

# Sorting Basics

Unsorted Array

9	1	3	2	7	4
---	---	---	---	---	---



sorting algorithm

Sorted Array

1	2	3	4	7	9
---	---	---	---	---	---

# Lecture Flow

- 1) Pre-requisites
- 2) Problem Definitions and Applications
- 3) Different approaches
- 4) Bubble sort
- 5) Selection sort
- 6) Insertion sort
- 7) Counting sort
- 8) Practice questions
- 9) Resources
- 10) Quote of the day

# Pre-requisites

- Basic python
- Asymptotic Analysis
- Arrays



# Problem Definition

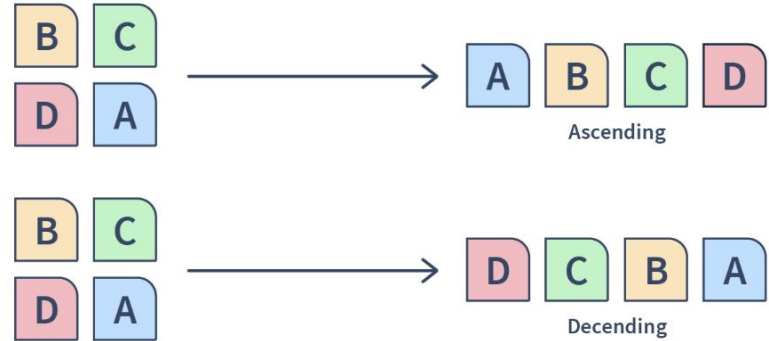
# Real Life Example



Playing Cards

# What is Sorting?

- Arranging a set of data in some logical order.
- **Increasing** or **Decreasing** manner.
- Helps finding **largest**, **smallest**, **median** and **nth** value, or **group** items by quality.

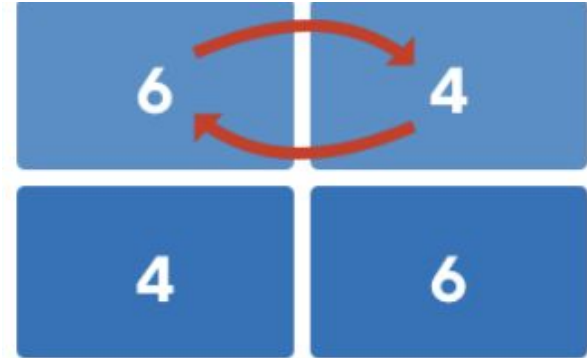


# Sorting by Element Comparison

## Sorting by Element Comparison

A data item is **compared** with other items in the list of items in order to find its place in the sorted list.

*Ex: Bubble, Selection, Insertion ...*



Q: How else can we sort?



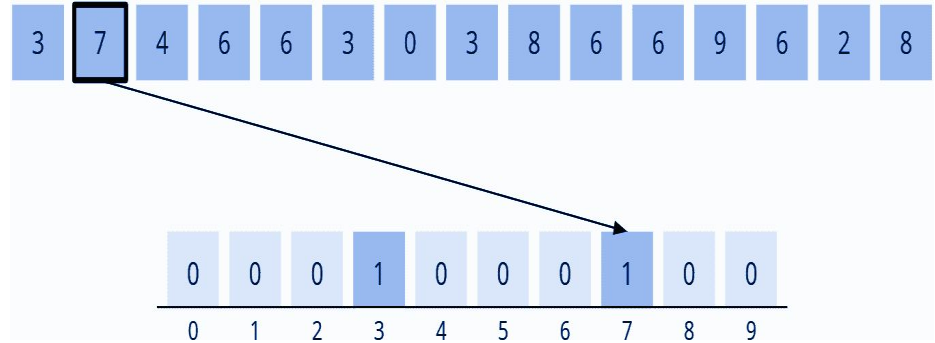


# Sorting by Distribution

## Sorting by Distribution

All items under sorting are distributed over a storage space and then grouped together to get the sorted list.

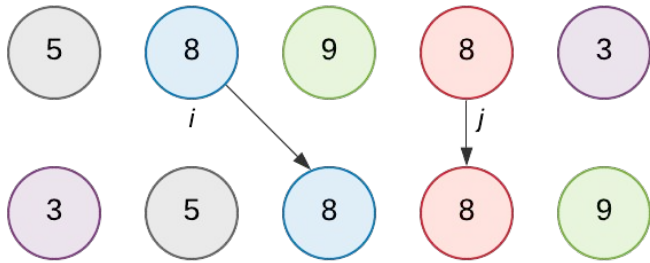
*Ex: Counting Sort, Bucket Sort ...*



# Stable vs Unstable Sorting

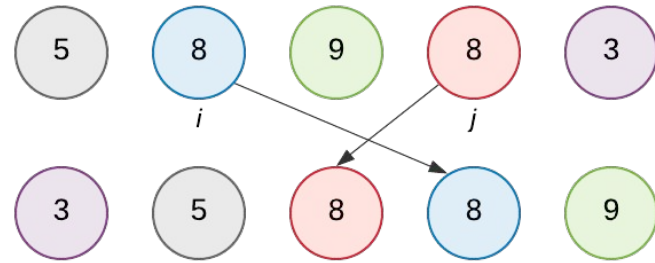
## Stable Sorting

Maintains the **original order** of similar items after sorting.



## Unstable Sorting

Does **not** preserve the initial arrangement of exact items after sorting.



# Stable Sorting Vs Unstable Sorting

BEFORE	
Name	Grade
Dave	C
Earl	B
Fabian	B
Gill	B
Greg	A
Harry	A



AFTER	
Name	Grade
Greg	A
Harry	A
Earl	B
Fabian	B
Gill	B
Dave	C

Image showing the effect of stable sorting

BEFORE	
Name	Grade
Dave	C
Earl	B
Fabian	B
Gill	B
Greg	A
Harry	A



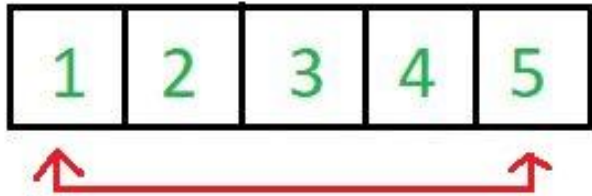
AFTER	
Name	Grade
Greg	A
Harry	A
Gill	B
Fabian	B
Earl	B
Dave	C

Image showing the effect of unstable sorting

# In-Place vs Out-of-Place

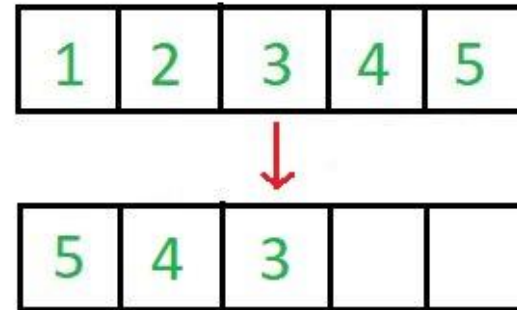
## In-Place

Uses constant space by modifying the order of the elements within the list.



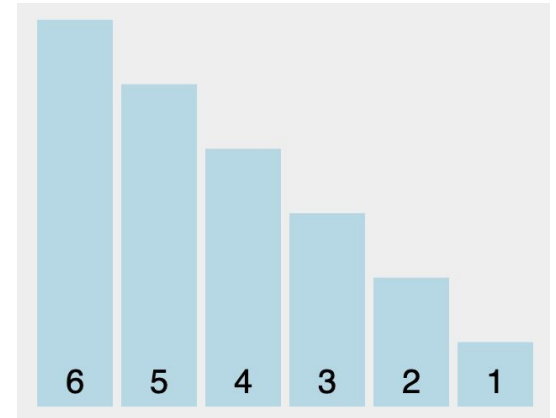
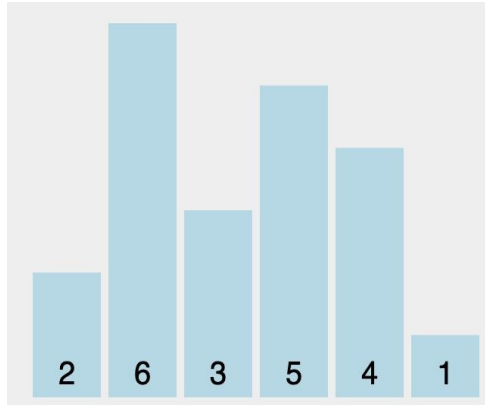
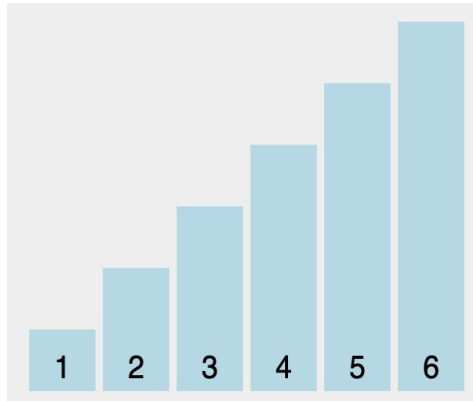
## Out-of-Place

Uses extra space to modify order of elements.



