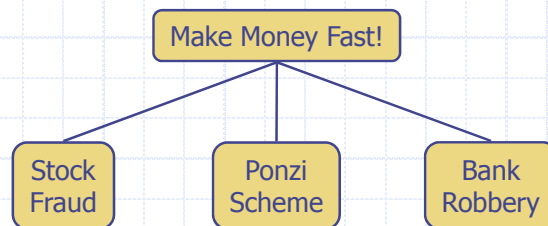


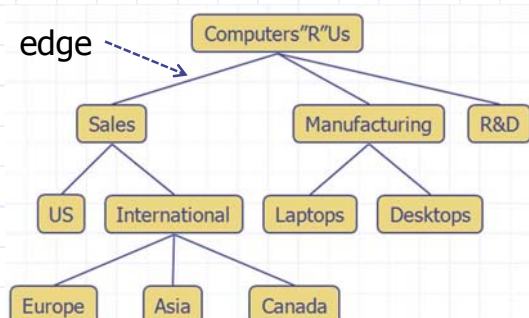
# Trees



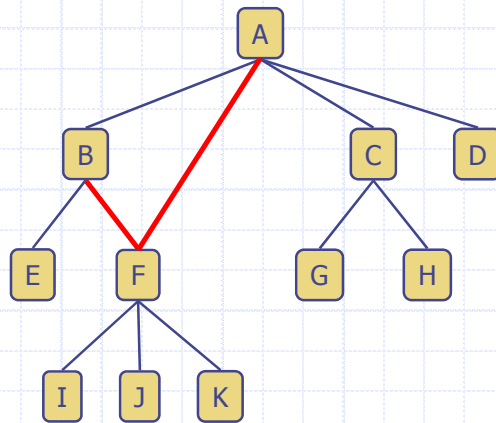
1

## What is a Tree

- A tree is an abstract model of a hierarchical structure
- A tree  $T$  is a collection of nodes with nonlinear structure, called a                      relation
  - If nonempty, it has a special node, called the        of  $T$ , that has no parent
  - Each node  $v$  of  $T$ , except root, has a unique **parent** node  $w$ ; every node with parent  $w$  is a **child** of  $w$
- A                      exists from the root to every other node.
- Applications:
  - Organization charts
  - File systems



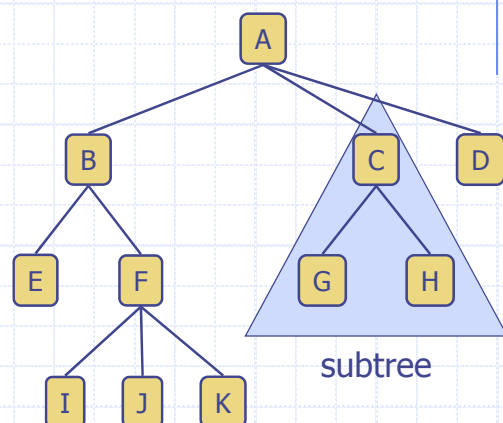
## Not a Tree



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## Tree Terminology

- **Root:** node without parent (A)
- **Internal node:** node with at least one child (A, B, C, F)
- **External node** (a.k.a. leaf): node without children (E, I, J, K, G, H, D)
- **Ancestors** of a node: parent, grandparent, grand-grandparent, etc.
- **Descendants** of a node: child, grandchild, grand-grandchild, etc.
- **Subtree:** tree consisting of a node and its descendants
- **Ordered tree:** linear ordering defined for children of each node



