

1 **Tropopause Evolution in a Rapidly Intensifying Tropical Cyclone: A Static**  
2 **Stability Budget Analysis**

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## ABSTRACT

<sup>8</sup> We have some cool results!

## 9 **1. Introduction**

10 Perhaps introduce upper-tropospheric static stability and its relationship to the diurnal cycle  
11 before going into Patricia? Include references to Dunion, Navarro, and O'Neill here.

12 After undergoing a remarkably rapid intensification (RI), Hurricane Patricia (2015) set a new  
13 record as the strongest tropical cyclone (TC) ever observed in the Western Hemisphere (Kimber-  
14 lain et al. 2016; Rogers et al. 2017). High-altitude dropsonde observations taken by the Tropical  
15 Cyclone Intensity (TCI) Experiment captured this RI in unprecedented detail (Doyle et al. 2017).  
16 These observations revealed remarkable changes in the structure of the cold-point tropopause and  
17 upper-level static stability as the storm intensified (Duran and Molinari 2018). At tropical storm  
18 intensity, shortly before RI commenced, a strong inversion layer existed just above Patricia's cold-  
19 point tropopause, which was located near 17.2 km. During the first half of the RI period, this  
20 inversion layer weakened throughout Patricia's inner core, with the weakening most pronounced  
21 over the developing eye. By the time the storm reached its maximum intensity, the inversion layer  
22 over the eye had disappeared almost completely, which was accompanied by an increase in the  
23 tropopause height to a level at or above the highest-available dropsonde data point (18.3 km) at  
24 two locations. Meanwhile over the eyewall region, the static stability re-strengthened and the  
25 tropopause was limited to a level at or below 17.5 km. The mechanisms that led to these changes  
26 in upper-level static stability and tropopause height are the subject of the current paper.

27 More recently, Dunion et al. (2014) documented a periodic oscillation of infrared brightness  
28 temperature in hurricanes, which they call the "TC diurnal pulse." There will be a whole bunch of  
29 papers cited here...

30 At some point (probably in the Discussion) mention the possible importance of static stability  
31 asymmetries, in the context of the Dunion diurnal pulse

## 2. Model Setup

The numerical simulations were performed using version 19.4 of Cloud Model 1 (CM1) described in Bryan and Rotunno (2009). The equations of motion were integrated on a 3000-km-wide, 30-km-deep axisymmetric grid with 1-km horizontal and 250-m vertical grid spacing. The computations were performed on an  $f$ -plane at 15°N latitude, over a sea surface with constant temperature of 30.5°C, which matches that observed near Hurricane Patricia (2015; Kimberlain et al. 2016). Horizontal turbulence was parameterized using the Smagorinsky scheme described in Bryan and Rotunno (2009, pg. 1773), with a prescribed mixing length that varied linearly from 100 m at a surface pressure of 1015 hPa to 1000 m at a surface pressure of 900 hPa. This formulation allows for realistically-large horizontal mixing lengths near the hurricane’s inner core, consistent with the results of Bryan (2012), while not over-representing horizontal turbulence in convection at outer radii. Vertical turbulence was parameterized using the formulation of Markowski and Bryan (2016, their Eq. 6), using an asymptotic vertical mixing length of 100 m. A Rayleigh damping layer was applied outside of the 2900-km radius and above the 25-km level to prevent spurious gravity wave reflection at the model boundaries. Microphysical processes were parameterized using the Thompson et al. (2004) microphysics scheme and radiative heating tendencies were computed every two minutes using the Rapid Radiative Transfer Model for GCMs? (RRTMG) longwave and shortwave schemes (Iacono et al. 2008). A horizontally-homogeneous temperature and humidity field was initialized with a mean sounding computed using all dropsondes deployed during the TCI flight conducted within and around Tropical Storm Patricia on 21 October, 2015 (see Doyle et al. 2017 for details.) Above 19 km, where few TCI observations were available, the temperature profile was taken from the Climate Forecast System Reanalysis (CFSR) grid point nearest Patricia’s storm center, valid at 18 UTC 21 October, 2015. Since relative humidity mea-

measurements were unreliable at temperatures below  $-40^{\circ}\text{C}$  (Bell et al. 2016), relative humidity was set equal to 50% above 11.5 km (the level above which temperature dropped below  $-40^{\circ}\text{C}$ ). The vortex described in Rotunno and Emanuel (1987, their Eq. 37) was used to initialize the wind field, setting all parameters equal to the values used therein.

### 3. Budget Computation

The static stability can be expressed as the squared Brunt Väisälä frequency:

$$N_m^2 = \frac{g}{T} \left( \frac{\partial T}{\partial z} + \Gamma_m \right) \left( 1 + \frac{T}{R_d/R_v + q_s} \frac{\partial q_s}{\partial T} \right) - \frac{g}{1 + q_t} \frac{\partial q_t}{\partial z}, \quad (1)$$

where  $g$  is gravitational acceleration,  $T$  is temperature,  $R_d$  and  $R_v$  are the gas constants of dry air and water vapor, respectively,  $q_s$  is the saturation mixing ratio,  $q_t$  is the total condensate mixing ratio, and  $\Gamma_m$  is the moist-adiabatic lapse rate:

$$\Gamma_m = \text{DETERMINEWHATTHISISFORTHENON-CONSERVATIVEEQUATIONSET} \quad (2)$$

In the tropopause layer,  $q_s$ ,  $\frac{\partial q_s}{\partial T}$ , and  $\frac{\partial q_t}{\partial z}$  approach zero. In this limiting case, Eq. 1 reduces to:

$$N^2 = \frac{g}{\theta_v} \frac{\partial \theta_v}{\partial z}, \quad (3)$$

where  $\theta_v$  is the virtual potential temperature. To compute  $N^2$ , CM1 uses Eq. 1 in saturated environments and Eq. 3 in sub-saturated environments; for mathematical simplicity, however, only Eq. 3 will be used for the budget computations herein<sup>1</sup>.

Taking the time derivative of Eq. 3 yields the static stability tendency:

$$\frac{\partial N^2}{\partial t} = \frac{g}{\theta} \frac{\partial}{\partial z} \frac{\partial \theta}{\partial t} + \frac{g}{\theta^2} \frac{\partial \theta}{\partial z} \frac{\partial \theta}{\partial t}, \quad (4)$$

where the potential temperature tendency,  $\frac{\partial \theta}{\partial t}$ , is:

$$\frac{\partial \theta}{\partial t} = HADV + VADV + HTURB + VTURB + MP + RAD + DISS \quad (5)$$

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<sup>1</sup>The validity of this approximation will be substantiated later in this section.