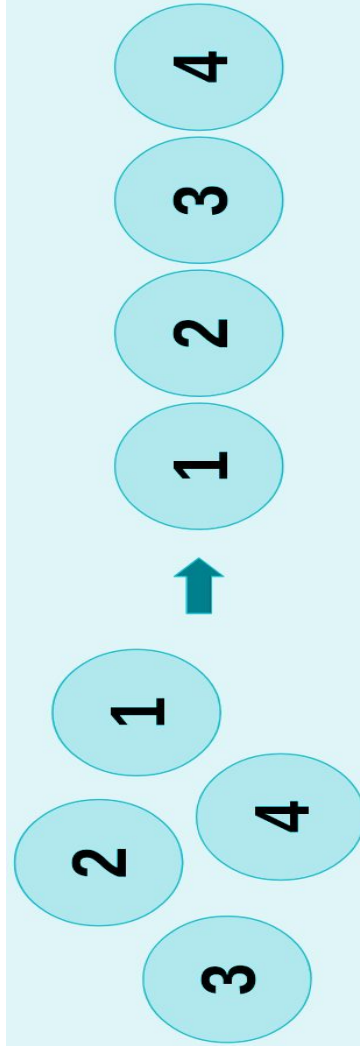
# Efficiency of Sorting Algorithm

- The complexity of a sorting algorithm measures the running time of a function in which **n** number of items are to be sorted.
- Various sorting algorithms are analyzed in the cases like - **Best case**, **Worst case** or **Average case**.

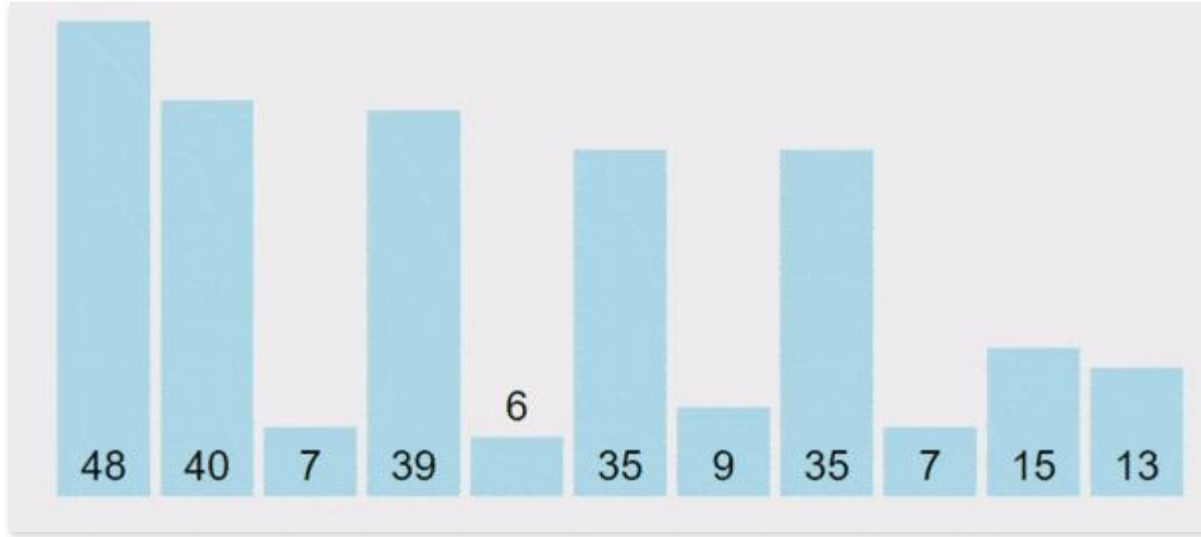


# Comparison Sorting

# 1. Bubble Sort



## Bubble Sort

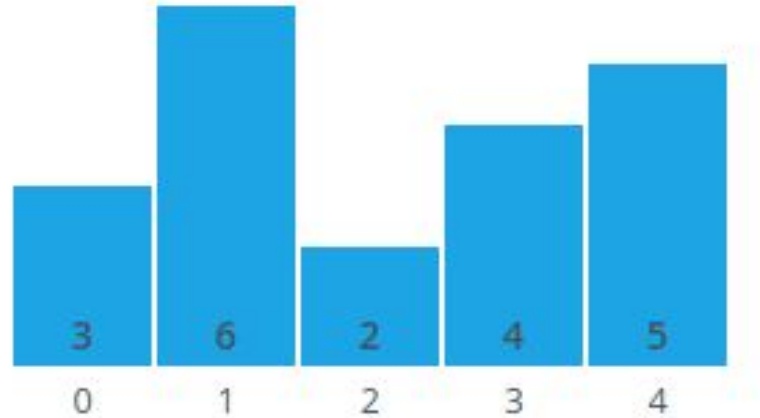


Bubble Sort works by repeatedly **swapping** the adjacent elements if they are in the **wrong order**. Keep doing this until sorted.