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# Tree ADT

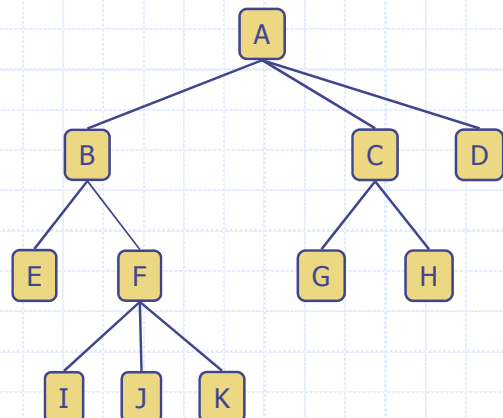
- We use positions to abstract nodes (same as node in tree)
- Generic methods:
  - integer **size()**
  - boolean **isEmpty()**
  - Iterator **iterator()**
  - Iterable **positions()**
- Accessor methods:
  - position **root()**
  - position **parent(p)**
  - Iterable **children(p)**
- ◆ Query methods:
  - boolean **isInternal(p)**
  - boolean **isExternal(p)**
  - boolean **isRoot(p)**
- ◆ Update method:
  - element **replace** (p, o)
- ◆ Additional update methods may be defined by data structures implementing the Tree ADT

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## Depth of a Node

- The depth of a node is the number of its ancestors, excluding itself
  - $\text{depth}(A) = 0$ ,  $\text{depth}(B) =$ ,  $\text{depth}(J) =$

```
Algorithm depth(T, v)
  if T.isRoot(v)
    return 0
  else
    return 1+depth(T, T.parent(v))
```



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# Height of a Node

- The height( $v$ ) in a tree  $T$  is
  - If  $v$  is an external node, then height( $v$ ) = \_\_\_\_\_
  - Otherwise, height( $v$ ) = 1 + max. height of its children

**Algorithm** *height*( $T, v$ )

**if**  $T.isExternal(v)$

**return** 0

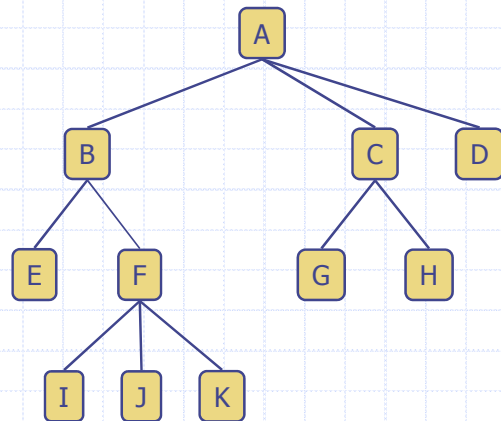
**else**

$h \leftarrow 0$

**for each child**  $w$  **of**  $v$  **in**  $T$  **do**

$h \leftarrow \max(h, height(T, w))$

**return**  $1+h$



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# Height of a Tree

- The height of a tree  $T$  is the height of the root
- The height of a tree  $T$  is equal to the maximum \_\_\_\_\_ of a external node of  $T$

