



## Generative Model-Based Text-to-Speech Synthesis

Andrew Senior (DeepMind London) Many thanks to  
Heiga Zen

February 23rd, 2017@Oxford

# Outline

## Generative TTS

### Generative acoustic models for parametric TTS

- Hidden Markov models (HMMs)
- Neural networks

### Beyond parametric TTS

- Learned features
- WaveNet
- End-to-end

### Conclusion & future topics



# Text-to-speech as sequence-to-sequence mapping

## Automatic speech recognition (ASR)

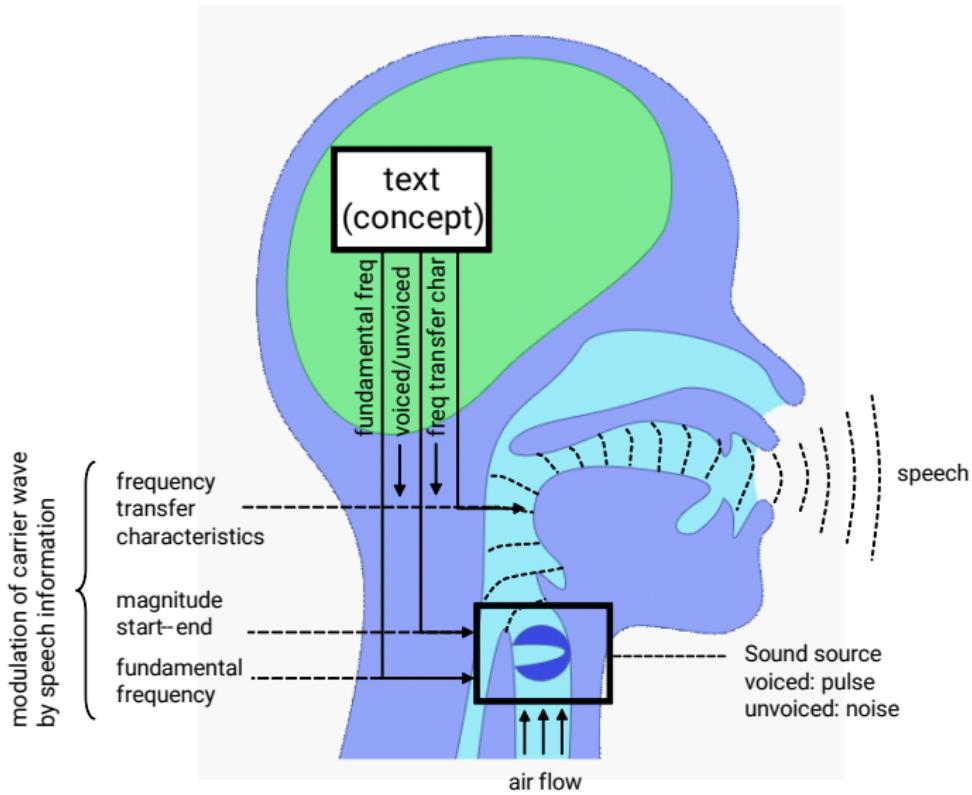
 → “OK Google, directions home”

## Text-to-speech synthesis (TTS)

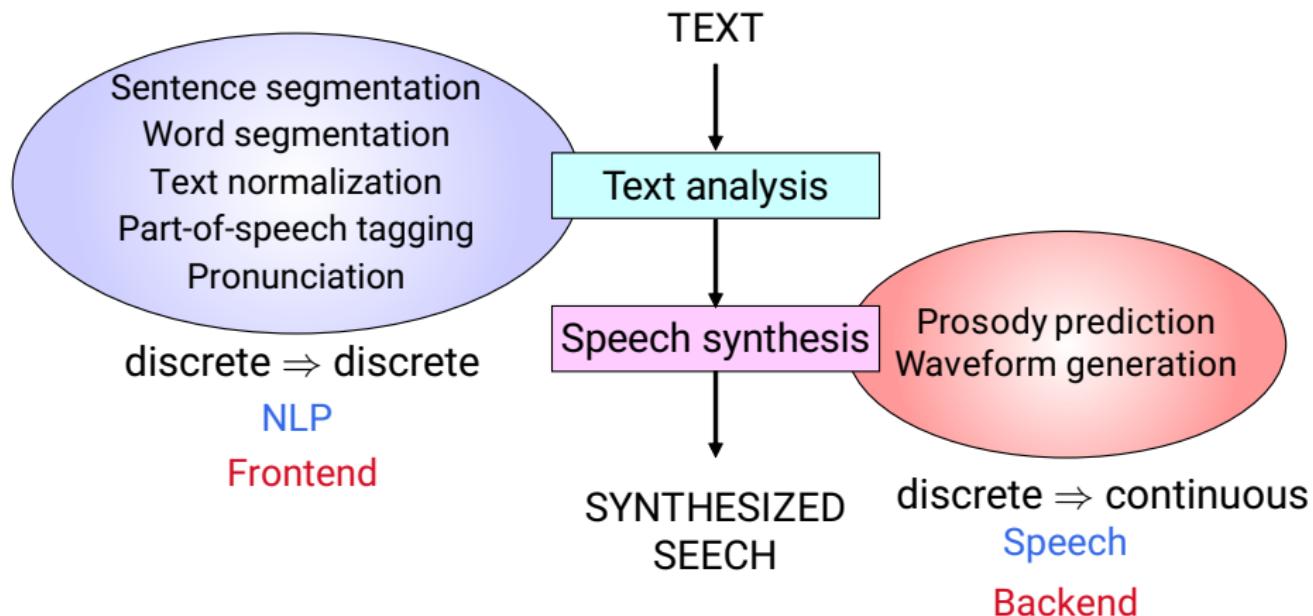
“Take the first left” →



# Speech production process



# Typical flow of TTS system

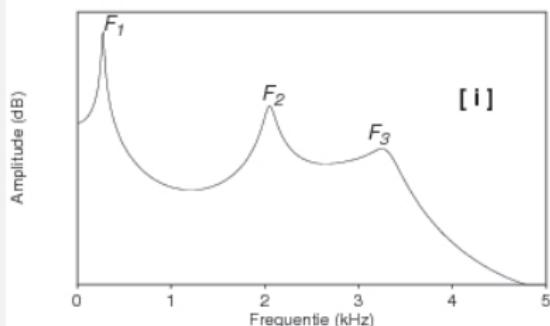


# Speech synthesis approaches



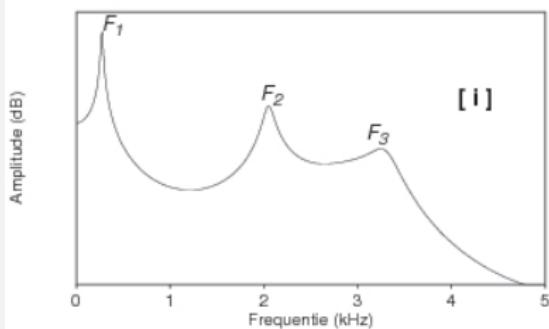
# Speech synthesis approaches

## Rule-based, formant synthesis [1]

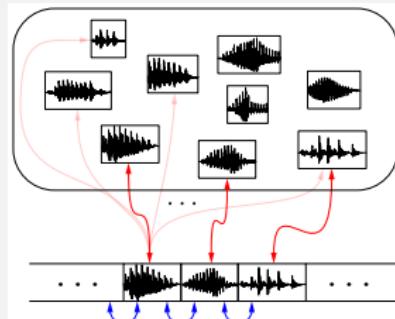


# Speech synthesis approaches

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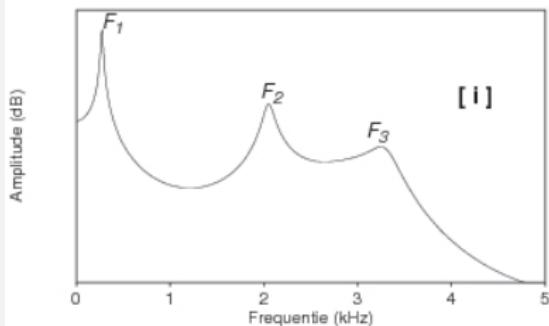


## Sample-based, concatenative synthesis [2]

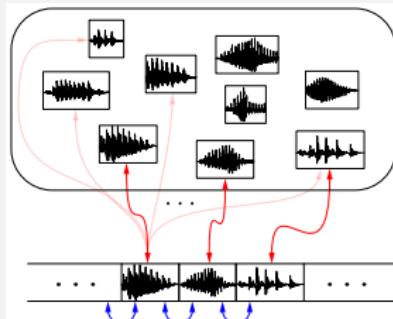


# Speech synthesis approaches

## Rule-based, formant synthesis [1]



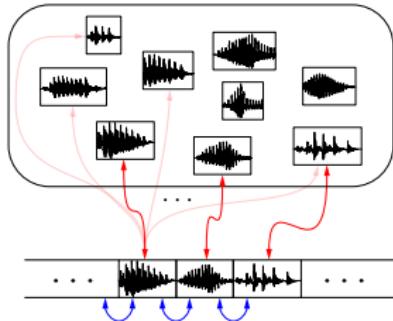
## Sample-based, concatenative synthesis [2]



## Model-based, generative synthesis

$p(\text{speech} = \text{[waveform]} | \text{text} = \text{"Hello, my name is Heiga Zen."})$

# Unit selection concatenative speech synthesis



- Build a database with wide linguistic diversity.
- Forced align and chop up into diphones.
- For a new utterance, choose units matching the diphone sequence.
- Minimize total cost by greedy search.
- $\text{Cost} = \sum_i U(i) + J(i, i - 1)$
- Splice together adjacent units matching up last pitch period.