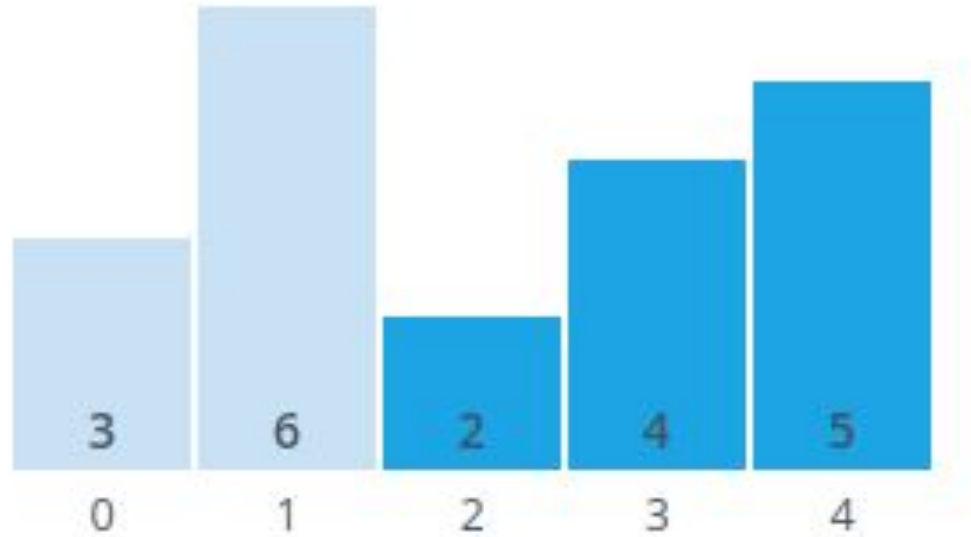


## Bubble Sort Simulation

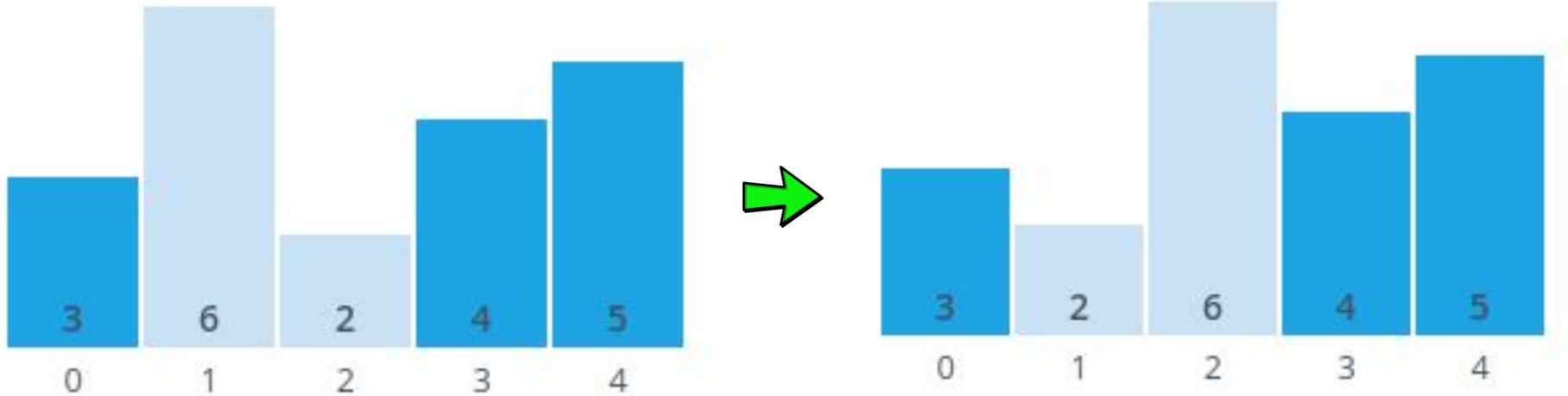
For each pass, we will move left to right swapping adjacent elements as needed. Each pass moves the next largest element into its final position (these will be shown in green).



## Compare The Elements



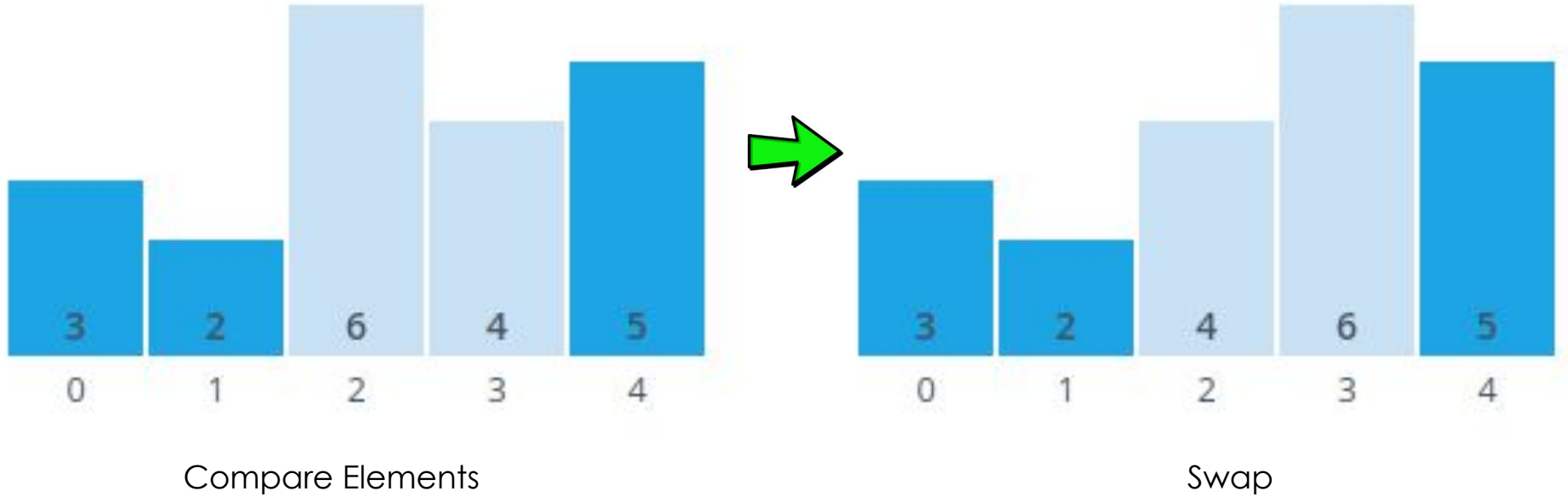
## Compare The Elements and Swap



Compare Elements

Swap

## Compare The Elements and Swap

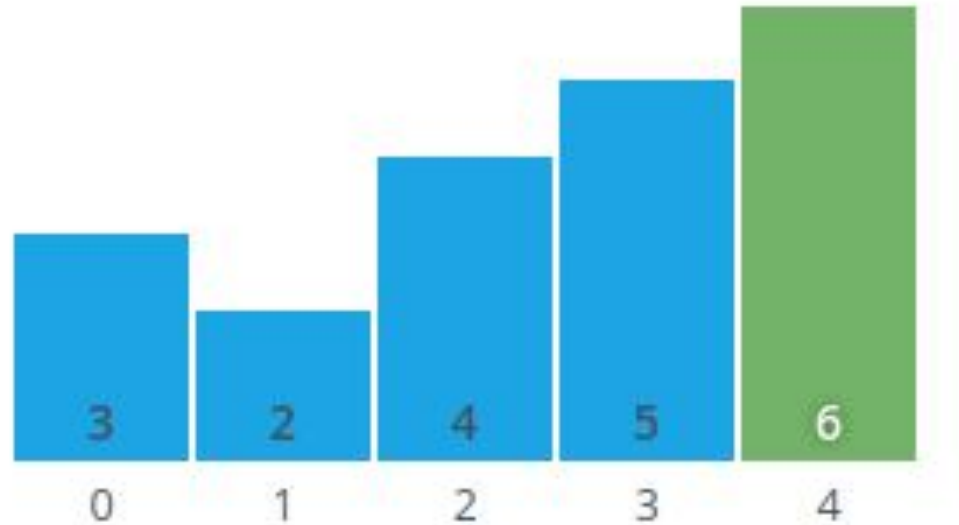


## Compare The Elements and Swap



## First Pass Done

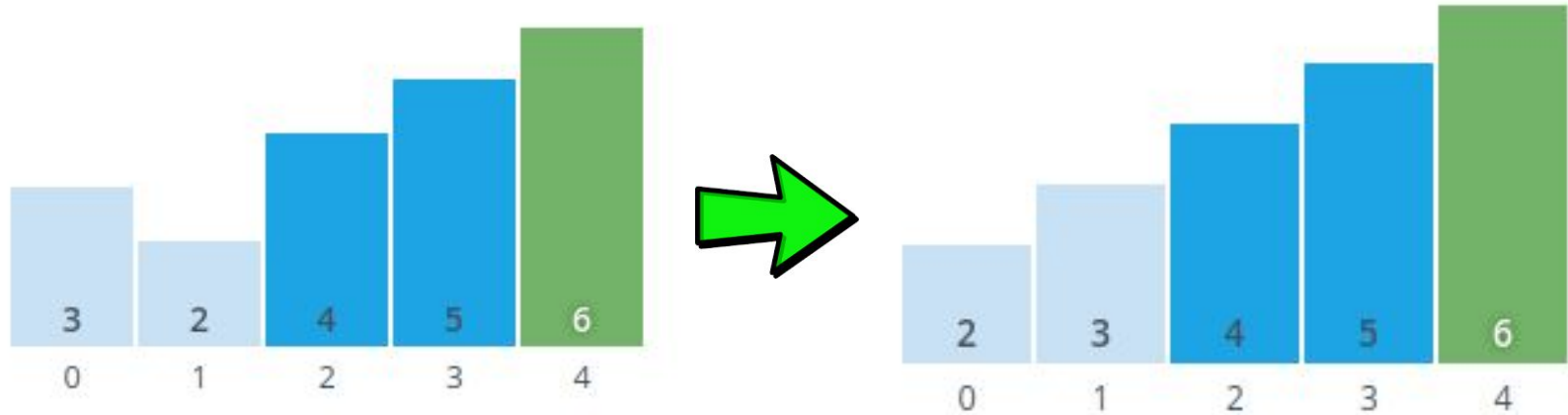
The last element processed is now in its final position. Now we will start the next pass for each element moving through the list.



