

1 **Tropopause Evolution in a Rapidly Intensifying Tropical Cyclone: A Static**
2 **Stability Budget Analysis**

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ABSTRACT

⁸ We have some cool results!

9 **1. Introduction**

10 Perhaps introduce upper-tropospheric static stability and its relationship to the diurnal cycle
11 before going into Patricia? Include references to Dunion, Navarro, and O'Neill here.

12 After undergoing a remarkably rapid intensification (RI), Hurricane Patricia (2015) set a new
13 record as the strongest tropical cyclone (TC) ever observed in the Western Hemisphere (Kimber-
14 lain et al. 2016; Rogers et al. 2017). High-altitude dropsonde observations taken by the Tropical
15 Cyclone Intensity (TCI) Experiment captured this RI in unprecedented detail (Doyle et al. 2017).
16 These observations revealed remarkable changes in the structure of the cold-point tropopause and
17 upper-level static stability as the storm intensified (Duran and Molinari 2018). At tropical storm
18 intensity, shortly before RI commenced, a strong inversion layer existed just above Patricia's cold-
19 point tropopause, which was located near 17.2 km. During the first half of the RI period, this
20 inversion layer weakened throughout Patricia's inner core, with the weakening most pronounced
21 over the developing eye. By the time the storm reached its maximum intensity, the inversion layer
22 over the eye had disappeared almost completely, which was accompanied by an increase in the
23 tropopause height to a level at or above the highest-available dropsonde data point (18.3 km) at
24 two locations. Meanwhile over the eyewall region, the static stability re-strengthened and the
25 tropopause was limited to a level at or below 17.5 km. The mechanisms that led to these changes
26 in upper-level static stability and tropopause height are the subject of the current paper.

27 More recently, Dunion et al. (2014) documented a periodic oscillation of infrared brightness
28 temperature in hurricanes, which they call the "TC diurnal pulse." There will be a whole bunch of
29 papers cited here...

30 At some point (probably in the Discussion) mention the possible importance of static stability
31 asymmetries, in the context of the Dunion diurnal pulse

32 2. Model Setup

33 The numerical simulations were performed using version 19.4 of Cloud Model
34 1 (CM1) described in Bryan and Rotunno (2009) and available online at
35 <http://www2.mmm.ucar.edu/people/bryan/cm1/>. The equations of motion were integrated
36 on a 3000-km-wide, 30-km-deep grid axisymmetric grid with 1-km horizontal and 250-m vertical
37 grid spacing. The computations were performed on an f -plane at 15°N latitude, over a sea surface
38 with constant temperature of 30.5°C, which matches that observed near Hurricane Patricia (2015;
39 Kimberlain et al. 2016. Horizontal turbulence was parameterized using the Smagorinsky scheme
40 described in Bryan and Rotunno (2009, pg. 1773), with a prescribed mixing length that varied
41 linearly from 100 m at a surface pressure of 1015 hPa to 1000 m at a surface pressure of 900 hPa.
42 This formulation allows for realistically-large horizontal mixing lengths near the hurricane’s inner
43 core, consistent with the results of Bryan (2012), while not over-representing horizontal turbu-
44 lence in convection at outer radii. Vertical turbulence was parameterized using the formulation of
45 Markowski and Bryan (2016, their Eq. 6), using an asymptotic vertical mixing length of 100 m.
46 A Rayleigh damping layer was applied outside of the 2900-km radius and above the 25-km level
47 to prevent spurious gravity wave reflection at the model boundaries. Microphysical processes
48 were parameterized using the Thompson et al. (2004) microphysics scheme and radiative heating
49 tendencies were computed every two minutes using the Rapid Radiative Transfer Model WHAT
50 DOES THE G STAND FOR? (RRTMG) longwave and shortwave schemes (Iacono et al. 2008). A
51 horizontally-homogeneous temperature and humidity field was initialized with a mean sounding
52 computed using all dropsondes deployed during the TCI flight conducted within and around
53 Tropical Storm Patricia on 21 October, 2015 (see Doyle et al. 2017 for details.) Above 19 km,
54 where few TCI observations were available, the temperature profile was taken from the Climate

55 Forecast System Reanalysis (CFSR) grid point nearest Patricia's storm center, valid at 18 UTC
 56 21 October, 2015. Since relative humidity measurements were unreliable at temperatures below
 57 -40°C (Bell et al. 2016), relative humidity was set equal to 50% above 11.5 km (the level above
 58 which temperature dropped below -40°C). The vortex described in Rotunno and Emanuel (1987,
 59 their Eq. 37) was used to initialize the wind field, setting all parameters equal to the values used
 60 therein.