Each term on the right-hand side of Eq. 5 represents a potential temperature budget variable, each of which is output directly by the model every minute. HADV and VADV are the radial and vertical advective tendencies, HTURB and VTURB are the radial and vertical tendencies from the turbulence parameterization, MP is the tendency from the microphysics scheme, RAD is the tendency from the radiation scheme, and DISS is the tendency due to turbulent dissipation. This equation neglects Rayleigh damping, since this term is zero everywhere below 25 km, and the analysis domain does not extend to that height. Each term in Eq. 5 is substituted for  $\frac{\partial \theta}{\partial t}$  in Eq. 4, yielding the contribution of each budget term to the static stability tendency. These terms are then summed, yielding an instantaneous "budget change" in  $N^2$  every minute. These instantaneous budget changes are then averaged over 24-hour periods and compared to the total model change in  $N^2$  over that same time period, i.e.:

$$\Delta N_{budget}^2 = \sum_{t=t_0}^{t_0+\delta t} \frac{\partial N^2}{\partial t} \bigg|_t, NEEDBAROVERSUMMATION \quad (6)$$

$$\Delta N_{model}^2 = N_{t_0+\delta t}^2 - N_{t_0}^2, \quad (7)$$

where  $t_0$  is an initial time and  $\delta t$  is 24 hours. In Eq. 6, Eq. 1 in saturated environments and Eq. 3 in sub-saturated environments;  $t_0$  is an initial time and  $\delta t$  is 24 hours.

## 4. Results

Put description of Fig. 1 in this section.

Don't forget to mention 1-2-1 smoother.

### a. Static stability evolution

The average  $N^2$  over the first day of the simulation (Fig. 2a) indicates the presence of a static stability maximum about 400 m above the cold-point tropopause. This lower-stratospheric stable

90 layer had begun to erode during the initial spin-up period, with the maximum destabilization  
91 occurring at the innermost radii. This decrease in static stability continued into the second day  
92 of the simulation (Fig. 2b) as the storm intensified to hurricane strength (Fig. 1). Destabilization  
93 was particularly pronounced over the developing eye, where the time-mean cold-point tropopause  
94 height increased by up to 400 m compared to the previous day. Over the developing eyewall  
95 and outer rainband regions, meanwhile, the tropopause height remained nearly constant. During  
96 the third day of the simulation (Fig. 2c), static stability over the eye continued to decrease, and  
97 the cold-point tropopause height rose to 18.3 km at the storm center. The tropopause sloped  
98 sharply downward over the innermost radii, reaching the 16.4-km level near the 50-km radius. This  
99 local minimum in tropopause height corresponded to the eyewall region, where upper-tropospheric  
100 static stability increased during this time period. Outside of the eyewall region, static stability  
101 began to increase in the layer immediately overlying the cold-point tropopause. This stable layer  
102 sloped upward with radius, which corresponded to an upward-sloping tropopause radially outside  
103 of the eyewall region. Over the next 24 hours (Fig. 2d), as the storm's maximum 10-m wind  
104 speed leveled off near  $80 \text{ m s}^{-1}$  (Fig. 1), the upper-tropospheric static stability within the eyewall  
105 region continued to strengthen, as did the static stability just above the cold-point tropopause  
106 radially outside of the eyewall. As the stable layer strengthened, its altitude rose slightly, which  
107 corresponded to a slight increase in tropopause height outside of the eyewall during this period.  
108 Within the upper troposphere radially outside of the eyewall, meanwhile, static stability decreased  
109 such that it was nearly neutral in a thin layer between the 120- and 150-km radii. The eye region  
110 likewise continued to destabilize, and the cold-point tropopause height increased to a level above  
111 18.5 km. This static stability evolution closely follows that observed in Hurricane Patricia (2015;  
112 Duran and Molinari 2018).

### *b. Static stability budget analysis*

The left column of Fig. 3 depicts 24-hour changes in  $N^2$  over each of the four days of the simulation. These represent bulk changes computed by subtracting the instantaneous  $N^2$  at the initial time from the instantaneous  $N^2$  at the final time. The middle column of Fig. 3 represents the change in  $N^2$  computed using Eq. XXX and the method described in Section 3. The residual between these two computations (Fig. 3, right column) is much smaller than the change in  $N^2$ , meaning that the budget performs well within the analysis domain.

To determine which of the budget terms are most important, a time series of the contribution of each of the budget terms in Eq. XXX to the tropopause-layer static stability tendency is plotted in Fig. 4. For this figure, each of the budget terms is computed using the method described in Section 3, except with 1-hour averaging intervals instead of 24-hour intervals. The absolute values of these tendencies are then averaged over the radius-height domain depicted in Fig. 3 and plotted as a time series<sup>2</sup>. Advection (Fig. 4, red line) plays an important role in the mean tropopause-layer static stability tendency at all times, and vertical turbulence (Fig. 4, blue line) and radiation (Fig. 4, dark green line) both become important after 48 hours. Although the contribution from horizontal turbulence (Fig. 4, purple line) becomes more important after 72 hours, it is confined to a very small region immediately surrounding the eyewall tangential velocity maximum (not shown), and is negligible throughout the rest of the tropopause layer. The remaining two processes - microphysics and dissipative heating (Fig. 4, orange and light green lines, respectively) - lie atop one another near zero. These time series indicate that, at all times, three budget terms dominate the tropopause-layer static stability tendency: advection, vertical turbulence, and radiation. Variations

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<sup>2</sup>It will be seen in subsequent figures that each of the terms contributes both positively and negatively to the  $N^2$  tendency within the analysis domain. Thus, taking an average over the domain tends to wash out the positive and negative contributions. To circumvent this problem, the absolute value of each of the terms is averaged, yielding a time series of the mean magnitude of each budget term.



134 in the magnitude and spatial structure of these terms drive the static stability changes depicted in  
135 Fig. 2; subsequent sections will focus on these variations and what causes them.

136 (i) *0-24 hours* The first 24 hours of the simulation was characterized by a weakening of the  
137 lower-stratospheric static stability maximum above 17 km (Fig. ??a, purple shading) and an in-  
138 crease in static stability below (green shading). Although these tendencies extended out to the  
139 200-km radius, they were particularly pronounced at innermost radii. A comparison of the contri-  
140 butions of advection (Fig. ??b), vertical turbulence (Fig. ??c), and radiation (Fig. ??d) reveals that  
141 advection is primarily responsible for the change in static stability during this period. ...Explain  
142 this in the context of radial and vertical velocities...

143 (ii) *24-48 hours* During the second day of the simulation, the lower-stratospheric stable layer  
144 continued to weaken (Fig. 6a). This weakening trend in the 16.75-17.75-km layer extended from  
145 the 50 km radius outward to past 200 km, and was primarily driven by advection (Fig. 6b). Below  
146 this layer, static stability began to increase slightly. This stabilization had contributions from both  
147 vertical turbulence (Fig. 6c) and radiation (Fig. 6d) in the 16-16.5-km layer. ...Explain this in  
148 context of mean vertical mixing coefficient and mean radiative heating tendency... Meanwhile,  
149 radially inward of 60 km, static stability below 17.5 km continued to weaken, primarily due to  
150 advective processes.

