Programming in Java

1. Multi-Threading 1





Java Multi-Threading

- As Java has evolved, there have been several versions of how Java implements multi-threading
 - In this module, we will look at the classic model
 - This is not used anymore for new Java code
 - But you will see it if you need to work with Java legacy code
- Knowing how this code works provides a conceptual foundation for the more modern concepts introduced in a subsequent module



Basic Definitions

Agent

Anything capable of executing a task – person, CPU, host, etc.

Multi-tasking

- One agent working on more than one task over a period of time
- Only one task is being executed at any given moment in time
- The agent switches between tasks according some strategy

Preemptive time slicing

- Most common strategy for multi-tasking in operating systems
- A time period is divided into equal time slices (e.g. 1 second is divided into 1000 slices)
- Each task gets exclusive access to system resources for one time slice
- Then it is "preempted" and forced to give up the resources so another task can run
- Higher priority tasks get more slices (more turns to use resources) rather than longer slices
- Necessary to prevent any task from locking up the system



Multitasking versus Parallel Processing

- Working from home with multiple tasks needing to be done
 - Cooking dinner, writing code, doing laundry, grocery shopping, etc
 - You have to switch between tasks but you are the only agent doing these tasks
 - You will use some sort of switching strategy to move from task to task
 - You need to switch environments (kitchen, store, office) to switch between tasks
 - This is concurrent processing, tasks are being done concurrently by switching from one to another in some sort of order

Parallel Processing

- A set of agents are available
- Each task can be executed exclusively by one agent
- Multi-tasking without having to switch tasks
- Working from home with a staff
 - My chef cooks dinner. My housekeeper does the laundry My chauffeur does the grocery shopping
 - I just write code



Multiprocessing versus Multi-threading

Multiprocessing

- Each task is self-contained in an isolated environment.
- Switching tasks requires saving the executing environment and loading the new task's environment
- Can be very slow. Often called heavyweight multi-tasking

Recall multi-tasking example

 To switch from coding to grocery shopping to cooking dinner requires a complete change environment

Multi-threading

- Often called lightweight multi-processing
- A group of related tasks in the same environment are called threads
- The agent can switch between tasks without having to change the environment
- The agent just switches from task to task inside the same environment



Java Multi-Threading

- Concurrency is doing more than one thing at a time
- With modern multi-core architectures, concurrency is a fundamental construct in almost all programming languages
- Java was designed as a multi-threaded programming language
 - Remember that Java runs on the JVM
 - The JVM is a multi-threaded environment and maps threads in the JVM to host OS threads
 - In the early years, this was not always possible since some OS did not support multi-threading

Processes

- Created by a system call and uses IPC (inter-process communication) which requires system calls
- Isolated execution space and does not share data
- Has its own stack, heap and data map
- Processes are managed by the OS process scheduler
- Heavyweight takes time to start up and shut down



Java Multi-Threading

Threads

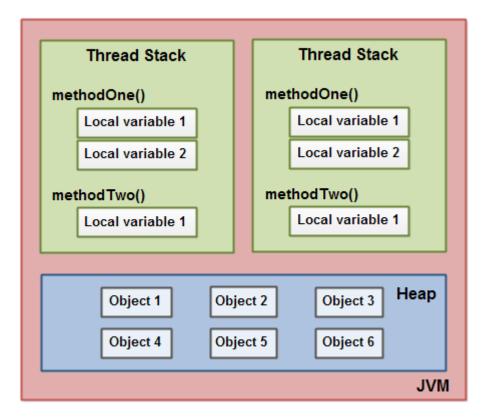
- All peer threads are treated as a single process because they run in the same execution process space at the OS level
- Each thread has its own stack memory and registers
- Peer threads share heap memory and instruction space
- Threads can share data through shared heap memory
- Threads are lightweight
- Threads are faster to start up and shut down
- Threads are defined by the user while processes are defined by the OS



Java Multi-Threading Memory

Thread Stack

- Each thread keeps track of its current execution state
- This means function calls and local variables
- When swapped out, these all have to be saved
- And then restored when the thread resumes executing
- By having its own stack, the execution environment (variables, function calls etc) will not be corrupted





Java Threads

- The Java Thread class is used to create and manage Java threads
- A Java Thread is a single sequence of execution it is the smallest unit of processing that can be scheduled for a time slice
- A Java Thread Group is a group of threads that are managed as a unit
 - We often want to start and stop all the threads in a group together
- User threads are defined by the user
 - The *main()* method creates a user thread when it starts
 - If no user threads are running, the JVM exits
- Daemon threads perform background tasks
 - Memory management, garbage collection, etc
 - A JVM exits if only daemon threads are running