61 3. Budget Computation

62 The static stability can be expressed as the squared Brunt Väisälä frequency:

$$N_m^2 = \frac{g}{T} \left(\frac{\partial T}{\partial z} + \Gamma_m \right) \left(1 + \frac{T}{R_d/R_v + q_s} \frac{\partial q_s}{\partial T} \right) - \frac{g}{1 + q_t} \frac{\partial q_t}{\partial z}, \quad (1)$$

63 where g is gravitational acceleration, T is temperature, R_d and R_v are the gas constants of dry air
 64 and water vapor, respectively, q_s is the saturation mixing ratio, q_t is the total condensate mixing
 65 ratio, and Γ_m is the moist-adiabatic lapse rate:

$$\Gamma_m = \text{DETERMINEWHATTHISISFORTHENON-CONSERVATIVEEQUATIONSET} \quad (2)$$

66 In the tropopause layer, q_s , $\frac{\partial q_s}{\partial T}$, and $\frac{\partial q_t}{\partial z}$ approach zero. In this limiting case, Eq. 1 reduces to:

$$N^2 = \frac{g}{\theta_v} \frac{\partial \theta_v}{\partial z}, \quad (3)$$

67 where θ_v is the virtual potential temperature. To compute N^2 , CM1 uses Eq. 1 in saturated
 68 environments and Eq. 3 in sub-saturated environments; for mathematical simplicity, however,
 69 only Eq. 3 will be used for the budget computations herein¹.

70 Taking the time derivative of Eq. 3 yields the static stability tendency:

$$\frac{\partial N^2}{\partial t} = \frac{g}{\theta} \frac{\partial}{\partial z} \frac{\partial \theta}{\partial t} + \frac{g}{\theta^2} \frac{\partial \theta}{\partial z} \frac{\partial \theta}{\partial t}, \quad (4)$$

¹The validity of this approximation will be substantiated later in this section.

71 where the potential temperature tendency, $\frac{\partial \theta}{\partial t}$, is:

$$\frac{\partial \theta}{\partial t} = HADV + VADV + HTURB + VTURB + MP + RAD + DISS \quad (5)$$

72 Each term on the right-hand side of Eq. 5 represents a potential temperature budget variable,
 73 each of which is output directly by the model every minute. HADV and VADV are the radial and
 74 vertical advective tendencies, HTURB and VTURB are the radial and vertical tendencies from
 75 the turbulence parameterization, MP is the tendency from the microphysics scheme, RAD is the
 76 tendency from the radiation scheme, and DISS is the tendency due to turbulent dissipation. This
 77 equation neglects Rayleigh damping, since this term is zero everywhere below 25 km, and the
 78 analysis domain does not extend to that height. Each term in Eq. 5 is substituted for $\frac{\partial \theta}{\partial t}$ in Eq.
 79 4, yielding the contribution of each budget term to the static stability tendency. These terms are
 80 then summed, yielding an instantaneous "budget change" in N^2 every minute. These instantaneous
 81 budget changes are then averaged over 24-hour periods and compared to the total model change
 82 in N^2 over that same time period, i.e.:

$$\Delta N_{budget}^2 = \sum_{t=t_0}^{t_0+\delta t} \frac{\partial N^2}{\partial t} |_{t, NEEDBAROVERSUMMATION} \quad (6)$$

83

$$\Delta N_{model}^2 = N_{t_0+\delta t}^2 - N_{t_0}^2, \quad (7)$$

84 where N^2 is computed using Eq. 1 in saturated environments, Eq. 3 in sub-saturated environments,
 85 t_0 is an initial time and δt is 24 hours.

