

# The Serialization Killer Language

Timm Felden

May 23, 2013

## Abstract

This work presents an alternative to various serialization approaches. The proposed serialization mechanism is fast, robust, extensible and easy to use. These goals are achieved by not using a human readable serialized form.

To do (1)

## Acknowledgements

Main critics: Erhard Plödereder and Martin Wittiger.

Additional critics: Dominik Bruhn.

To do (2)

To do (3)

To do (4)

# 1 Motivation

This paper presents an approach to serializing objects, which is tailored for usability, performance and portability. In order to achieve these goals, in contrast to XML, we will sacrifice generality and human readability of the serialized format. Unlike other general serialization mechanisms, we provide explicit support for extension points in the serialized data, in order to provide a maximum of upward compatibility and extensibility.

The primary target of the proposed serialization mechanism are users which have to provide several tools with large amounts of data in a type safe and fast manner, but without the

To do (5)

To do (6)

## 1.1 Related Work

There are many approaches similar to ours, but most of them have a different focus. This section shall provide a concise list of related approaches. For potential users of skill, this might also present alternatives superior for individual use cases.

### XML

XML is a file format and might in fact be used as a backend. If a human readable storage on disk is not required, a binary encoding can be used to improve load/store performance significantly.

In contrast to our approach, XML requires the serialized data to form a tree. In theory, this is not a real problem, because there is at least one canonical transformation, which turns a graph into a tree (by adding a node and some attributes). On the other hand, such a transformation will in most cases take away the readability of the serialized data, which makes XML pointless.

### XML Schema definitions

The description language itself is more or less equivalent to most schema definition languages such as XML Schema . The downside is that schema definitions have to operate on XML and can not directly be used with a binary format. There is also no way to generate code for some client languages, including Ada, from a schema definition.

To do (7)

### JAXP and xmlbeansxx

For Java and C++, there are code generators, which can turn a XML schema file into code, which is able to deal with an XML in a similar way, as it is proposed by this work. In case of Java this is even in the standard library. The downside is, that, to our knowledge, this is only possible for Java and C++, thus it leaves us with portability issues. A minor problem of this approach is the lack of support for comment generation and the inefficient storage of serialized data. An interesting observation is, that this approach deprives XML of its flexibility advantage over our solution.

To do (8)

### ASN.1

Is not powerful enough to fit our purpose.

## **IDL**

A concise description of IDL can be found in . It seems not to be powerful enough and is certainly outdated. It is so old, that there are no bindings for any modern language. There is also not much documentation on further research on that area, thus creating a new approach with similar goals but modern techniques is in fact an option. To do (9)

## **Apache Thrift & Protobuf**

Lacks subtyping. Protobuf has a overly complex notation language. Both seem to be optimized for network protocols, thus they do not have storage pools, which are the foundation of our serialization approach and an absolute requirement for some of our features, such as hints (see section 6).

## **Language Specific**

Language specific is language specific and can therefore not be used to interface between subsystems written different programming languages such as Ada, Java, C or Haskell. Plus not every language offers such a mechanism. E.g. C.

## **Language Interfaces**

Language Interfaces do not permit serialization capabilities. Most language only provide interfaces for C, with varying quality and varying degree of automation. A significant problem are interfaces between languages with different memory models. Interfaces between languages with different type systems are simply unproductive:D

## 2 Syntax

We use the tokens `<id>`, `<string>`, `<int>` and `<comment>`. They equal C-style identifiers, strings, integer literals and comments respectively. We use a comment token, because we want to emit the comments in the generated code, in order to integrate nicely into the target languages documentation system.

### 2.1 The Grammar

The grammar of a Serialization Killer Language (SKill) definition file is defined as:

```
UNIT :=
    INCLUDE*
    DECLARATION*

INCLUDE :=
    ("include"|"with") <string> ";"?

DECLARATION :=
    DESCRIPTION
    <id>
    ((":"|"with"|"extends") <id>)?
    "{" FIELD* "}"

FIELD :=
    DESCRIPTION
    (CONSTANT|DATA) ";"?

DESCRIPTION :=
    (RESTRICTION|HINT)*
    <comment>?
    (RESTRICTION|HINT)*

RESTRICTION :=
    "@" <id> "(" (R_ARG ("," R_ARG)*)? ")"? ";"?

R_ARG := ("% "|<int>|<string>)

HINT := "!" <id> ";"?

CONSTANT :=
    "const" TYPE <id> "=" <int>

DATA :=
    "auto"? TYPE <id>

TYPE :=
    ("map" MAPTYPE
    | "set" SETTYPE
    | "list" LISTTYPE
```

```

|ARRAYTYPE)

MAPTYPE :=
    "<" GROUNDTYPE ("," GROUNDTYPE)+ ">"

SETTYPE :=
    "<" GROUNDTYPE ">"

LISTTYPE :=
    "<" GROUNDTYPE ">"

ARRAYTYPE :=
    GROUNDTYPE
    ("[" (<id>|<int>)? "]" )?

GROUNDTYPE :=
    (<id>|"annotation")

```

Note: The Grammar is LL(1).<sup>1</sup>

Comment: The optional ; at the end of includes or definitions are for convenience only.

## 2.2 Reserved Words

The language itself has only the reserved words **annotation**, **auto**, **const**, **with**, **map**, **list** and **set**.

To do (10)

However, it is strongly advised against using any identifiers which form reserved words in a potential target language, such as Ada, C++, C#, Java, JavaScript or Python.

To do (11)

## 2.3 Examples

Listing 1: Running Example

```

/* * A source code location. */
SLoc {
    i16 line;
    i16 column;
    string path;
}

Block {
    SLoc begin;
    SLoc end;
    string image;
}

```

<sup>1</sup>In fact it can be expressed as a single regular expression.

```

IfBlock : Block {
    Block thenBlock;
}

ITEBlock : IfBlock {
    Block elseBlock;
}

```

### Includes, self references

#### Listing 2: Example 2a

```

with "example2b . skill"

A {
    A a;
    B b;
}

```

#### Listing 3: Example 2b

```

with "example2a . skill"

B {
    A a;
}

```

### Unicode

The usage of non ASCII characters is completely legal, but discouraged.

