

**THE UPPER-LEVEL STATIC STABILITY AND TROPOPAUSE
STRUCTURE OF TROPICAL CYCLONES**

by

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ABSTRACT

Upper-tropospheric thermodynamic processes can play an important role in tropical cyclone (TC) structure and evolution. Despite its importance, until recently few in-situ observations were available in the upper levels of TCs. Two recent field campaigns - the NASA Hurricane and Severe Storm Sentinel (HS3) and the Office of Naval Research Tropical Cyclone Intensity (TCI) experiment - provided a wealth of high-altitude observations within TCs. These observations revealed that the upper-level static stability and tropopause structure can change dramatically with both space and time in TCs.

The TCI dropsonde dataset collected during the rapid intensification (RI) of Hurricane Patricia (2015) revealed dramatic changes in tropopause height and temperature within the storm's inner core. These changes in tropopause structure were accompanied by a systematic decrease in tropopause-layer static stability over the eye. Outside of the eye, however, an initial decrease in static stability just above the tropopause was followed by an increase in static stability during the latter stages of RI.

Idealized simulations were conducted to examine the processes that might have been responsible for the tropopause variability observed in Hurricane Patricia. A static stability budget analysis revealed that three processes - differential advection, vertical gradients of radiative heating, and vertical gradients of turbulent mixing - can produce the observed variability. These results support the theoretical assumption that turbulent mixing plays a fundamental role in setting the upper-level potential temperature stratification in TCs. The existence of turbulence in the upper troposphere of TCs is corroborated by the presence of low-Richardson number layers in a large number of rawinsonde observations. These layers

were more common in hurricanes than in weaker TCs, as hurricanes were characterized by both smaller static stability and larger vertical wind shear in the upper troposphere.

HS3 dropsondes deployed within and around TC Nadine (2012) observed two distinct upper-level stability maxima within the storm's cirrus canopy. Outside of the cirrus canopy, however, only one stability maximum was present in the upper levels. In a large rawinsonde dataset, multiple stability maxima like those observed in Nadine were observed more often within cold cirrus clouds than outside of cirrus. It is hypothesized that vertical gradients of radiative heating within cirrus clouds could produce these multiple stability maxima. MENTION THAT STABLE LAYER IS STRONGER WITHIN COLD CIRRUS AND THAT IT'S ALSO STRONGER IN HURRICANES THAN IN TD+TS?

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1. Introduction

1.1 Section Heading

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1.1.1 Subsection Heading

2. The tropopause-layer static stability structure of tropical cyclones: Idealized modeling

2.1 Introduction

The preceding two chapters highlighted the effect of tropical cyclones on the tropopause and upper-level static stability structure in dropsonde observations. These observations alone, however, cannot explain the mechanisms that force the observed variability. Numerical simulations of an axisymmetric hurricane conducted in an idealized framework reproduced the observed variability. Using these simulations, some physical insight into these mechanisms is obtained and described in the present chapter.

2.2 Model Setup

The numerical simulations were performed using version 19.1 of Cloud Model 1 (CM1) described in Bryan and Rotunno (2009) and available online at [WEBSITE]. The fully-compressible, axisymmetric equations of motion were integrated on an Arakawa C-Grid with 1-km horizontal and 250-m vertical grid spacing using a 3rd-order Klemp-Wilhelmson time-splitting integration scheme with 5th-order spatial differencing. Sub-grid turbulence was parameterized using the planetary boundary layer scheme described in Bryan and Rotunno (2009), with prescribed horizontal mixing lengths of 100 m at a surface pressure of 1015 hPa and 1000 m at a surface pressure of 900 hPa. The model domain was 3000-km-wide and 35-km-deep with a Rayleigh damping layer applied outside of the 5000-km radius and above the 25-km level to prevent spurious gravity wave reflection at the model boundaries. Microphysical processes were parameterized every advective time step using the Thompson microphysics scheme Thompson et al. (2004) and radiative heating tendencies were computed every two minutes using the RRTMG [CITATION] longwave and shortwave schemes. Temperature and humidity were initialized with a horizontally-homogeneous sounding computed by averaging all dropsondes deployed during the TCI flight conducted on 21 October,

2015 within and around Tropical Storm Patricia (see Doyle et al. (2017) for details.) Since relative humidity measurements were unreliable at temperatures below -40 deg C Bell et al. (2016), the water vapor mixing ratio was assumed to be zero above 16 km.

As in the preceding chapters, static stability is analyzed using N2, which is output directly by the model. Potential temperature tendencies

2.3 Results

The modeled storm’s intensity evolution during the first four days of the simulation is depicted in Fig. 1 (blue lines). Following a 24-hour initial spin-up period, the storm rapidly intensifies, and its maximum 10-m wind speed reaches XXX kt at XXX hours and its minimum central pressure reaches XXX hPa at XXX hours. This intensification closely resembles that recorded in the NHC best track for Hurricane Patricia (Fig. 1, red stars), indicating that the CM1 simulation is a good representation of Patricia’s evolution. The cold-point tropopause and static stability evolution during this time period is depicted in Fig. 2 for the modeled storm. The mean tropopause height during the first 24 hours (Day 1; Fig. 2a) lies near XXX km and exhibits little radial variability, with a static stability maximum immediately overlying it in the XXX-XXX-km layer. As the storm begins its rapid intensification period (Day 2; Fig. 2b), the mean static stability just above the tropopause decreases. This decrease is particularly pronounced near the storm center, which allows the tropopause to rise at the innermost radii. The static stability continues to weaken at small radii into Days 3 and 4 (Figs. 2c,d), and the tropopause rises dramatically above the storm’s eye. Meanwhile, outside of the eye region, static stability just above the tropopause strengthens. This static stability evolution closely follows that observed by the TCI dropsondes deployed in Hurricane Patricia (2015; Duran and Molinari (2018)).

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