# TTS databases

- Want high quality,
  - Studio recording
  - Controlled, consistent conditions
  - No background noise
  - Single (professional) speaker
- Typically read speech



# TTS databases

- VCTK (Voice Cloning Tool Kit)
  - 109 native speakers of English 400 sentences. 96kHz 24 bits
  - Intended for *adaptation* of an average voice.
- Google TTS 10s of hours
- Edinburgh Merlin system  
<https://github.com/CSTR-Edinburgh/merlin>



# TTS performance metrics

- TTS performance is subjective.
- Intelligibility (in noise)
- Naturalness
  - Mean Opinion Score (5 point scale)
  - A/B preference tests.
  - e.g. Amazon Mechanical Turk 100 utterances 5–7 tests per sample
  - Care needed to control for human factors.
- Objective measures
  - PESQ
  - Robust MOS



# Probabilistic formulation of TTS

## Random variables

$\mathcal{X}$	Speech waveforms ( <b>data</b> )	Observed
$\mathcal{W}$	Transcriptions ( <b>data</b> )	Observed
$w$	Given text	Observed
$x$	Synthesized speech	Unobserved



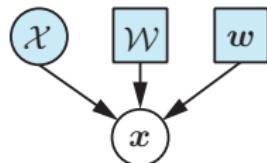
# Probabilistic formulation of TTS

## Random variables

$\mathcal{X}$	Speech waveforms (data)	Observed
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## Synthesis

- Estimate posterior predictive distribution  
 $\rightarrow p(x | w, \mathcal{X}, \mathcal{W})$
- Sample  $\bar{x}$  from the posterior distribution



# Probabilistic formulation

Introduce auxiliary variables (*representation*) + factorize dependency

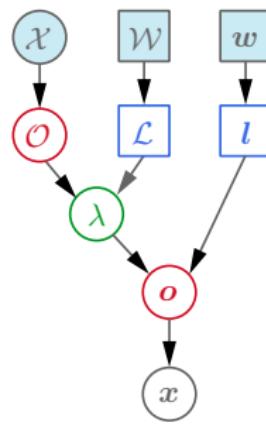
$$p(\mathbf{x} | \mathbf{w}, \mathcal{X}, \mathcal{W}) = \iiint \sum_{\forall \mathbf{l}} \sum_{\forall \mathcal{L}} \{ p(\mathbf{x} | \mathbf{o}) p(\mathbf{o} | \mathbf{l}, \lambda) p(\mathbf{l} | \mathbf{w}) \\ p(\mathcal{X} | \mathcal{O}) p(\mathcal{O} | \mathcal{L}, \lambda) p(\lambda) p(\mathcal{L} | \mathcal{W}) / p(\mathcal{X}) \} d\mathbf{o} d\mathcal{O} d\lambda$$

where

$\mathcal{O}, o$ : Acoustic features

$\mathcal{L}, l$ : Linguistic features

$\lambda$ : Model



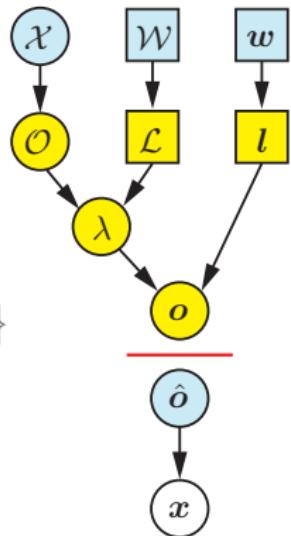
# Approximation (1)

Approximate {sum & integral} by best point estimates (like MAP) [3]

$$p(x | w, \mathcal{X}, \mathcal{W}) \approx p(x | \hat{o})$$

where

$$\{\hat{o}, \hat{l}, \hat{\mathcal{O}}, \hat{\mathcal{L}}, \hat{\lambda}\} = \arg \max_{o, l, \mathcal{O}, \mathcal{L}, \lambda} \{$$
$$p(x | o)p(o | l, \lambda)p(l | w)$$
$$p(\mathcal{X} | \mathcal{O})p(\mathcal{O} | \mathcal{L}, \lambda)p(\mathcal{L} | \mathcal{W})\}$$



## Approximation (2)

Joint → Step-by-step maximization [3]

$$\hat{\mathcal{O}} = \arg \max_{\mathcal{O}} p(\mathcal{X} | \mathcal{O})$$

Extract *acoustic features*

$$\hat{\mathcal{L}} = \arg \max_{\mathcal{L}} p(\mathcal{L} | \mathcal{W})$$

Extract *linguistic features*

$$\hat{\lambda} = \arg \max_{\lambda} p(\hat{\mathcal{O}} | \hat{\mathcal{L}}, \lambda) p(\lambda)$$

Learn *mapping*

$$\hat{l} = \arg \max_l p(l | w)$$

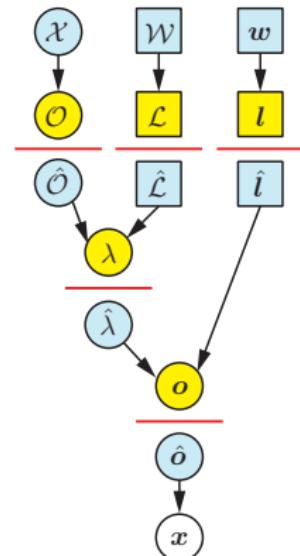
Predict *linguistic features*

$$\hat{o} = \arg \max_o p(o | \hat{l}, \hat{\lambda})$$

Predict *acoustic features*

$$\bar{x} \sim f_x(\hat{o}) = p(x | \hat{o})$$

Synthesize waveform



## Approximation (2)

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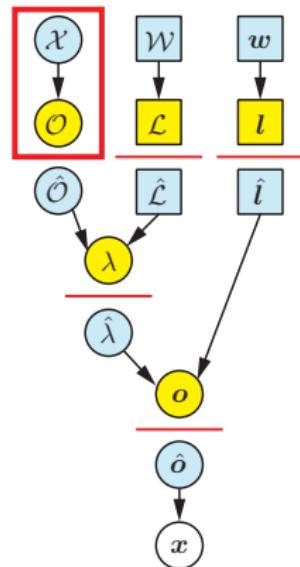
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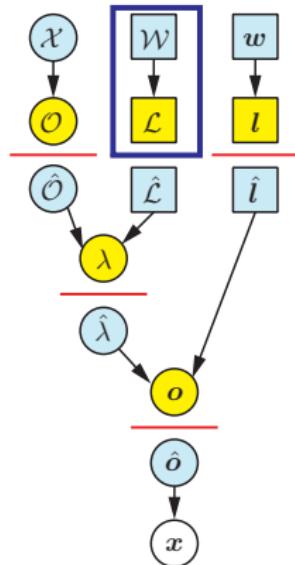
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Extract linguistic features