#### Listing 4: Unicode Support

```

/* some arguably legal unicode characters. */
ö {
    ö ∀;
    ö €;
}

```

## 3 Semantics

This section will describe the meaning of individual keywords.

### 3.1 Includes

The file referenced by the `with` statement is processed as well. The declarations of all files reachable over `with` statements are collected, before any declaration is evaluated.

### 3.2 `annotation`

The type has a tag and a size, which allows it to be inserted at any annotation locations. This is useful in order to provide extension points in the file format. The file will still be readable by older implementations, which are not able to map any meaningful type into the annotation. A language binding is expected to provide something like an annotation proxy, which is used to represent annotation objects. If an application tries to get the object behind the proxy for an object of an unknown type, this will inevitably result in an error or exception. Therefore language bindings shall provide means of inspecting whether or not the type of the object behind an annotation is known.

As we will see in section 8, annotations are roughly equivalent to the type definition

```
annotation {  
    v64 baseTypeName;  
    v64 basePoolIndex;  
}
```

Of course, this is made transparent to the user and some language bindings will offer a special and type safe treatment of annotations.

### 3.3 Sub Types

A sub type of a user type can be declared by appending the keyword `with` and the super types name to a declaration. In order to be well-formed, the sub type relation must remain acyclic and must not contain unknown types.

### 3.4 `const`

A `const` field can be used in order to create guards or version numbers, as well as overwriting deprecated fields with e.g. zeroes. The deserialization mechanism has to report an error if a constant field has an unexpected value.

### 3.5 `auto`

The language binding will create a field with the given type, but the content is transparent to the serialization mechanism. This is useful if the inference of the content of a field is likely to be faster than storing it, e.g. if it can be inferred lazily.

### 3.6 Abstract Data Types

Abstract Data Types (ADTs) showed to be useful and to increase the usability and understandability of the resulting code and file format.

ADTs are represented using arrays and pairs.

The type system has a built-in notion of arrays, maps, lists and sets. Note that all of them are, from the view of serialization, equivalent to length encoded arrays. Their purpose is to increase the usability of the generated Application Programming Interface (API).

### 3.7 Comments

Comments provided in the skill file will be emitted into the generated code<sup>2</sup>, thus allowing a user to get tool-tips in his IDE showing him this documentation.

## 4 The Type System

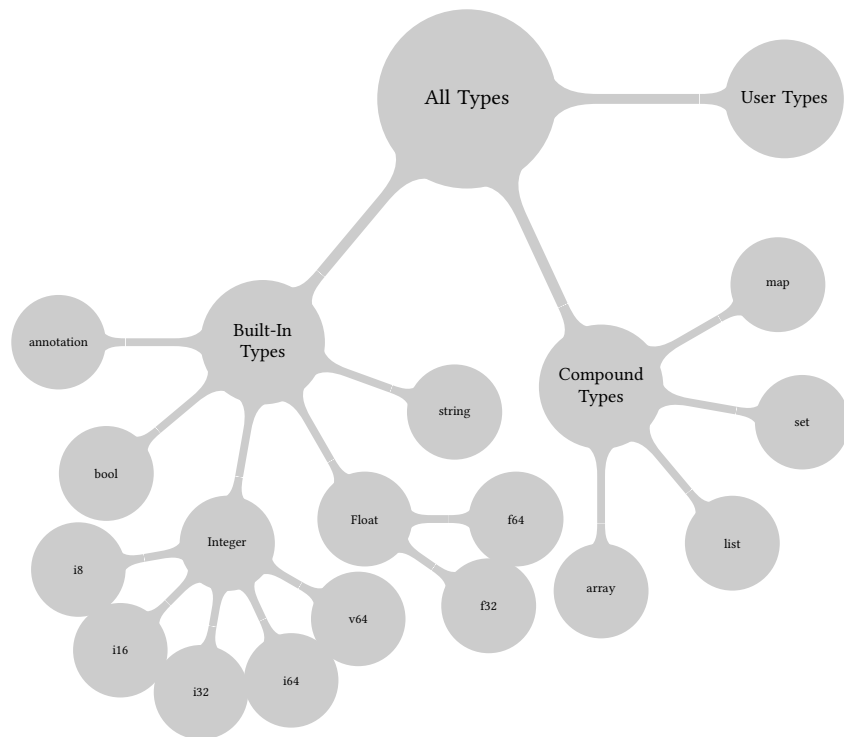


Figure 1: Layout of the Type System

User types can be seen as nonempty tuples over all types. Built-in types can be wrapped in order to give them special semantics. E.g. a time stamp can be created by:

Listing 5: Time

<sup>2</sup>If the target language does not allow for C-Style comments, the comments will be transformed in an appropriate way.



```
time {
  /** seconds since 1.1.1970 0:00 UTC. */
  i64 date;
}
```

## Common Abbreviations

We will use some common abbreviations for sets of types in the rest of the manual:

Let ...

...  $\mathcal{T}$  be the set of all types.

...  $\mathcal{U}$  be the set of all user types.

...  $\mathcal{I}$  be the set of all integer types, i.e.  $\{\text{i8}, \text{i16}, \text{i32}, \text{i64}, \text{v64}\}$ .

...  $\mathcal{B}$  be the set of all built-in types.

### 4.1 Legal Types

The given grammar of SKill already ensures that intuitive usage of the language will result in legal type declarations. The remaining aspects of illegal type declarations boil down to ill-formed usage of type and field names and can be summarized as:

- Field names inside a type declaration must be unique inside the type and all its super types<sup>3</sup>.
- The subtype relation is a partial order<sup>4</sup> and does not contain unknown types.
- For all fields  $f$  of dependent array type<sup>5</sup>, the size of the array has to denote a field of integer type in the very same declaration. The order of declaration is irrelevant.
- Any base type has to be known, i.e. it is either a ground type or it is a user type defined in any document transitively reachable over include commands.