151 (iii) *48-72 hours* The third day of the simulation marked a dramatic change in the structure of the  
152 tropopause-layer static stability tendencies. During this time, static stability increased markedly  
153 in an upward-sloping region within the 30-60-km radial band (Fig. 7a), and also increased within  
154 the 16.75-17.5-km layer out to at least the 200-km radius. As this layer stabilized, the layer  
155 immediately below it destabilized in a broad region extending from 60-200 km. Examination  
156 of the contribution from total advection (Fig. 7b) reveals that advection no longer dominates the

static stability tendencies. Instead, a combination of vertical turbulence (Fig. 7c) and radiation (Fig. 7d) overcomes the destabilizing influence of advection to create the layer of increasing static stability. Meanwhile, the destabilizing influence of vertical turbulence in a broad region below 17 km combines with a small region of destabilization due to radiation in the 50-120-km radial band combine to destabilize the layer below 16.5 km in the 50-200-km radial band. Comparing the sum of advection and vertical turbulence (Fig. 7e) to the sum of advection, vertical turbulence, and radiation (Fig. 7f) reveals that radiation plays a fundamental role in the re-strengthening of the lower-stratospheric stable layer during this time.

(iv) 72-96 hours

## 5. Discussion

Dunion et al. speculate that the diurna pulse only occurs in mature storms. Maybe the development of the near-tropopause stable layer could partially explain the reason for this.

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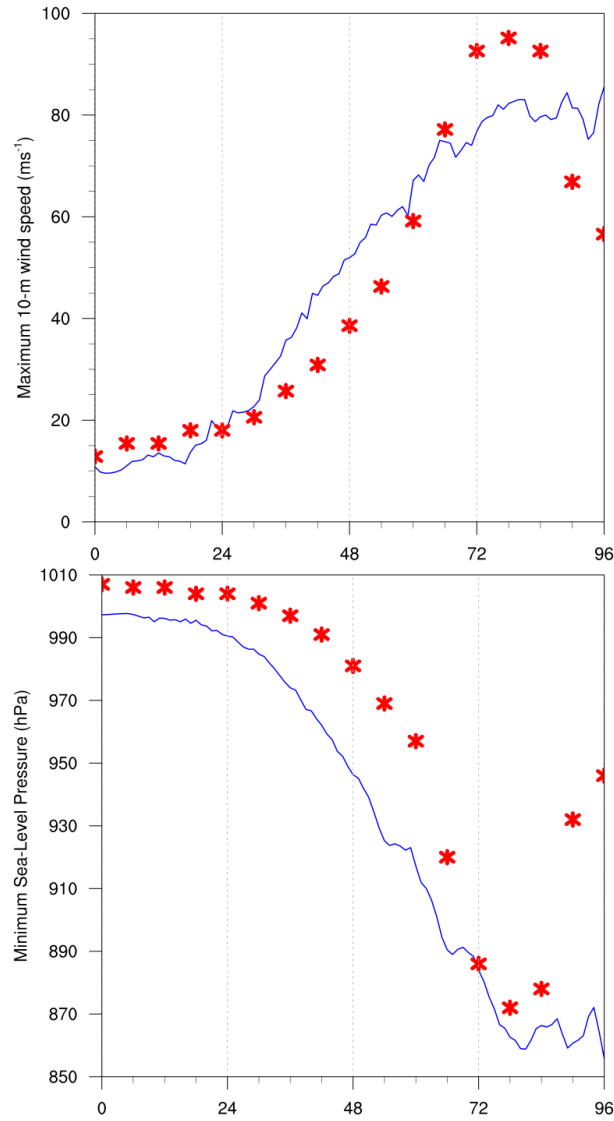
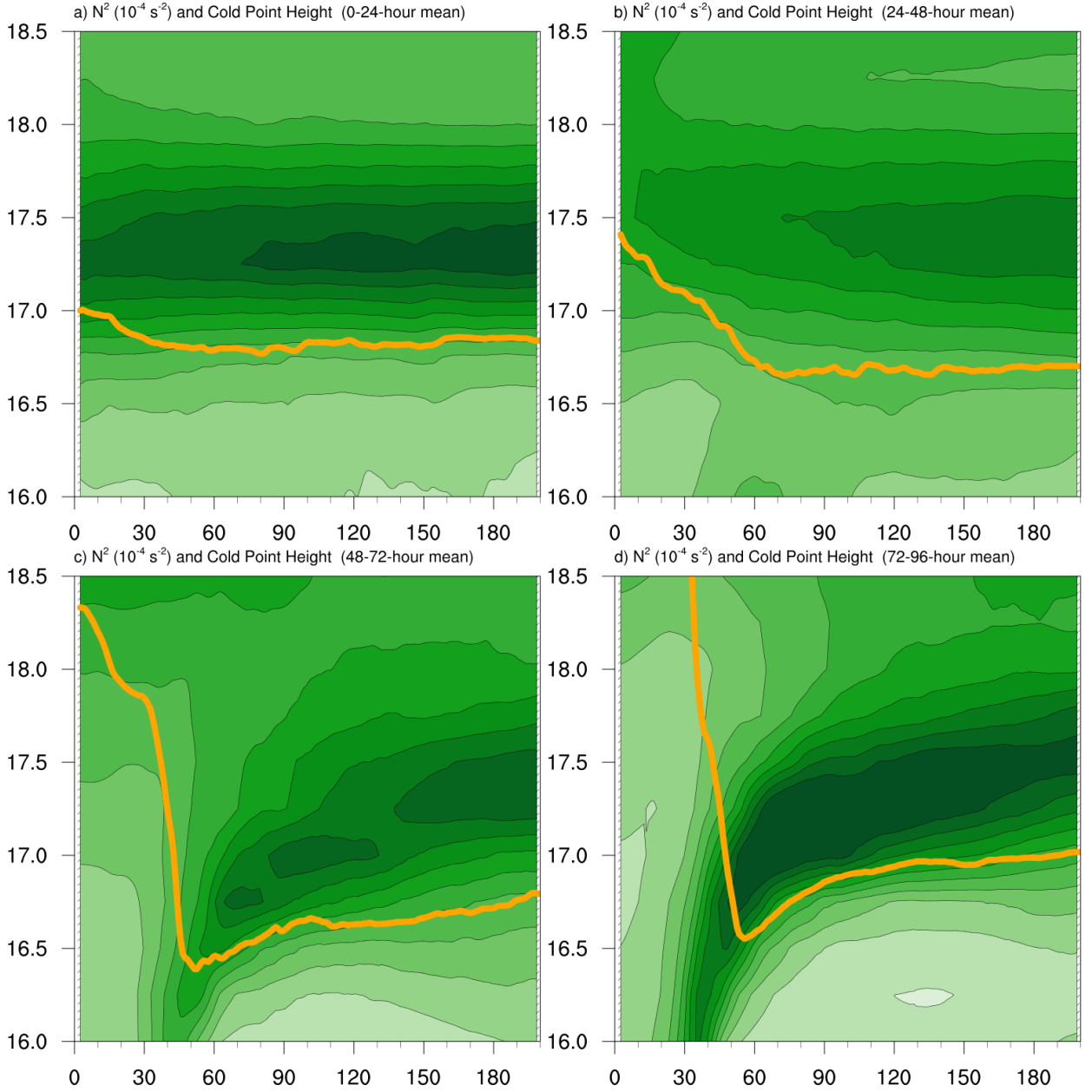
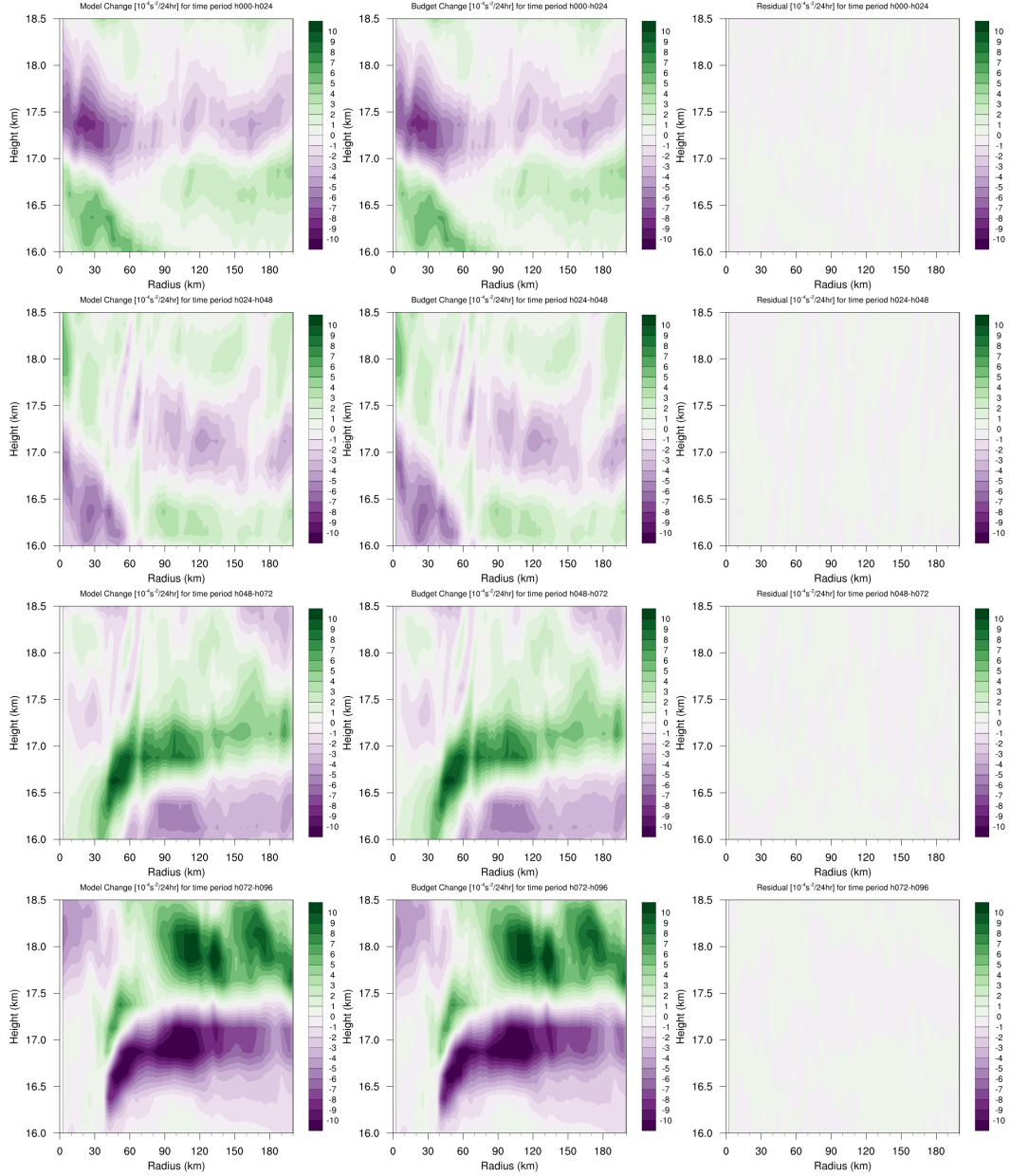