**Algorithm** *height*( $T$ )

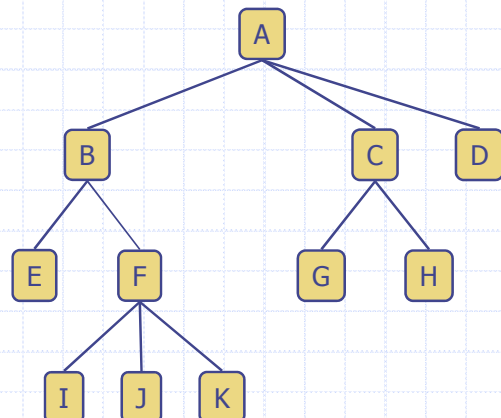
$h \leftarrow 0$

**for each node**  $v$  **in**  $T$  **do**

**if**  $T.isExternal(v)$  **then**

$h \leftarrow \max(h, depth(T, v))$

**return**  $h$

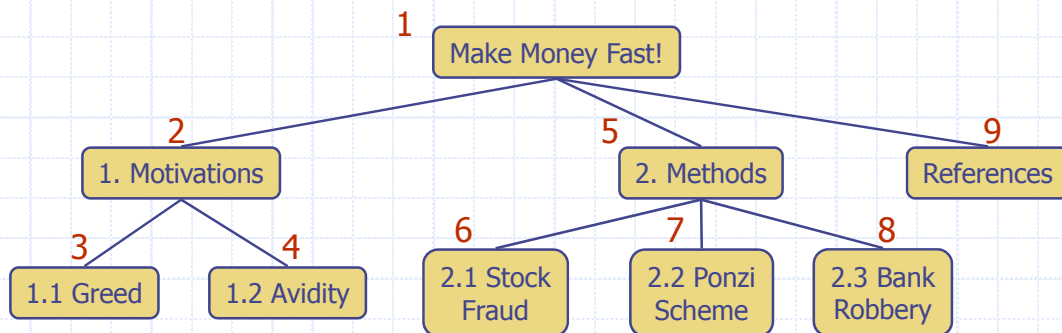


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# Preorder Traversal

- A traversal visits the nodes of a tree in a systematic manner
- In a preorder traversal, a node is visited before its descendants
- Application: print a structured document

**Algorithm *preOrder*(*v*)**  
*visit*(*v*)  
**for each** child *w* of *v*  
*preorder* (*w*)

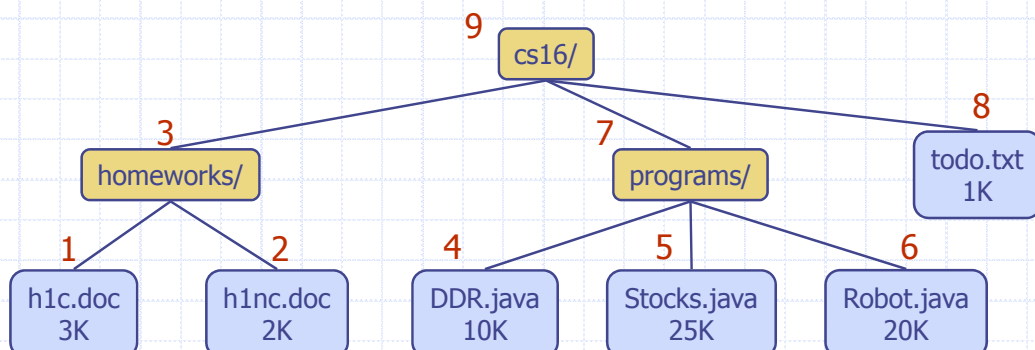


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# Postorder Traversal

- In a postorder traversal, a node is visited after its descendants
- Application: compute space used by files in a directory and its subdirectories

**Algorithm *postOrder*(*v*)**  
**for each** child *w* of *v*  
*postOrder* (*w*)  
*visit*(*v*)



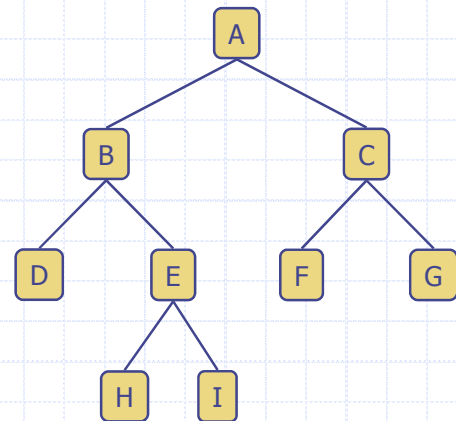
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# Binary Trees

- A binary tree is an ordered tree with the following properties:
  - Each internal node has at most two children (exactly two for **proper** binary trees)
  - The children of a node are an ordered pair
- We call the children of an internal node **left child** and **right child**
- Alternative recursive definition: a binary tree is either
  - a tree consisting of a single node, or
  - a tree whose root has an ordered pair of children, each of which is a binary tree

- Applications:

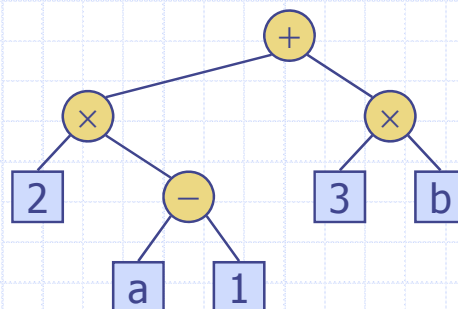
- arithmetic expressions
- decision processes
- searching



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# Arithmetic Expression Tree

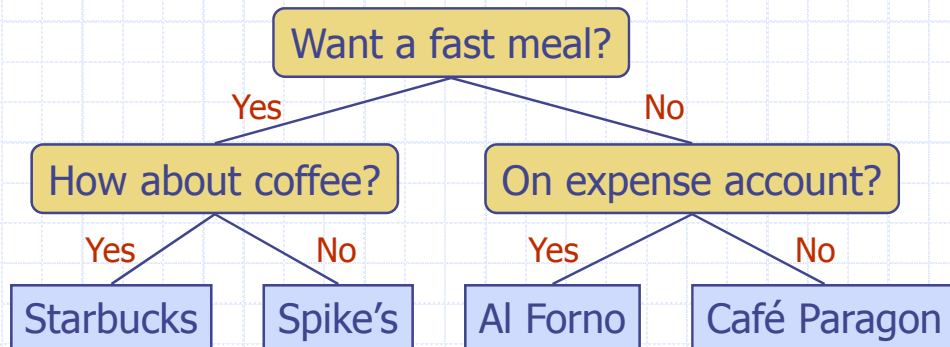
- Binary tree associated with an arithmetic expression
  - internal nodes: operators
  - external nodes: operands
- Example: arithmetic expression tree for the expression  $(2 \times (a - 1) + (3 \times b))$



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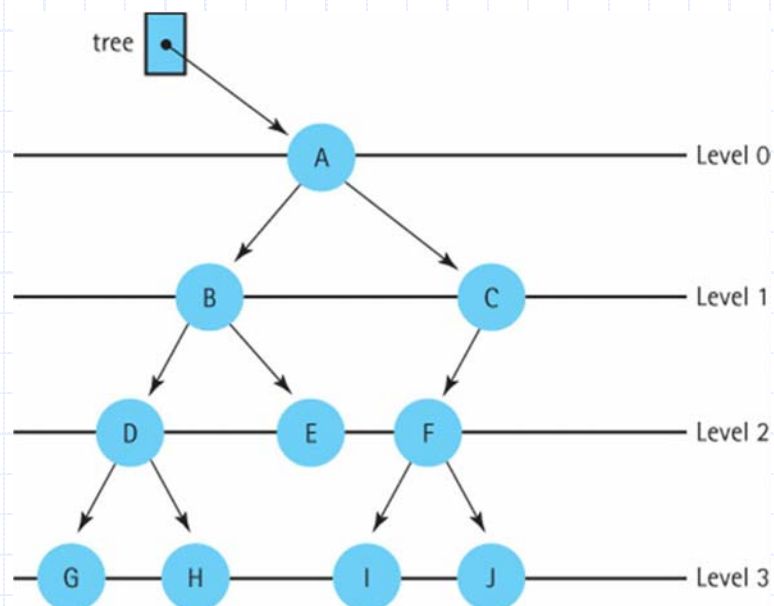
# Decision Tree

- Binary tree associated with a decision process
  - internal nodes: questions with yes/no answer
  - external nodes: decisions
- Example: dining decision