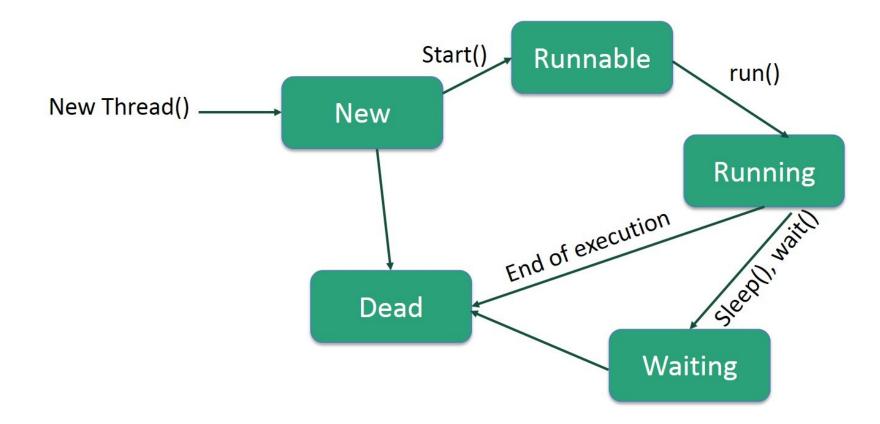


The Thread Class

- The Thread class has all of the functionality to create and run a thread
 - We create threads by extending the Thread class or instantiating it directly
- The start() method
 - Initializes the thread object so that it can be scheduled to run as a task
 - Once the thread is started, the code in the *run()* method is executed
- The run() method
 - Contains the code we want the thread to execute
 - We are limited to a single method that returns void and takes no arguments
- The x.join() method
 - Waits until the thread x stops before running
 - Used to synchronize threads that have to work together



Thread States





Thread States

New

- Initial state of a thread
- The thread stays in this state until the JVM schedules the thread

Runnable

- After the thread is scheduled, it can be executed and is considered runnable

Waiting

- When waiting for another thread to complete a task (the join() operation)
- Thread switches to runnable when it receives a signal that it can proceed

Timed Waiting

- Enters the waiting state for a specified interval of time
- Transitions back to the runnable state when either the time interval expires or when the event it is waiting for occurs

Terminated

A thread that has been killed or has completed its task



Using Threads

- There are two basic ways to implement a thread
 - Extending the Thread class
 - Implementing the Runnable interface
- Extending Thread
 - Thread has an empty implementation of the run() method
 - When we extend Thread, we provide an implementation of the run() method
- The Runnable Interface has a single `void run(); `method defined
 - When a class implements the the Runnable Interface it is said to be runnable
 - A runnable object is passed to a Thread object in the Thread object's constructor
 - A runnable object provides an implementation of the `run(); ` method





Thread Attributes

- Threads have a number attributes that we can access
 - Name: we can set a printable name for a thread, or let the JVM generate one (like "Thread-0")
 - ID: A unique id for the thread object
 - State: The current state of the thread
 - Daemon: Whether the thread is daemon or not
- Each thread has a priority
 - Lowest is MIN_PRIORITY (1)
 - Default is NORM_PRIORITY (5)
 - Highest is MAX_PRIORITY (10)
- Priority is used to schedule threads
 - Higher priority threads get more turns to run
 - However, priorities cannot ensure any specific order of execution
 - The environment the JVM is executing in has an impact on order of execution



Thread Attributes

Method	Meaning
final String getName()	Obtains a thread's name.
final int getPriority()	Obtains a thread's priority.
final boolean isAlive()	Determines whether a thread is still running.
final void join()	Waits for a thread to terminate.
void run()	Entry point for the thread.
static void sleep(long milliseconds)	Suspends a thread for a specified period of milliseconds.
void start()	Starts a thread by calling its run() method.

- The JVM will not exit until all the user threads have completed
- Throwing an exception in one thread does not cause other threads to stop
- Threads spawned by the main thread will continue if the main thread exits



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Race Conditions

- Objects created by a runnable object are only accessible to that object
 - The object is created on the shared heap
 - But only the thread's stack has a reference to it
 - No other threads can access the object because they don't have a reference to it.
- If the object exists on the heap prior to the creation of the runnable object
 - Then the runnable object can be passed a reference to the object
 - Then all references in the threads resolve to the same object
 - Even though each thread has its own copy of the reference, they all point to the same object
- This is not problem when none of the threads are modifying the object
 - But when one or more threads are modifying the object, it can create something called a race condition



