

# CS224N : Lecture 9 - Self-Attention and Transformers

## From Recurrence (RNNs) to Attention-Based NLP Models

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### Issue with recurrent models

#### 1. Recurrent Neural Networks

- RNNs are unrolled “left-to-right”
  - encodes linear locality (nearby words often affect each other’s meanings)
- Problem : RNNs take  $O(\text{sequence length})$  steps for distant words pairs to interact
  - Hard to learn long-distance dependencies
  - Linear order of words is “baked in”

#### 2. Lack of Parallelizability

- Forward and backward passes have  $O(\text{sequence length})$  unparallelizable operations
  - Future RNN hidden states can’t be computed in full before past RNN hidden states have been computed → Inhibits training on very large datasets

### Word Window

- ~~Alternative for recurrence~~
- Words window models aggregate local contexts
  - Number of unparallelizable operations does not increase sequence length
- Stacking word window layers allows interaction between farther words

### Attention

- Attention treats each word's representation as a query to access and incorporate information from a set of values.
- Number of unparallelizable operations does not increase sequence length.

## Self-attention

- Attention operates on queries, keys, and values.
- In Self-attention, the queries, keys, and values are drawn from the same source.

## Self-attention as an NLP building block

- Can self-attention be a drop-in replacement for recurrence?
  - No, it has few issues.
    1. Self-attention is an operation on sets. It has no inherent notion of order.

## Sequence order

- Solution for self-attention problem : self-attention doesn't build in order information
- Representing each sequence index as a vector

## Concatenation of sinusoids

- Sinusoidal position representations : concatenate sinusoidal functions of varying periods
- Pros
  - Periodicity indicates that maybe "absolute position" isn't as important
  - Maybe can extrapolate to longer sequences as periods restart
- Cons
  - Not learnable
  - Extrapolation doesn't really work

## Position representation

- Pros
  - Flexibility: each position gets to be learned to fit the data
- Cons
  - Can't extrapolate to indices outside 1...

## Nonlinearity

- At the output of the self-attention block
- Add a feed-forward network to post-process each output vector

## Masking

- To enable parallelization → mask out attention to future words by setting attention score to  $-\infty$
- Keeps information about the future from “leaking” to the past

# Understanding the Transformer Model

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## The Transformer Encoder

1. Transformers
2. Multiheaded Attention
3. Residual Connections
4. Layer Normalization
5. Scaled Dot Product

## The Transformer Decoder

- Cross-attention

## Great Results with Transformers

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1. Machine Translation from the original Transformers paper
2. Document generation
  - Transformer's parallelizability allows for efficient pretraining, and have made them the de-facto standard