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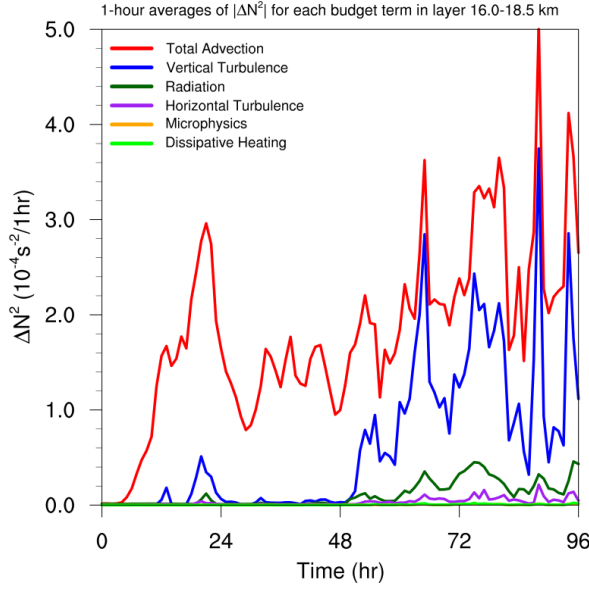


238 FIG. 2. Twenty-four-hour averages of squared Brunt-Väisälä frequency ( $10^{-4} \text{ s}^{-2}$ ) over the first four days of  
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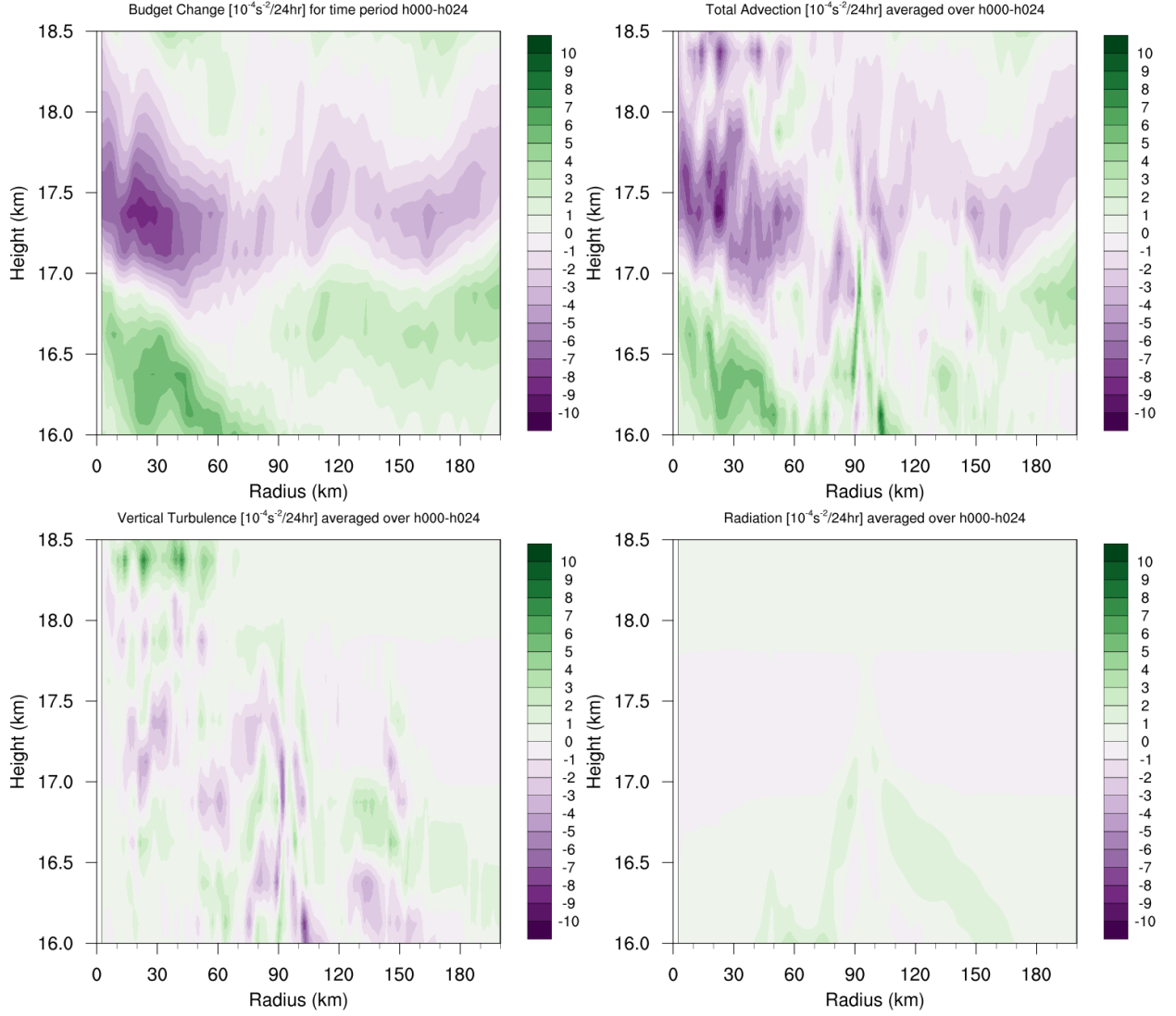


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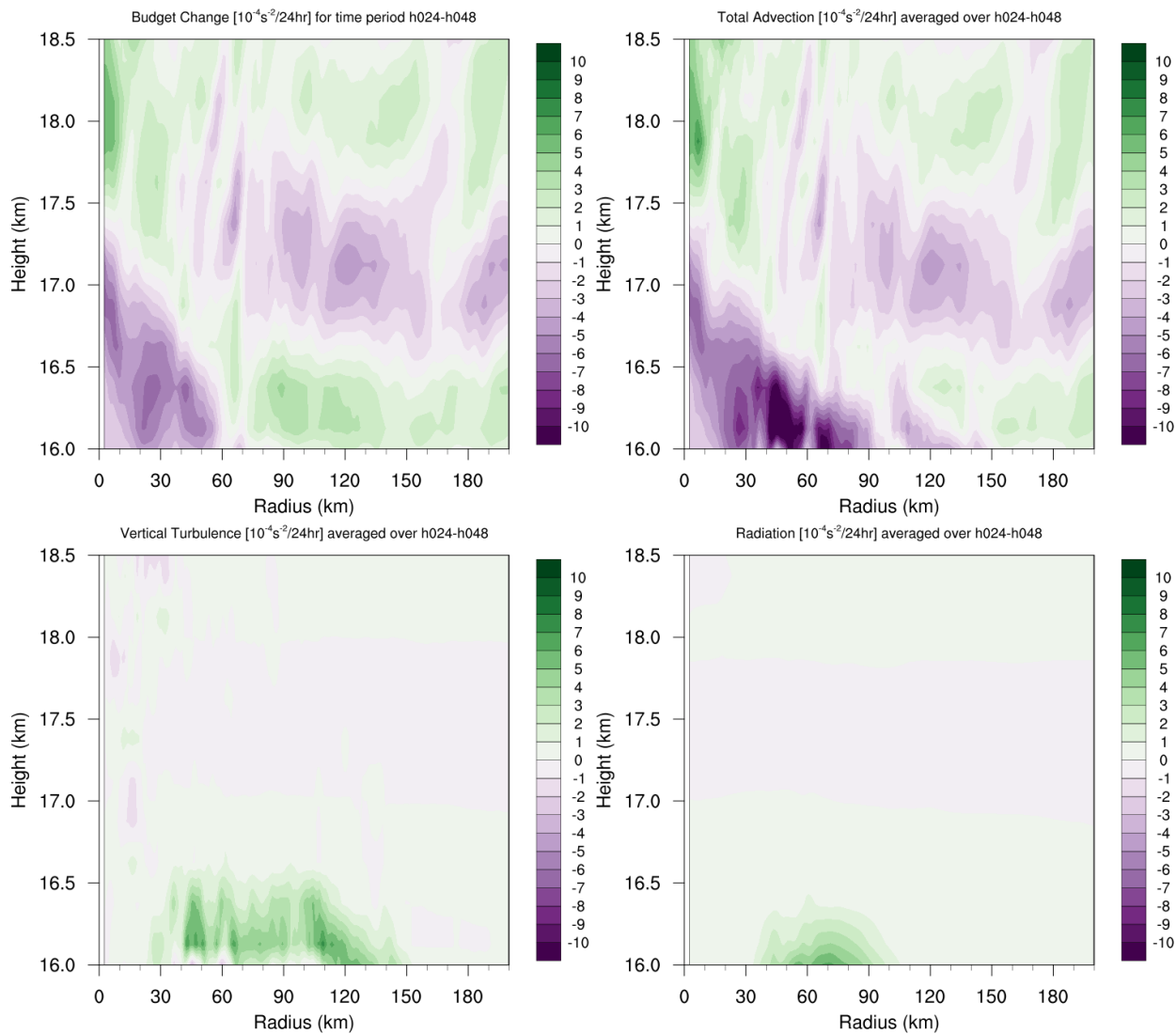


FIG. 6. As in Fig. 5, but for the 24-48-hour period.

