

# SYSTEMS DEVELOPMENT LIFE CYCLE

Intro

Building Software

Software processes

INTRO

# WHY USE A SOFTWARE ENGINEERING PROCESS?

- Experience from classic engineering disciplines:
  - Process standardization is useful!
- Software development processes allow ...
  - a better structuring of the development approach.
  - reliable planning of milestones, partial goals and deadlines.
  - comparison and appraisal of projects

## **Basis for the certification of companies**

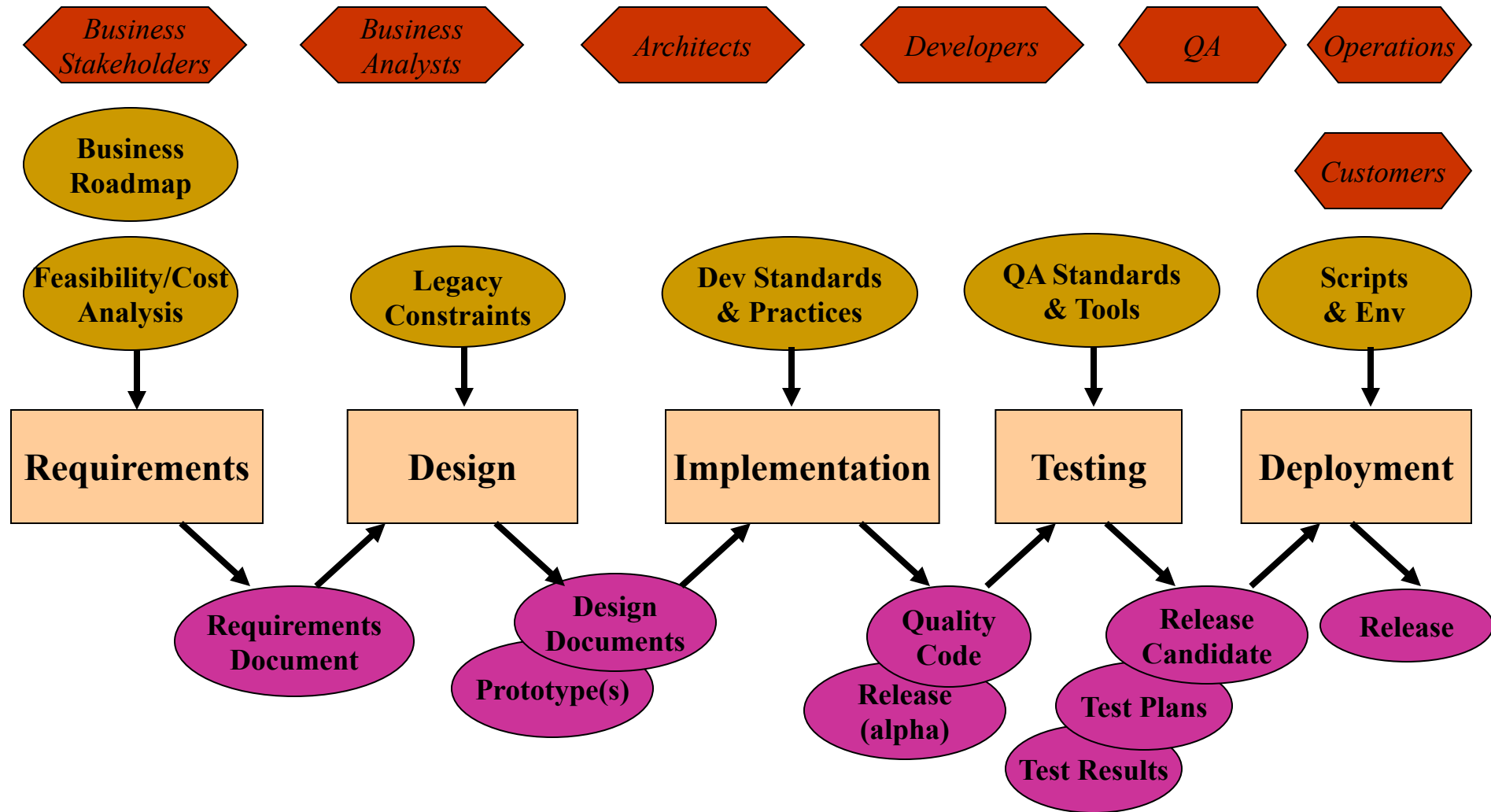
- Proof of process experience for the customers
- e.g. using Capability Maturity Model Integration (CMMI)

# REVIEW



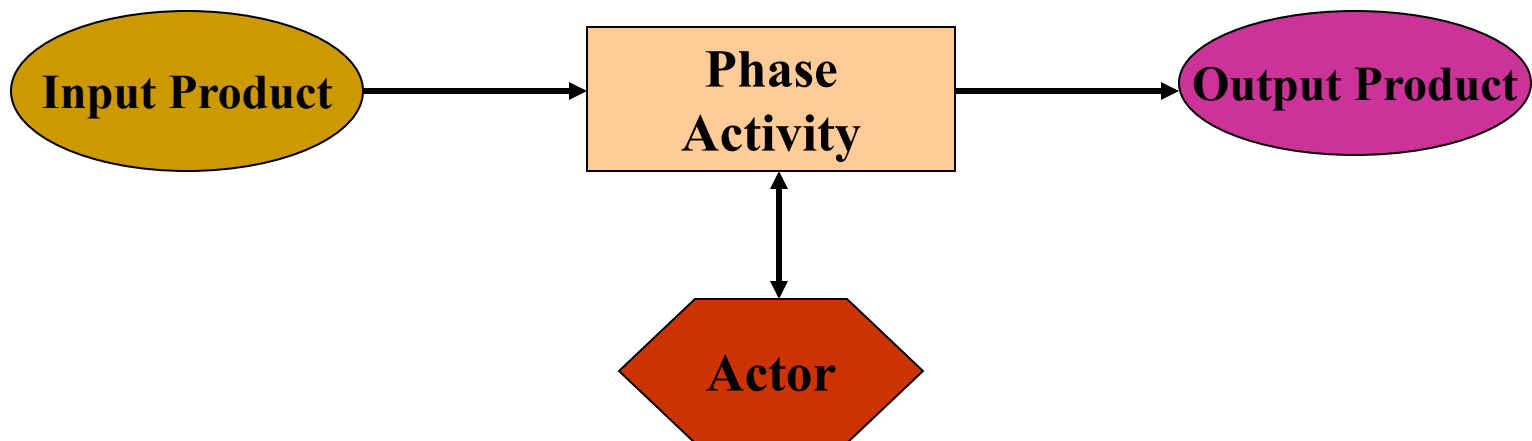
# SOFTWARE PROCESS FLOW

## An Over-simplified View of the Software Development Lifecycle (SDLC) Process Phases



# SOFTWARE PROCESS PHASES

- How are the phases connected?
  - Now that we have talked about each phase, what transitions exist between each phase?
  - What data is needed by each phase?
  - What data is produced by each phase?
  - Who are the players (the actors) involved in each phase?
- Given what we have covered in each phase, what is the *lifecycle model* that captures software process?



# BUILDING SOFTWARE

# HOW DO YOU BUILD SOFTWARE?





# SOFTWARE PROCESSES

A software process is a **structured set of activities** followed to develop a software system.

There are four generic activities or **phases**:

- **specification**: identifying the required services and the constraints on system operation & development
- **development**: converting the system specification into an executable system
- **validation**: showing that a system conforms to its specification and meets the user requirements
- **evolution**: adapting software to changes in circumstances

# SOFTWARE PROCESSES

also called **software life cycle**

A software process is a **structured set of activities** followed to develop a software system.