86 4. Results

87 Put description of Fig. 1 in this section.

88 Don't forget to mention 1-2-1 smoother.

89 *a. Static stability evolution*

90 The average N^2 over the first day of the simulation (Fig. 2a) indicates the presence of a static
91 stability maximum about 400 m above the cold-point tropopause. This lower-stratospheric stable
92 layer had begun to erode during the initial spin-up period, with the maximum destabilization
93 occurring at the innermost radii. This decrease in static stability continued into the second day
94 of the simulation (Fig. 2b) as the storm intensified to hurricane strength (Fig. 1). Destabilization
95 was particularly pronounced over the developing eye, where the time-mean cold-point tropopause
96 height increased by up to 400 m compared to the previous day. Over the developing eyewall
97 and outer rainband regions, meanwhile, the tropopause height remained nearly constant. During
98 the third day of the simulation (Fig. 2c), static stability over the eye continued to decrease, and
99 the cold-point tropopause height rose to 18.3 km at the storm center. The tropopause sloped
100 sharply downward over the innermost radii, reaching the 16.4-km level near the 50-km radius. This
101 local minimum in tropopause height corresponded to the eyewall region, where upper-tropospheric
102 static stability increased during this time period. Outside of the eyewall region, static stability
103 began to increase in the layer immediately overlying the cold-point tropopause. This stable layer
104 sloped upward with radius, which corresponded to an upward-sloping tropopause radially outside
105 of the eyewall region. Over the next 24 hours (Fig. 2d), as the storm's maximum 10-m wind
106 speed leveled off near 80 m s^{-1} (Fig. 1), the upper-tropospheric static stability within the eyewall
107 region continued to strengthen, as did the static stability just above the cold-point tropopause
108 radially outside of the eyewall. As the stable layer strengthened, its altitude rose slightly, which
109 corresponded to a slight increase in tropopause height outside of the eyewall during this period.
110 Within the upper troposphere radially outside of the eyewall, meanwhile, static stability decreased
111 such that it was nearly neutral in a thin layer between the 120- and 150-km radii. The eye region

likewise continued to destabilize, and the cold-point tropopause height increased to a level above 18.5 km. This static stability evolution closely follows that observed in Hurricane Patricia (2015; Duran and Molinari 2018).

b. Static stability budget analysis

The left column of Fig. 3 depicts 24-hour changes in N^2 over each of the four days of the simulation. These represent bulk changes computed by subtracting the instantaneous N^2 at the initial time from the instantaneous N^2 at the final time. The middle column of Fig. 3 represents the change in N^2 computed using Eq. XXX and the method described in Section 3. The residual between these two computations (Fig. 3, right column) is much smaller than the change in N^2 , meaning that the budget performs well within the analysis domain.

To determine which of the budget terms are most important, a time series of the contribution of each of the budget terms in Eq. XXX to the tropopause-layer static stability tendency is plotted in Fig. 4. For this figure, each of the budget terms is computed using the method described in Section 3, except with 1-hour averaging intervals instead of 24-hour intervals. The absolute values of these tendencies are then averaged over the radius-height domain depicted in Fig. 3 and plotted as a time series². Advection (Fig. 4, red line) plays an important role in the mean tropopause-layer static stability tendency at all times, and vertical turbulence (Fig. 4, blue line) and radiation (Fig. 4, dark green line) both become important after 48 hours. Although the contribution from horizontal turbulence (Fig. 4, purple line) becomes more important after 72 hours, it is confined to a very small region immediately surrounding the eyewall tangential velocity maximum (not shown), and is negligible throughout the rest of the tropopause layer. The remaining two processes

²It will be seen in subsequent figures that each of the terms contributes both positively and negatively to the N^2 tendency within the analysis domain. Thus, taking an average over the domain tends to wash out the positive and negative contributions. To circumvent this problem, the absolute value of each of the terms is averaged, yielding a time series of the mean magnitude of each budget term.

133 - microphysics and dissipative heating (Fig. 4, orange and light green lines, respectively) - lie atop
134 one another near zero. These time series indicate that, at all times, three budget terms dominate the
135 tropopause-layer static stability tendency: advection, vertical turbulence, and radiation. Variations
136 in the magnitude and spatial structure of these terms drive the static stability changes depicted in
137 Fig. 2; subsequent sections will focus on these variations and what causes them.

138 (i) *0-24 hours* The first 24 hours of the simulation was characterized by a weakening of the
139 lower-stratospheric static stability maximum above 17 km (Fig. ??a, purple shading) and an in-
140 crease in static stability below (green shading). Although these tendencies extended out to the
141 200-km radius, they were particularly pronounced at innermost radii. A comparison of the contri-
142 butions of advection (Fig. ??b), vertical turbulence (Fig. ??c), and radiation (Fig. ??d) reveals that
143 advection is primarily responsible for the change in static stability during this period. ...Explain
144 this in the context of radial and vertical velocities...

145 (ii) *24-48 hours* During the second day of the simulation, the lower-stratospheric stable layer
146 continued to weaken (Fig. 6a). This weakening trend in the 16.75-17.75-km layer extended from
147 the 50 km radius outward to past 200 km, and was primarily driven by advection (Fig. 6b). Below
148 this layer, static stability began to increase slightly. This stabilization had contributions from both
149 vertical turbulence (Fig. 6c) and radiation (Fig. 6d) in the 16-16.5-km layer. ...Explain this in
150 context of mean vertical mixing coefficient and mean radiative heating tendency... Meanwhile,
151 radially inward of 60 km, static stability below 17.5 km continued to weaken, primarily due to
152 advective processes.