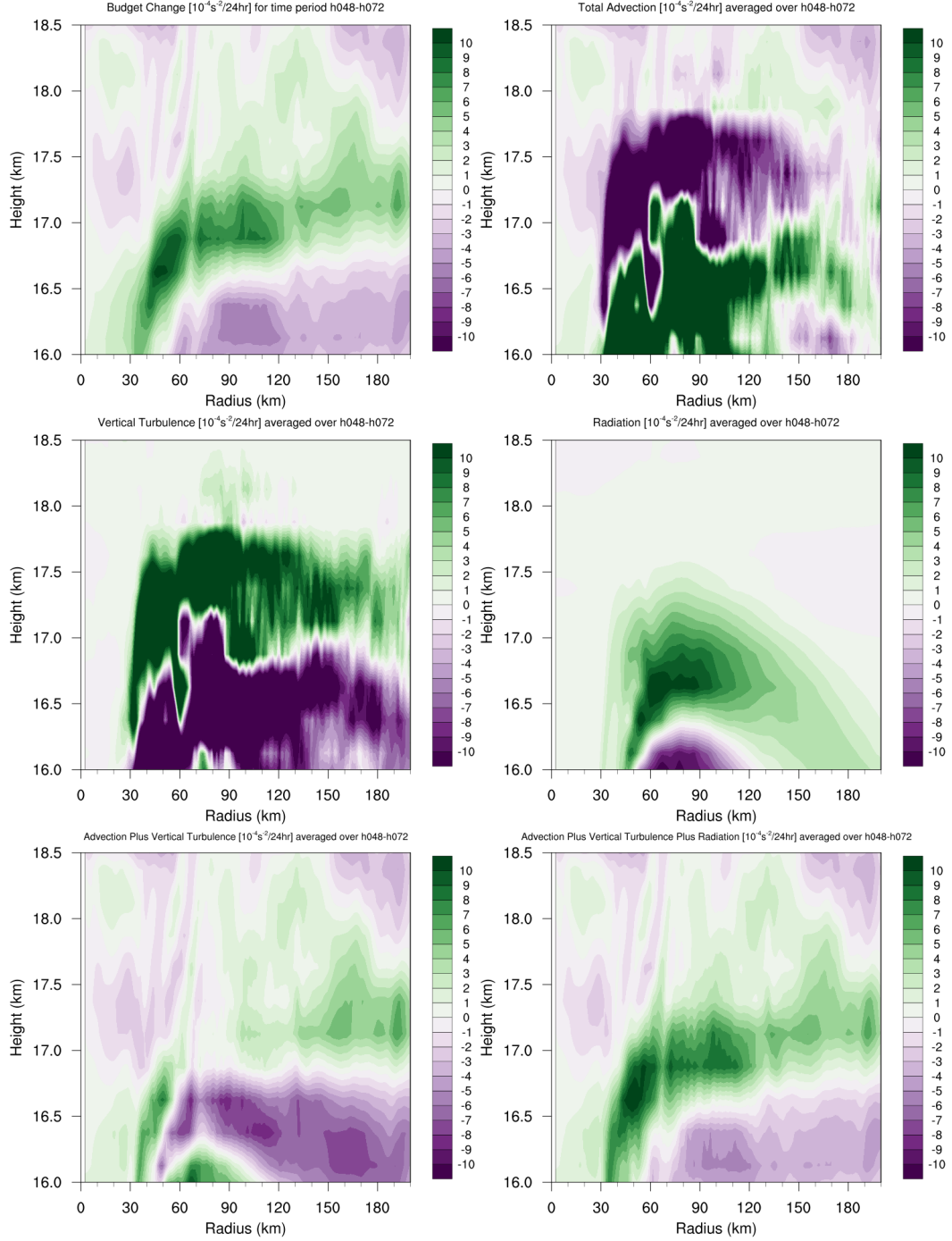


FIG. 7. (a) Total change in  $N^2$  over the 48-72-hour period ( $10^{-4} \text{ s}^{-2} (24 \text{ hr})^{-1}$ ) and the contributions to that change from (b) the sum of horizontal and vertical advection, (c) vertical turbulence, (d) the sum of longwave and shortwave radiation, (e) the sum of horizontal advection, vertical advection, and vertical turbulence, and (f) the sum of horizontal advection, vertical advection, vertical turbulence, and longwave and shortwave radiation.