**what the  
system does**

There are four **phases**:

- **specification**: define the required services and the constraints on system development
- **development**: convert the specification into an executable system
- **validation**: show that the system works as intended
- **evolution**: adapting software to changes in circumstances

**how the  
system works**

**that the  
system works**

**keep the  
system working**

# SOFTWARE PROCESSES

Different software processes differ based on

- how the phases are arranged
- how much attention is paid to each phases
  - whether and how the phases are broken down
- what must be done in each phase
- when and how to transition between phase
  - what must be delivered by each phase

# SPECIFICATION

also called **requirements engineering (SER415)**

**specification**: identifying the required services and the constraints on system operation & development

Main concern: **what should the system do?**

Main result: **requirements** == description of desired system functionality

- **user requirements**: abstract, natural-language
  - aimed at users, customer, and contractor
- **system requirements**: specific, semi-formal
  - aimed at developers and contractor

# REQUIREMENTS ENGINEERING TASKS

- **feasibility study**: check whether a new system is needed, and whether it can be build it within the given time and budget
- **requirements elicitation**: gather requirements by
  - user interviews and questionnaires
  - user observation (ethnographic studies)
  - brainstorming and role playing
  - use cases and prototyping.
- **requirements analysis**: classify, organize, and prioritize requirements
  - can prompt more elicitation

# REQUIREMENTS ENGINEERING TASKS

- **requirements specification**: translate chosen requirements into coherent document(s)
  - different specification methods
- **requirements validation**: check requirements for realism, consistency, and completeness
  - interleaved with analysis and specification
  - can prompt more elicitation
  - will inevitably lead to the discovery of errors that must be fixed in the user and system requirements

# REQUIREMENTS ENGINEERING IS HARD...



# DEVELOPMENT

**development:** converting the system specification into an executable system

Main concern: **how** should the system work?



# DEVELOPMENT = DESIGN + IMPLEMENTATION

**development:** converting the system specification into an executable system

Traditionally broken down into several stages:

- architectural design
- interface design
- abstract specification
- coding
- component design
- data structure design
- algorithm design
- debugging
- development is an iterative process with feedback between the stages
- design and implementation are typically interleaved

# VALIDATION

**validation:** showing that a system conforms to its specification and meets the user requirements

Main concern: **does the system work?**

# VERIFICATION AND VALIDATION

**validation:** showing that a system conforms to its specification and meets the user requirements

Traditionally broken down into two separate activities:

- **verification:** do we build the system right?
- **validation:** do we build the right system?

V&V techniques:

- reviews (code and documents)
- prototyping and simulation (documents)
- testing
- static analysis (e.g., model checking, proof)

# VERIFICATION AND VALIDATION

Testing works at different levels:

- **unit testing**: individual components
  - methods; test functional behavior (pre/post)
- **module testing**: groups of related components
  - classes; test class invariants
- **system testing**: whole (sub-) system(s)
  - test emergent properties (e.g., security, reliability,...)
- **acceptance testing**: use customer data
  - test that system meets user expectations

# EVOLUTION

also called **maintenance**

**evolution**: adapting software to changes in circumstances

Main concern: **keep the system working**

Traditionally broken down into several categories:

- **corrective**: fixing bugs in the field
- **adaptive**: respond to changes in environment
- **perfective**: respond to changes in user requirements
- **preventive**: improve or refactor system to prevent future problems

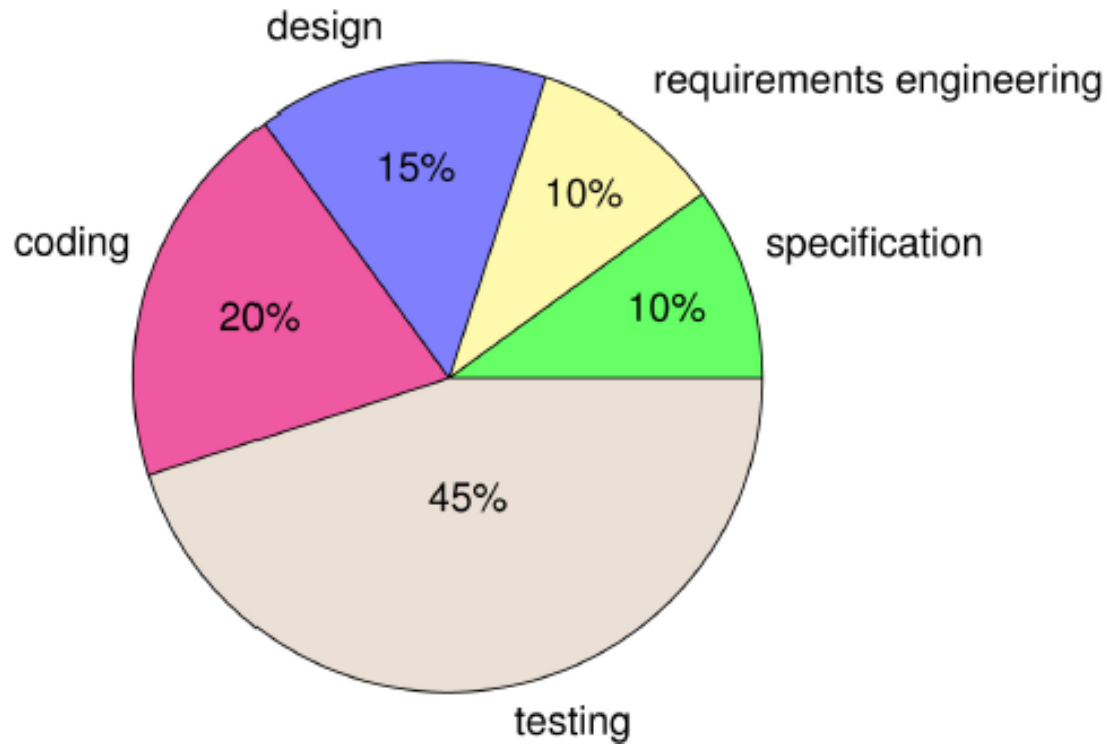


perceived as  
less urgent

More information:

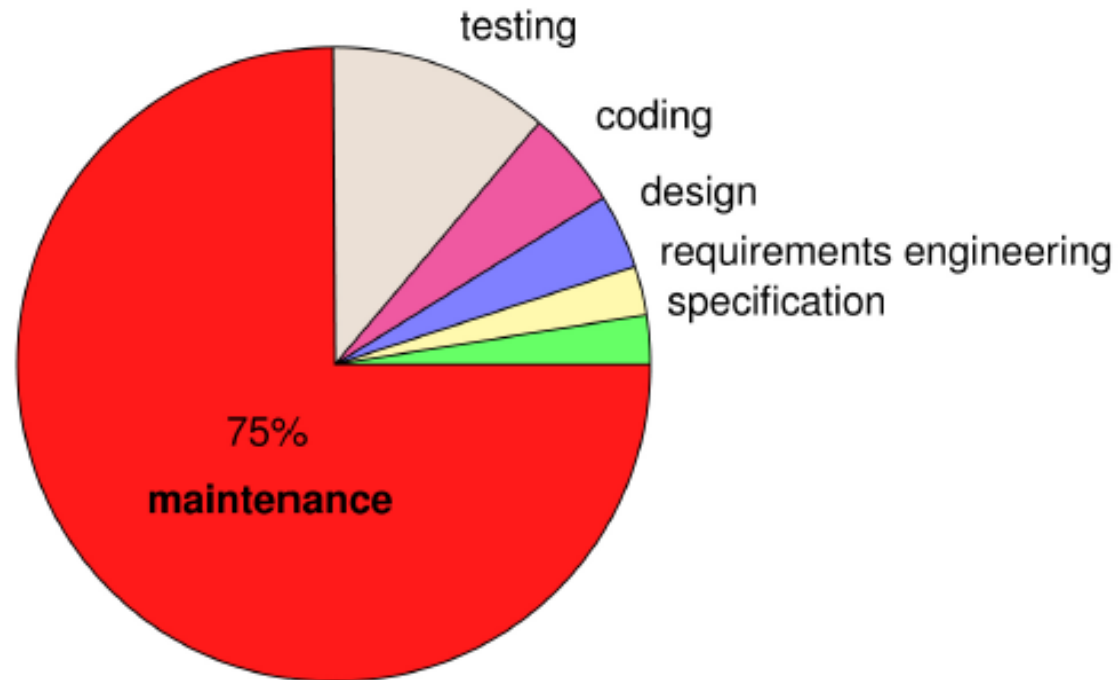
- ISO/IEC 14764:2006 Software Engineering — Software Life Cycle Processes — Maintenance.

