Method

## #4 Neural Dependency Parser

### Softmax Layer

- Hidden layer를 거친 feature vecto를 linear projection 후 softmax function 적용
- Shift, Left-Arc, Right-Arc 중 가장 확률값이 높은 경우의 수를 output으로 산출



# 05 Dependency Parsing Method

## #4 Neural Dependency Parser

### Evaluation Measures

- Unlabeled Attachment Score (UAS): Arc 방향만 예측  
**Shift** **Left-Arc** **Right-Arc**
- Labeled Attachment Score (LAS): Arc 방향과 함께 label 예측  
**Shift** **Left-Arc (nsubj)** **Left-Arc (det)** ... **Right-Arc (det)** ...

Results (English Penn Treebank Datasets)

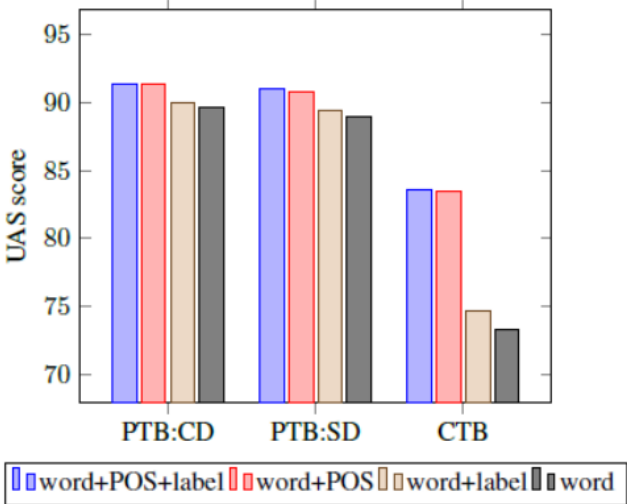
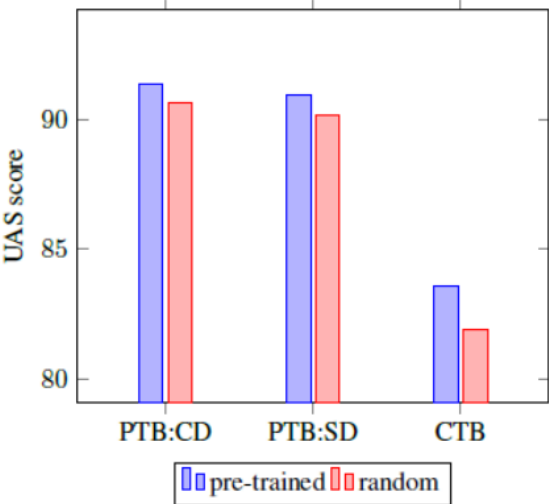
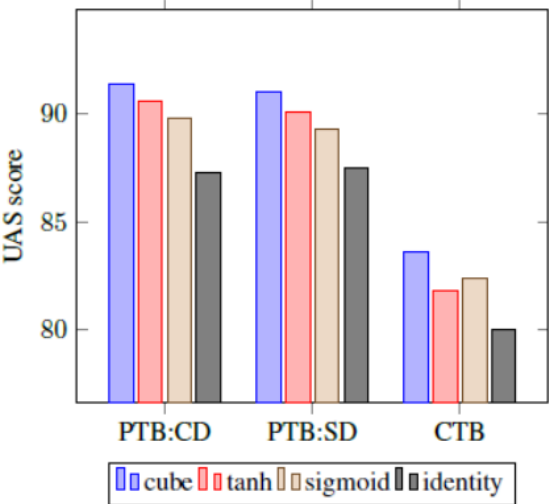
| Parser                  | UAS         | LAS         | Sentence / sec. | 비고  |
|-------------------------|-------------|-------------|-----------------|---|
| MaltParser (2007)       | 89.8        | 87.2        | 469             | Transition-based Parser (Indicator Feature) |
| MSTParser (2007)        | 91.4        | 88.1        | 10              | Graph-based Parser                          |
| TurboParser (2010)      | <b>92.3</b> | 89.6        | 8               | Graph-based Parser                          |
| Chen and Manning (2014) | 92.0        | <b>89.7</b> | <b>654</b>      | Transition-based Parser (Dense Feature)     |

# 05 Dependency Parsing Method

## #4 Neural Dependency Parser

### Ablation Studies

- Cube function이 타 activation function보다 높은 성능 기록
- Pre-trained word vector (Word2Vec)를 사용하는 것이 random initialization보다 더 높은 성능 기록
- Word, POS, label 정보 모두 활용하는 것이 가장 높은 성능 기록



### POS and Label Embedding

- Random Initialization된 POS tag와 Arc-label vector가 학습이 진행되면서 의미적 유사성 내포
- t-SNE를 통해 2차원 공간상에 표현했을 때 유사한 요소들이 가까이 위치