### 4.2 Type Order

Let  $<_l$  be the lexical order. We define a partial order  $\leq_t$  on  $\mathcal{T}$  as follows:

- $\forall t \in \mathcal{B}, s \in \mathcal{T} \setminus \mathcal{B}. t \leq_t s$
- $\forall t \in \mathcal{C}, s \in \mathcal{U}. t \leq_t s$
- $\forall s, t \in \mathcal{U}. t \leq_t s \leftarrow s <: t^6$
- $\forall s, t \in \mathcal{U}. t \leq_t s = t \leq_l s \leftarrow \exists S \in \mathcal{U} \cup \{\perp\}. t <: S \wedge s <: S^7$

<sup>3</sup>The super type restriction may in fact be dropped?

<sup>4</sup>In fact it forms a forest.

<sup>5</sup>E.g. a field  $t[\text{size}]$  requires another field of integer type in the same declaration – e.g. `i8 size`

<sup>6</sup>This is *super types first*.

<sup>7</sup>Types with the same or no supertype are order lexically.

The informal short description is, first ground types, then compound types and user types at the end, where the forest of user types maintains its structure but is order using the lexical order of type names.

Notice, that this order corresponds to an left to right order in the types overview picture.

The missing order of compound types is left away intentionally, because it allows for the exchange of some type definition after publishing a format, e.g. `t [] f` can be exchanged with `list<t> f`.

### 4.3 Strings

Strings are conceptually a variable length sequence of utf8-encoded unicode characters. The in memory representation will try to make use of language features such as `java.lang.String` or `std::u16string`. The serialization is described in section ???. If a language demands 0-termination in strings, the language binding will ensure this.

Strings should not contain 0 characters, because this may cause problems with languages such as C.

### 4.4 Compound Types

The language offers several compound types. Sets, Lists and auto sized Arrays, i.e. arrays without an explicit size, are basically views onto the same kind of serialized data, i.e. they are a length encoded list of elements of the supplied base type. Arrays are expected to have a constant size, i.e. they are not guaranteed to be resizable. Sets are not allowed to contain the same element twice. All ADTs will be mapped to their closest representation in the target language, while preserving these properties. Maps are viewed as a representation of serializable partial functions. Therefore they can contain other map types as their second type argument, which is basically an instance of currying.

### 4.5 NULL Pointer

The null pointer is serialized using the index 0. Conceptually, null pointers of different types are different. In fact if an annotation is a null pointer, it still has a type. However, this detail should not be observable in most languages.

### 4.6 Examples

This section will present some examples of ill-formed type declarations and brief explanations.

#### Listing 6: Legal Super Types

```
EncodedString : string {  
    string encoding;  
}
```

Error: The built-in type “string” can not be sub classed.

## 5 Restrictions

Some invariants can be added to declarations and fields. These invariants can occur at the same place as comments, but can occur in any number. Invariants start with an @ followed by a predicate. Each predicate has to supply a default argument %, such that using only default arguments would not imply a restriction. If multiple predicates are annotated, the conjunction of them forms the invariant. The set of legal predicates is explained below.

If predicates, which are not directly applicable for compound types are used on compound types, they expand to the contents of the compound types, if applicable. Otherwise the usage of the predicate is illegal.

To do (16)

### AsField

maps:

In verbindung mit singletons kann man map-felder API-seitig zu feldern der interfaces machen!:)

Dadurch können sich einzelne tools felder ein und ausblenden, die dann zu maps serialisiert werden.

EP fordert, dass es einen Mechanismus gibt, der es einem erlaubt im nachhinein felder einzubauen;

Dieser Mechanismus erlaubt es einem zu jedem Zeitpunkt felder einzublenden; die gefahr ist hier, dass die Declarationen sehr unübersichtlich werden!

### Range

Range restrictions are used to restrict integers and floats.

Applies to fields: Integer, Float.

Signature: `range(min, max):  $\alpha \times \alpha \rightarrow bool$`

Defaults: obvious.

#### Listing 7: Examples

```
natural {
  @range(0, %)
  v64 data;
}
positive {
  @range(1, %)
  v64 data;
}
nonNegativeDouble {
  @range(0, %)
  f64 data;
}
```

### NonNull

Declares that an indexed field may not be null.

Applies to Field: Any indexed Type.

Signature: `nonnull()`  
Defaults: none.

#### Listing 8: Examples

```
Node {  
    @nonnull Node[] edges;  
}
```

## Unique

Objects stored in a storage pool have to be distinct in their serialized form, i.e. for each pair of objects, there has to be at least one field, with a different value.

NOTE: This can cause difficulties in combination with sub-classing, because the uniqueness property must hold even on the part restricted to the topmost class declared to be unique.

Applies to Declarations of indexed types.

Signature: `unique()`  
Defaults: none.

#### Listing 9: Examples

```
@unique Operator {  
    string name;  
}  
@unique Term {  
    Operator operator;  
    Term[] arguments;  
}
```

## Singleton

There is at most one instance of the declaration.

Applies to Declarations.

Signature: `singleton()`  
Defaults: none.

#### Listing 10: Examples

```
@singleton System { ... }  
@singleton Data {  
    /** Note: if data would not be a singleton itself, it  
        is likely to violate the singleton property */  
    System foo;  
}
```

## Ascription

A language specific type can be ascribed to a field. The type has to be compatible to the fields actual type, because the ascription will not change the ABI in any way. The

first argument is the language name. The second type is generator dependent, but should be related to types as they occur in local variable or field declaration in the respective language.

Although this kind of restriction puts a heavy burden on the language generator and decreases readability a lot, it can be used to increase the usability of the generated interface a lot, because language features such as enums in Java or unions and bitfields in C++ can be used.

Applies to fields.

Signature: `as(language, type): string × string → {}`

Defaults: not allowed.

#### Listing 11: Examples

```
System {
  /*
    The language binding makes use of an enumeration,
    which is supplied with the generated code.

    The C++ interface will use the different type using
    C-Casts to convert between the two types (which is
    completely fine if the enum uses char as a base
    type).

    The Java interface will assume the stored integer to
    be the ordinal of the enum SystemState.
  */
  @as("C++", "ccast_SystemState")
  @as("Java", "enum_SystemState")
  i8 state
}
```

## Tree

The reference graph below created by objects of this type forms a tree. The type of the objects is irrelevant. Strings and fields with `notree` annotation, are not taken into account.