153 (iii) *48-72 hours* The third day of the simulation marked a dramatic change in the structure of the
154 tropopause-layer static stability tendencies. During this time, static stability increased markedly
155 in an upward-sloping region within the 30-60-km radial band (Fig. 7a), and also increased within

156 the 16.75-17.5-km layer out to at least the 200-km radius. As this layer stabilized, the layer
157 immediately below it destabilized in a broad region extending from 60-200 km. Examination
158 of the contribution from total advection (Fig. 7b) reveals that advection no longer dominates the
159 static stability tendencies. Instead, a combination of vertical turbulence (Fig. 7c) and radiation
160 (Fig. 7d) overcomes the destabilizing influence of advection to create the layer of increasing static
161 stability. Meanwhile, the destabilizing influence of vertical turbulence in a broad region below
162 17 km combines with a small region of destabilization due to radiation in the 50-120-km radial
163 band combine to destabilize the layer below 16.5 km in the 50-200-km radial band. Comparing
164 the sum of advection and vertical turbulence (Fig. 7e) to the sum of advection, vertical turbulence,
165 and radiation (Fig. 7f) reveals that radiation plays a fundamental role in the re-strengthening of the
166 lower-stratospheric stable layer during this time.

167 *(iv) 72-96 hours*

168 **5. Discussion**

169 Dunion et al. speculate that the diurna pulse only occurs in mature storms. Maybe the develop-
170 ment of the near-tropopause stable layer could partially explain the reason for this.

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172 support of Cloud Model 1. We also thank Drs. Jeffrey Kepert, Robert Fovell, and Erika Navarro
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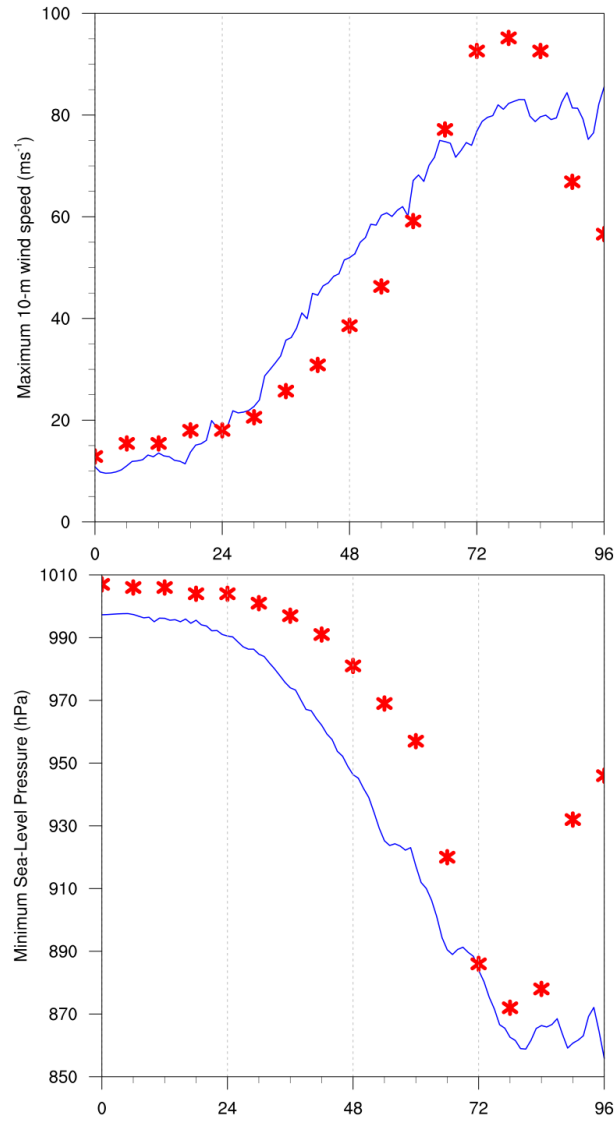


FIG. 1. The maximum 10-m wind speed (top panel; m s^{-2}) and minimum sea-level pressure (bottom panel; hPa) in the simulated storm (blue lines) and from Hurricane Patricia's best track (red stars).