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# A Binary Tree and Levels

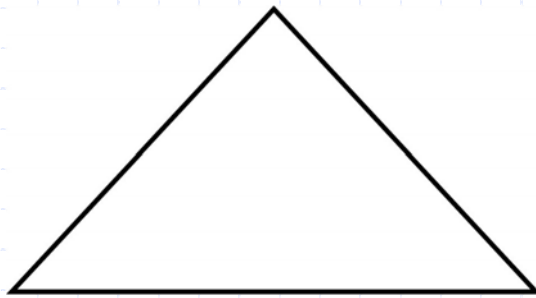


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# Full Binary Tree

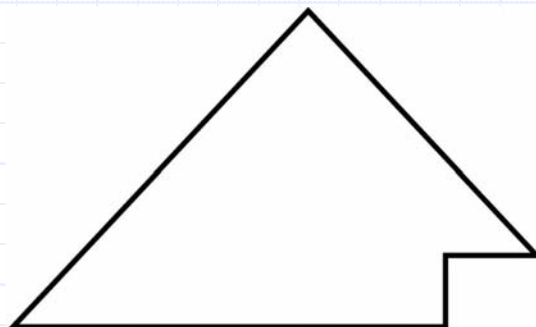
- **Full Binary Tree:** A binary tree in which all of the leaves are on the same level and every nonleaf node has two children



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# Complete Binary Tree

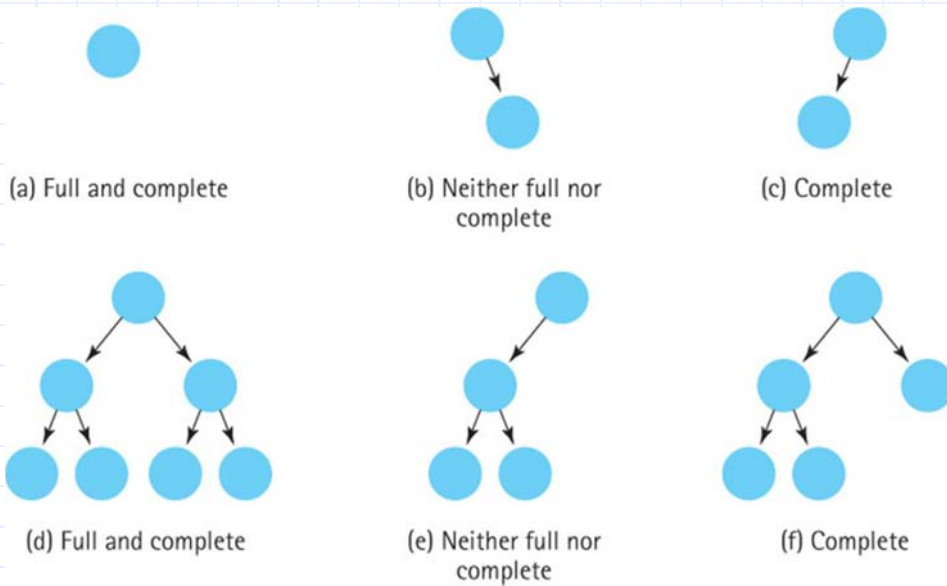
- **Complete Binary Tree:** A binary tree that is either full or full through the next-to-last level, with the leaves on the last level as far to the left as possible



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# Examples of Different Types of Binary Trees



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# Properties of Binary Trees

## □ Notation

$n$  number of nodes

$n_e$  number of external nodes

$n_i$  number of internal nodes

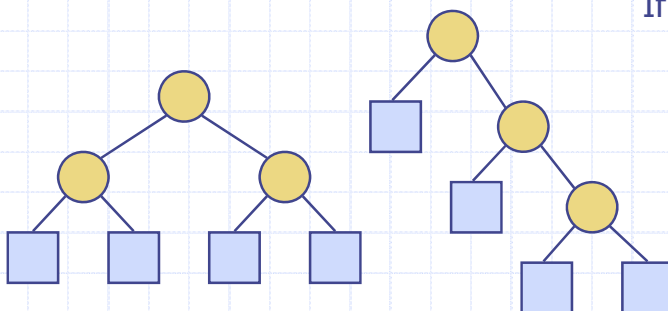
$h$  height

## ◆ Properties:

- $h+1 \leq n \leq 2^{h+1} - 1$
- $1 \leq n_e \leq 2^h$
- $h \leq n_i \leq 2^h - 1$
- $\log_2(n+1) - 1 \leq h \leq (n-1)$