Applies to Declarations or Field.

Signature: `tree()`

Defaults: none.

## notree

Applies to field.

Signature: `notree()`

Defaults: none.

#### Listing 12: Examples

```
Sloc { ... }
@tree
SyntaktikEntity {
```

```

    /** not a tree, because several entities, might share
        them */
    @notree Sloc sloc;

    SyntaktikEntity [] children;
}
Routine {
    @notree
    Routine [] callers;
    @tree
    Routine [] dominators;
}

@tree
File {
    File [] children;
    /** several files could have the same name,
        but strings are implicitly @notree */
    string name;
    string content;
}

```

Note: In case of the File example, there is no way to violate the tree property. Note: It is legal for trees to form forests.

## 6 Hints

Hints are annotations that start with a single ! and are followed by a hint name.

### Access

Try to use a data structure that provides fast (random) access. E.g. an array list.

### Modification

Try to use a data structure that provides fast (random) modification. E.g. an linked list.

### Unique

Serialization shall unify objects with exactly the same serialized form. In combination with the @unique restriction, there shall at most be an error reported on deserialization.

### Distributed

Use a static map instead of fields to represent fields of definitions. This is usually an optimization if a definition has a lot of fields, but most use cases require only a small subset of them. Because hints do not modify the binary compatibility, some clients are likely to define the fields to be distributed or lazy.

To do (17)

**Lazy**

Deserialize the fields data only if it is actually used. Lazy implies distributed.

**Ignore**

The generated code is unable to access the respective field or any field of the type of the target declaration. This will lead to errors, if it is tried nonetheless. This option is provided to allow clients to reduce the memory footprint, if needed.

## 7 Extensibility and Canonical Field Order

Extensibility is an important property. In this section, we develop a normal form of SKILL definitions, which will yield a file format robust against modification. We will describe the effect of some changes.

To do (18)

### 7.1 Equality of Field Names

Field names are equal, if their lexical representation is equal after converting all characters to lower case. Type declarations must not contain fields with equal names.

### 7.2 Canonical Field Order

A declaration is in canonical field order, if all fields are in type order and fields with the same or uncomparable type order are sorted in lexical order.

The type order relation is motivated by properties of compound types. The lexical order is motivated by the observation, that this order does not come with a cost, but provides some additional robustness against changes in definitions.

### 7.3 Partial Types

Objects can have partial types, if a part or the whole type of an object is unknown to the current binding<sup>8</sup>. It is important to understand that these objects can, under no circumstances, be deleted. If partial objects are encountered, one of the following actions should be taken (ordered by safety):

- Serialization should be forbidden, i.e. the data is read-only.
- Only new objects may be added, thus the unknown objects can not be corrupted. This may however break an invariant of an unknown type.
- Only known objects will be serialized and all other objects will be discarded. This however is not an option for a lot of users.

### 7.4 Sources of Incompatibility

This section is to provide a concise list of changes and their effects on API and ABI compatibility.

- A change of the organization of input files or the order of their definition has no effect.
- The addition of new declarations has no effect.
- A change regarding comments has no significant effect.
- A change in restrictions of any kind may break the API, potentially depending on the target programming language. It will most certainly change the set of legal files.

---

<sup>8</sup>A problem that will arise frequently in the context of downward compatibility.



- A change of hints shall have no significant effect, although some applications can stop working after a change of hints, e.g. if they access fields which are annotated with `!ignore`.
- Inserting or removing the keyword `const` may break compatibility. To do (19)
- Changing the value of a constant will break the ABI.<sup>9</sup>
- Inserting or removing the keyword `auto` will break ABI, but not the API.
- The presence of objects with unknown (sub)type with pointers to objects of known type may corrupt a file if it is written. This is even the case for annotations. It is therefore suggested, not to use pointers to existing objects, but to subtype them and to annotate objects in this fashion, because the data can be carried around correctly, even if the complete type of the object is not known. Another approach would be to disallow writing of such files.
- Any change of the structure of existing declarations, i.e. changing the modifier, adding or removing fields, etc., will break compatibility as a whole.

---

<sup>9</sup>Which is btw. the very purpose of constants.

## 8 Serialization

This section is about representing objects as a sequence of bytes. We will call this sequence *stream*, its formal Type will be named  $S$ , the current stream will be named  $s$ . We will assume that there is an implicit conversion between fixed sized integers<sup>10</sup> and streams. We also make use of a stream concatenation operator  $\circ : S \times S \rightarrow S$ .

This section assumes, that all objects about to be serialized are already known. It further assumes, that their types and thus the values of the functions (i.e. `baseTypeName`, `typeName`, `index`, `[[_]]`) explained below can be easily computed.

### 8.1 Steps of the Serialization Process

In general it is assumed that the serialization process is split into the following steps:

1. All objects to be serialized are collected. This is usually done using the transitive closure of an initial set.
2. The items are organized into their storage pools, i.e. the index function is calculated.
3. The output stream is created as described below.