Q: What happens with the second pass?



## Compare The Elements and Swap

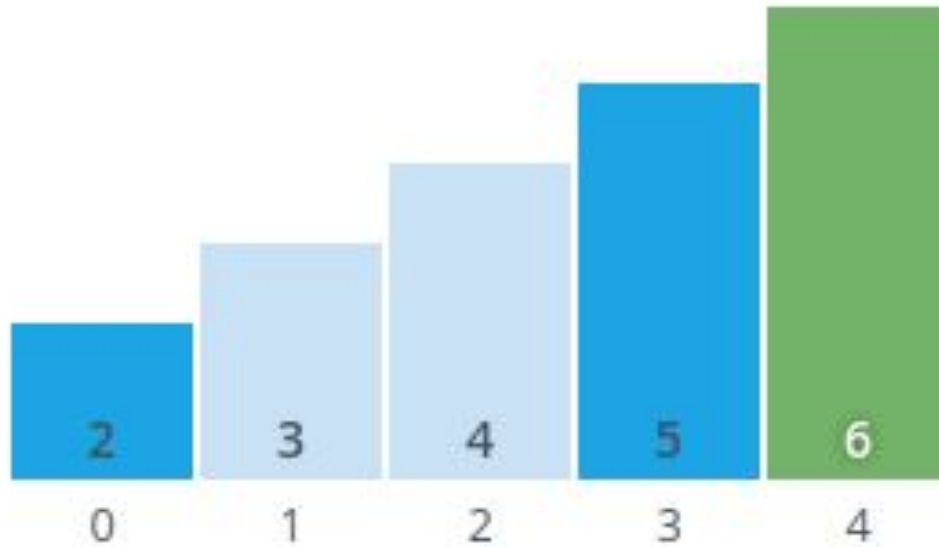


Compare the elements

Swap

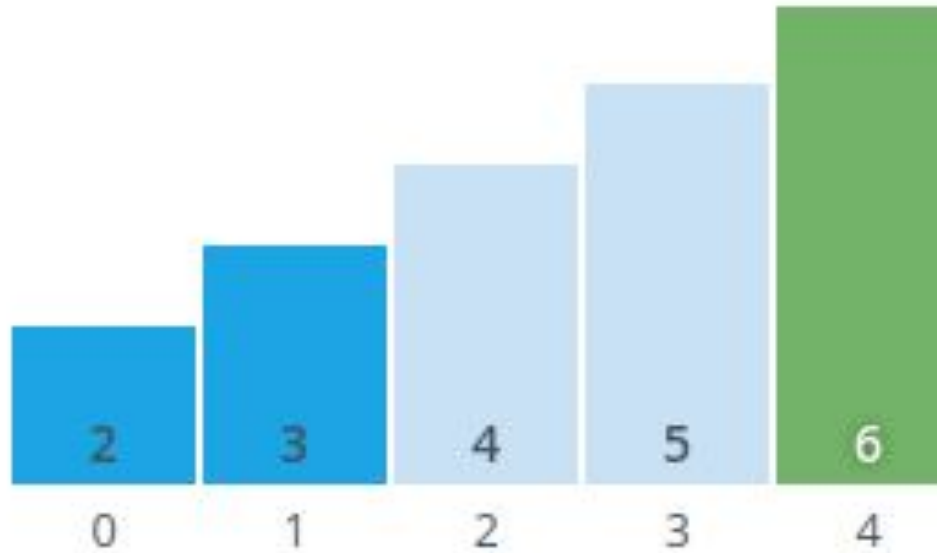


## Compare The Elements



Compare elements

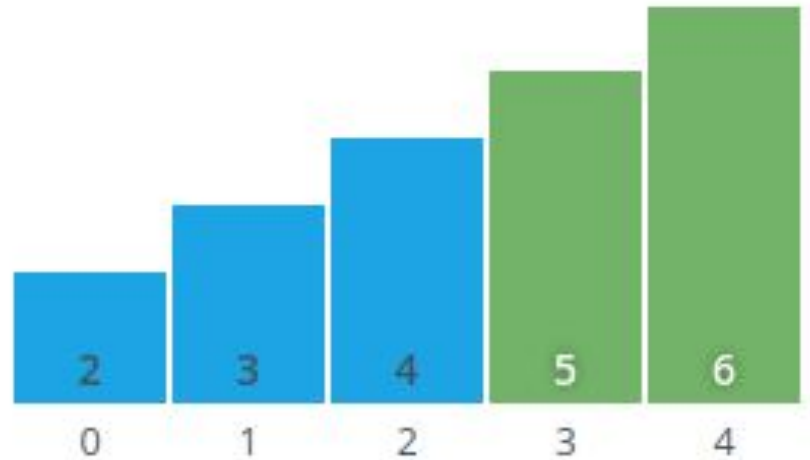
## Compare The Elements



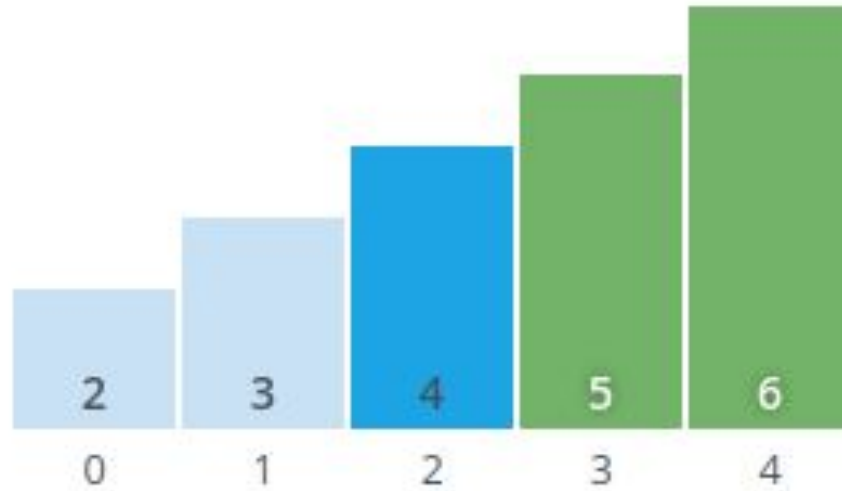
Compare elements

Done!

The last element processed is now in its final position. Now we will start the next move For each element moving through the list.