# DISTRIBUTION OF EFFORTS



**Verification effort dominates both specification and development efforts!**

# DISTRIBUTION OF EFFORTS



**... but maintenance (50-75%)  
dominates the total life cycle effort!**

# SUMMARY

- software development process is split into phases:
  - Specification
  - Development
  - Validation
  - Maintenance
- maintenance dominates total life cycle effort
- software process models describe how the phases are arranged and what must be done in each phase



# SOFTWARE PROCESSES

# DIMENSIONS OF SOFTWARE PROCESSES

- **linear** vs. **iterative**
  - phases are **executed in order**
  - phases are **repeated regularly**
- **monolithic** vs. **incremental**
  - **single** system is delivered **at end**
  - system is delivered in **several working versions** with increasing functionality
- **document-based** vs. **code-based**
  - most **deliverables** (except final system) **are text**
  - almost all **deliverables are code** (prototypes, test cases, ...)

# DIMENSIONS OF SOFTWARE PROCESSES

- **heavy-weight** vs. **light-weight**
  - strict rules and detailed plans, formal models and methods
  - flexible and reactive, prototyping
  - also called **plan-driven** and **agile**
- **component-driven** vs. **model-driven** vs. **test-driven**
  - requirements are **modified to fit existing components**
  - requirements are formulated as **(formal) models**
  - requirements are formulated as **test cases**

Usually combinations are used but not all combinations possible or feasible...

# CODE-AND-FIX

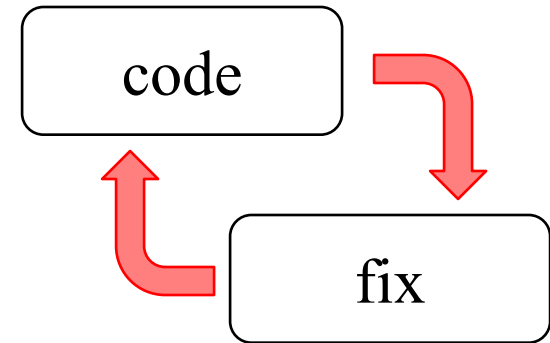
- No specification, no design
- Just dive in and starting coding

## Advantages

- no overhead
- see progress quickly
- suitable for small, short-lived programs (<200 lines)

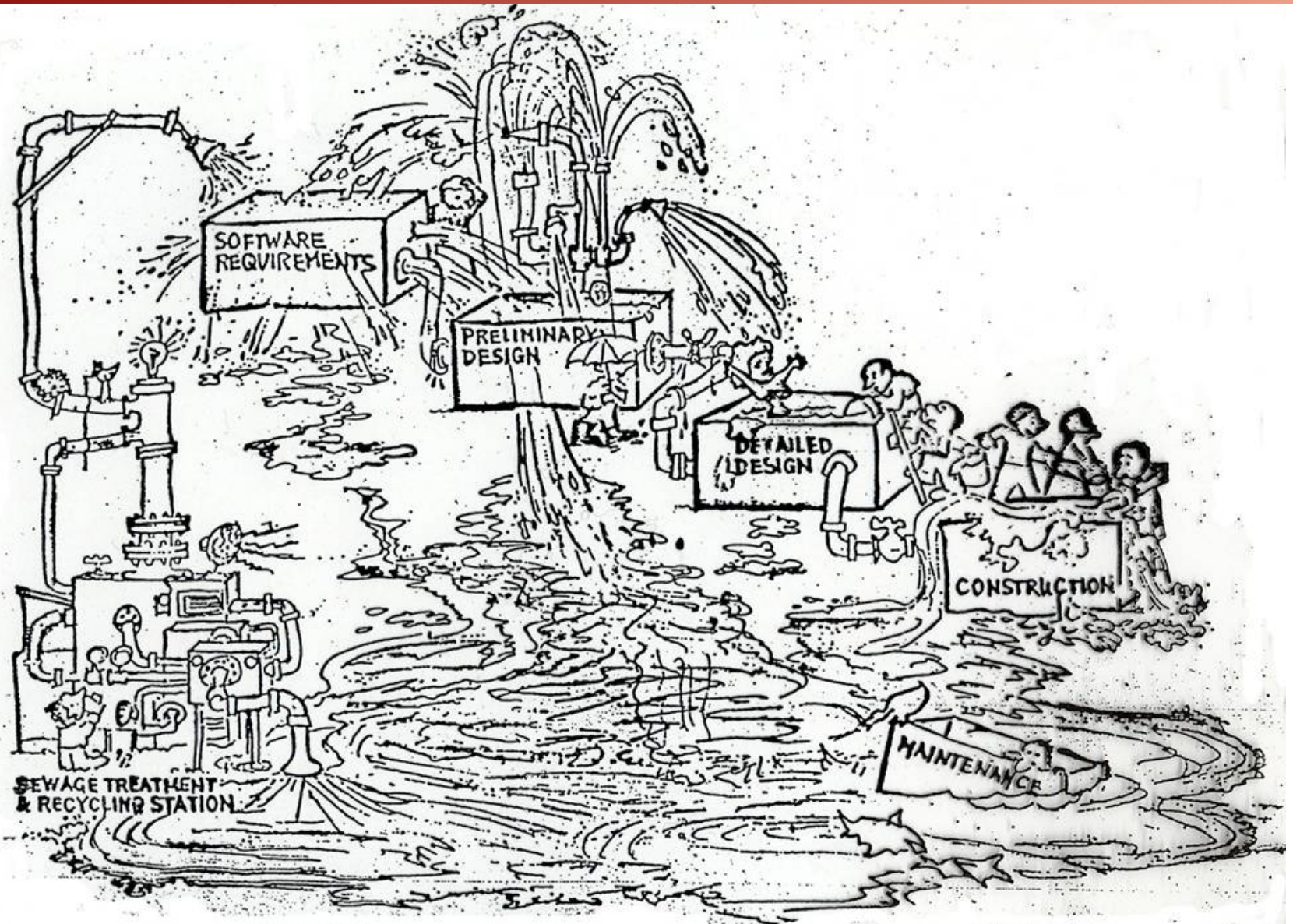
## Disadvantages

- no way to assess progress, quality, or risks
- changes likely to require major design overhaul