### 8.2 Reflection

To do (20)

so funktioniert die serialisierung des reflection pools (indices beschreiben den serialisierten typ, falls dieser unklar ist):

- $\llbracket REF \rrbracket = \llbracket n \rrbracket_{v64} \llbracket DECL_1 \rrbracket \circ \dots \circ \llbracket DECL_n \rrbracket$
- $\llbracket DECL \rrbracket = \llbracket DESC \rrbracket \llbracket name \rrbracket \llbracket superName \rrbracket \llbracket n \rrbracket_{v64} \llbracket FIELD_1 \rrbracket \circ \dots \circ \llbracket FIELD_n \rrbracket$ <sup>11</sup>
- $\llbracket HINT \rrbracket = \emptyset$
- $\llbracket comment \rrbracket = \emptyset$
- $\llbracket RESTRICTION \rrbracket = \emptyset$  iff.  $id = \perp$
- $\llbracket RESTRICTION \rrbracket = \llbracket id \rrbracket_{v64} \llbracket ARG_1 \rrbracket_{string} \circ$  iff.  $id \neq \perp$ <sup>12</sup> To do (21)
- $\llbracket FIELD \rrbracket = \llbracket DESC \rrbracket \llbracket TYPE \rrbracket \llbracket name \rrbracket$
- $\llbracket TYPE \rrbracket = \text{const} \Rightarrow id \circ \llbracket val \rrbracket_{TYPE}$
- $\llbracket TYPE \rrbracket = \varepsilon i. i \in [0, 14] \wedge i = id(TYPE)$  To do (22)
- $\llbracket T[i] \rrbracket = 15 \circ \llbracket i \rrbracket_{v64} \circ \llbracket T \rrbracket$
- $\llbracket T[f] \rrbracket = 16 \circ \llbracket f.index \rrbracket_{v64} \circ \llbracket T \rrbracket$
- $\llbracket T[] \rrbracket = 17 \circ \llbracket T \rrbracket$
- $\llbracket \text{list} < T > \rrbracket = 18 \circ \llbracket T \rrbracket$

<sup>10</sup>As well as between fixed sized floating point numbers, because we define them to be IEEE-754 encoded 32-/64-bit sequences.

<sup>11</sup>Actually, only the serializable restrictions are taken from the DESC-part.

<sup>12</sup>eine tabelle mit IDs findet sich im Anhang

- $\llbracket \text{set} < T > \rrbracket = 19 \circ \llbracket T \rrbracket$
- $\llbracket \text{map} < T_1, \dots, T_n > \rrbracket = 20 \circ \llbracket n \rrbracket_{v64} \circ \llbracket T_1 \rrbracket \circ \dots \circ \llbracket T_n \rrbracket$
- $\llbracket T \rrbracket = \llbracket T.reflIndex + 21 \rrbracket_{v64}$

### 8.3 Storage Pools

To do (23)

```
v64 upperHalfCount
utf8[upperHalfCount] [] significantStrings
[[reflectionPool]]
v64 lowerHalfSizeBytes
i8[lowerHalfSizeBytes] insignificantStrings

[[ordinary pools]]
```

This allows for reading insignificant strings lazily.

To do (24)

This section contains the description of the high level file layout and gives meaning to the functions  $baseTypeName : \mathcal{U} \rightarrow S$ ,  $typeName : \mathcal{U} \rightarrow S$  and  $index : \mathcal{U} \cup \{\text{string}\} \rightarrow S$ .

The basic idea behind the serialization format is to store the data grouped by type into storage pools. If objects are referred to from other objects, those references are usually given as integer, which is interpreted as index into the respective storage pool.

Because we will identify types by their name in human readable form, the first pool has to be the string pool. Because it is the first pool and the only pool, which stores objects of a built in type directly, it has a special layout. It starts with its size and is followed by exactly as many zero encoded utf8 strings. In a SKILL inspired representation, this would look like: <sup>13</sup>

```
v64 size;
utf8[size] stringPool;
```

Now, as we have the first pool, we can explain the *index* function. All objects are stored concise pools, which basically can be seen as arrays of these objects. The index function returns the index of the objects, starting with the index 1<sup>14</sup> for the first object. The NULL pointer is represented by the index 0.

Because we want to allow for full reflection capabilities, but we do not want to force users to pay for the mechanism, if they do not require it, we spend a byte to indicate whether the required data is present or not. The data is stored as the last object in the string pool. In skill this part would be:

```
bool lastStringIsDefinition;
```

The remaining part is the serialization of the remaining nonempty storage pools. First, these pools are sorted in ascending type order. Then the fields defined in the type stored in the pool are stored. Each pool keeps a start index, which allows for the reconstruction of the complete object. A short example shall illustrate the basic

<sup>13</sup>Note that the utf8 type is not predefined in skill; it is used to distinguish between the serialization of a string typed field and the very string object.

<sup>14</sup>This is a bit unfortunate, because it may cause some bugs in language binding generators, but using the v64 encoding, it is important to save the 0 for the NULL pointer, as it is encoded with 1 byte instead of 9 bytes as it is the case for -1, which would be the most obvious alternative.

concept. It contains five types A,B,C,D and N. Each has a single field of type  $\tau$  which is used to simplify the representation. The type information for the objects in the base type pool can be inferred from the data stored in the pools using the links between the base type pool and the subtype pools (The base type start index (BPSI) field of pools with a super type – shown as arrows). For the sake of readability, the name, size and count fields are omitted in the picture.

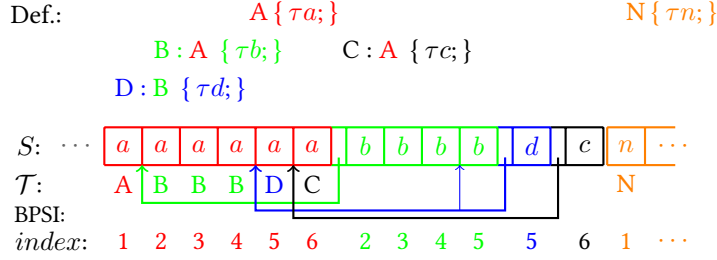


Figure 2: The serialization scheme used to store objects into pools.

Note, that the pool of D comes in front of the pool of C, because it is smaller according to the type order.

This leads to the serialization of a pool as:

```
v64 poolName
option(v64 basePoolStartIndex; iff has superType)
v64 sizeCount
v64 sizeBytes
T[sizeCount] elements
```

The poolName is an index into the string pool and points to the type name stored in the pool.

The sizeCount contains the number of elements in the pool. This is required in order to move objects of an unknown subtype. It does also simplify the deserialization process.

The basePoolStartIndex is the index of the first element in the base types pool.

