

Entity Type	Entity Name
Insiders	<ol> <li>Douglas Swenson</li> <li>Thomas Var Reeve</li> <li>Walter Mott</li> <li>John Foster</li> <li>John Mayeron</li> <li>Charles Hassard</li> <li>Gary Bringhurst</li> <li>Mark Ellison</li> <li>Brian Olsen</li> <li>Farrell Bennett</li> <li>Jeremy Swenson</li> <li>Matthew Duckett</li> <li>David Swenson</li> <li>Paris Cole</li> </ol>
Due Diligence Providers	<ol> <li>Mick &amp; Associates, P.C., LLO</li> <li>Buttonwood Investment Services, LLC</li> <li>Croker, Huck, Kasher, DeWitt, Anderson &amp; Gonderinger, L.L.C.</li> <li>Eide Bailly LLP</li> </ol>
Appraisers	1. CB Richard Ellis 2. McColgan & Company, LLC
Professionals	<ol> <li>Foley &amp; Lardner LLP</li> <li>Moffat Thomas Barrett Rock &amp; Fields, Chtd.</li> <li>Hirschler Fleischer, a Professional Corporation</li> </ol>
Broker/Dealers and Registered Investment Advisors	<ol> <li>Advisory Group Equity Services</li> <li>AFA Financial Group</li> <li>AISG</li> <li>Alliance Affiliated Equities Corp.</li> <li>Alternative Wealth Strategies, Inc.</li> <li>American Portfolios Financial Services, Inc.</li> <li>Aria Asset Management, LLC</li> <li>Askar Corporation</li> </ol>

9.	Asset Planning Solutions
10.	Aura Financial Services, Inc.
11.	Axiom Capital Management
12.	Basic Investors
13.	Beneficial Investment Services
14.	Berthel Fisher & Company
15.	Brecek & Young Advisors, Inc.
16.	Broker Dealer Financial Services Corp.
17.	Brokers International Financial Services
18.	Brookstone Securities, Inc.
19.	Burch & Company
20.	Calton & Associates, Inc.
21.	Cambridge Investment Research
22.	Capital Analysts, Inc.
23.	Capital Financial Services, Inc.
24.	Capital Management Securities
25.	CapitalQuest Securities, Inc.
26.	CapWest Securities, Inc.
27.	Centaurus Financial, Inc.
28.	CFD Investments, Inc.
29.	Charter Pacific Securities
30.	Clark Wealth Strategies, Inc.
31.	Costa Financial Securities
32.	Courtlandt Securities Corp.
33.	Crews & Associates
34.	Crown Capital Securities, L.P.
35.	Cullum & Burks
36.	DeWaay Financial Network, LLC
37.	Direct Capital Securities
38.	Emerson Equity
39.	Empire Financial Group, Inc.
40.	<b>Empire Securities Corporation</b>
41.	E-Planning
42.	Equity Services, Inc.
43.	Financial Advisors of America, LLC
44.	Financial Advisory Services
45.	Financial West Group
46.	Fintegra, LLC
47.	First Financial Equity Corporation
48.	First Montauk Securities Corp.

<b>49.</b>	Fox & Company
50.	G.A. Repple & Company
51.	Gebhart & Associates, Inc.
52.	Geneos Wealth Management
53.	Girard Securities, Inc.
54.	Grant Williams
55.	Great American Advisors
56.	GunnAllen Financial
57.	Harrison Douglas, Inc.
58.	Independent Financial Group
59.	Inlet Securities
60.	Intermountain Financial Services, Inc.
61.	Intervest International Equities Corp.
62.	<b>Investment Security Corporation</b>
63.	Investor's Capital Corporation
64.	J.P. Turner & Company, LLC
65.	J.W. Cole Financial
66.	KMS Financial Services, Inc.
67.	K-One Investment Company
68.	Lasalle Street Securities
69.	Legacy Financial Solutions
70.	McGinn-Smith
71.	MCL Financial Group, Inc.
72.	Meridian Capital Partners, LLC
73.	MHA Financial Corporation
74.	MICG Investment Management, LLC
<i>75.</i>	Mid Atlantic Capital Corp.
76.	Midpoint Financial Services
77.	Milestone Financial Services
78.	Miller Johnson Steichen Kinnard
79.	Money Concepts
80.	Morgan Peabody, Inc.
81.	National Securities
82.	Nations Financial Group
83.	Network 1 Financial Solutions, Inc.
84.	Newbridge Securities
85.	NEXT Financial Group, Inc.
86.	NFP Securities, Inc.
87.	North Global Securities
88.	Northland Securities, Inc.

89.	Oak River Investments
90.	Ogilvie Securities Advisors Corporation
91.	Okoboji Financial Services
92.	OMNI Brokerage
93.	Partnervest Securities, Inc.
94.	Pavek Investments
95.	Portfolio Advisors Alliance
96.	Primex
97.	Private Asset Group
98.	Private Consulting Group
99.	Professional Asset Management
100.	QA3 Financial Corporation
101.	Questar Capital Corporation
102.	RB Capital Management LLC
103.	Regal Securities, Inc.
104.	Regent Capital Group
105.	Regis Securities Corportation
106.	Resource Horizons
107.	RP Capital, LLC
108.	Sammons Securities
109.	Sigma Financial Corporation
110.	SMH Capital, Inc.
111.	Steven L. Falk & Associates
112.	<b>Stonegate Partners</b>
113.	Strategic Financial
114.	Summit Brokerage Services
115.	<b>Sunset Financial Services, Inc.</b>
116.	The Concord Equity Group, LLC
117.	The Street, Inc.
118.	TransAm Securities, Inc.
119.	Triad Advisors, Inc.
120.	<b>United Equity Securities</b>
121.	VSR Financial Services, Inc.
122.	Wales Investments, Inc.
123.	WallStreet E-Financial
124.	Waterstone Financial
125.	Waveland Capital Partners, LLC
126.	Weirich & Associates Investments, Inc
127.	Welton Street Investments, LLC
128.	Westmoore Securities, Inc.

SCHEDULES	
Schedule 11 – Sc Causes of Action	hedule of Non-exclusive List of Potential Defendants in Non-Estate
	129. White Pacific Securities, Inc. 130. Wilson Davis 131. Woodbury Financial Services, Inc. 132. Workman Securities Corporation 133. World Equity Group
Real Estate Agents	Certain primary real estate brokers and agents to be determined.