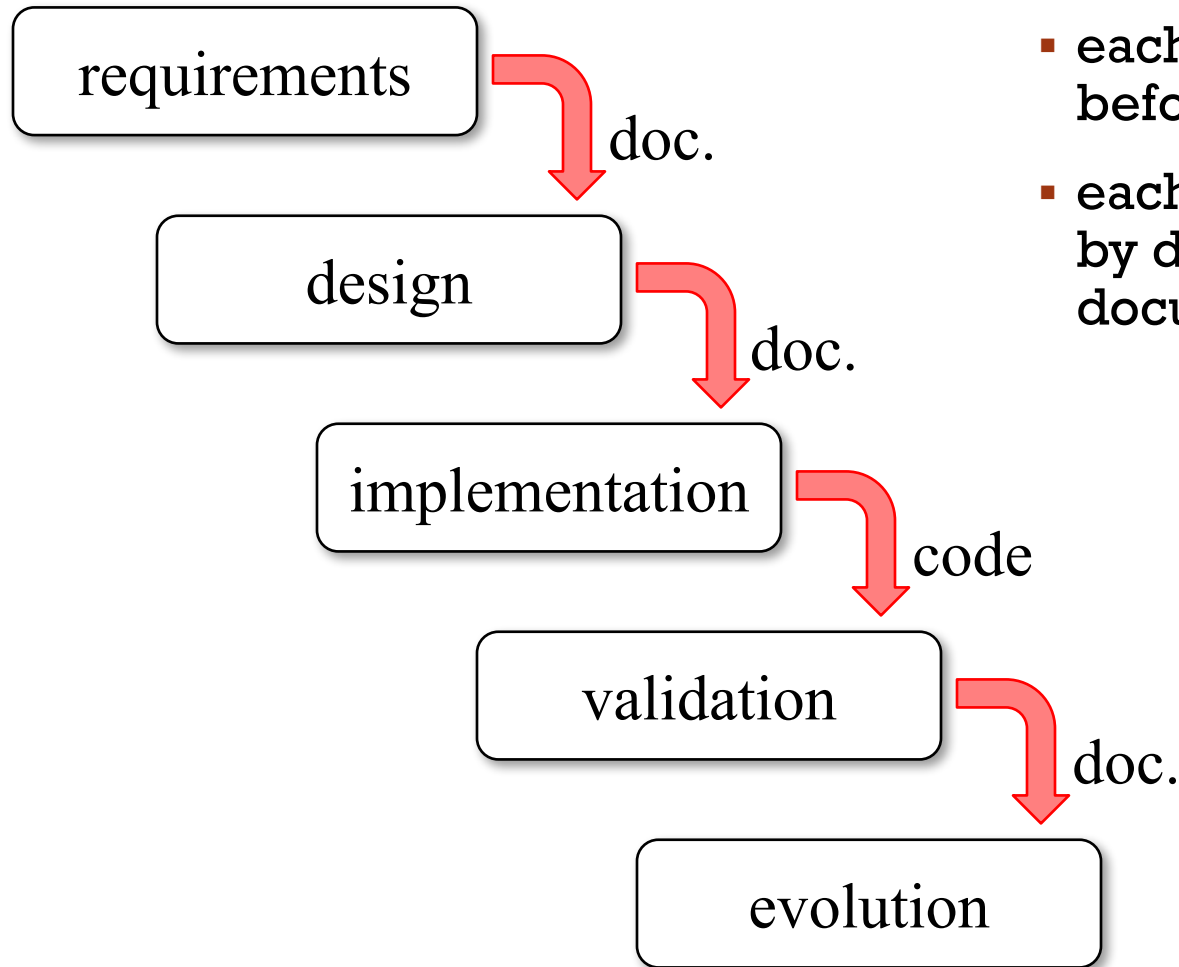


**Dangerous for all projects, use only in very small programs!!**

# WATERFALL MODEL



# CLASSIC WATERFALL MODEL



- each phase **must** be completed before next is started
- each phase transition is marked by delivery of corresponding documents

More information:

- W. Royce: Managing the Development of Large Software Systems, Proc.IEEE WESCON 26:1–9, 1970.
- DOD-STD-2167, MILITARY STANDARD: DEFENSE SYSTEM SOFTWARE DEVELOPMENT. US Department of Defense, 1988.



# CLASSIC WATERFALL MODEL: ADVANTAGES

- phase distinction and order encourages discipline
  - can provide support for inexperienced development teams
- emphasis on communication through documents
  - promotes documentation
  - support for off-shoring
  - allows automation (if documents are formal models)
- implementation postponed until project objectives are well-understood
  - minimizes risk of waste

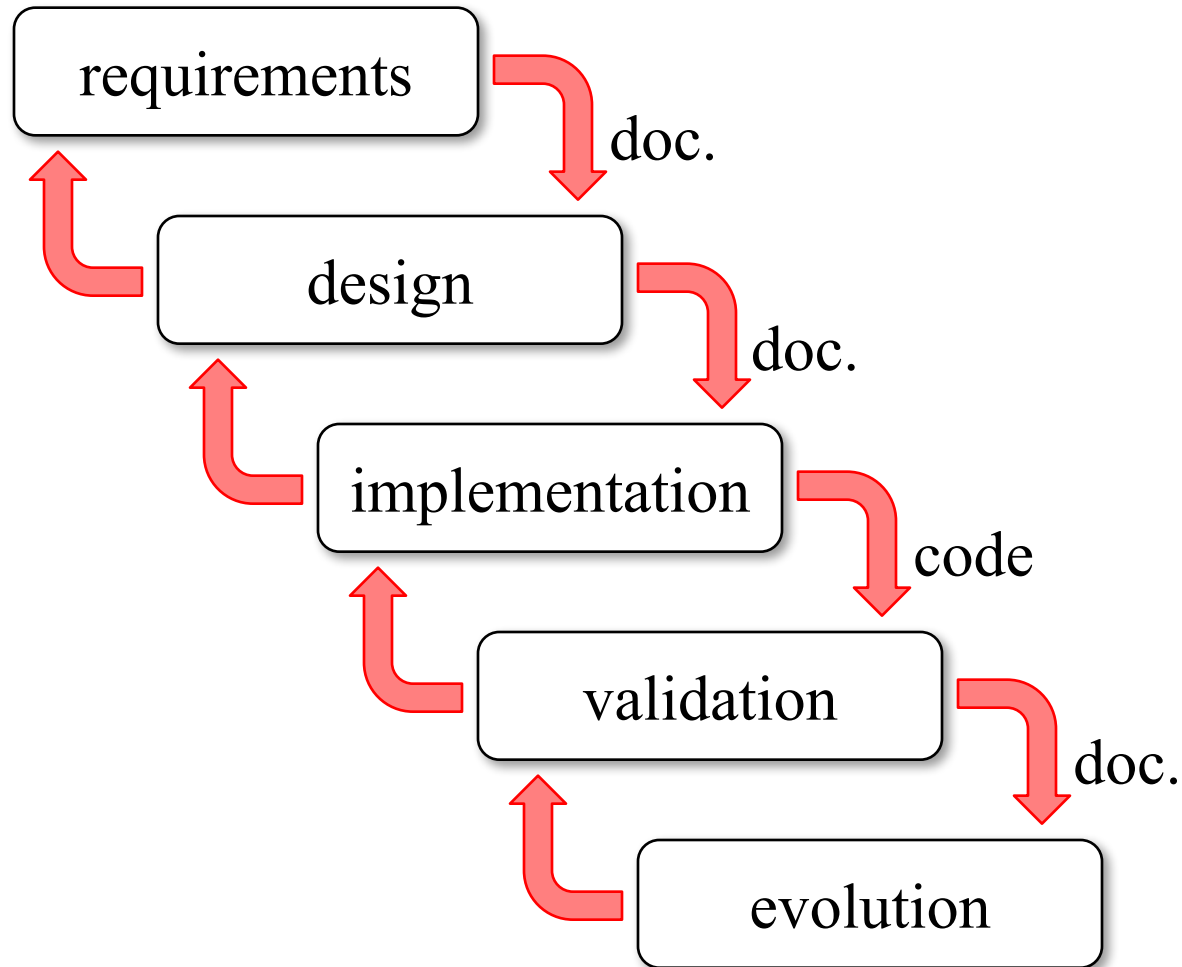
# CLASSIC WATERFALL MODEL: DISADVANTAGES

- inflexible partitioning of project into distinct stages
  - no feedback, but specification problems often show only during coding or integration
  - validation too late
- first working version available only very late
  - little feedback from users until then
  - increases risk of obsolescence
- requires accurate and complete requirements right from the start
  - difficult to respond to changing requirements
- over-reliance on documents, heavy-weight process
  - documents get out-of-sync