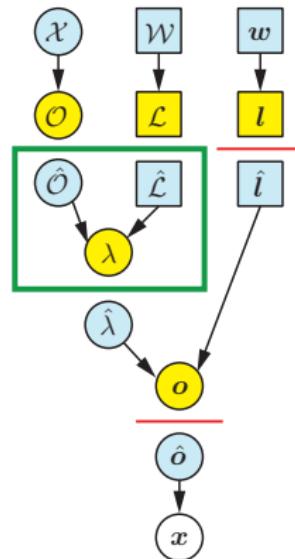
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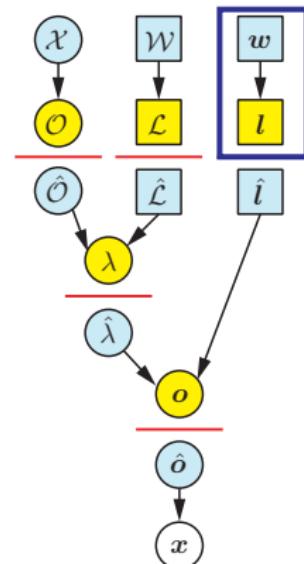
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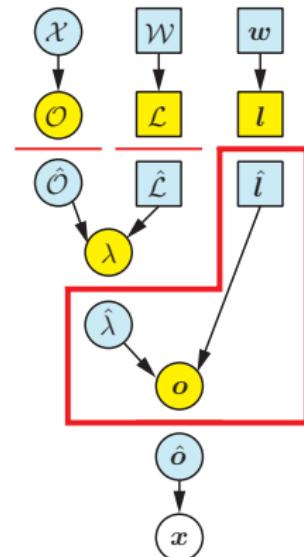
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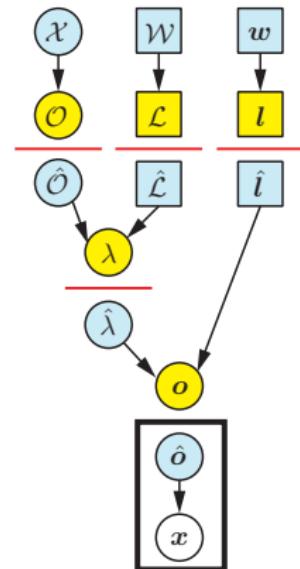
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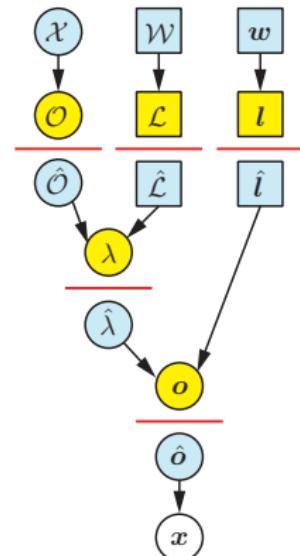
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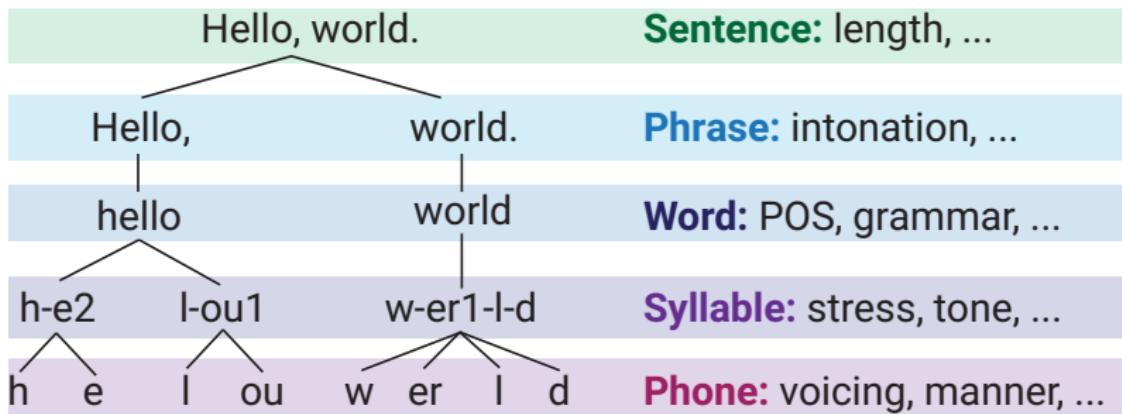
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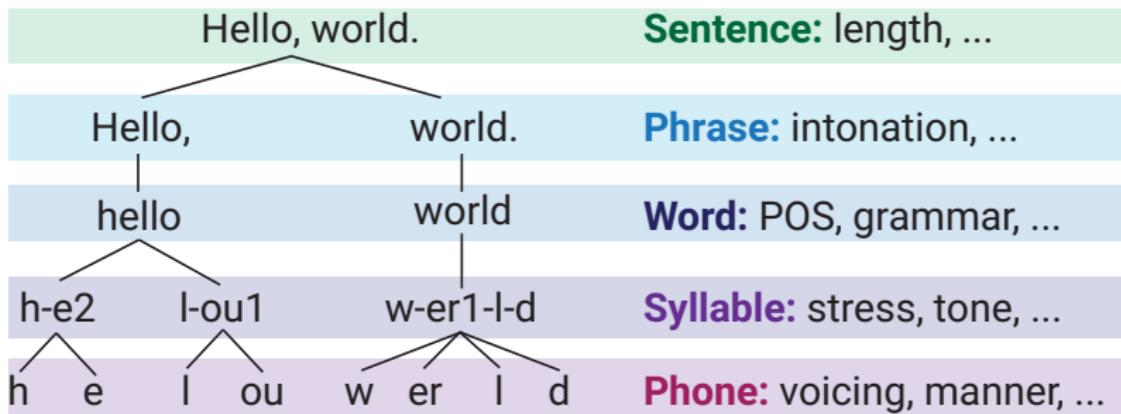
Representations: acoustic, linguistic, mapping



# Representation – Linguistic features



# Representation – Linguistic features



→ Based on knowledge about spoken language

- Lexicon, letter-to-sound rules
- Tokenizer, tagger, parser
- Phonology rules



# Representation – Acoustic features

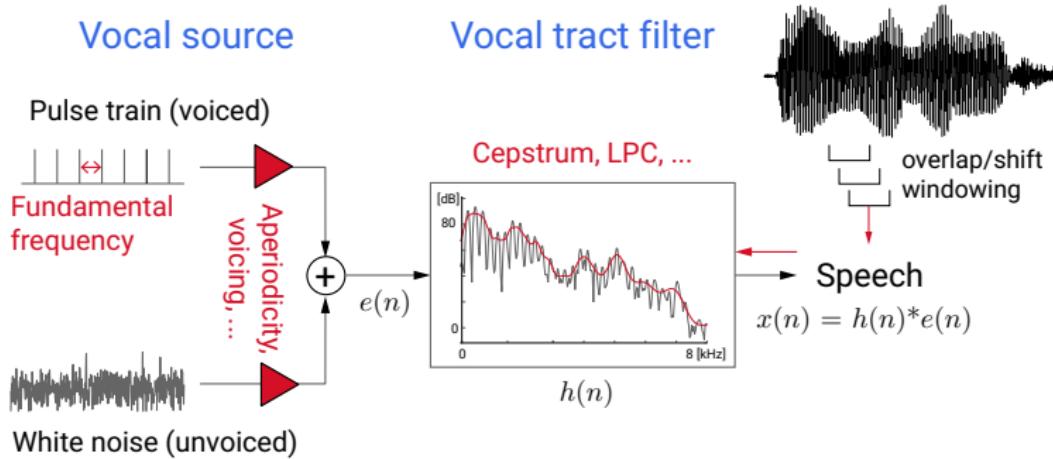
## Duration model

- Typically run a parametric synthesizer on frames (e.g. 5ms windows)
- Need to know how many frames each phonetic unit lasts.
- Model this separately e.g. FFNN linguistic features → duration.



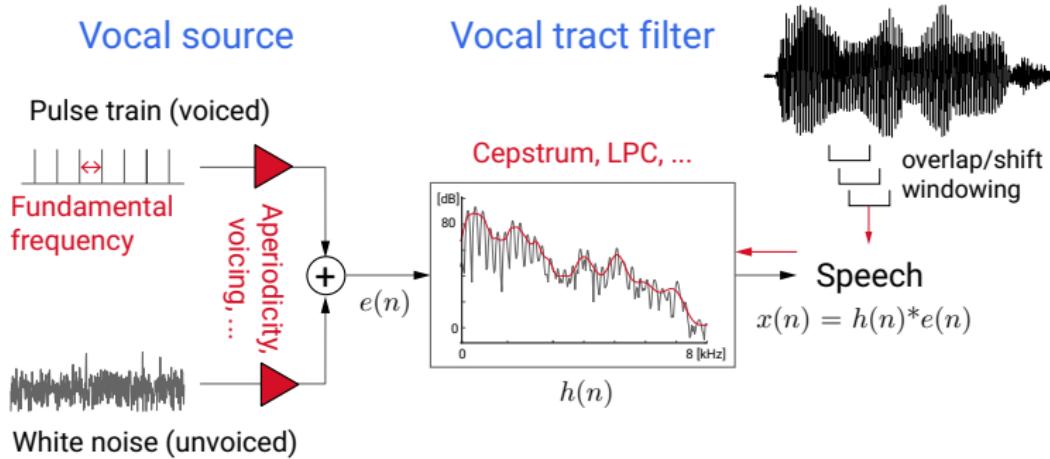
# Representation – Acoustic features

Piece-wise stationary, source-filter generative model  $p(x | o)$



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Piece-wise stationary, source-filter generative model  $p(x | o)$



→ Needs to solve inverse problem

- Estimate parameters from signals
- Use estimated parameters (e.g., cepstrum) as acoustic features