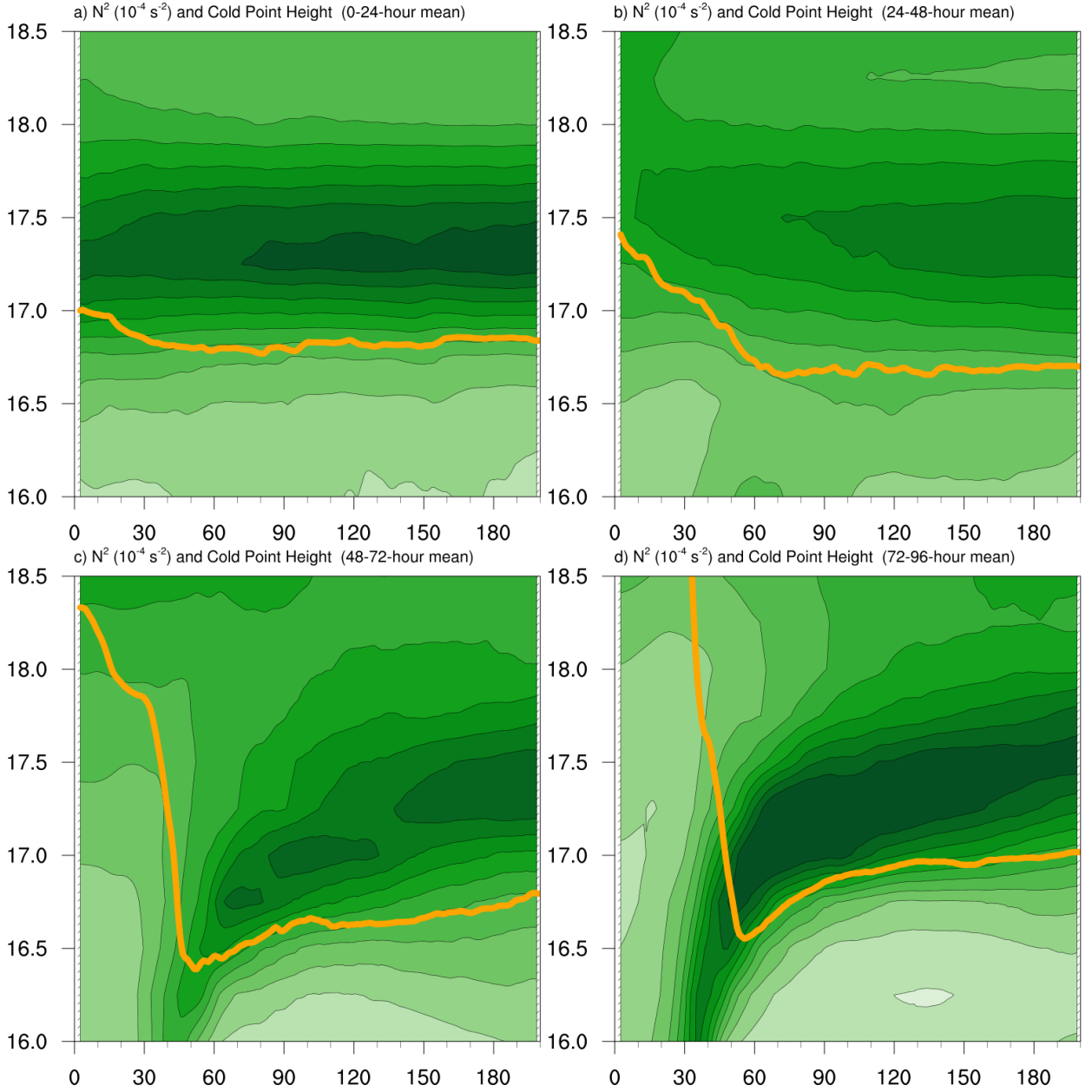


FIG. 2. Twenty-four-hour averages of squared Brunt-Väisälä frequency (10^{-4} s^{-2}) over the first four days of the simulation. Orange lines represent the cold-point tropopause computed from the mean temperature field over the same time periods.

figures/fig03_R-Z_mod+bud+res.png

243 FIG. 3. Left panels: Twenty-four-hour changes in squared Brunt-Väisälä frequency (10^{-4} s^{-2}) over (a) 0-24
244 hours, (b) 24-48 hours, (c) 48-72 hours, (d) 72-96 hours. Middle Panels: The N^2 change over the same time
245 periods computed using Eq. XXX. Right Panels: The budget residual over the same time periods, computed by
246 subtracting the budget change (middle column) from the model change (left column).



figures/fig04_AVG_budterms.png

247 FIG. 4. Time series of the contribution of each of the budget terms to the time tendency of the squared
248 Brunt-Väisälä frequency (N^2 ; 10^{-4} s^{-2}). For each budget term, the absolute value of the N^2 tendency is averaged
249 both temporally over 1-hour periods (using output every minute), and spatially within the radius-height domain
250 depicted in Fig. 3.