**Phases never meant to be followed strictly linearly!!**

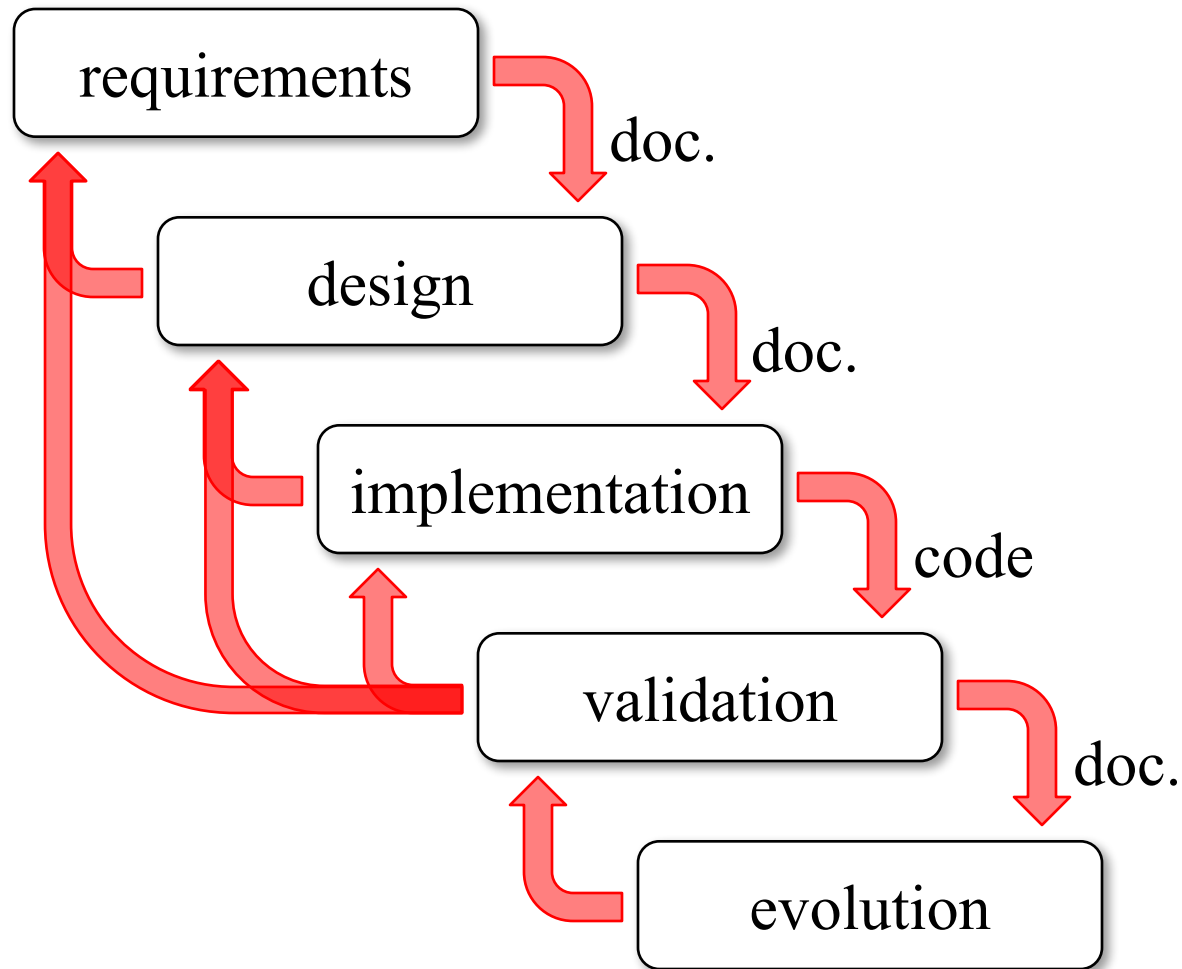


# MODIFIED WATERFALL MODEL



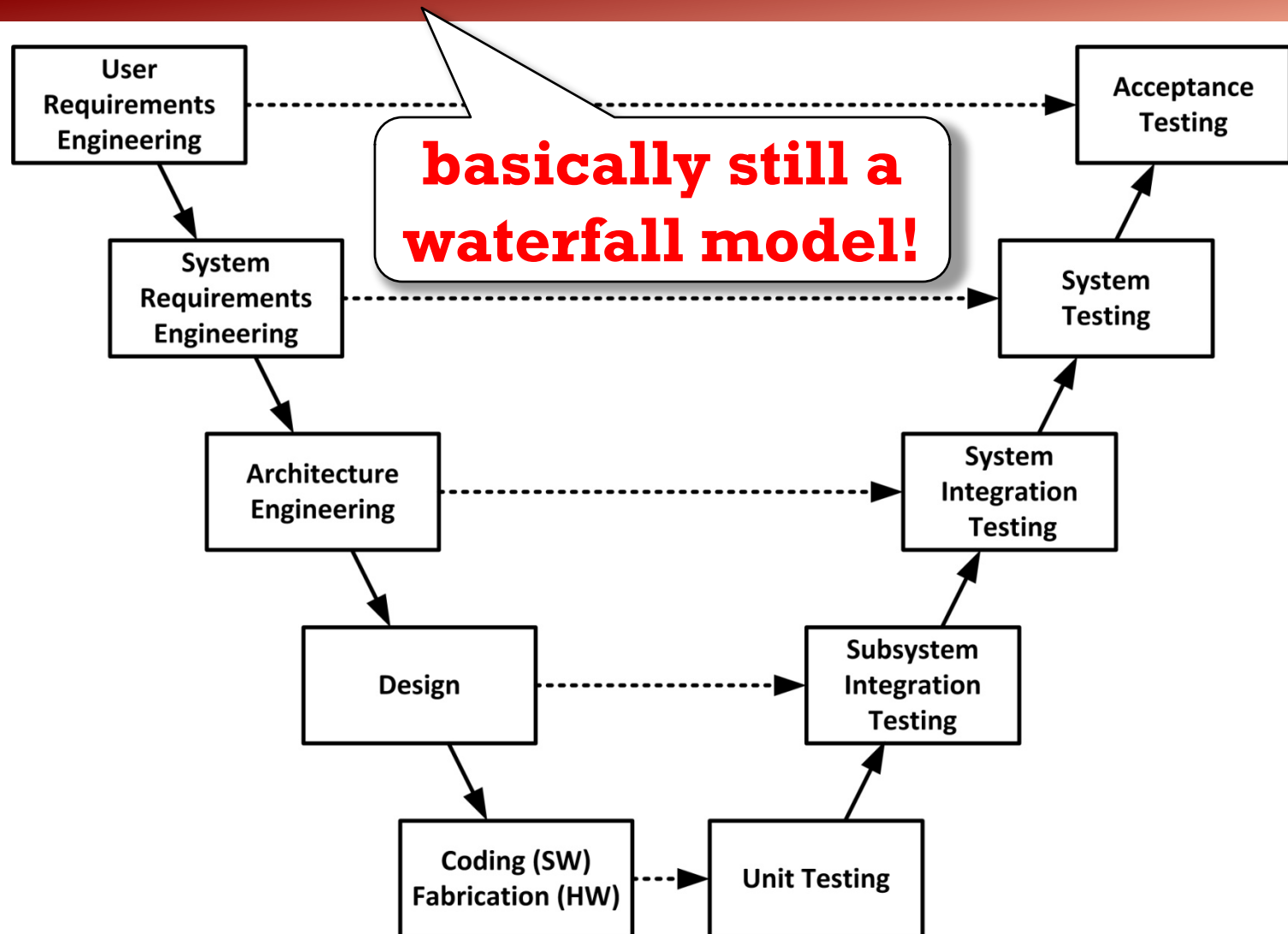
- previous phase can be revisited when problems occur
- **increases flexibility**
- ... but requires more **synchronization of documents**

# MODIFIED WATERFALL MODEL



- previous phase can be revisited when problems occur
- **increases flexibility**
- ... but requires more synchronization of documents
- ...and often more than one earlier phase is affected
- ... and validation is still late