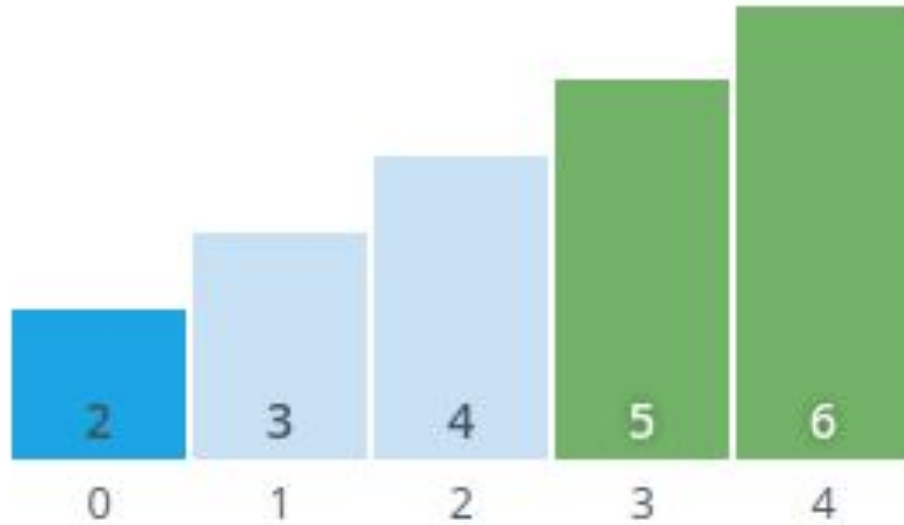


## Compare The Elements



Compare elements

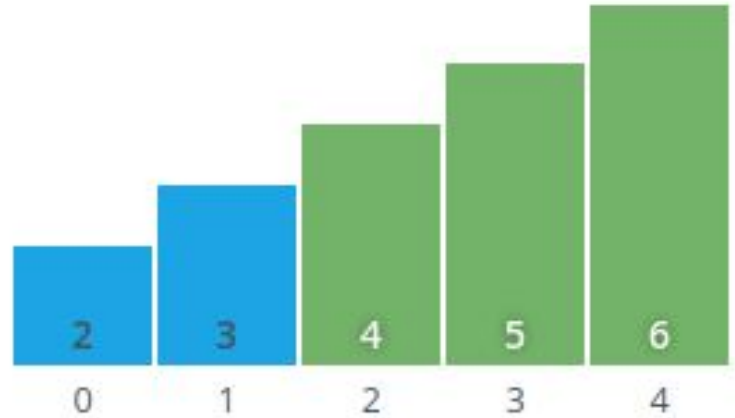
## Compare The Elements



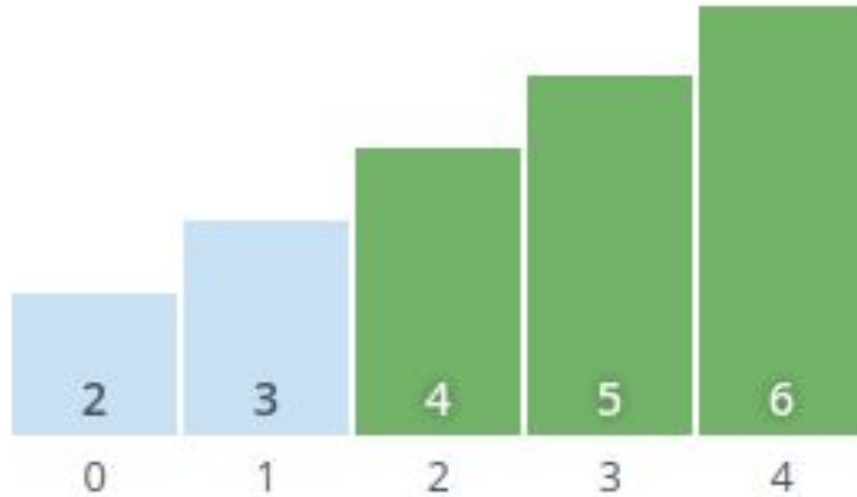
Compare elements

Done!

The last element processed is now in its final position. We will start the next path For each element moving through the list



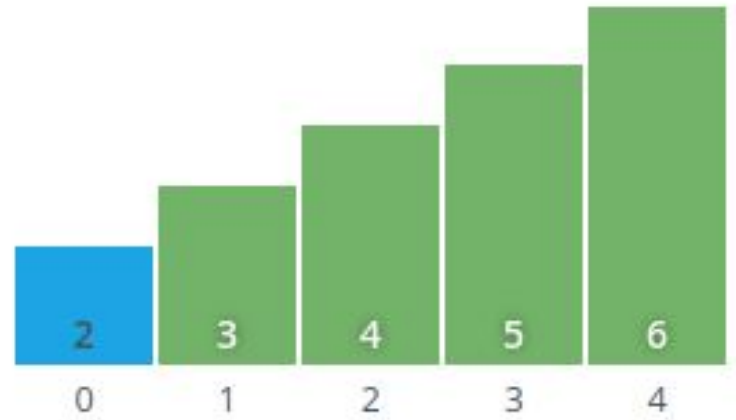
## Compare The Elements



Compare elements

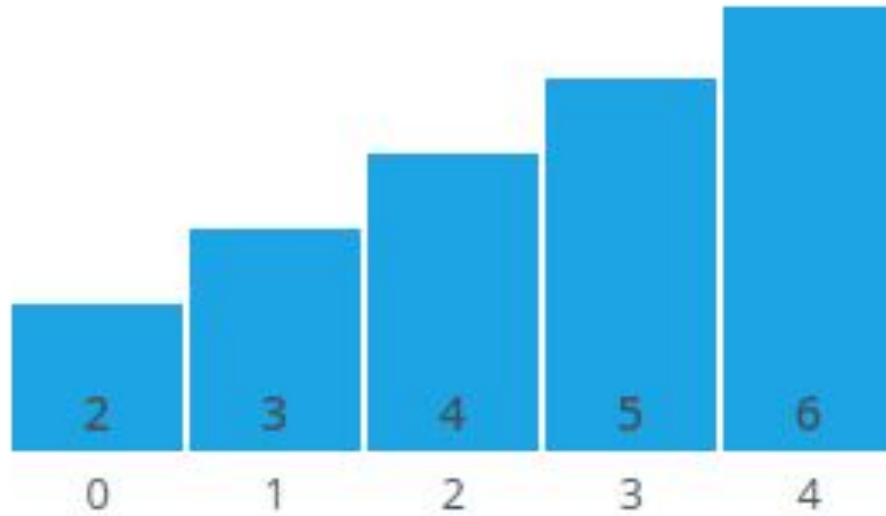
Done!

The last element processed is now in its final position.





## Final Sorted Output



# Time & Space Complexity

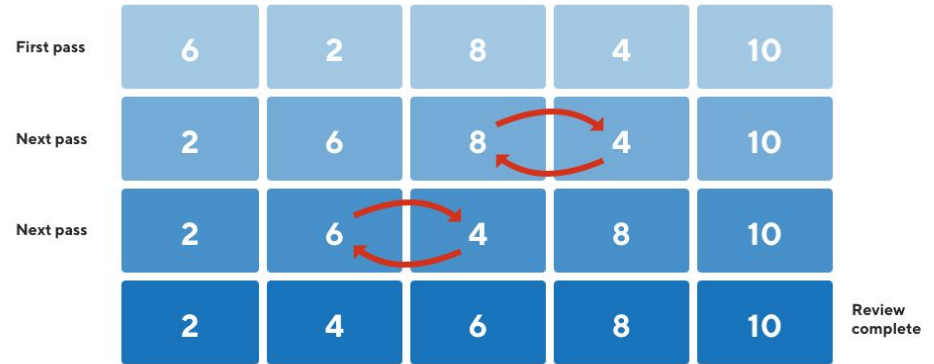
Worst case ? \_\_\_\_\_

Best case ? \_\_\_\_\_

Average case ? \_\_\_\_\_

Stable ? \_\_\_\_\_

In Place? \_\_\_\_\_



# Time & Space Complexity

Time complexity:  $O(n^2)$

Space complexity:  $O(1)$

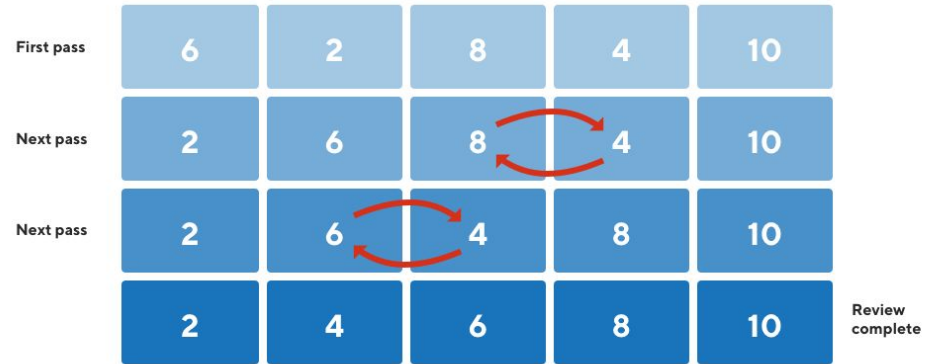
Worst case  $O(n^2)$

Best case  $O(n^2)$

Average case  $O(n^2)$

Stable ? Yes

In Place? Yes

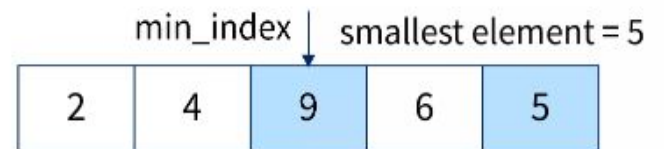
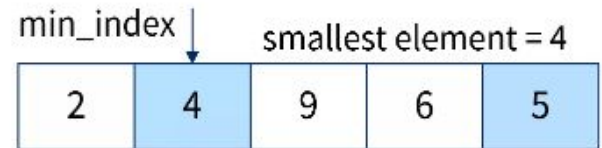
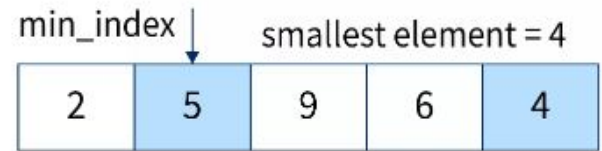
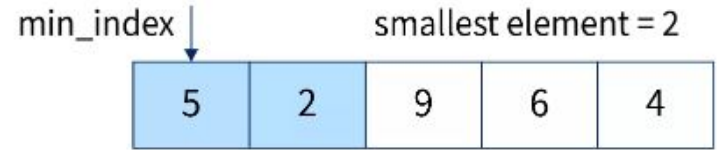