A concise description of the file layout could look like this:

```
v64 size
utf8[size] stringPool
[TODO ...]

while(next!=EOF){
  v64 poolName
  option(v64 basePoolStartIndex; iff has superType)
  v64 sizeCount
  v64 sizeBytes
  [[ T[sizeCount] elements ]]
}
```

An interesting observation about this encoding is, that the shortest legal file consists of two bytes, which are both zero. Although the encoding makes use of various invariants it provides some means of error detection, as explained in the appendix.

## 8.4 Pool Elements

Let  $\llbracket \_ \rrbracket : \mathcal{T} \rightarrow S$  be the translation function, which serializes a field of an object into a stream. The serialization of an objects takes places by serializing all its fields in canonical field order into the stream. In this section, we assume that the three functions defined in the last section are implicitly converted to streams using the v64 encoding. We assume further, that compound types provide a function  $size : \mathcal{T} \rightarrow \mathcal{I}$ , which returns the number of elements stored in a given field. Let  $f$  be a field of type  $t$ , then  $\llbracket f \rrbracket$  is defined as<sup>15</sup>

- $\forall t \in \mathcal{U} \cup \{\mathbf{string}\}. \llbracket \text{NULL} \rrbracket = 0x00$
- $\forall t \in \mathcal{U} \cup \{\mathbf{string}\}. \llbracket f \rrbracket = index(f)$
- $t = \mathbf{annotation} \implies \llbracket \text{NULL} \rrbracket = 0x00 \circ 0x00$ <sup>16</sup>
- $t = \mathbf{annotation} \implies \llbracket f \rrbracket = baseTypeName(f) \circ index(t)$  To do (25)
- $t = \mathbf{bool} \implies \llbracket \top \rrbracket = 0xFF \wedge \llbracket \perp \rrbracket = 0x00$
- $\forall t \in \mathcal{I} \setminus \{\mathbf{v64}\}. \llbracket f \rrbracket = f$
- $\forall t \in \{\mathbf{v64}\}. \llbracket f \rrbracket = encode(f)$ <sup>17</sup>
- $\forall t \in \{\mathbf{f32}, \mathbf{f64}\}. \llbracket f \rrbracket = f$ <sup>18</sup>
- $\forall g \in \mathcal{B}, n \in \mathbb{N}^+. t = g[n] \implies \llbracket f \rrbracket = \llbracket f_0 \rrbracket \circ \dots \circ \llbracket f_{n-1} \rrbracket$
- $\forall g \in \mathcal{B}, s \in \mathcal{I}, s \text{ size}^{19}. t = g[size] \wedge size \geq 0 \implies \llbracket f \rrbracket = \llbracket f_0 \rrbracket \circ \dots \circ \llbracket f_{size-1} \rrbracket$ <sup>20</sup>
- $\forall g \in \mathcal{B}, n = size(f). t \in \{g[], \mathbf{set}<g>, \mathbf{list}<g>\} \implies \llbracket f \rrbracket = \llbracket n \rrbracket \circ \llbracket f_0 \rrbracket \circ \dots \circ \llbracket f_{n-1} \rrbracket$
- Maps are serialized from left to right by serializing the keyset and amending each key with the map structure which it points to. In case of Maps with two types, this is equal to a list of key value tuples. A field of type  $\mathbf{map}<\mathbf{T}, \mathbf{U}, \mathbf{V}>$  is serialized using a schema  $\llbracket size(f) \rrbracket \circ \llbracket f.t_1 \rrbracket \circ \llbracket size(f[t_1]) \rrbracket \circ \llbracket f[t_1].u_1 \rrbracket \circ \llbracket f[t_1].u_1 \rrbracket \circ \llbracket f[t_1].u_2 \rrbracket \circ \dots \circ \llbracket size(f[t_2]) \rrbracket \circ \dots \circ \llbracket f[t_n].u_m \rrbracket$

## 9 Deserialization

Deserialization is mostly straight forward.

The general strategy is:

- the string pool is deserialized and a map from index to strings is created.
- the type structure is reconstructed while the pools are copied into memory
- objects are reconstructed

<sup>15</sup>We will use C-Style hexadecimal integer literals for integers in streams.

<sup>16</sup>the first value is not important and may change in the future; it results from the encoding requiring a type for the null pointer.

<sup>17</sup>With encode as defined in listing 17.

<sup>18</sup>Assuming the float to be IEEE-754 encoded, which allows for an implicit bit-wise conversion to fixed sized integer.

<sup>19</sup>As stated above, size must be a field of the same declaration as f.

<sup>20</sup>Note that this is the only case where the encoded field does not append anything to the stream.

## 10 API

The generated API has to be designed in a fashion that integrates nicely with the languages programming paradigms. E.g. in Java it would be most useful to create a state object, which holds state of a bunch of serializable data and provides iterators over existing objects, as well as factory methods and methods to remove objects from the state object. The serialized types can be represented by interfaces providing getters, setters, using hidden implementations, only known to the state object.

talk about the generated API and its features, like iterators, factories, access to singletons and stuff.

### 10.1 Examples

Nice example in C++:

Listing 13: C++ Examples

```
#include <stdint.h>
#include <string>
[...some other boilerplate includes...]
struct SLoc {
    uint16_t line;
    uint16_t column;
    std::string* path;
};
struct Block {
    std::string* tag;
    SLoc* begin;
    SLoc* end;
    std::string* image;
};
struct IfBlock : public Block {
    Block thenBlock;
};
struct ITEBlock : public IfBlock {
    Block elseBlock;
};
[...
    plus some boilerplate code for visitors, iostreams etc.
...]
```

Listing 14: Java Examples

```
class SLoc {
    public short line;
    public short column;
    public String path;
}
class Block {
    final public String tag() {
```

```

        return this.getClass().getName();
    }
    public SLoc begin;
    public SLoc end;
    public String image;
}
class IfBlock extends Block {
    public Block thenBlock;
}
class ITEBlock extends IfBlock {
    public Block elseBlock;
}
[...some read and write code, plus some visitors...]