## If proper trees:

- $2h+1 \leq n \leq 2^{h+1} - 1$
- $h+1 \leq n_e \leq 2^h$
- $h \leq n_i \leq 2^h - 1$
- $\log_2(n+1) - 1 \leq h \leq (n-1)/2$
- $n_e = n_i + 1$



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# BinaryTree ADT

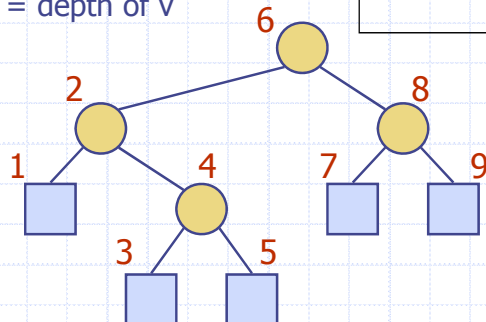
- The BinaryTree ADT extends the Tree ADT, i.e., it inherits all the methods of the Tree ADT
- Update methods may be defined by data structures implementing the BinaryTree ADT
- Additional methods:
  - position **left**(p)
  - position **right**(p)
  - boolean **hasLeft**(p)
  - boolean **hasRight**(p)

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# Inorder Traversal

- In an inorder traversal a node is visited after its left subtree and before its right subtree
- Application: draw a binary tree
  - $x(v)$  = inorder rank of  $v$
  - $y(v)$  = depth of  $v$

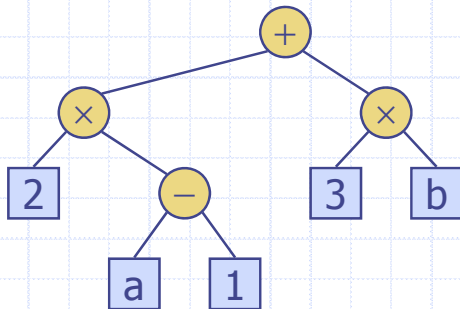
**Algorithm *inOrder*( $v$ )**  
  **if** *hasLeft* ( $v$ )  
    *inOrder* (*left* ( $v$ ))  
  *visit*( $v$ )  
  **if** *hasRight* ( $v$ )  
    *inOrder* (*right* ( $v$ ))



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# Print Arithmetic Expressions

- Specialization of an inorder traversal
  - print operand or operator when visiting node
  - print "(" before traversing left subtree
  - print ")" after traversing right subtree



## Algorithm *printExpression(v)*

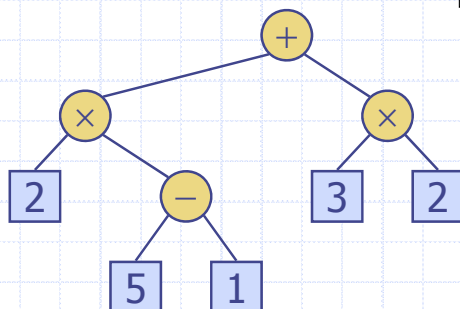
```
if hasLeft (v)
    print("(")
    inOrder (left(v))
print(v.element ())
if hasRight (v)
    inOrder (right(v))
    print(")")
```

$((2 \times (a - 1)) + (3 \times b))$

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# Evaluate Arithmetic Expressions

- Specialization of a postorder traversal
  - recursive method returning the value of a subtree
  - when visiting an internal node, combine the values of the subtrees