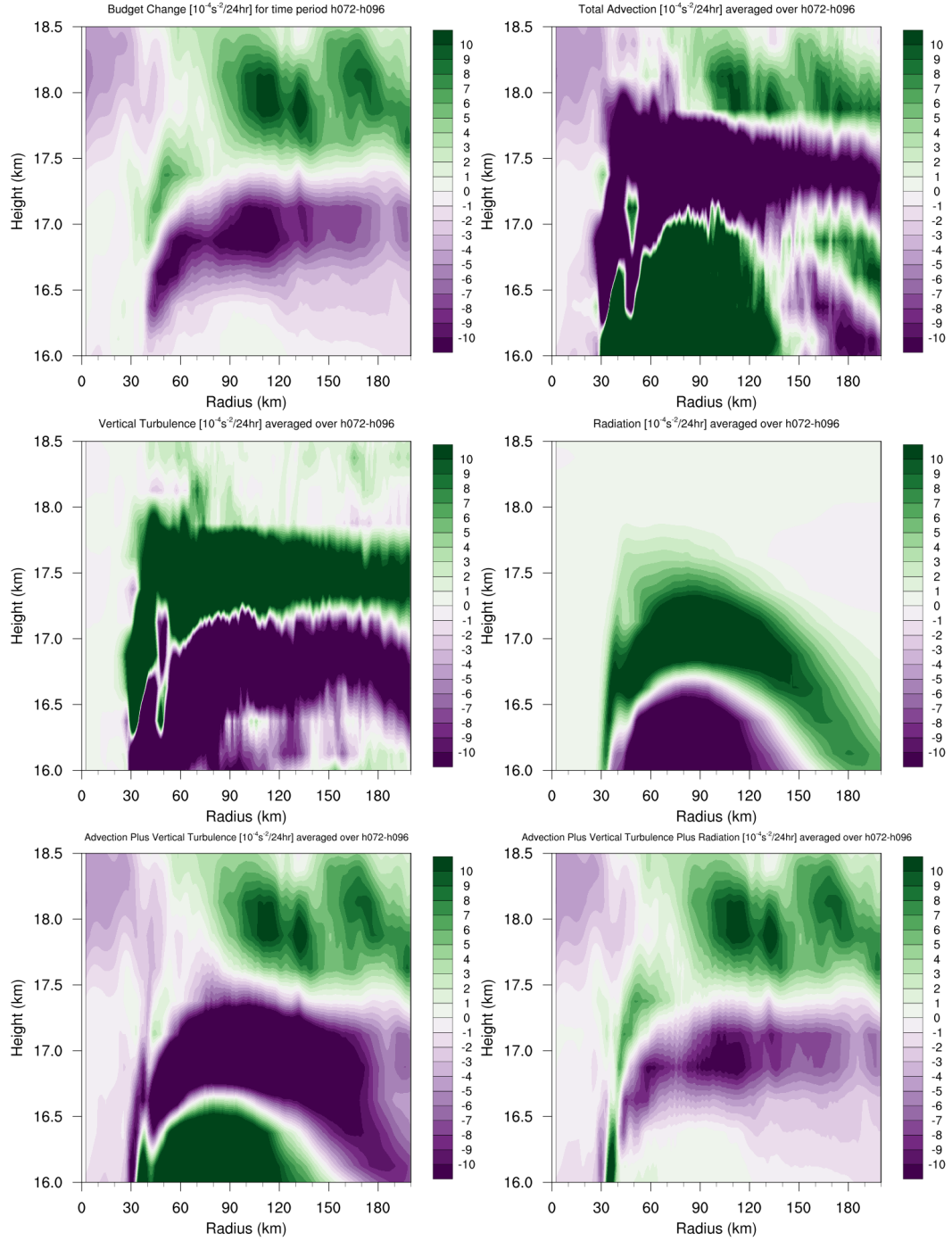


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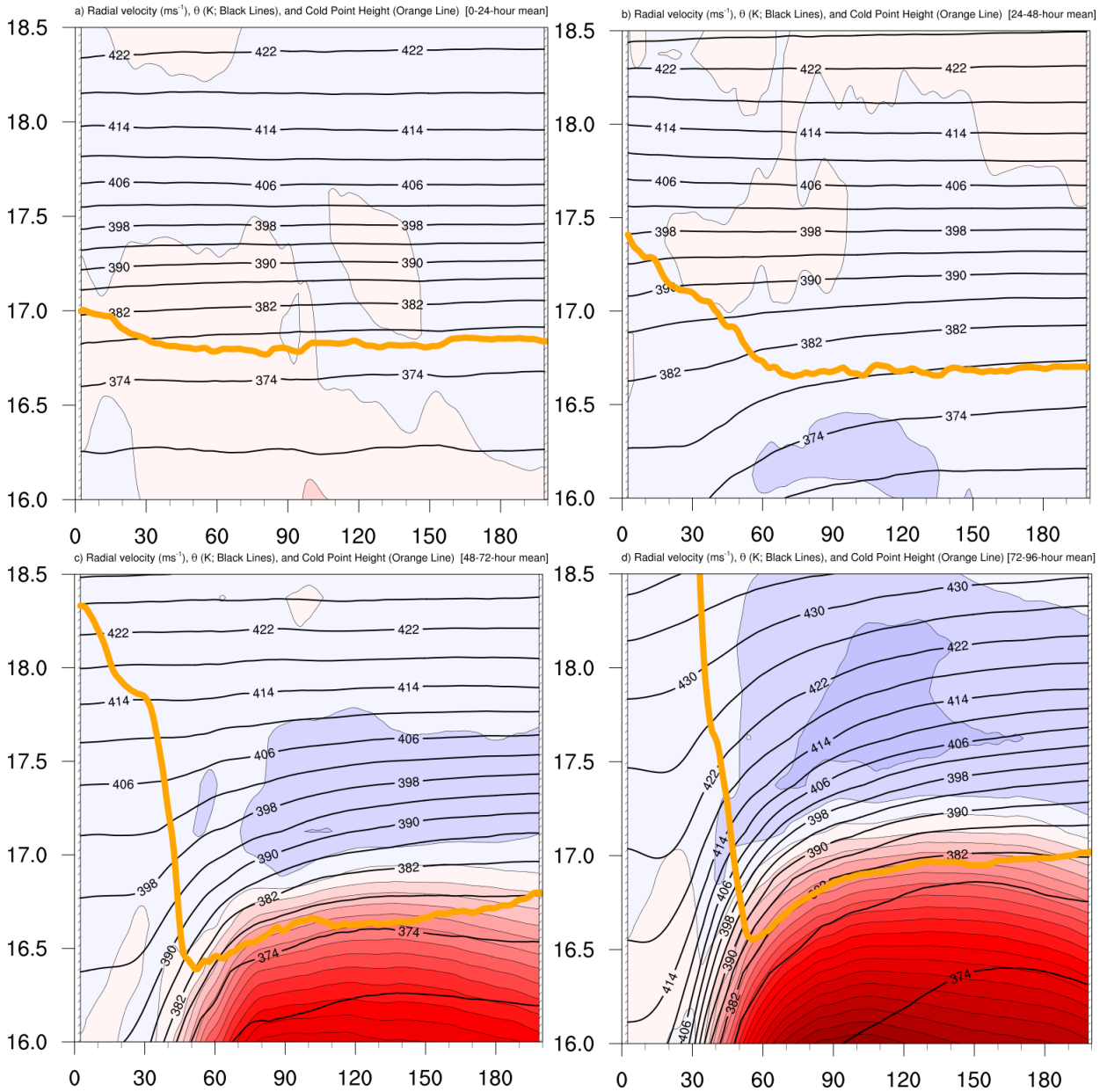


FIG. 9. Radial velocity ( $\text{m s}^{-1}$ ; filled contours), potential temperature (K; thick black contours), and cold point tropopause height (orange line) averaged over (a) 0-24 hours, (b) 24-48 hours, (c) 48-72 hours, and (d) 72-96 hours.