# Representation – Mapping

## Rule-based, formant synthesis [1]

$$\hat{\mathcal{O}} = \arg \max_{\mathcal{O}} p(\mathcal{X} | \mathcal{O})$$

Vocoder analysis

$$\hat{\mathcal{L}} = \arg \max_{\mathcal{L}} p(\mathcal{L} | \mathcal{W})$$

Text analysis

$$\hat{\lambda} = \arg \max_{\lambda} p(\hat{\mathcal{O}} | \hat{\mathcal{L}}, \lambda) p(\lambda)$$

Extract rules

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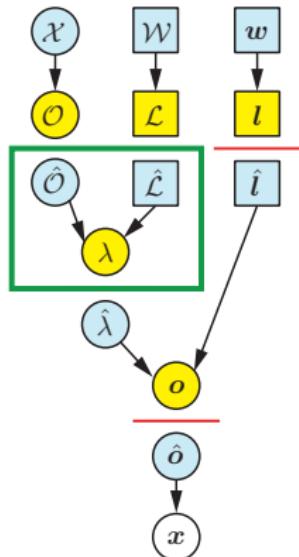
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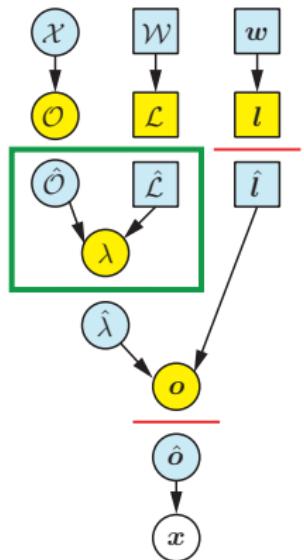
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Apply rules

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Vocoder synthesis

→ Hand-crafted rules on knowledge-based features



# Representation – Mapping

HMM-based [4], statistical parametric synthesis [5]

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Train HMMs

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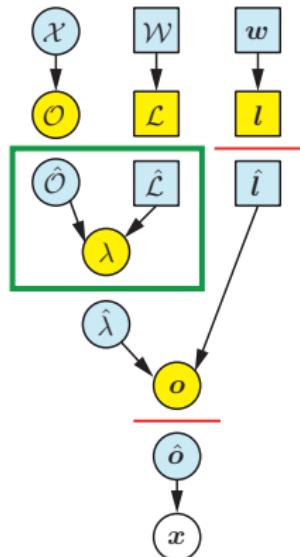
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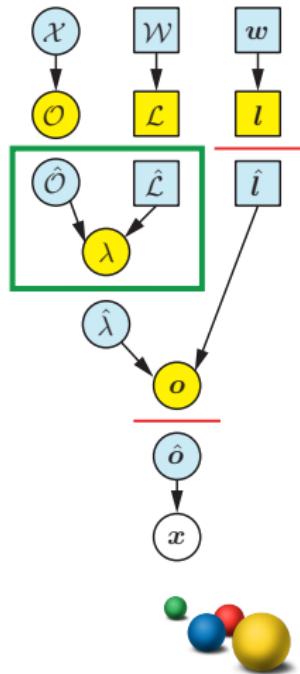
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Vocoder synthesis

→ Replace rules by HMM-based generative acoustic model



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### **Generative acoustic models for parametric TTS**

Hidden Markov models (HMMs)  
Neural networks

## Beyond parametric TTS

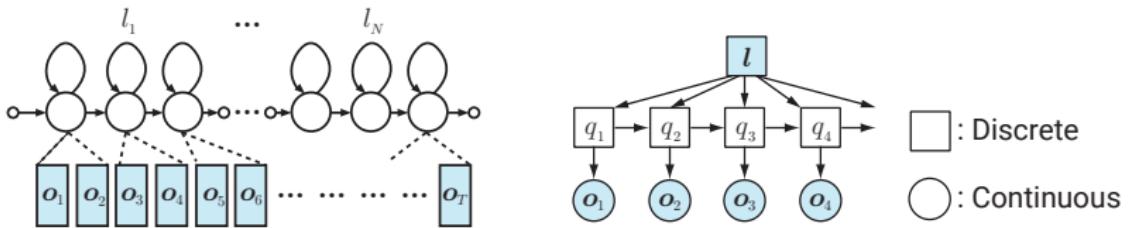
Learned features  
WaveNet  
End-to-end

## Conclusion & future topics



# HMM-based generative acoustic model for TTS

- Context-dependent subword HMMs
- Decision trees to cluster & tie HMM states → *interpretable*



$$p(\mathbf{o} | \mathbf{l}, \lambda) = \sum_{\forall \mathbf{q}} \prod_{t=1}^T p(o_t | q_t, \lambda) P(\mathbf{q} | \mathbf{l}, \lambda) \quad q_t: \text{hidden state at } t$$

$$= \sum_{\forall \mathbf{q}} \prod_{t=1}^T \mathcal{N}(o_t; \mu_{q_t}, \Sigma_{q_t}) P(\mathbf{q} | \mathbf{l}, \lambda)$$



# HMM-based generative acoustic model for TTS

- Non-smooth, step-wise statistics  
→ Smoothing is essential
- Difficult to use high-dimensional acoustic features (e.g., raw spectra)  
→ Use low-dimensional features (e.g., cepstra)
- Data fragmentation  
→ Ineffective, local representation

A lot of research work have been done to address these issues



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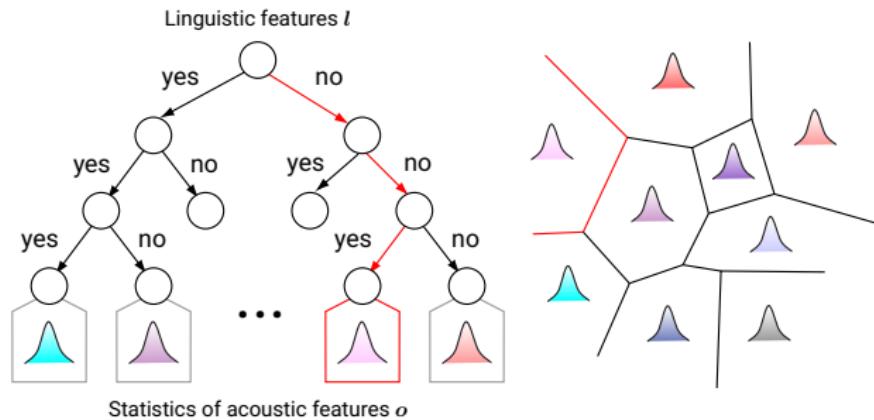
## Conclusion & future topics



# Alternative acoustic model

HMM: Handle variable length & alignment

Decision tree: Map linguistic → acoustic



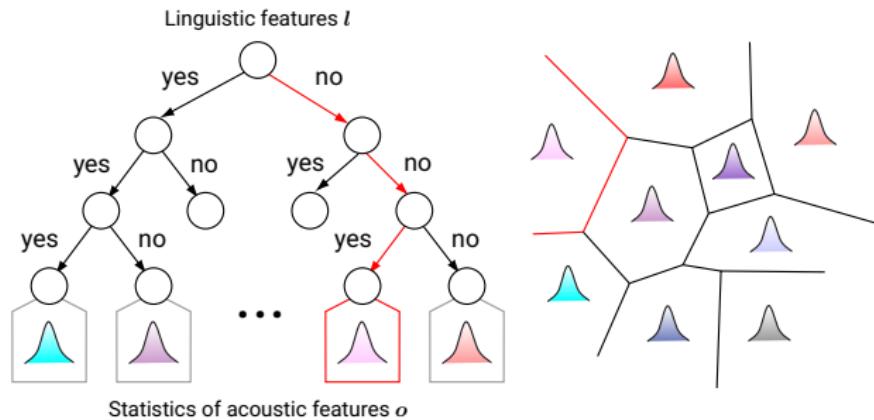
Regression tree: linguistic features → Stats. of acoustic features



## Alternative acoustic model

## HMM: Handle variable length & alignment

**Decision tree:** Map linguistic → acoustic

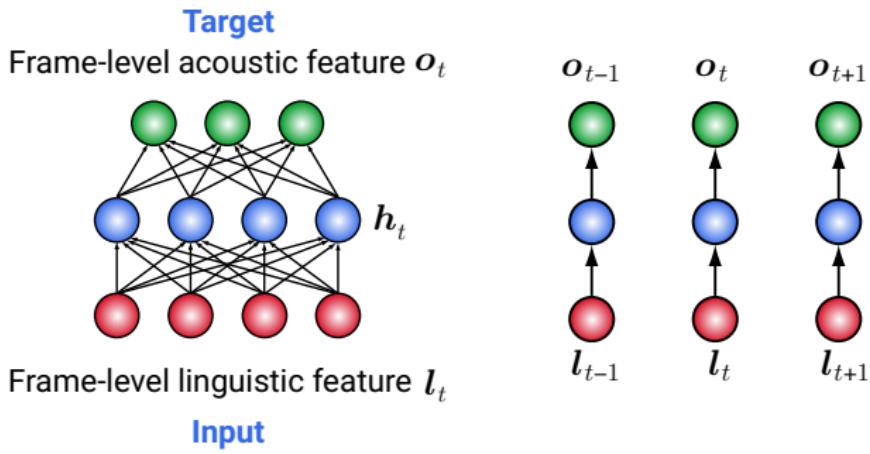


Regression tree: linguistic features → Stats. of acoustic features

Replace the tree w/ a general-purpose regression model  
→ **Artificial neural network**