Race Conditions

- Modifying or writing data in a shared resource may result in corruption
 - Result of how the threads are interleaved.
 - Some instructions from one thread may overlap with instructions from and another thread
- The problem is that because race conditions are environment dependent
 - They are hard to test for because they are not predictable
 - They may be transient (not occur every time)
- Consider a bank account
 - The balance is \$100
 - Thread A is going to update the balance by adding \$10
 - It reads the balance from the bank account, but then has to yield to thread B
 - Thread B updates the balance to \$500, then yields back to thread A
 - Using the value it had previously read from the account, it updates the balance to \$110
 - The balance is now incorrect



Mutex

- Mutex (short for mutual exclusion) is a programming language primitive
 - Used in concurrent programming to prevent multiple threads from accessing a shared resource at the same time.
 - A mutex is a locking mechanism that ensures only one thread can access a critical section (shared resource or block of code) at any given time.
 - When a thread locks a mutex, other threads attempting to acquire it are blocked until the mutex is released.
 - Exclusive access: Only one thread can hold the mutex at a time.
 - Blocking behavior: Other threads trying to acquire the mutex are blocked until it's available.
 - Used to prevent race conditions: Ensures data consistency in multithreaded environments.

Mutex code can break

- If a thread locks a resource and then fails to release it when it's finished using it
- Or a thread terminates before it can release the mutex



Java Synchronized Keyword

- Used to lock an object or method
 - Allowing only one thread at a time to execute the synchronized code block or method.
 - Essentially a mutex managed by Java
 - When a thread begins executing a synchronized method or block
 - All other threads are blocked from executing it until the thread exits the synchronized code
- The lock is released automatically
 - When the thread exits the block or method
 - Or if the thread terminates

```
public class Counter {
    private int count = 0;

public synchronized void increment() {
        count++;
    }
}
```

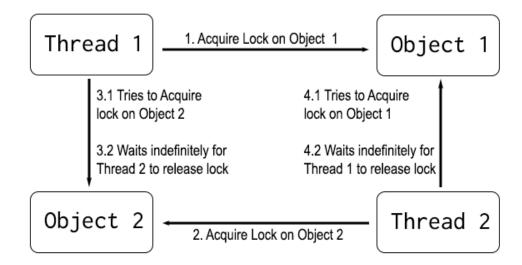
```
public class Counter {
   private int count = 0;
   private final Object lock = new Object();

public void increment() {
      synchronized (lock) {
      count++;
      }
   }
}
```



Deadlocks

- Can occur when using a mutex
 - Can occur when using synchronized
- Thread 1 gets a lock on object 1
 - But can't release the lock until it gets access to object 2
- Thread 2 gets a lock on object 2
 - But can't release the lock until it gets access to object 1
- Each thread is waiting for the other to release their lock







Semaphores

- The problem with using the synchronized keyword is that theads block waiting for their turn
 - This can create slowdowns in processing
 - The threads are idle while waiting to use the synchronized resource
- A semaphore is an integer variable shared by the threads
 - Semaphores regulate access to a shared resource
 - Eliminates busy waiting
 - Can be binary taking the values 0 and 1
 - Or can be a count of available resources
- Used to control access to
 - A limited resource, like data base connections
 - Access to a shared resource, usually a binary semphore



Java Semaphores

- There are several ways to use semaphore
 - aquire(): Tries to get a permit, blocks until one is available
 - tryAquire(): Tries to get a permit
 - Returns true if successful, false if no permit is available
 - Does not block if it can't get a permit
 - tryAcquire(timeout, unit): Blocks for a specific period of time
 - Used when the code can wait for a specific period of time before resuming
- When no longer needed, a permit is released
 - Uses the release() method





- An atomic action executes as a single unit of execution.
 - It cannot stop in the middle or be interrupted
 - it either happens completely, or it doesn't happen at all
 - No side effects of an atomic action are visible until the action is complete

In Java

- All primitive types generally use atomic reads and writes except for longs and doubles
- Atomic access is not guaranteed unless the variable is declared volatile

Volatile variables

- Threads may cache variables locally, volatile forces write to main memory
- One thread might not see the most recent value cached by another thread.
- Without volatile, changes to a shared variable might not be visible immediately to other threads.
- With volatile, writes by one thread are visible to all other threads immediately.



Atomic Variables

- Java provides atomic classes that support atomic operations on single variables
- They have get() and set() methods that operate like atomic reads and writes
- Ensures thread safety when multiple threads are accessing a shared variable

Concurrent Collection

- Memory Consistency Errors
 - If multiple threads are accessing a collection
 - We may have two threads trying to add a "last" object into the same position while another thread is reading the last object
 - It's not clear what the "last object" will actually to each thread
- Concurrent collections ensure thread access to the collection is sychronized



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