Solve by Using Bubble Sort

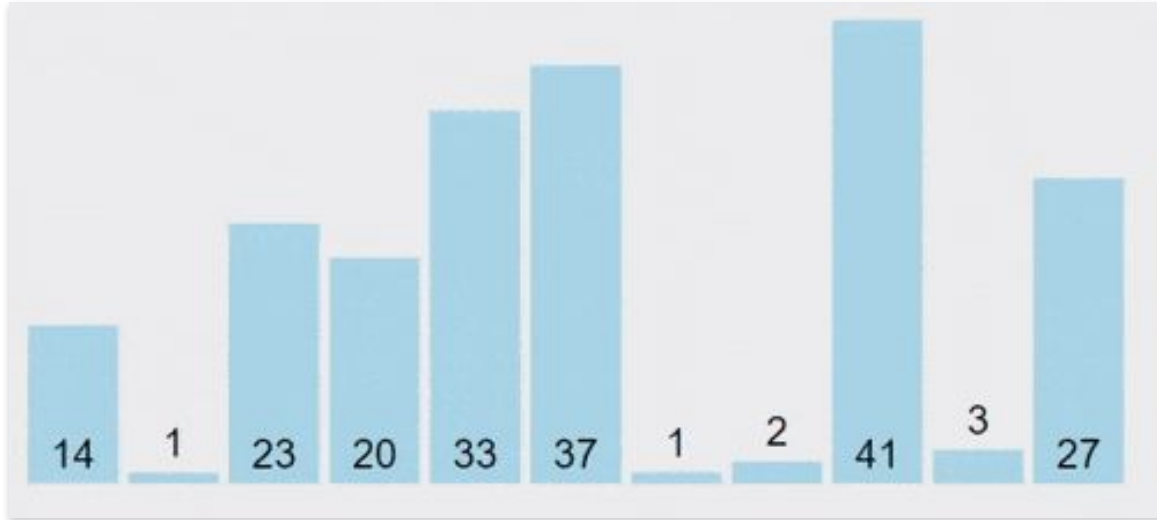
## Implementation of Bubble sort

```
array = [64, 25, 12, 22, 11]
size = len(array)
for i in range(size):
    for j in range(size - i - 1):
        if array[j] > array[j + 1]:
            array[j], array[j + 1] = array[j + 1], array[j]
```

## 2. Selection Sort



# Selection Sort



Selection sort selects the **smallest element** from an unsorted list in each iteration and places that element **at the beginning** of the unsorted list.

# Selection Sort Simulation

Consider the following array as an example.



○



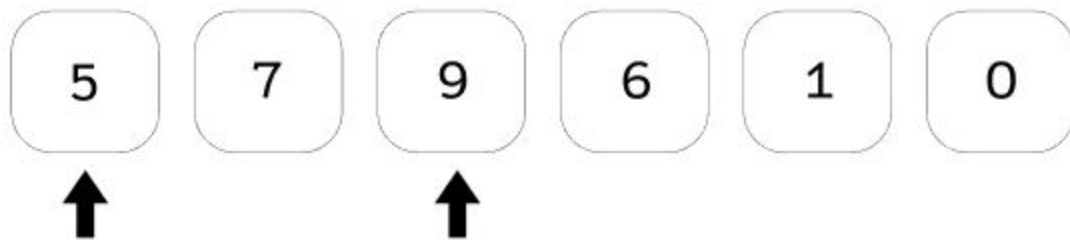
## Selection Sort simulation



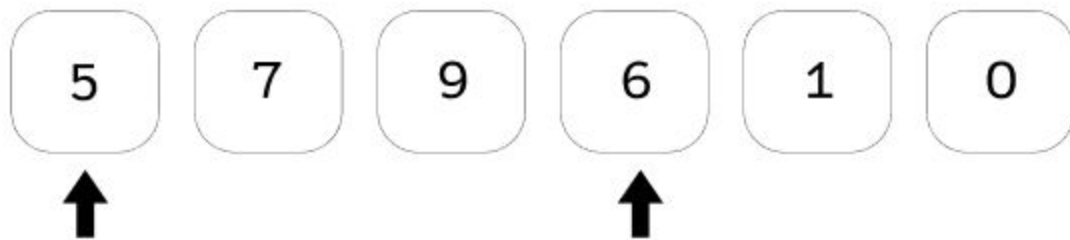
1<sup>st</sup> comparison

Swap





2<sup>nd</sup> comparison



3<sup>rd</sup> comparison



4<sup>th</sup> comparison



Swap

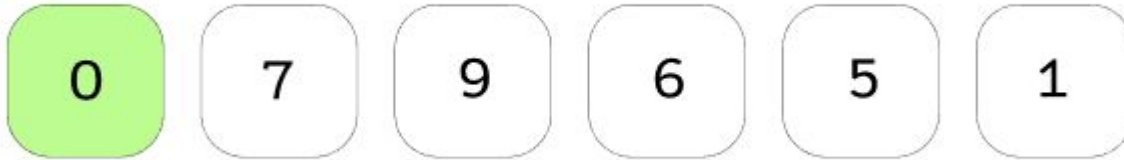


5<sup>th</sup> comparison

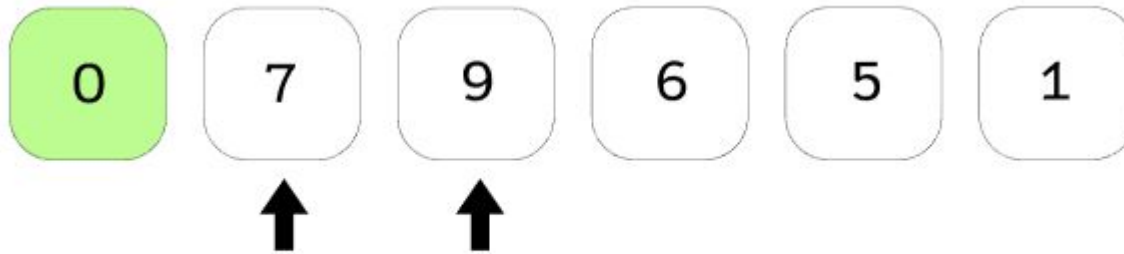


Swap

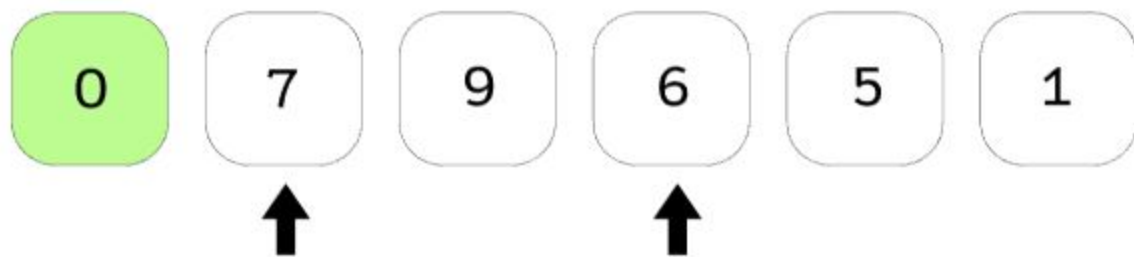
After one iterations, the least value is positioned at the beginning in a sorted manner.