# FFNN-based acoustic model for TTS [6]



$$h_t = g(W_{hl}l_t + b_h)$$

$$\hat{\lambda} = \arg \min_{\lambda} \sum_t \|o_t - \hat{o}_t\|_2$$

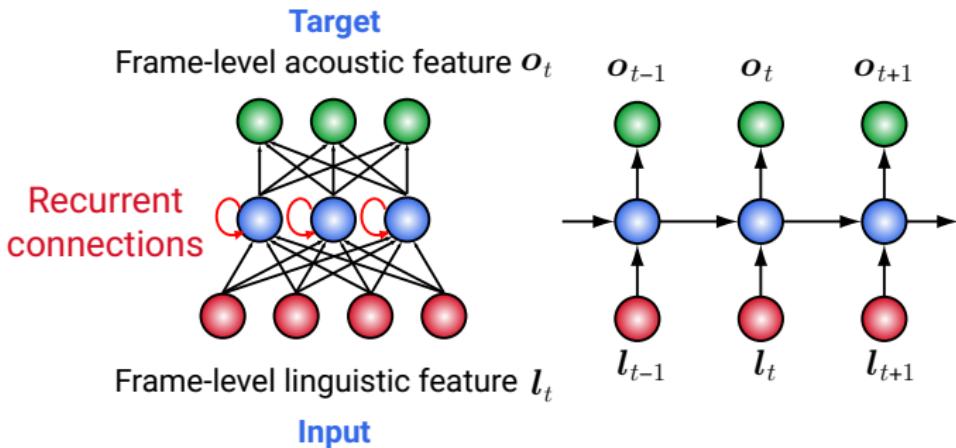
$$\hat{o}_t = W_{oh}h_t + b_o$$

$$\lambda = \{W_{hl}, W_{oh}, b_h, b_o\}$$

$\hat{o}_t \approx \mathbb{E}[o_t | l_t] \rightarrow$  Replace decision trees & Gaussian distributions



# RNN-based acoustic model for TTS [7]



$$h_t = g(W_{hl}l_t + W_{hh}h_{t-1} + b_h)$$

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$$\hat{o}_t = W_{oh}h_t + b_o$$

$$\lambda = \{W_{hl}, W_{hh}, W_{oh}, b_h, b_o\}$$

FFNN:  $\hat{o}_t \approx \mathbb{E}[o_t | l_t]$

RNN:  $\hat{o}_t \approx \mathbb{E}[o_t | l_1, \dots, l_t]$



# NN-based generative acoustic model for TTS

- Non-smooth, step-wise statistics  
→ RNN predicts smoothly varying acoustic features [7, 8]
- Difficult to use high-dimensional acoustic features (e.g., raw spectra)  
→ Layered architecture can handle high-dimensional features [9]
- Data fragmentation  
→ Distributed representation [10]



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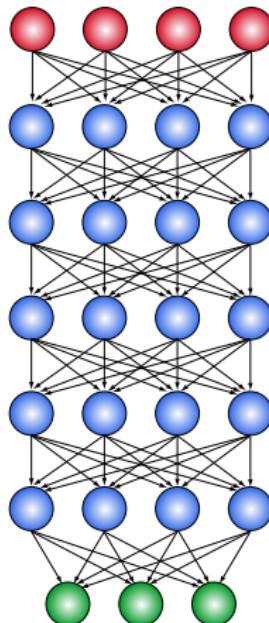
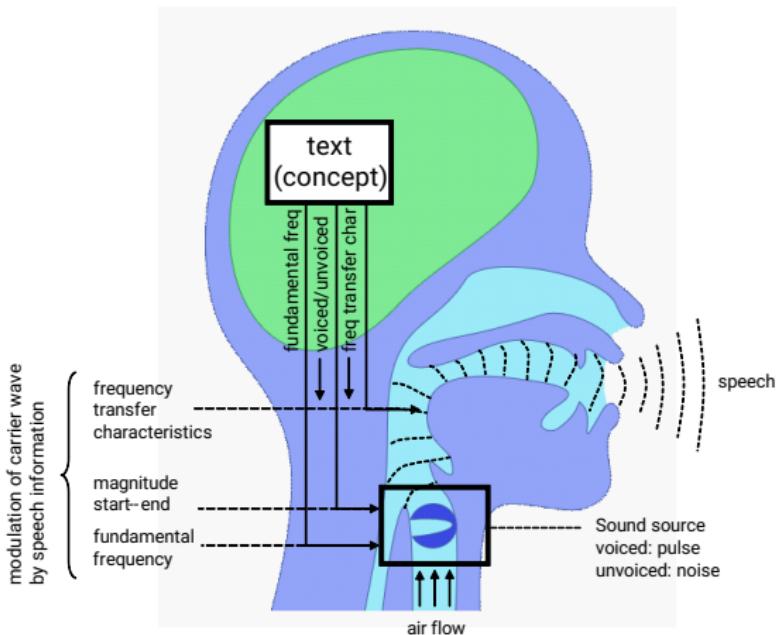
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- Data fragmentation  
→ Distributed representation [10]

NN-based approach is now mainstream in research & products

- Models: FFNN [6], MDN [11], RNN [7], Highway network [12], GAN [13]
- Products: e.g., Google [14]



# NN-based generative model for TTS



Text → Linguistic → (Articulatory) → Acoustic → Waveform



# Outline

## Generative TTS

### Generative acoustic models for parametric TTS

- Hidden Markov models (HMMs)
- Neural networks

### Beyond parametric TTS

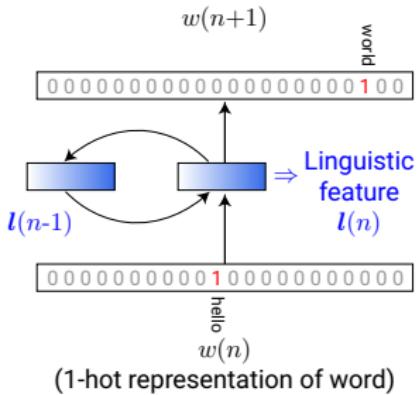
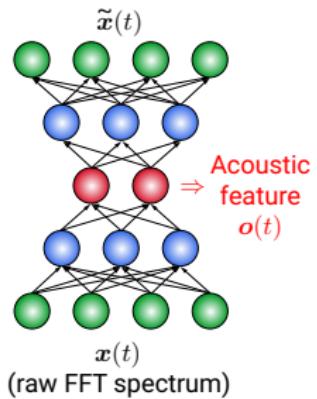
- Learned features
- WaveNet
- End-to-end

## Conclusion & future topics



# Knowledge-based features → Learned features

## Unsupervised feature learning



- Speech: auto-encoder at FFT spectra [9, 15] → positive results
- Text: word [16], phone & syllable [17] → less positive



# Relax approximation

## Joint acoustic feature extraction & model training

Two-step optimization → Joint optimization

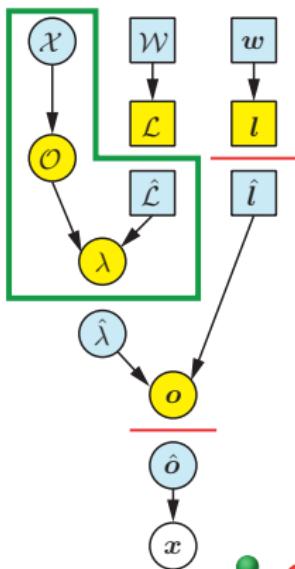
$$\begin{cases} \hat{\mathcal{O}} = \arg \max_{\mathcal{O}} p(\mathcal{X} | \mathcal{O}) \\ \hat{\lambda} = \arg \max_{\lambda} p(\hat{\mathcal{O}} | \hat{\mathcal{L}}, \lambda) p(\lambda) \end{cases}$$

↓

$$\{\hat{\lambda}, \hat{\mathcal{O}}\} = \arg \max_{\lambda, \mathcal{O}} p(\mathcal{X} | \mathcal{O}) p(\mathcal{O} | \hat{\mathcal{L}}, \lambda) p(\lambda)$$