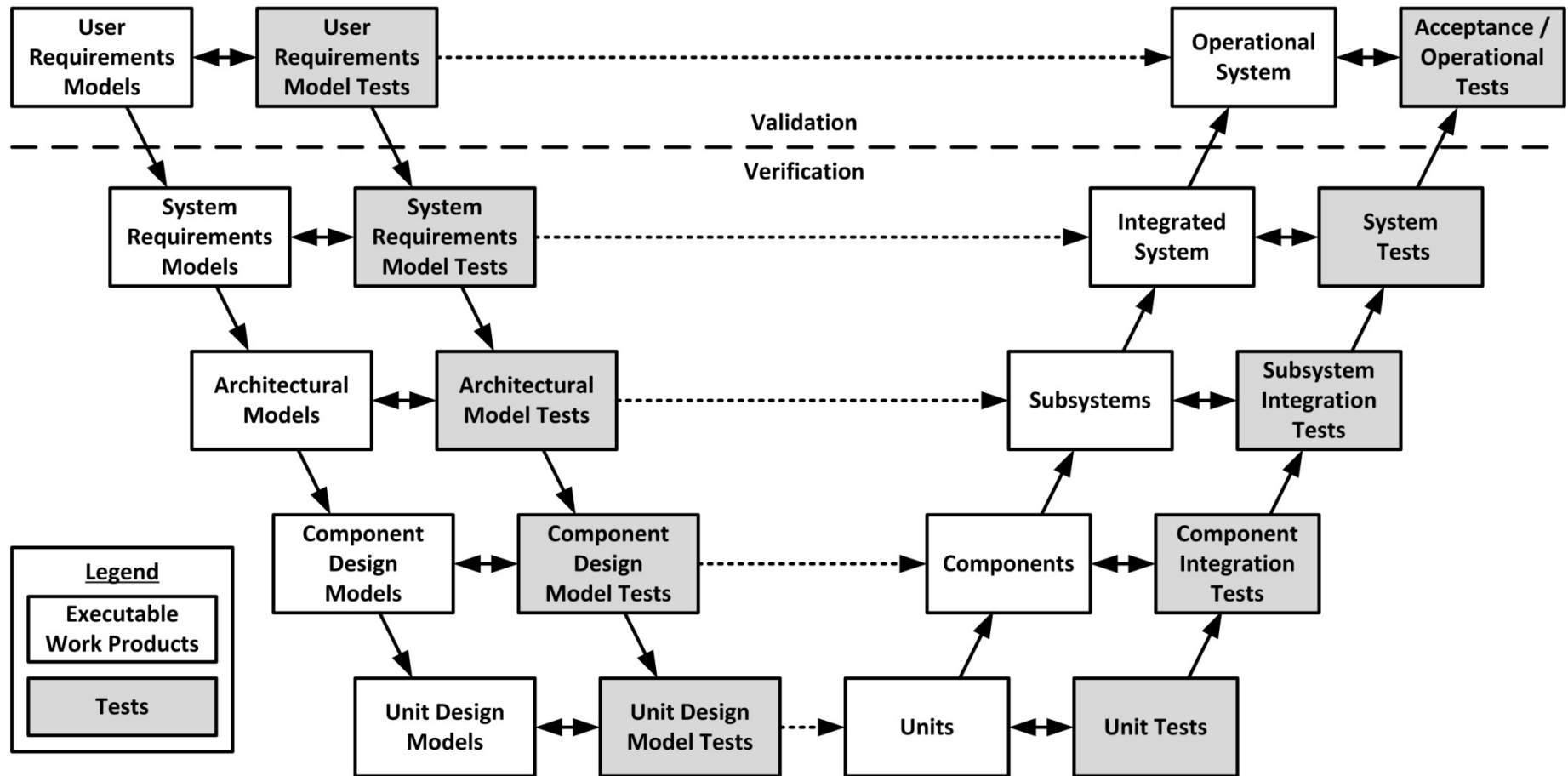
# V-MODEL: MIRROR ALL SPECIFICATION AND DEVELOPMENT STEPS BY VALIDATION STEPS.



Source:

- D. Firesmith: Using V models for testing, <http://blog.sei.cmu.edu/post.cfm/using-v-models-testing-315>

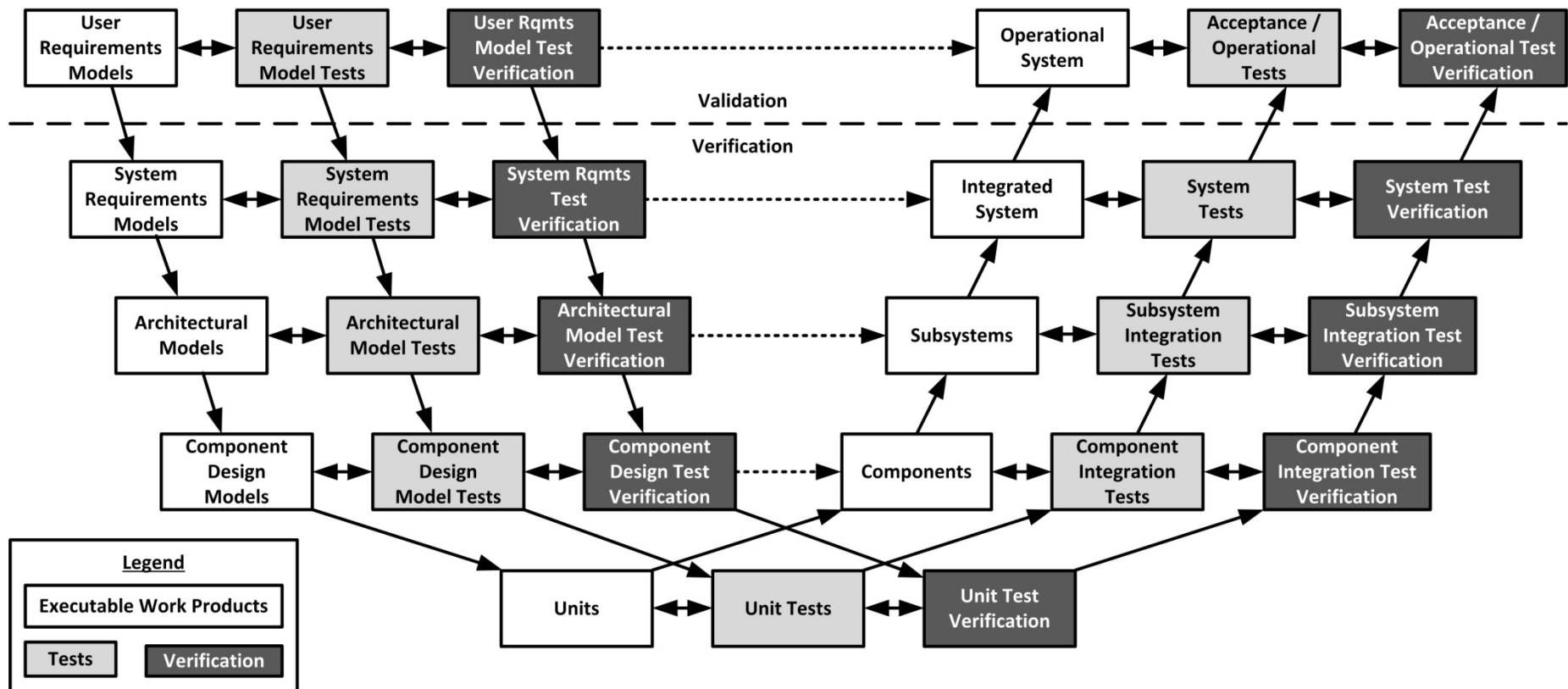
# DOUBLE-V-VEE: USE AND TEST MODELS.



