

# Turing Degrees and the Friedberg-Muchnik Theorem

Mathematical Logic for Computer Science

Master's Degree in Computer Science

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# Introduction

## Notation

$\Phi_{i,s}^A(x)$  denotes the **computation** of the TM  $M_i$  for  $s \in \mathbb{N}$  steps on input  $x \in \mathbb{N}$  while having access to an oracle for the subset  $A \subseteq \mathbb{N}$

- $\Phi_{i,s}^A(x) \downarrow$  : the computation halts after  $s$  steps
- $\Phi_i^A(x) \downarrow$  : there is a  $s \in \mathbb{N}$  such that  $\Phi_{i,s}^A(x) \downarrow$
- $\Phi_i^A(x) \uparrow$  : there is no  $s \in \mathbb{N}$  such that  $\Phi_{i,s}^A(x) \downarrow$
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## Definitions

Given a set  $S \subseteq \mathbb{N}$ , we say that  $S$  is:

- **Semi-decidable** if  $\exists i \in \mathbb{N}$  such that  $\forall x \in S$  it holds that  $\Phi_i(x) \downarrow$  and  $\phi_i(x) = 1$ .
- **Decidable** if  $\exists i \in \mathbb{N}$  such that  $\forall x \in \mathbb{N}$  it holds that  $\Phi_i(x) \downarrow$  and  $\phi_i(x) = 1$  if  $x \in S$ , otherwise  $\phi_i(x) = 0$ .
- **Recursively enumerable** if there is an algorithmic procedure  $\mathcal{A} : \mathbb{N} \rightarrow \{0, 1\}$  such that  $S = \{A(0), A(1), A(2), \dots\}$

**Obs. 1:**  $S$  is semi-decidable if and only if it is r.e.

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## Turing's work

Turing [Tur37] proved that:

- Some sets that are semi-decidable but undecidable (e.g.  $H = \{(i, x) \mid \Phi_i(x) \downarrow\}$ ).
- Some sets cannot be semi-decided (e.g.  $\overline{H}$ ).

This gives three degrees of computability: **solvable** problems, **semi-solvable** problems and **unsolvable** problems.

Are there some other degrees of computability?



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# Degrees of Unsolvability

## Turing degrees

Post [Pos44] formalized the idea of computability degrees through **Turing reductions**.

- *Turing reducibility*:  $A \leq_T B$  when  $\exists i \in \mathbb{N}$  such that  $\Phi_i^B(x) \downarrow$  for all  $x \in \mathbb{N}$  and  $\phi_i^B = A$ .
- *Turing equivalence*:  $A \equiv_T B$  when  $A \equiv_T B$ , when  $A \leq_T B$  and  $B \leq_T A$
- The set  $\mathcal{D} = 2^{\mathbb{N}} / \equiv_T$  is referred to as the set of **Turing degrees**.



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# Degrees of Unsolvability

## Turing degrees

We say that  $[A]$  is **lower** than  $[B]$ , written as  $[A] \preceq [B]$ , if  $A \leq_T B$ .

$\preceq$  is a (partial) order over the set  $\mathcal{D}$ , forming an hierarchy of unsolvability degrees.

**Prop. 1:** There is an unique degree containing all the decidable problems

— This unique class is referred to as the 0 degree (formally  $0 = [\emptyset]$ )

**Prop. 2:** There is no degree below 0

— If  $[A] \preceq 0$  then  $A$  is decidable, thus  $[A] = 0$



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The jump operator

The strict relation  $[A] \prec [B]$  between degrees can be easily forced through **Turing jumps**.

- Given a set  $X \subseteq \mathbb{N}$ , the *Turing jump* of  $X$  is the set  $X' = \{i \mid \Phi_i^X(i) \downarrow\}$ 
  - $X <_T X'$  since  $X'$  is obtained by forcing a variant of the Halting problem on TMs with  $X$  as an oracle
- Obs.:** The jump  $0'$  of  $0$  is exactly the class containing the Halting problem

$$\emptyset' = \{i \mid \Phi_i^\emptyset(i) \downarrow\} = \{i \mid \Phi_i(i) \downarrow\} \equiv_T H$$