Joint source-filter & acoustic model optimization

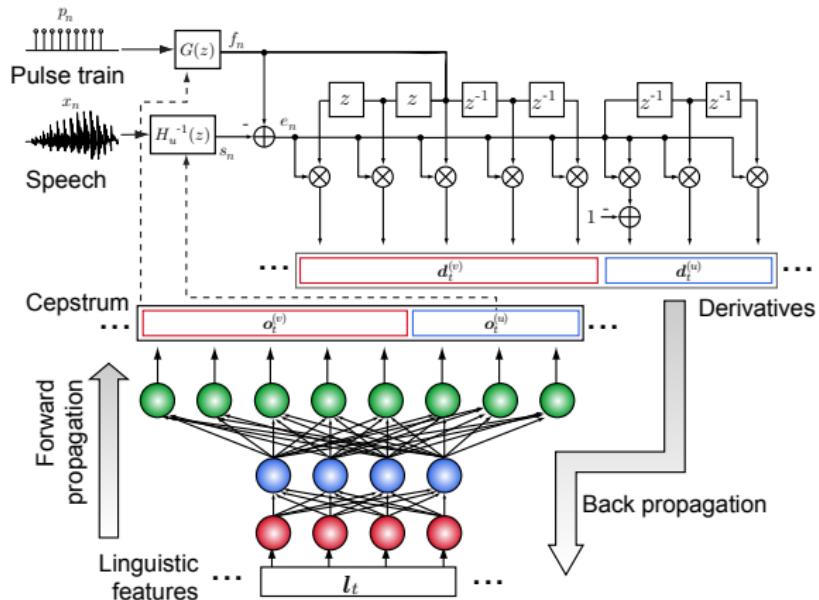
- HMM [18, 19, 20]
- NN [21, 22]



# Relax approximation

## Joint acoustic feature extraction & model training

### Mixed-phase cepstral analysis + LSTM-RNN [22]



# Outline

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## Conclusion & future topics



# Relax approximation

## Direct mapping from linguistic to waveform

No explicit acoustic features

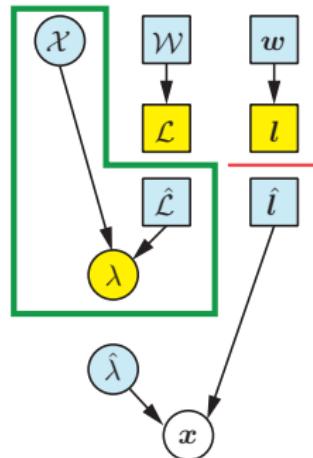
$$\{\hat{\lambda}, \hat{\mathcal{O}}\} = \arg \max_{\lambda, \mathcal{O}} p(\mathcal{X} | \mathcal{O}) p(\mathcal{O} | \hat{\mathcal{L}}, \lambda) p(\lambda)$$



$$\hat{\lambda} = \arg \max_{\lambda} p(\mathcal{X} | \hat{\mathcal{L}}, \lambda) p(\lambda)$$

Generative models for raw audio

- LPC [23]
- WaveNet [24]
- SampleRNN [25]



# WaveNet: A generative model for raw audio

Autoregressive (AR) modelling of speech signals

$x = \{x_0, x_1, \dots, x_{N-1}\}$  : raw waveform

$$p(x | \lambda) = p(x_0, x_1, \dots, x_{N-1} | \lambda) = \prod_{n=0}^{N-1} p(x_n | x_0, \dots, x_{n-1}, \lambda)$$



# WaveNet: A generative model for raw audio

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WaveNet [24]

→  $p(x_n | x_0, \dots, x_{n-1}, \lambda)$  is modeled by *convolutional NN*



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## WaveNet [24]

→  $p(x_n | x_0, \dots, x_{n-1}, \lambda)$  is modeled by *convolutional NN*

## Key components

- *Causal dilated convolution*: capture long-term dependency
- *Gated convolution + residual + skip*: powerful non-linearity
- *Softmax at output*: classification rather than regression



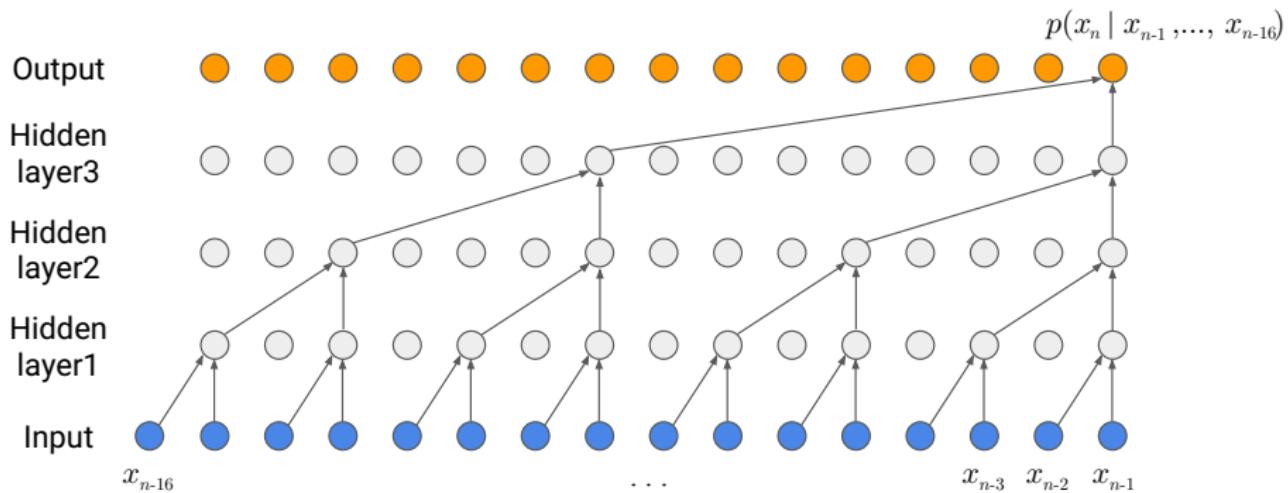
# WaveNet – Causal dilated convolution

100ms in 16kHz sampling = 1,600 time steps

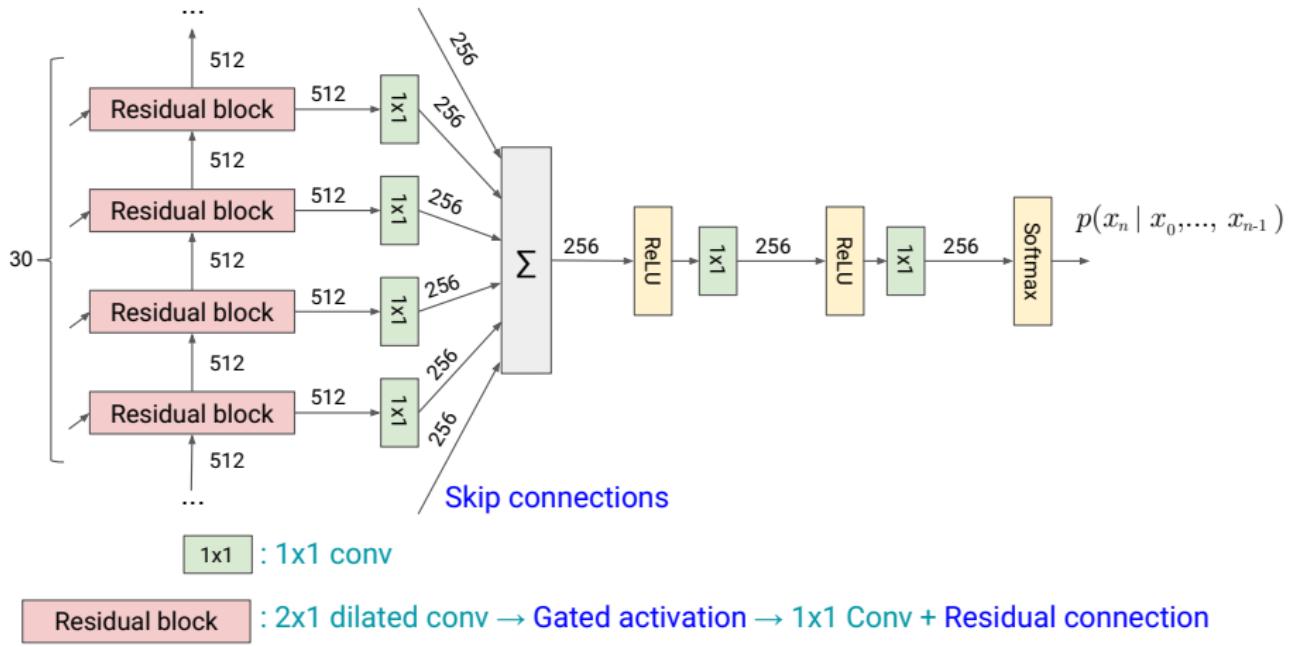
→ Too long to be captured by normal RNN/LSTM