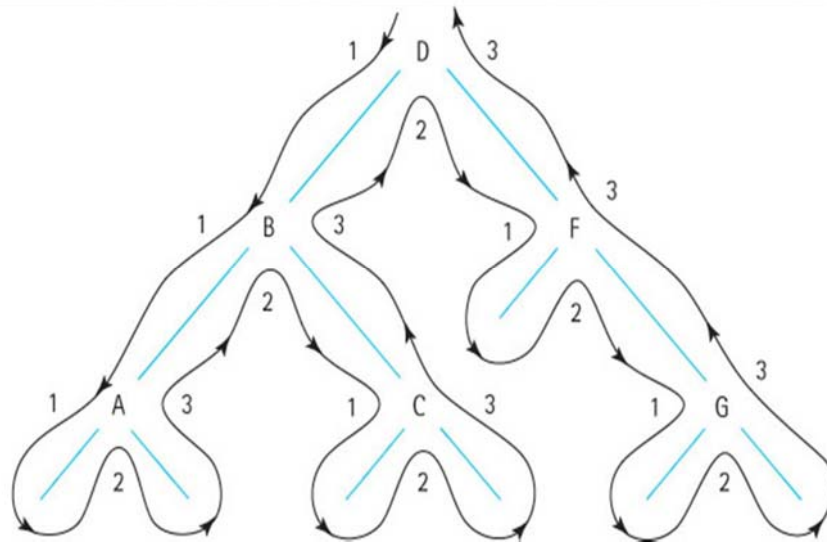


## Algorithm *evalExpr(v)*

```
if isExternal (v)
    return v.element ()
else
    x ← evalExpr(leftChild (v))
    y ← evalExpr(rightChild (v))
    ◇ ← operator stored at v
    return x ◇ y
```

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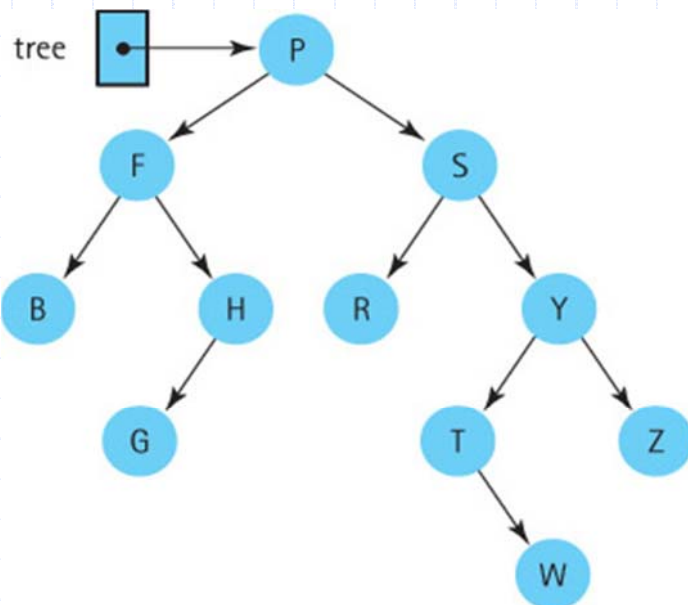
## Euler Tour Traversal



Preorder: DBACFG  
Inorder: ABCDFG  
Postorder: ACBGFD

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## Three Binary Tree Traversals