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- **Thm.:**  $[A] \leq_m H$  if and only if  $A$  is r.e.
  - If  $A \leq_m H$  then  $A$  is trivially r.e. since  $H$  is r.e.
  - If  $A$  is r.e. then it has a semi-decider  $M_i$ . Let  $M_j$  be a new semi-decider such that  $\Phi_j(x) \downarrow$  if  $\phi_i(x) = 1$ , otherwise  $\Phi_j(x) \uparrow$ .
- **Cor.:** Every degree containing a r.e. set is below  $0'$
- **Obs.:** If a degree contains a r.e. set, the other sets aren't forced to also be r.e.
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## Post's problem

The main question

- The jump operator can be iteratively applied to get infinite levels of the hierarchy, i.e.  $0 \prec 0' \prec 0'' \prec \dots$ . This makes “going upwards” not so interesting.
- Post [Pos44] proved that for each degree  $A$  there is another degree  $B$  that is incomparable with  $A$ .
  - Cor.: There is at least one Turing degree that is incomparable with both  $0$  and  $0'$
- **Post's problem:** is there a degree  $d$  such that  $0 \prec d \prec 0'$ ?



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- Post's problem was solved 12 years later independently by Friedberg [Fri57] and Muchnik [Muc56] through the **finite injury priority method**.
- The method is an improvement on the **finite extension method** developed by Post in his original works
- Since the FIP method involves constructions that are way more complex than those of the FE method, we'll first give an example of the latter





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# The finite extension method

## The setup

- Given a set  $A$ , we want to define a countable list of **requirements**  $\{R_i\}_{i \in \mathbb{N}}$ , each to be satisfied by a string.
- Strings are to be considered as an infinite tape of cells, each marked by an index and containing either a 0, a 1 or an undefined value.
  - In some sense, each string can be viewed as a partial function on  $\{0, 1\}$ .
- We start with the empty string and on each step  $s \in \mathbb{N}$ , we construct a new finite string  $A_{s+1}$  that extends  $A_s$  and satisfies  $R_0, R_1, \dots, R_{s+1}$ .



# The finite extension method

## The setup

- Given a set  $A$ , we want to define a countable list of **requirements**  $\{R_i\}_{i \in \mathbb{N}}$ , each to be satisfied by a string.
- Strings are to be considered as an infinite tape of cells, each marked by an index and containing either a 0, a 1 or an undefined value.
  - In some sense, each string can be viewed as a partial function on  $\{0, 1\}$ .
- We start with the empty string and on each step  $s \in \mathbb{N}$ , we construct a new finite string  $A_{s+1}$  that extends  $A_s$  and satisfies  $R_0, R_1, \dots, R_{s+1}$ .



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The Kleene-Post theorem

[KP54] **Thm.:** There are two sets  $A$  and  $B$  that are incomparable

- For each  $i \in \mathbb{N}$ , we define the requirement  $R_{2i}$  as  $\phi_i^A \neq B$  and  $R_{2i+1}$  as  $\phi_i^B \neq A$ 
  - When  $\Phi_i^A(x) \uparrow$  or  $\Phi_i^B(x) \uparrow$ , the requirements  $R_{2i}, R_{2i+1}$  are considered to be satisfied.
- Let  $\{R_i\}_{i \in \mathbb{N}}$  be the list of requirements
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- The requirement  $R_{2i}$  is satisfied by this flipped bit.



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- $A = \bigcup_{i \in \mathbb{N}} A_i$  and  $B = \bigcup_{i \in \mathbb{N}} B_i$  are such that  $\Phi_s^A(x) \neq B$  and  $\Phi_s^B(x) \neq A$  for all  $s \in \mathbb{N}$





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Strenghts and weaknesses

- We observe that this general method can be easily modified and extended.
  - E.g.: we can force that  $[A], [B] \leq 0'$  or even  $[A'], [B'] \leq 0'$
- However, the construction used can never guarantee the recursive enumerability of the two sets.
- This problem is fixed by the **finite injury priority method**



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# The finite injury priority method

## The idea

- **Injury:** a requirement gets injured when its satisfaction is not guaranteed anymore.
- The key idea is to assign a priority level to each requirement and force two conditions during the construction of the set  $A$ :
  1. Each requirement has finitely many requirements with higher priority
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The query function

- To keep track of injuries, we'll use the following **query function**
- Let  $A \subseteq \mathbb{N}$  and let  $i, s, x \in \mathbb{N}$ . The query function  $\omega_{i,s}^A$  is defined as:

$$\omega_{i,s}^A(x) = \begin{cases} \max\{z \in \mathbb{N} \mid A(z) \text{ is queried in } \Phi_{i,s}^A\} & \text{if } \Phi_{i,s}^A(x) \downarrow \\ -1 & \text{otherwise} \end{cases}$$