Source:

- D. Firesmith: Using V models for testing, <http://blog.sei.cmu.edu/post.cfm/using-v-models-testing-315>

# TRIPLE-VEE: VERIFY TESTS.



Source:

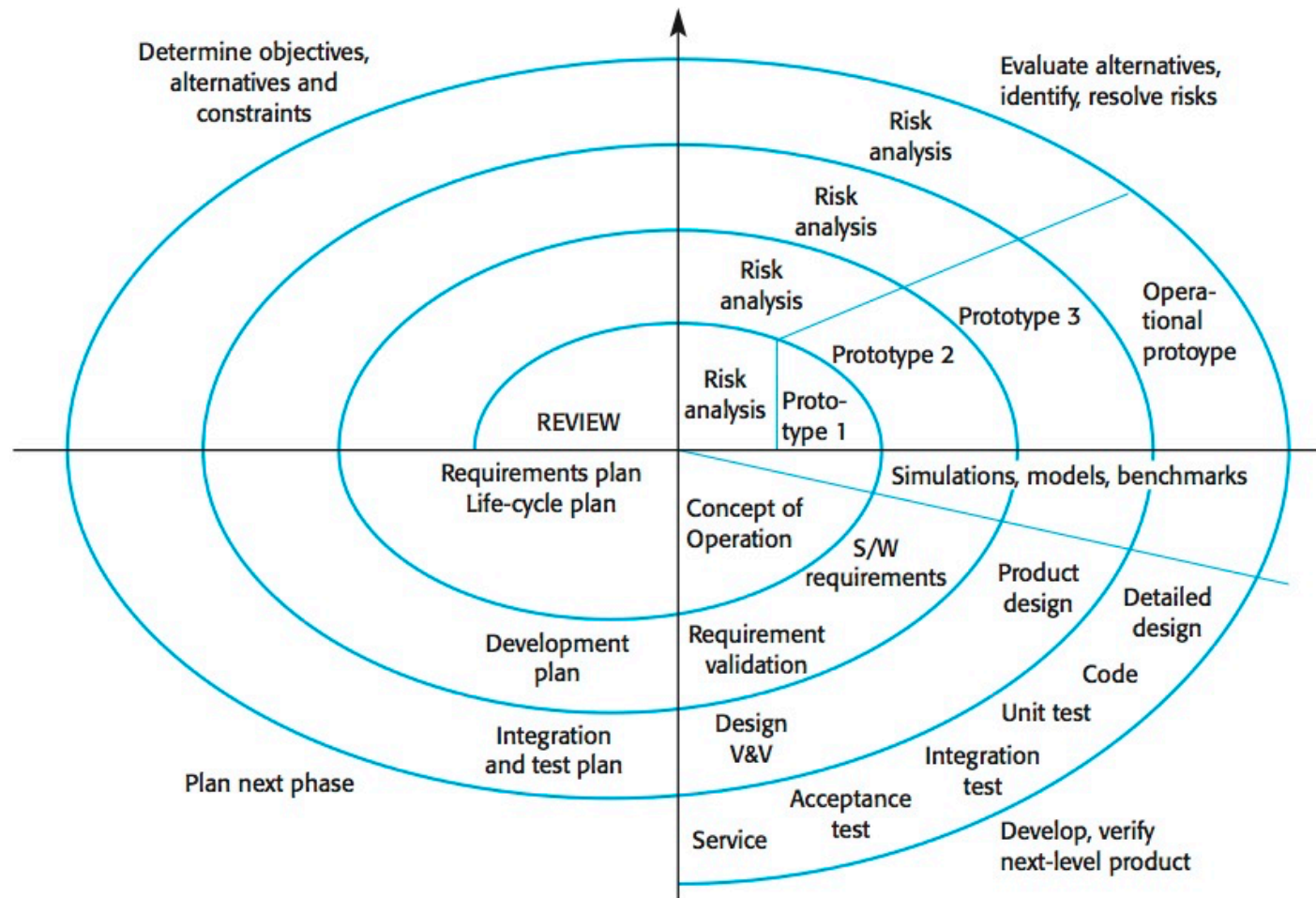
- D. Firesmith: Using V models for testing, <http://blog.sei.cmu.edu/post.cfm/using-v-models-testing-315>

# BIG DESIGN UP-FRONT MODELS (BDUF)

**BDUF** denotes any model where the design is completed and perfected before the implementation is started.

- derived from waterfall model
- suitable for
  - well-understood domains with stable requirements
  - high-reliability systems
- unsuitable for
  - highly complex systems in ill-understood domains
  - changing requirements

# SPIRAL MODEL OF THE SOFTWARE PROCESS

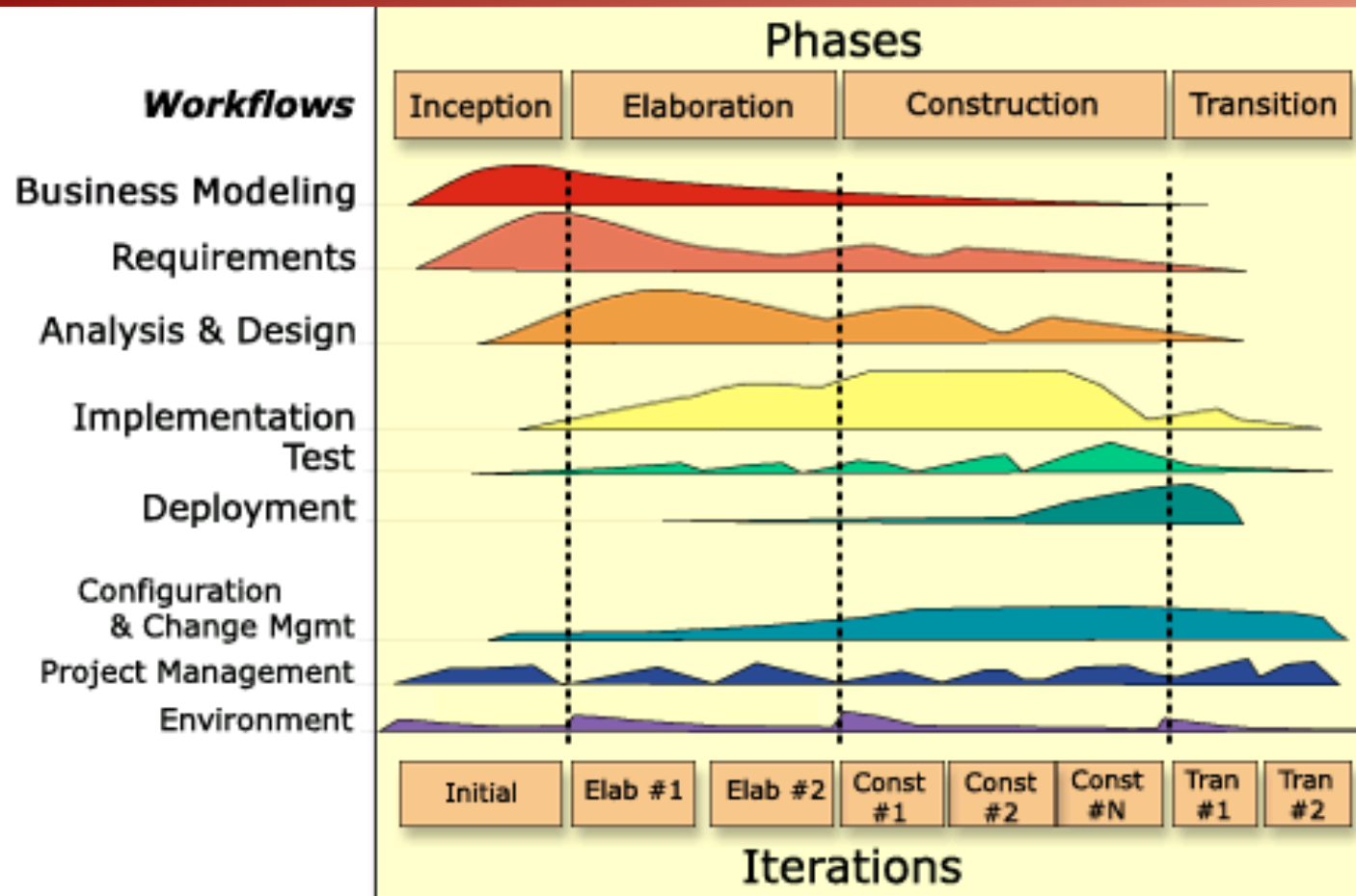


- Risk-driven
- Prototyping
- Expand and Converges

Problem: Some say you “spiral out of control”!