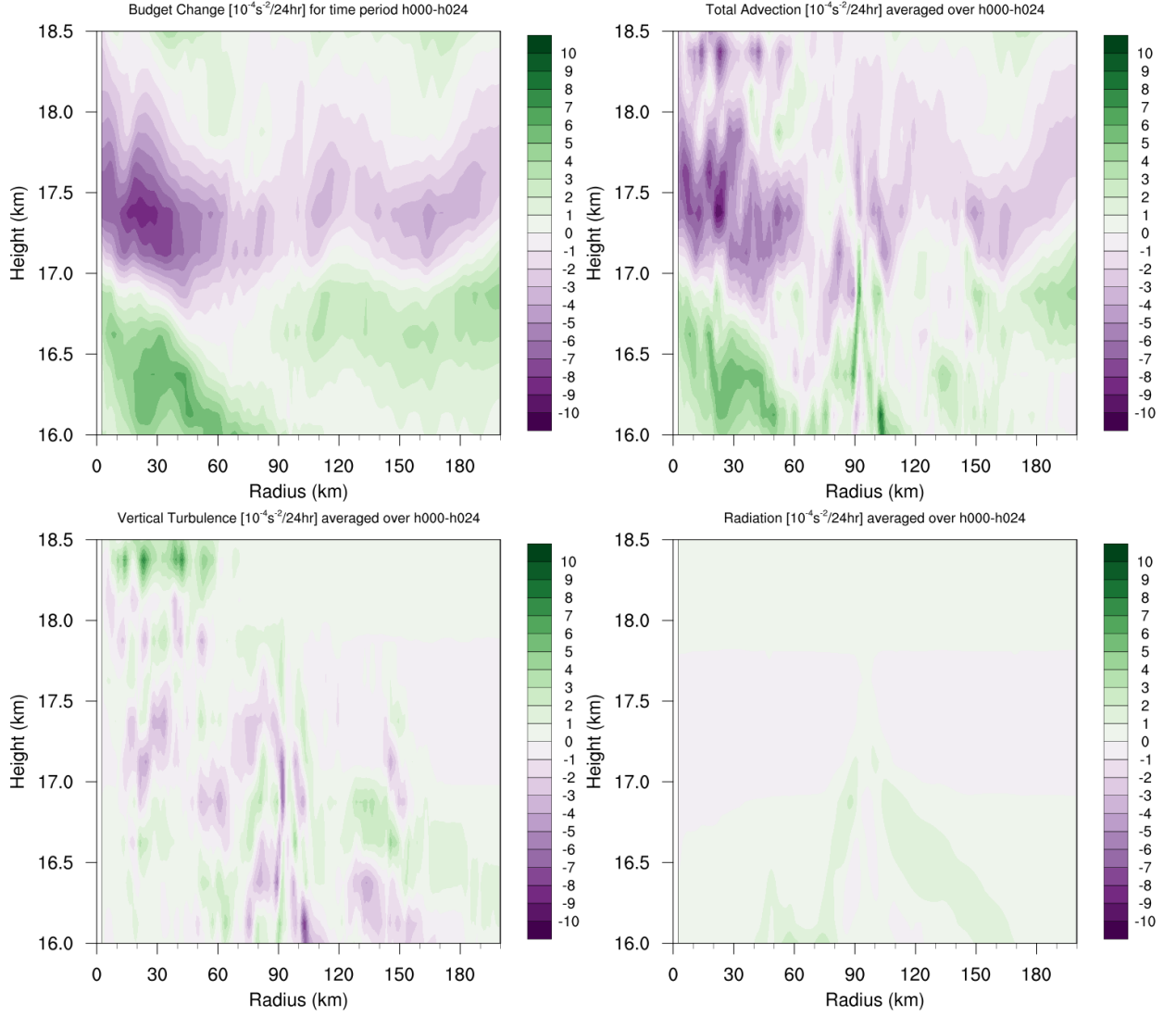


FIG. 5. (a) Total change in N^2 over the 0-24-hour period ($10^{-4} \text{ s}^{-2} (24 \text{ hr})^{-1}$) and the contributions to that change from (b) the sum of horizontal and vertical advection, (c) vertical turbulence, and (d) the sum of longwave and shortwave radiation.