Dilated convolution

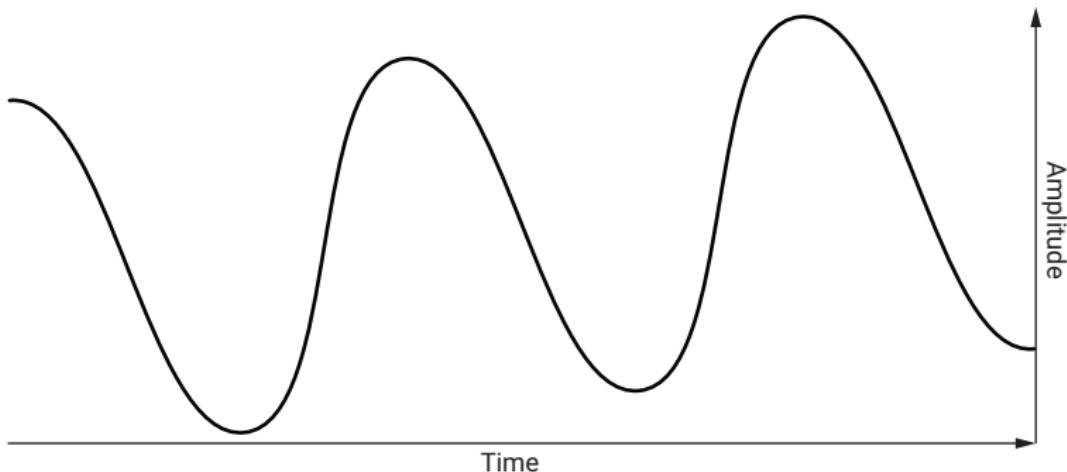
Exponentially increase receptive field size w.r.t. # of layers



# WaveNet – Non-linearity



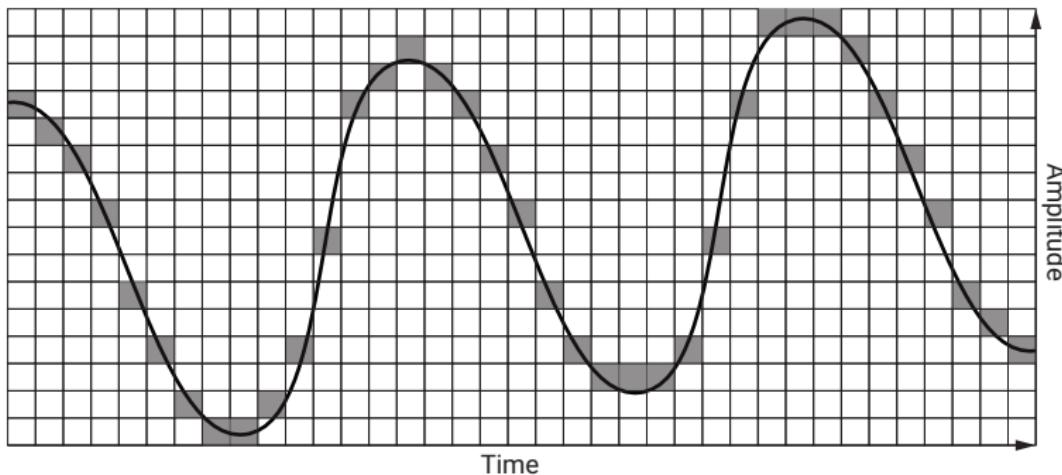
## WaveNet – Softmax



Analog audio signal



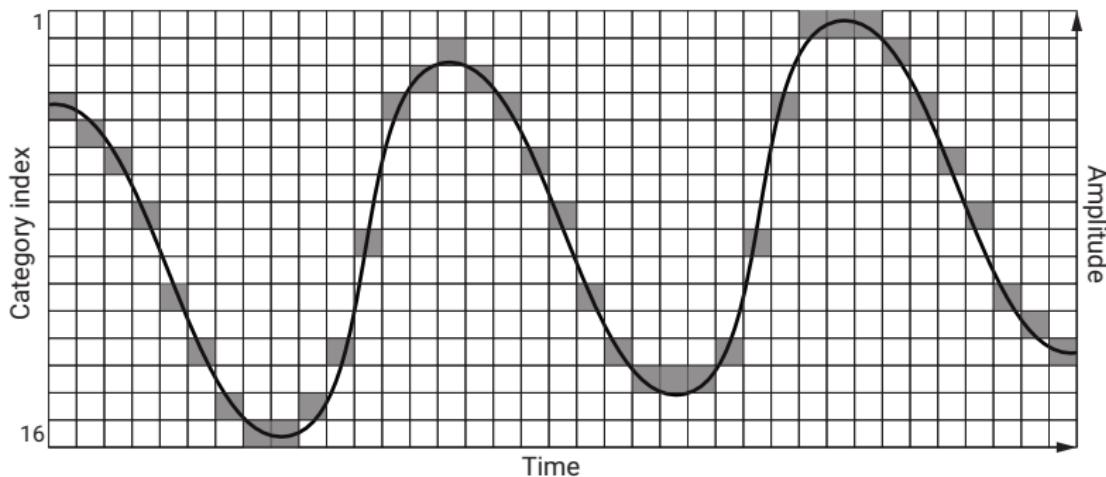
# WaveNet – Softmax



Sampling & Quantization



# WaveNet – Softmax

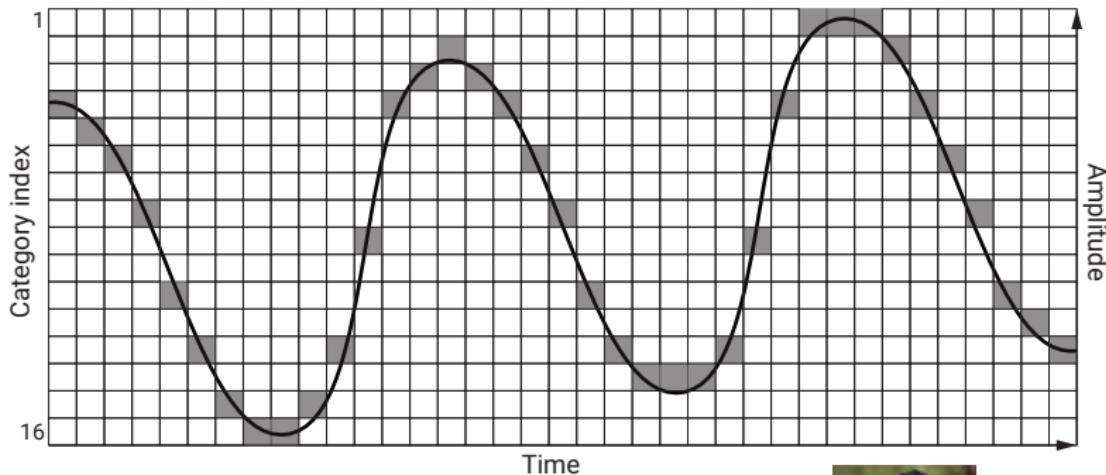


Categorical distribution → Histogram

- Unimodal
  - Multimodal
  - Skewed
- ...



# WaveNet – Softmax



Categorical distribution → Histogram

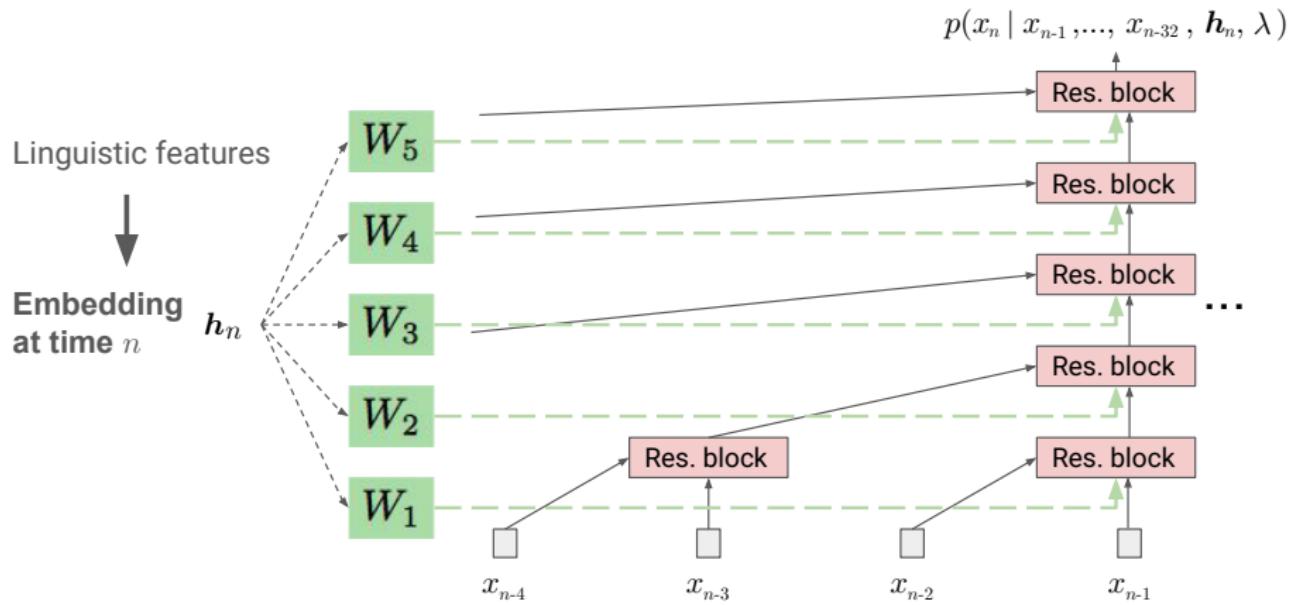
- Unimodal
- Multimodal
- Skewed
- ...