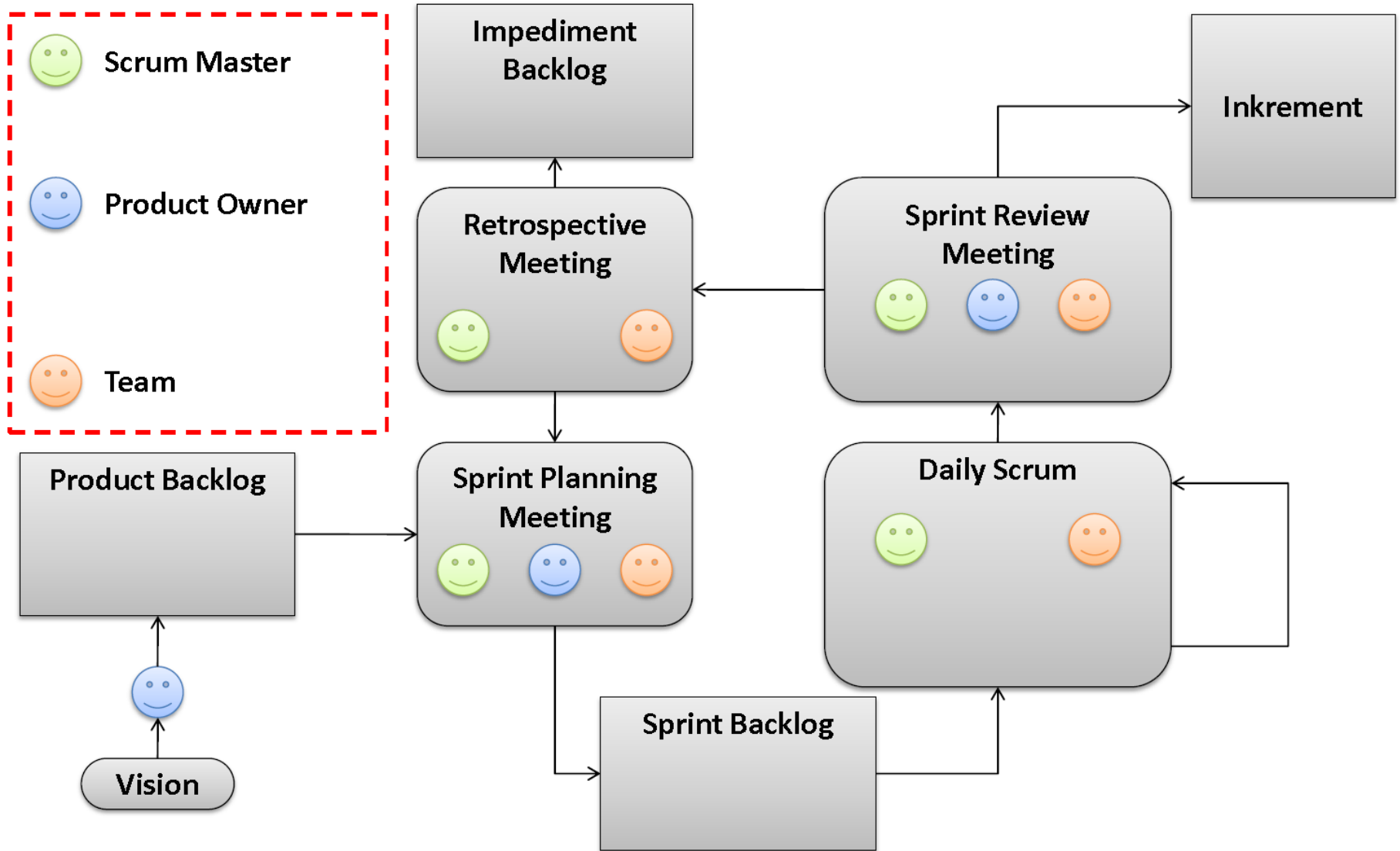
# RATIONAL UNIFIED PROCESS (RUP)



- Pre-Agile popular model, still is widely adopted
- Explicitly identifies Workflows and Transition Phase
- Over-commercialized; accused of being waterfall-ish

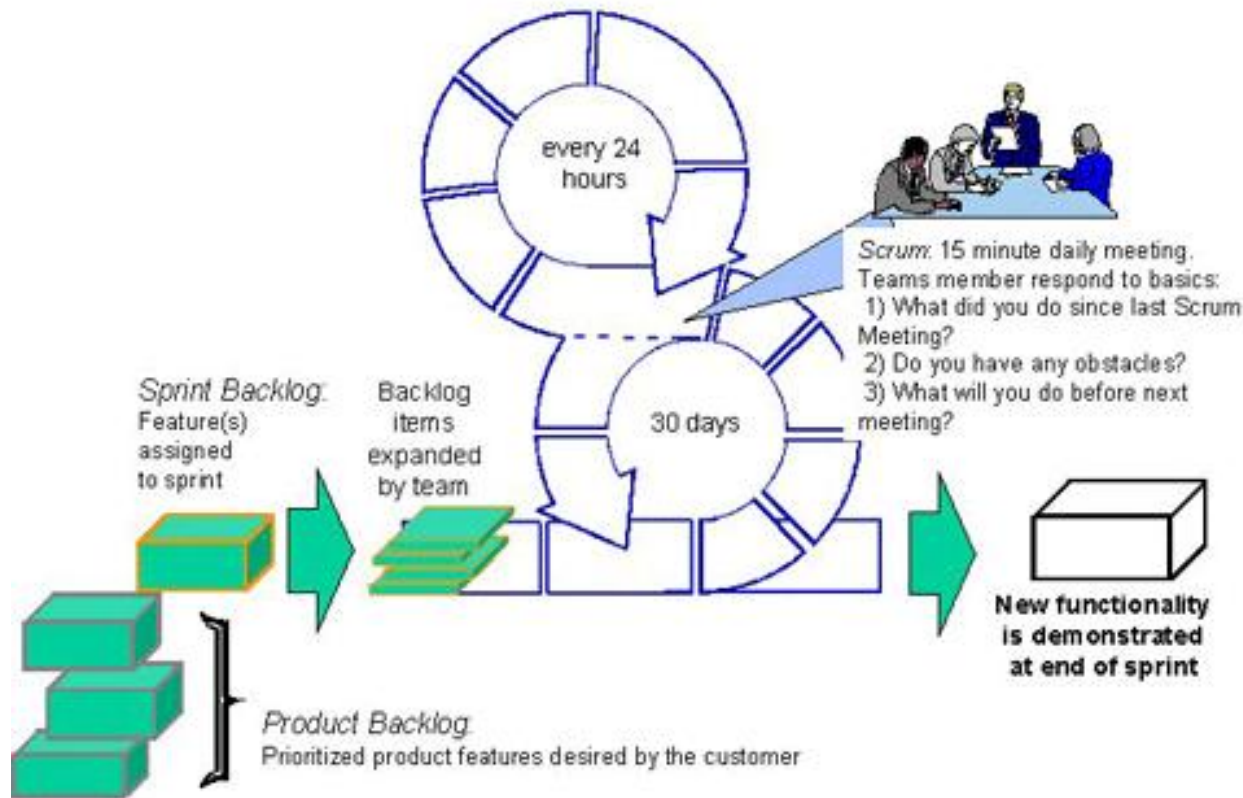


# AGILE EXAMPLES: SCRUM, XP



# AGILE EXAMPLES: SCRUM, XP

*We will use  
Scrum & XP  
in the Spring!*



## Pros:

- Adapts to change
- People-centric
- Fast & light
- Fosters dev buy-in

## Cons:

- Requires good people
- Best small teams

# SUMMARY

- Different distinctions between processes
- Main distinction: **linear** vs. **iterative**
- The linear **waterfall model** is the traditional model
  - good for stable requirements, bad for change
- Adaptions from the Waterfall model to make it more flexible are now often used
- **iterative**, **incremental** Agile methods are often used in new projects nowadays

*We will use a RUP like process (but adjusted to our needs). Agile will be a main topic in SER316*