```

#### Listing 15: LaTeX Examples

```

$(line, column, path) \in SLoc
\subsetq \mathbb{Z} \times \mathbb{Z} \times string$

$(begin, end, image) \in Block
\subsetq SLoc \times SLoc \times string$

$(super, thenBlock) \in IfBlock
\subsetq Block \times Block$

$(super, elseBlock) \in ITEBlock
\subsetq IfBlock \times Block$

```

Which looks like:

$$\begin{aligned}
 (line, column, path) &\in SLoc \subseteq \mathbb{Z} \times \mathbb{Z} \times string \\
 (begin, end, image) &\in Block \subseteq SLoc \times SLoc \times string \\
 (super, thenBlock) &\in IfBlock \subseteq Block \times Block \\
 (super, elseBlock) &\in ITEBlock \subseteq IfBlock \times Block
 \end{aligned}$$

Note: The incentive of the  $\text{\LaTeX}$ -output is to provide a mechanism for users to formalize their file format using mechanisms, that are or can not be available as a specification language. E.g. the sentence “The path of a SLoc points to a valid file on the file system and the line and column form a valid location inside that file.” can not be verified in a static manner. This is because the correctness of the property depends not only on the content to be verified, but on the verifying environment as well.

## 11 Case Study: Skill Encoded XML

Although it is not very clever to use skill for encoding xml files, because one basically loses all benefits from both worlds, we will do so as demonstration for the compression yielded by the skill serialization scheme. Honestly most effects will be obtained from strings being stored in the string pool. Because most of the validation mechanisms directly built into xml are not required in skill and for the sake of simplicity, we will strip xml to its bare payload:

Listing 16: Skill Encoded XML

```
XML {
    string xmlDecl;
    Element element;
}
Element {
    string name;
    map<string, string> attributes;
    /** @note we will supply the empty string, if no
        content is present */
    string content;
    Element[] children;
}
```

To do (26)

To do (27)

To do (28)

## 12 Future Work

XML output mit XML Schema.

Das neue Serialisierungsschema erlaubt es einen Viewer zu bauen, der Definition+Datei anzeigen kann. (Die future work ist hier der viewer)

Integration der Definition in die Serialisierte Form, damit man die Daten generisch prüfen und anzeigen kann. Hier braucht man noch ein gutes encoding, weil man sonst zu viel platz verbraucht.

Abuse annotations for type-safe unions. The type system does not allow for unrestricted unions or intersection types. The former violate serialization invariants, the latter would either have no instances or be equal to an already existing (super) type.

State somewhere, that a major advantage over XML is, that one is not required to link against a overly general implementation, which is nice if one is only interested in a very specific format.

A notion of *first class strings* can be used to separate the string pool into one pool at the beginning of the file which contains all the strings, that have to be used in order to understand the contents of the file and a second part of the pool, which can be skipped. This should give significant performance improvements if files with lots of unused strings are processed.

True comments with # ... \n?

Alternativ kann man die reflection data aus dem ReflectionPool als Eingabesprache für einen Generator benutzen.

Fun fact: Garbage Collection for serializable objects comes for free, if objects are always held in storage pools.



## To do...

- ☐ 1 (p. 1): blablabla
- ☐ 2 (p. 1): leider wird man nicht um einen glossar rumkommen. ABI, API, super type, base type, ...
- ☐ 3 (p. 1): man muss klar definieren, was groundtypes und was base-types sind und die begriffe dann auch konsistent benutzen
- ☐ 4 (p. 1): string in \*unique string\* o.ä. umbenennen, um verwirrung bei C Programmierern vorzubeugen
- ☐ 5 (p. 2): hier muss noch was wegen version resilience, small footprint, den daten, die man so üblicherweise hat ( 1000 objekte eines typs, pointer, etc) und den mehrfachen sichten über verschiedene spezifikationen rein. Außerdem sollte man erwähnen, dass man hier den reflection mechanismus for free bekommt
- ☐ 6 (p. 2): hier vielleicht sagen, dass die erwartete zahl von instanzen im bereich  $[10^2; 10^9]$  liegt
- ☐ 7 (p. 2): cite w3c
- ☐ 8 (p. 2): brr
- ☐ 9 (p. 3): ref David Lamb
- ☐ 10 (p. 5): check for updates
- ☐ 11 (p. 5): Appendix with a list of all identifiers which form reserved words in one of the languages above, including our keywords
- ☐ 12 (p. 8): rewrite section; it emerged from the fusion of two sections talking about ADTs
- ☐ 13 (p. 8): ref encoding scheme which will be explained later; somewhat confusing
- ☐ 14 (p. 8): sprache!
- ☐ 15 (p. 8): ein paar einleitende worte
- ☐ 16 (p. 11): hier muss man zwischen serialisierbaren und nicht serialisierbaren restrictions unterscheiden; serialisierbar sind alle restrictions, die auch auswirkungen auf die potentiell gespeicherten daten haben, wie range und nonnull
- ☐ 17 (p. 14): f.a vs. a[f]

- ☐ 18 (p. 16): EP: Man muss die Ordnung so bauen, dass man Felder löschen und einfügen kann!(=Reflection?)
- ☐ 19 (p. 17): really?
- ☐ 20 (p. 18): move to a suitable location; write a small chapter at the beginning as well
- ☐ 21 (p. 18): ref id-table
- ☐ 22 (p. 18): ref id-table
- ☐ 23 (p. 19): folgendes layout eignet sich eigentlich besser
- ☐ 24 (p. 19): sicherstellen, dass es verboten ist leere pools in eine datei zu schreiben
- ☐ 25 (p. 21): hier eignet sich die type ID deutlich besser!
- ☐ 26 (p. 24): compare size of some svg files
- ☐ 27 (p. 24): compare speed of load/store of those svg files
- ☐ 28 (p. 24): some final comments to say, that the comparison is of course not completely fair, and that it is advised against mixing xml and skill in most cases

## Part I

# Appendix

## A Variable Length Coding

Size and Length information is stored as variable length coded 64 bit unsigned integers (aka C's `uint64_t`). The basic idea is to use up to 9 bytes, where any byte starts with a 1 iff there is a consecutive byte. This leaves a payload of 7 bit for the first 8 bytes and 8 bits of payload for the ninth byte. This is very similar to the famous utf8 encoding and is motivated, as it is the case with utf8, by the assumption, that smaller numbers are a lot more likely. It has the nice property, that there are virtually no numerical size limitations. The following small C++ functions will illustrate the algorithm:

Listing 17: Variable Length Encoding

```
uint8_t* encode(uint64_t v){
    // calculate effective size
    int size = 0;
    {
        auto q = v;
        while(q){
            q >>= 7;
            size++;
        }
    }
    if(!size){
        auto rval = new uint8_t[1];
        rval[0]=0;
        return rval;
    } else if(10==size)
        size = 9;

    // split
    auto rval = new uint8_t[size];
    int count=0;
    for (; count<8&&count<size-1;count++){
        rval[count] = v >> (7*count);
        rval[count] |= 0x80;
    }
    rval[count] = v >> (7*count);
    return rval;
}
```

Listing 18: Variable Length Decoding

```
uint64_t decode(uint8_t* p){
    int count = 0;
    uint64_t rval = 0;
    register uint64_t r;
    for (; count<8 && (*p)&0x80; count++, p++){
        r = p[0];
        rval |= (r&0x7f)<<(7*count);
    }
}
```

```
    r = p[0];  
    rval |= (8==count?r:(r&0x7f))<<(7*count);  
    return rval;  
}
```

## B Error Reporting

This section describes some errors regarding ill-formatted files, which must be detected and reported. The order is based on the expected order of checking for the described error. The described errors are expected to be the result of file corruption, format change or bugs in a language binding.

### Deserialization

- If EOF is encountered unexpectedly, an error must be reported before producing any observable result.
- If an index into a pool is invalid<sup>21</sup>, an error must be reported.
- If the deserialization of a storage pool does not consume exactly the `sizeBytes` in its header, an error must be reported. Note: This is a strong indicator for a format change.
- If the serialized type order of storage pools does not match the expected type order, an error must be reported.
- If the serialized type information contains cycles, an error must be reported, which contains at least all type names in the detected cycle and the base type, if one can be determined.
- If a storage pools contains elements which, based on their location in the base pool, should be subtypes of some kind, but have no respective sub type storage pool, an error must be reported with at least, the base type name, the most exact known type name and the adjacent base type names.
- All known constant fields have to be checked before producing any observable result. If some constant value differs from the expected value, an error must be reported, which contains at least the type, the field type and name, the base-PoolIndex, the index inside the types pool, the expected value and the actual value.
- If a serialized value violates a restriction or the invariant of a type,<sup>22</sup> an error must be reported as soon as this fact can be observed. It is explicitly not required to check all serialized data for this property.

## C Reserved Words

This section contains a table of words which must not be used as field names, because they are keywords in some languages. The usage of skill keywords will result in a direct error, whereas the usage of a word listed below will result in a warning, because the identifier will be escaped in the target language binding.

<b>if</b>	<b>then</b>	<b>else</b>	<b>begin</b>	<b>end</b>
<b>struct</b>	<b>class</b>	<b>public</b>	<b>protected</b>	<b>private</b>
<b>⇒</b>	<b>...</b>			

---

<sup>21</sup>because it is larger then the last string in the pool

<sup>22</sup>Including sets containing multiple similar elements.

## D Core Language

The core language is a subset of the full language which must be supported by any generator, which is called skill core language generator. Features included in the core language are:

- Integer types i8 to i64 and v64
- `string`, `bool` and `annotation`
- Compound types
- User Types with sub-typing
- `const` and `auto` fields.

Thus the remaining parts required for full skill support are:

- Floats
- Restrictions
- Hints
- Language dependent treatment of comments, e.g. integration into doxygen or javadoc.<sup>23</sup>
- Reflection?
- Name mangling to allow for usage of language keywords or illegal characters (unicode) in specification files, without making a language binding impossible.

## E Numerical Limits

In order to keep serialized data platform independent, one has to respect the numerical limits of the various target platforms. For instance, the Java Virtual Machine will not allow arrays with a size larger then  $2^{31}$  minus some elements. Therefore we establish the following rule:

(De-)serialization of a file with an array of more then  $2^{30}$  elements or a type with more then  $2^{30}$  instances may fail due to numerical limits of the target platform.

## F Numerical Constants

This section will list the translation of type IDs(see ??) and restriction IDs (see ??). Restrictions with undefined IDs will not be serialized.

---

<sup>23</sup>This may even require a language extension providing tags inside comments which are translated into tags of the respective documentation framework.

Type Name	Value
const i8	0
const i16	1
const i32	2
const i64	3
const v64	4
annotation	5
bool	6
i8	7
i16	8
i32	9
i64	10
v64	11
f32	12
f64	13
string	14
T[i]	15
T[f]	16
T[]	17
list<T>	18
set<T>	19
map<T <sub>1</sub> , ..., T <sub>n</sub> >	20
T	21 + $index_T$

(a) Type IDs

Restriction Name	Value
range	0
nonnull	1
unique	2
singleton	3
...	...

(b) Restriction IDs

## Glossary

**base type** The root of a type tree, i.e. the farthest type reach able over the super type relation.. 16, 26

**built-in type** Any predefined type, that is not a compound type, i.e. annotations, booleans, integers, floats and strings.. 7, 26

**ground type** Any type, that is not a compound type, i.e. the union of user defined types and built-in types. 26

**sub type** If a user type A extends a type B, A is called the sub type (of B).. 6, 26

**super type** If a user type A extends a type B, B is called the super type (of A).. 6, 26

**unknown type** We will call a type *unknown*, if there is no visible declaration of the type. Such types must not occur in a declaration file, but they can be encountered in the serialization or deserialization process.. 6, 26

**user type** Any type, that is defined by the user using a type declaration. 6, 7, 26

**visible declaration** We will call a type declaration *visible*, if it is defined in the local file, or in any file transitively reachable over include directives.. 26

## Acronyms

**ABI** Application Binary Interface. 26

**ADT** Abstract Data Type. 6, 26

**API** Application Programming Interface. 6, 18, 26

**SKILL** Serialization Killer Language. 3, 8, 13, 15, 26