The same process is applied to the rest of the items in the array.



1<sup>st</sup> comparison



2<sup>nd</sup> comparison



Swap



3<sup>rd</sup> comparison



Swap



4<sup>th</sup> comparison



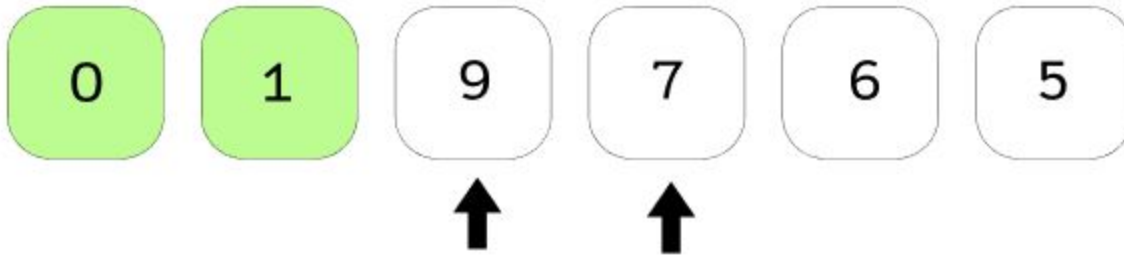
Swap



After two iterations, the two least value is positioned at the beginning in a sorted manner.



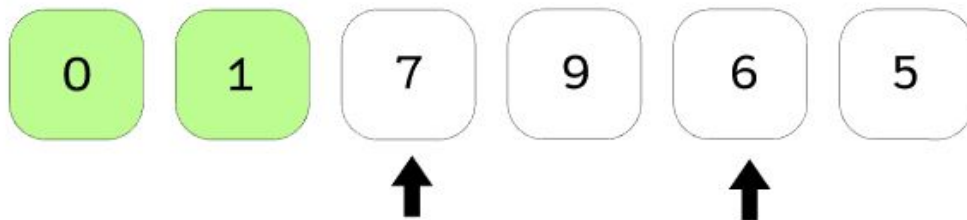
The same process is applied to the rest of the items in the array.



1<sup>st</sup> comparison



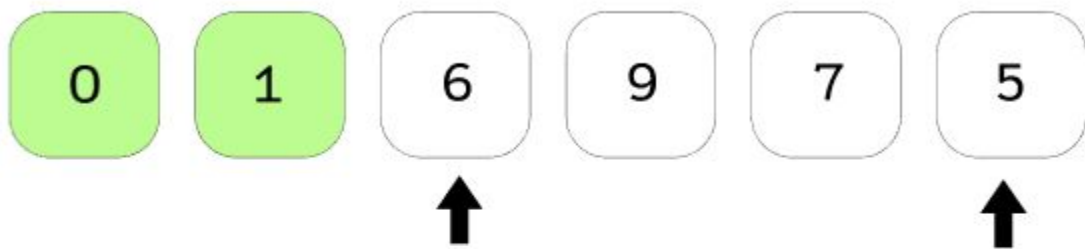
Swap



2<sup>nd</sup> comparison



Swap

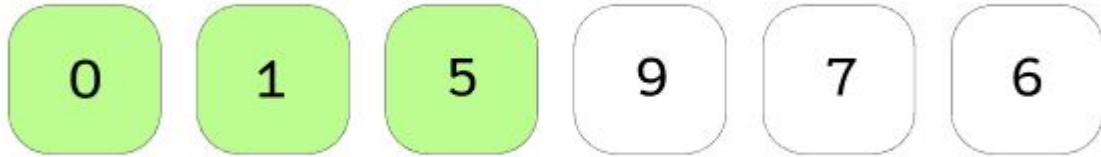


3<sup>rd</sup> comparison

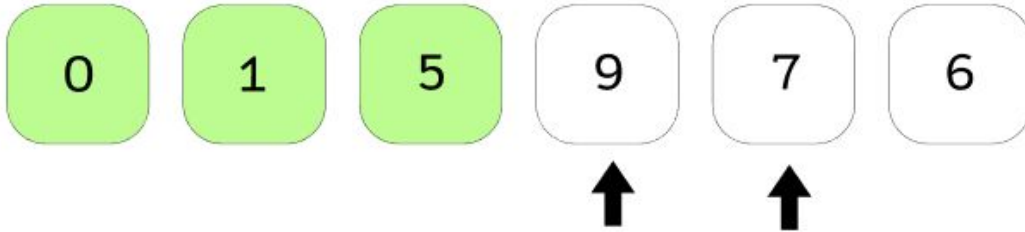


Swap

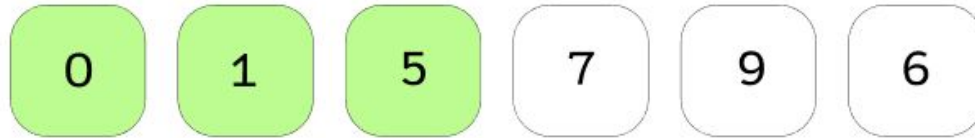
After three iterations, the three least value is positioned at the beginning in a sorted manner.



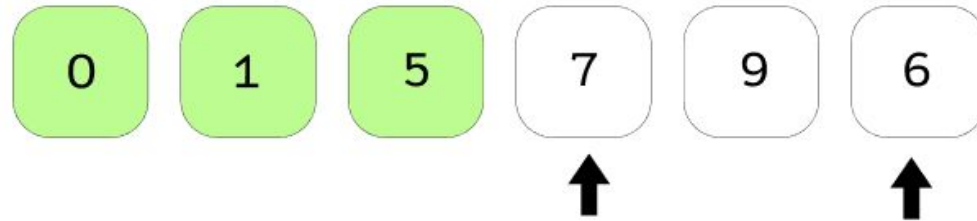
The same process is applied to the rest of the items in the array.



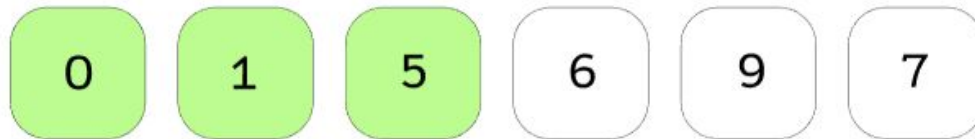
1<sup>st</sup> comparison



Swap

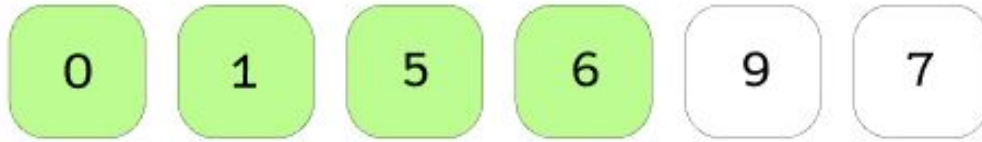


2<sup>nd</sup> comparison



Swap

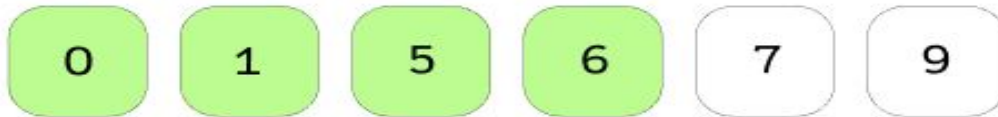
After four iterations, the four least value is positioned at the beginning in a sorted manner.



The same process is applied to the rest of the items in the array.

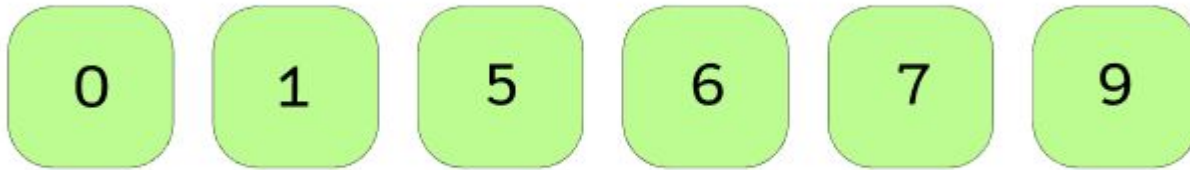


1<sup>st</sup> comparison



Swap

Done!