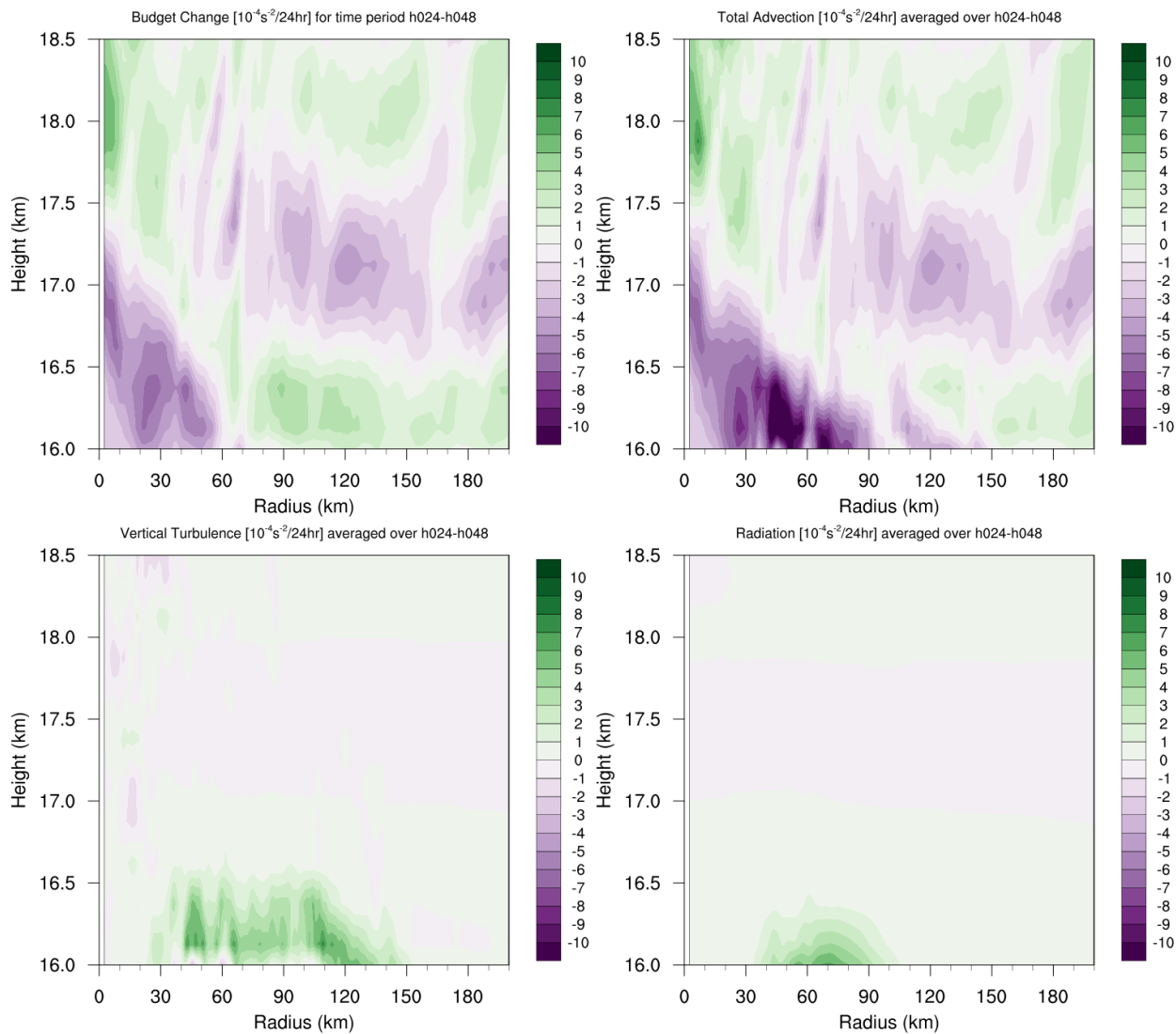



FIG. 6. As in Fig. 5, but for the 24-48-hour period.



figures/fig07_h048-h072-budgetterms.png

254 FIG. 7. (a) Total change in N^2 over the 48-72-hour period (10^{-4} s^{-2} (24 hr^{-1})) and the contributions to that
255 change from (b) the sum of horizontal and vertical advection, (c) vertical turbulence, (d) the sum of longwave
256 and shortwave radiation, (e) the sum of horizontal advection, vertical advection, and vertical turbulence, and (f)
257 the sum of horizontal advection, vertical advection, vertical turbulence, and longwave and shortwave radiation.