Prof. D. Jurafsky - "Now TTS is the same problem as language modeling!"



# WaveNet – Conditional modelling



# WaveNet vs conventional audio generative models

## Assumptions in conventional audio generative models [23, 26, 27, 22]

- Stationary process w/ fixed-length analysis window
  - Estimate model within 20–30ms window w/ 5–10 shift
- Linear, time-invariant filter within a frame
  - Relationship between samples can be non-linear
- Gaussian process
  - Assumes speech signals are normally distributed

## WaveNet

- Sample-by-sample, non-linear, capable to take additional inputs
- Arbitrary-shaped signal distribution

## SOTA subjective naturalness w/ WaveNet-based TTS [24]

HMM LSTM Concatenative WaveNet



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## Conclusion & future topics



# Relax approximation

## Towards Bayesian end-to-end TTS

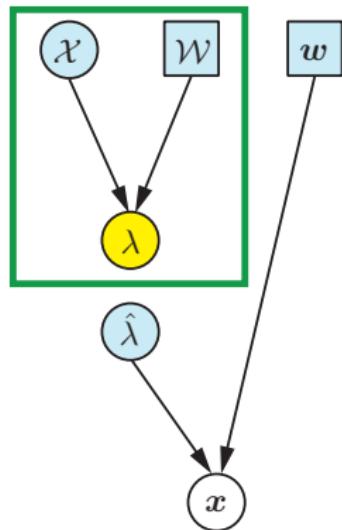
### Integrated end-to-end

$$\begin{cases} \hat{\mathcal{L}} = \arg \max_{\mathcal{L}} p(\mathcal{L} | \mathcal{W}) \\ \hat{\lambda} = \arg \max_{\lambda} p(\mathcal{X} | \hat{\mathcal{L}}, \lambda) p(\lambda) \end{cases}$$

⇓

$$\hat{\lambda} = \arg \max_{\lambda} p(\mathcal{X} | \mathcal{W}, \lambda) p(\lambda)$$

Text analysis is integrated to model

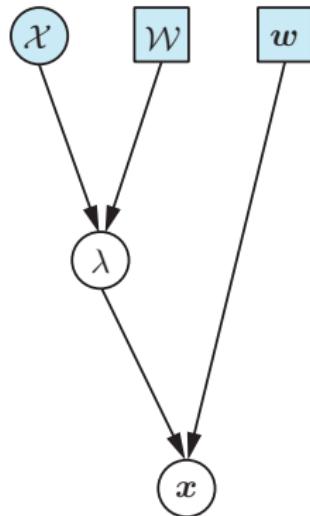


# Relax approximation

## Towards Bayesian end-to-end TTS

### Bayesian end-to-end

$$\begin{cases} \hat{\lambda} = \arg \max_{\lambda} p(\mathcal{X} | \mathcal{W}, \lambda) p(\lambda) \\ \bar{x} \sim f_x(\mathbf{w}, \hat{\lambda}) = p(x | \mathbf{w}, \hat{\lambda}) \\ \downarrow \\ \bar{x} \sim f_x(\mathbf{w}, \mathcal{X}, \mathcal{W}) = p(x | \mathbf{w}, \mathcal{X}, \mathcal{W}) \\ = \int p(x | \mathbf{w}, \lambda) p(\lambda | \mathcal{X}, \mathcal{W}) d\lambda \\ \approx \frac{1}{K} \sum_{k=1}^K p(x | \mathbf{w}, \hat{\lambda}_k) \quad \leftarrow \text{Ensemble} \end{cases}$$



Marginalize model parameters & architecture



# Generative model-based text-to-speech synthesis

- Bayes formulation + factorization + approximations
- Representation: *acoustic features*, *linguistic features*, *mapping*
  - Mapping: Rules → HMM → NN
  - Feature: Engineered → Unsupervised, learned
- Less approximations
  - Joint training, direct waveform modelling
  - Moving towards integrated & Bayesian end-to-end TTS

**Naturalness:** Concatenative  $\leq$  Generative

**Flexibility:** Concatenative  $\ll$  Generative (e.g., multiple speakers)



# Beyond “text”-to-speech synthesis

## TTS on conversational assistants

- Texts aren't fully contained
- Need more context
  - Location to resolve homographs
  - User query to put right emphasis



# Beyond “text”-to-speech synthesis

## TTS on conversational assistants

- Texts aren't fully contained
- Need more context
  - Location to resolve homographs
  - User query to put right emphasis



We need representation that can  
organize the world information & make it accessible & useful  
from TTS generative models



# Beyond “generative” TTS

## Generative model-based TTS

- Model represents process behind speech production
  - Trained to minimize error against human-produced speech
  - Learned model → **speaker**



# Beyond “generative” TTS

## Generative model-based TTS

- Model represents process behind speech production
  - Trained to minimize error against human-produced speech
  - Learned model → **speaker**
- Speech is for communication
  - Goal: maximize the amount of information to be received

Missing “listener”

→ “listener” in training / model itself?



Thanks!



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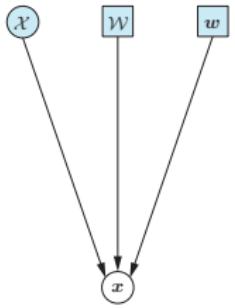
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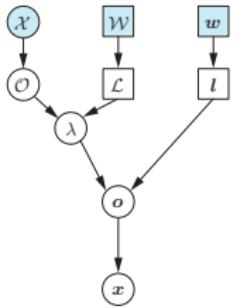
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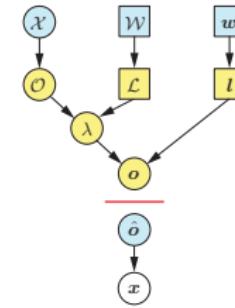




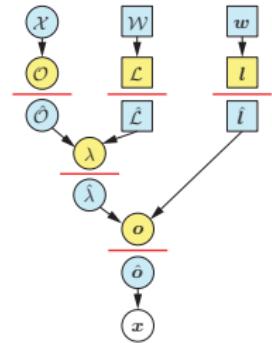
(1) Bayesian



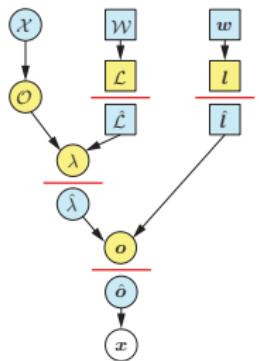
(2) Auxiliary variables  
+ factorization



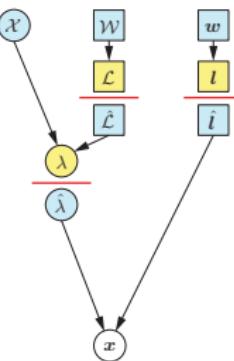
(3) Joint maximization



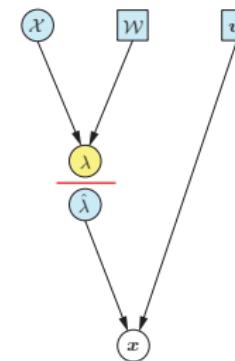
(4) Step-by-step maximization  
e.g., statistical parametric TTS



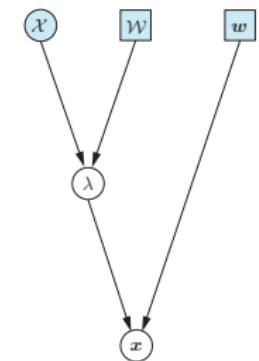
(5) Joint acoustic feature  
extraction + model training



(6) Conditional WaveNet  
-based TTS



(7) Integrated end-to-end



(8) Bayesian end-to-end