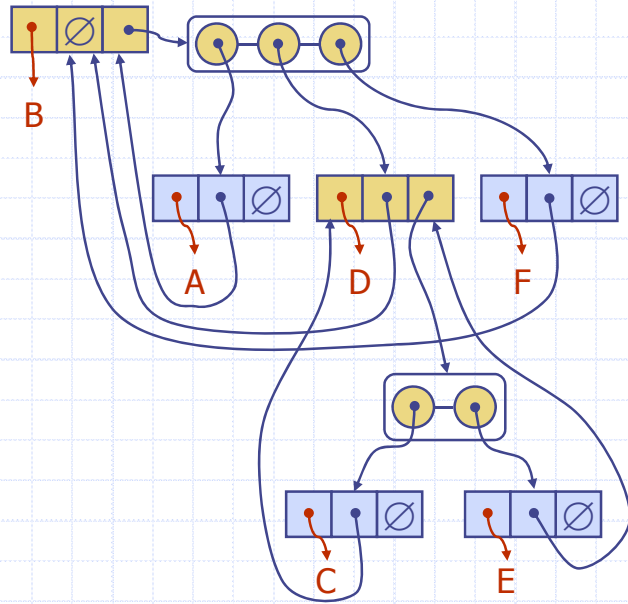
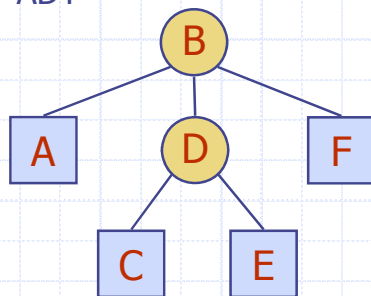


Inorder: B F G H P R S T W Y Z  
Preorder: P F B H G S R Y T W Z  
Postorder: B G H F R W T Z Y S P

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# Linked Structure for Trees

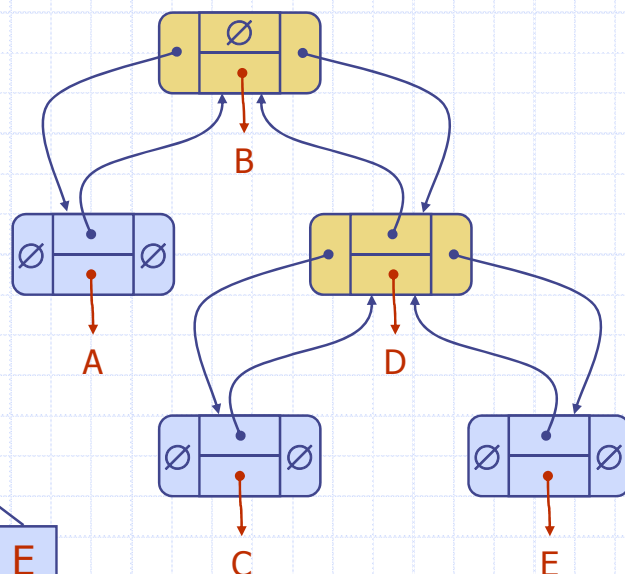
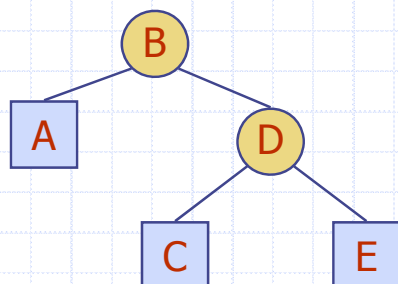
- A node is represented by an object storing
  - Element
  - Parent node
  - Sequence of children nodes
- Node objects implement the Position ADT



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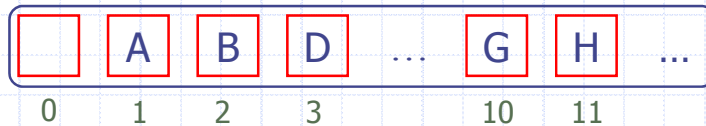
# Linked Structure for Binary Trees

- A node is represented by an object storing
  - Element
  - Parent node
  - Left child node
  - Right child node
- Node objects implement the Position ADT



# Array-Based Representation of Binary Trees

- Nodes are stored in an array A



- Node v is stored at A[rank(v)]

- rank(root) = 1
- if node is the left child of parent(node),  
 $\text{rank}(\text{node}) = 2 \cdot \text{rank}(\text{parent}(\text{node}))$
- if node is the right child of parent(node),  
 $\text{rank}(\text{node}) = 2 \cdot \text{rank}(\text{parent}(\text{node})) + 1$