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The Friedberg-Muchnik theorem

[Fri57; Muc56] **Thm.:** There are two r.e. sets  $A$  and  $B$  that are incomparable

- The requirements are defined as in the previous theorem
- We say that an index  $x$  is a **witness** for the requirement  $R_{2i}$  at step  $s$  if  $\Phi_{i,s}^{B_s}(x) \downarrow$  and  $\phi_{i,s}^{B_s}(x) \neq A_s(x)$  (symmetric definition for  $R_{2i+1}$ )



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- If  $\neg \Phi_{i,s}^{B_s}(x) \downarrow$ , we preserve that  $w_{2i,s+1} = r_{2i,s+1} = -1$  and propagate  $A_{s+1} = A_s$ ,  $B_{s+1} = B_s$ . This trivially satisfies the requirement  $R_{2i}$ .
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**Claim:** For each  $j \in \mathbb{N}$  it holds that:

1.  $R_j$  is injured a finite number of times
2. There is a step  $s_0$  such that for all  $s \geq s_0$  and for all  $k < j$  no new index is added to  $A_s$

*Proof.*

- The requirement  $R_j$  is injured at step  $s$  if some  $x \leq r_{j,s}$  is added to  $A_s$ , which happens only when there is a  $k < j$  that adds a new index to  $A_s$ , i.e. when

$$w_{j,k} = -1 \quad \Phi_{j,s}^{B_s} \downarrow \quad \phi_{j,s}^{B_s}(x) = 0$$

- In other words, statement (2) of the claim follows from statement (1) by construction.



# The finite injury priority method

The Friedberg-Muchnik theorem

**Claim:** For each  $j \in \mathbb{N}$  it holds that:

1.  $R_j$  is injured a finite number of times
2. There is a step  $s_0$  such that for all  $s \geq s_0$  and for all  $k < j$  no new index is added to  $A_s$

*Proof.*

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# The finite injury priority method

The Friedberg-Muchnik theorem

- Let  $g_{j,s} = \sum_{\substack{k < j \text{ s.t.} \\ w_{k,s} \neq -1}} 2^{-(k+1)}$  be the injure value of  $R_j$  at step  $s$
- When  $R_j$  is injured at step  $s$ , the smallest value  $k < j$  such that  $w_{k,s} \neq w_{k,s+1}$  must satisfy  $w_{k,s} = -1$  and  $w_{k,s+1} \neq -1$  by construction. Thus:

$$g_{j,s+1} - g_{j,s} \geq 2^{-(j+1)} - \sum_{k < h < j} 2^{-(h+1)} = 2^{-j}$$

- Hence, for each step  $s$  we have that  $0 \leq g_{j,s} \leq 1 - 2^{-j}$ , concluding that  $R_j$  can be injured at most  $2^j - 1$  times



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- We observe that there is always a large enough step  $s_0^*$  satisfying statements (1) and (2) of the Claim. This step guarantees that for each  $j \in \mathbb{N}$  it holds that  $\lim_{s \rightarrow +\infty} w_{j,s}$  and  $\lim_{s \rightarrow +\infty} r_{j,s}$  exist and are finite.
- This concludes that, eventually, each requirement  $R_j$  will be satisfied by the construction. Thus,  $A = \bigcup_{i \in \mathbb{N}} A_i$  and  $B = \bigcup_{i \in \mathbb{N}} B_i$  are such that  $\Phi_s^A(x) \neq B$  and  $\Phi_s^B(x) \neq A$  for all  $s \in \mathbb{N}$
- Moreover, the use of the restriction values  $r_{j,s}$  allows us to recursively enumerate the sets  $A$  and  $B$  by restricting our interest to the indexes between 0 and  $r_{j,s}$  for each  $j \in \mathbb{N}$





# The finite injury priority method

## Other results

- **Infinite Injury Priority Argument:** Sacks [Sac64] proved that the above construction can be extended to a countably infinite argument
- **Density of r.e. sets:** For each pair of r.e. sets  $A, B$  there is another r.e. set such that  $A <_T C <_T B$
- Simpson [Sim77] proved that the first-order theory of  $\mathcal{D}$  over the language  $(\preceq, =)$  is many-one equivalent to the theory of true second-order arithmetic.



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