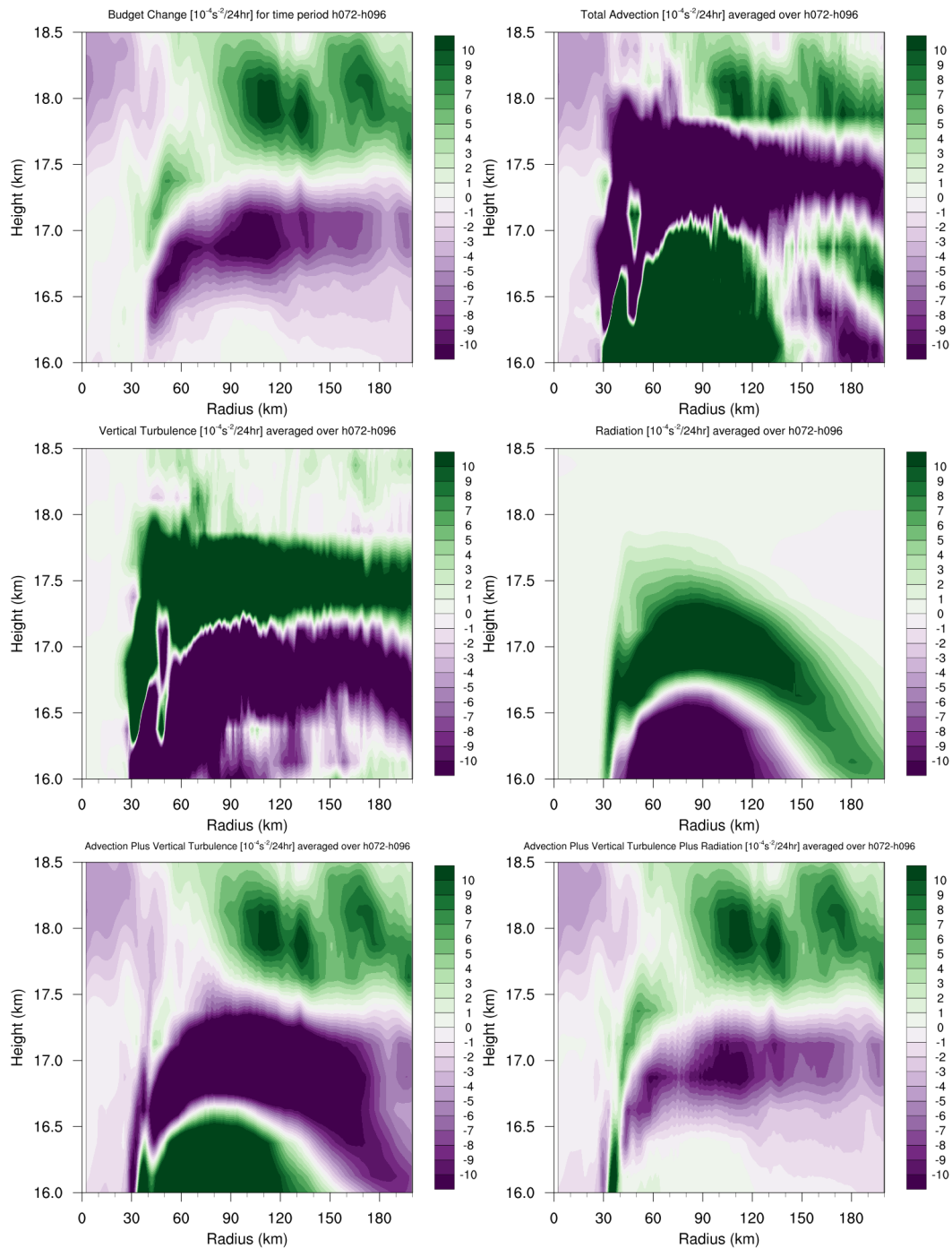
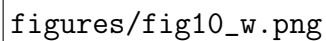


FIG. 8. As in Fig. 7, but for the 72-96-hour period.

figures/fig09_u.png

258 FIG. 9. Radial velocity (m s^{-1} ; filled contours), potential temperature (K; thick black contours), and cold point
259 tropopause height (orange line) averaged over (a) 0-24 hours, (b) 24-48 hours, (c) 48-72 hours, and (d) 72-96
260 hours.



figures/fig10_w.png

261 FIG. 10. Vertical velocity (cm s^{-1} ; filled contours), potential temperature (K; thick black contours), and cold
262 point tropopause height (orange line) averaged over (a) 0-24 hours, (b) 24-48 hours, (c) 48-72 hours, and (d)
263 72-96 hours.

figures/fig11_qtot.png

264 FIG. 11. Total condensate mixing ratio (g kg^{-1}) and cold point tropopause height (orange line) averaged over
265 (a) 0-24 hours, (b) 24-48 hours, (c) 48-72 hours, and (d) 72-96 hours.