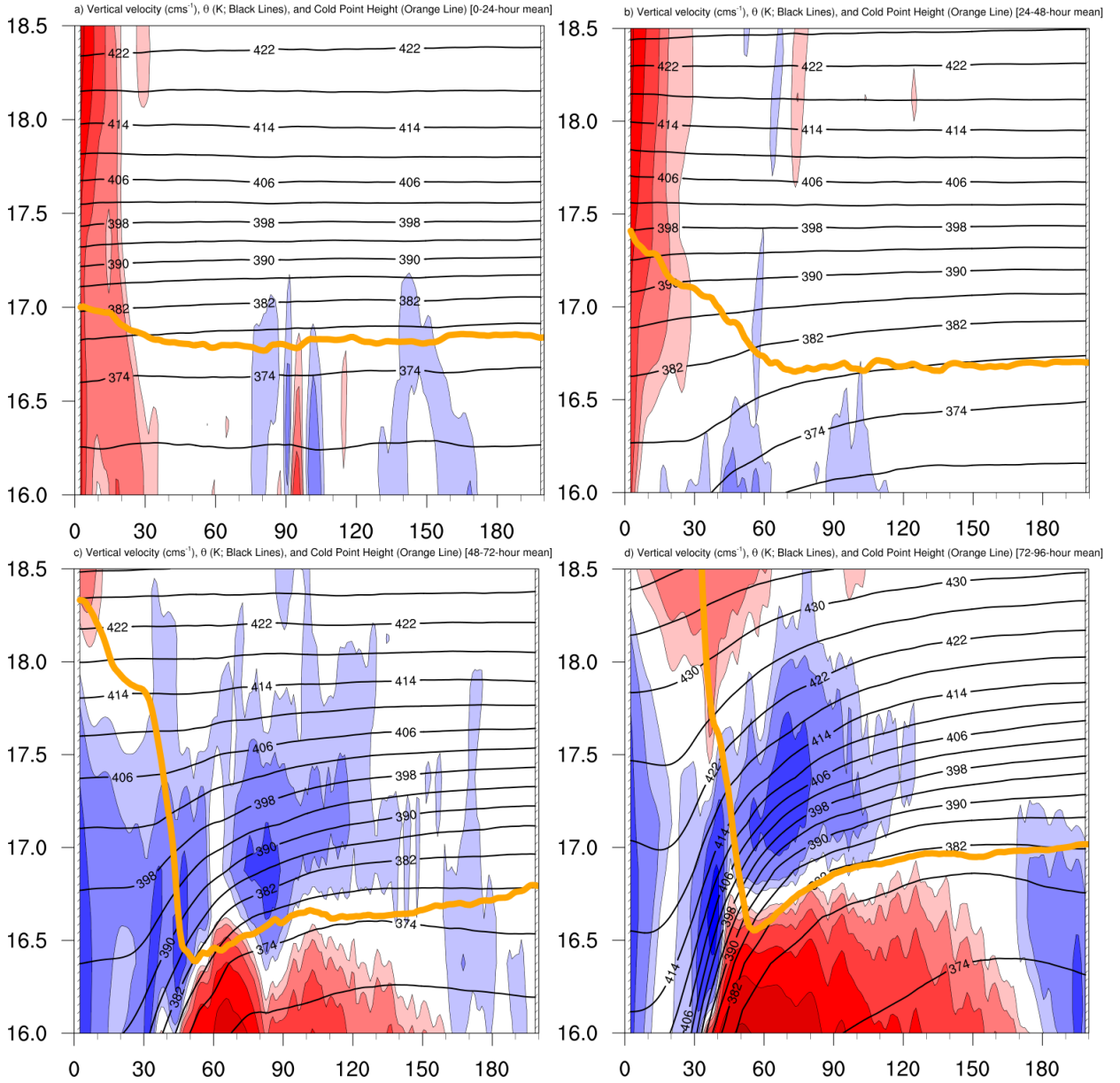


FIG. 10. Vertical velocity ( $\text{cm s}^{-1}$ ; filled contours), potential temperature ( $\text{K}$ ; thick black contours), and cold point tropopause height (orange line) averaged over (a) 0-24 hours, (b) 24-48 hours, (c) 48-72 hours, and (d) 72-96 hours.



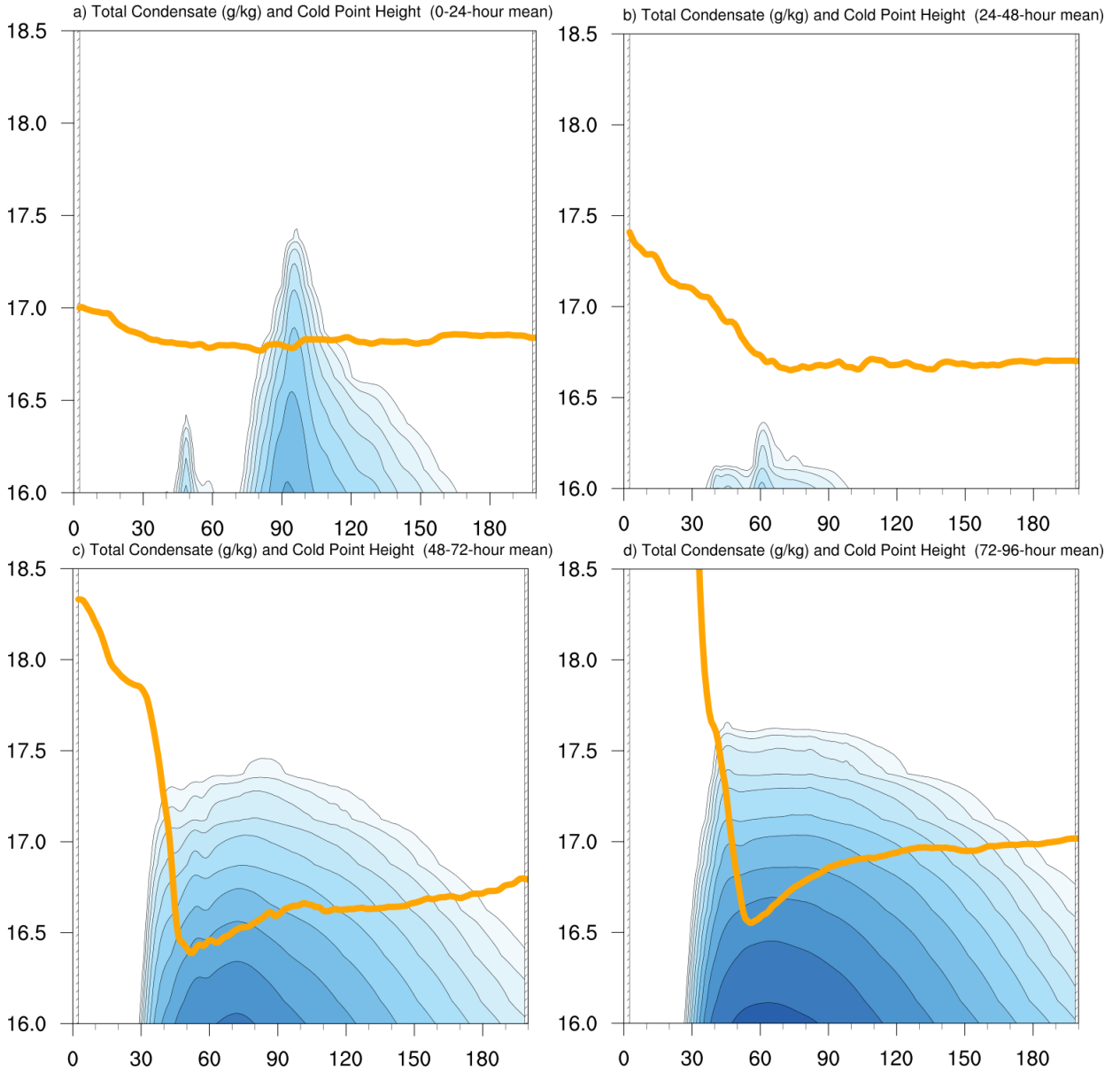


FIG. 11. Total condensate mixing ratio ( $\text{g kg}^{-1}$ ) and cold point tropopause height (orange line) averaged over (a) 0-24 hours, (b) 24-48 hours, (c) 48-72 hours, and (d) 72-96 hours.