**Q:** What if we selected the largest one first and place it at the end?





**Q:** What happens when we put the smallest at the end?



# Algorithm

- Set MIN\_INDEX to location 0
- Repeat until list is sorted
  - Search the minimum element in the list
  - Swap with value at location MIN\_INDEX
  - Increment MIN\_INDEX to point to next element

**Note:** Every selection requires a search through the input list.

# Time & Space Complexity

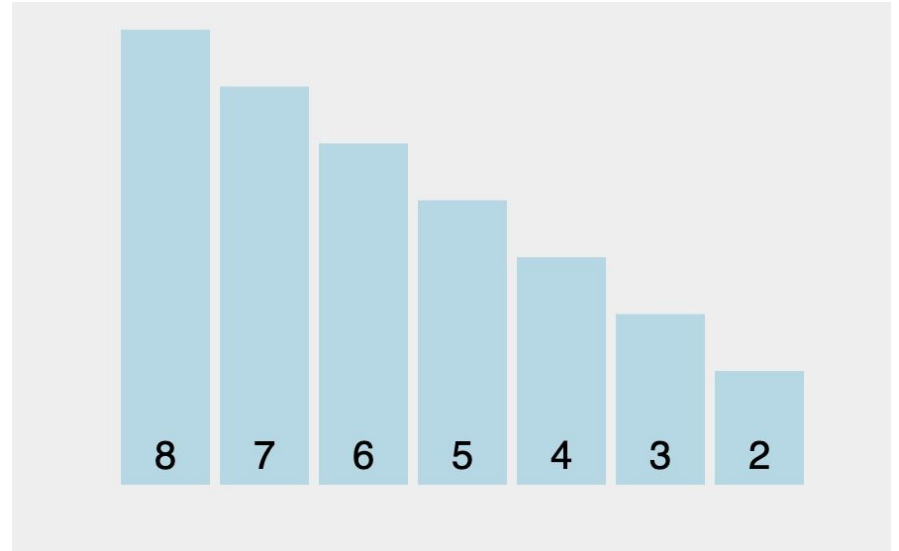
Worst case ? \_\_\_\_\_

Best case ? \_\_\_\_\_

Average case ? \_\_\_\_\_

Stable ? \_\_\_\_\_

In Place? \_\_\_\_\_



# Time & Space Complexity

Time complexity:  $O(n^2)$

Space complexity:  $O(1)$

Worst case  $O(n^2)$

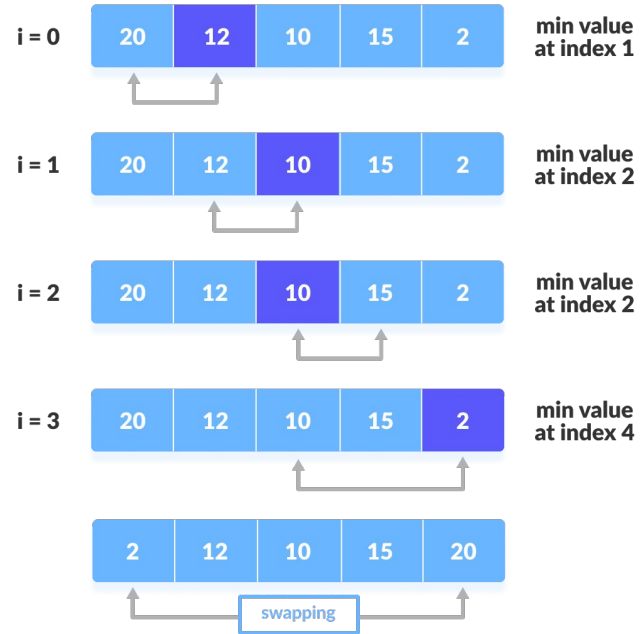
Best case  $O(n^2)$

Average case  $O(n^2)$

Stable ? No

In Place? Yes

step = 0



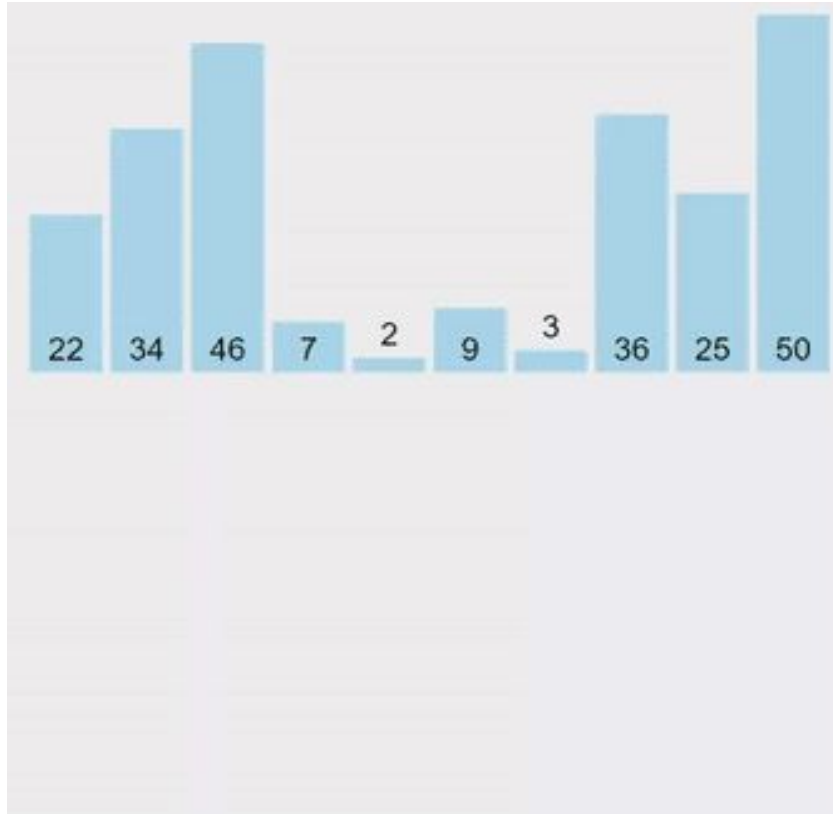
Solve by Using Selection Sort

# Implementation of Selection Sort

```
array = [64, 25, 12, 22, 11]
size = len(array)
for i in range(size):
    min_idx = i
    for j in range(i + 1, size):
        if array[min_idx] > array[j]:
            min_idx = j
    array[i], array[min_idx] = array[min_idx], array[i]
return array
```

### 3. Insertion Sort

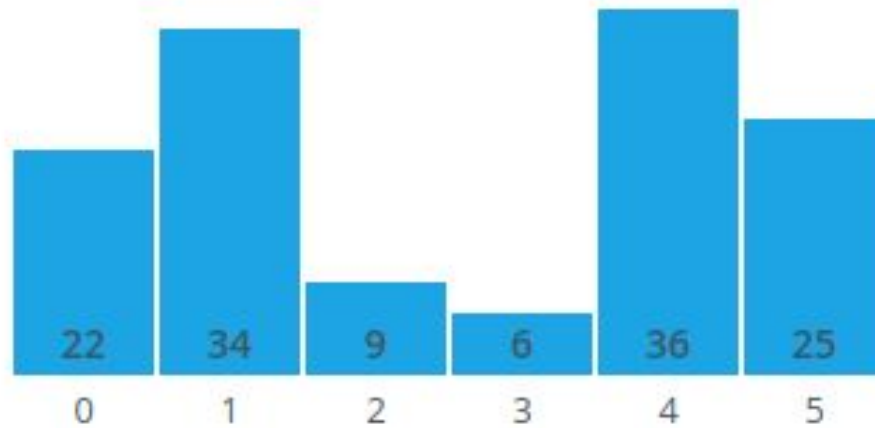
# Insertion Sort



Let's sort the beginning of the list, and insert new elements to the sorted part one by one.



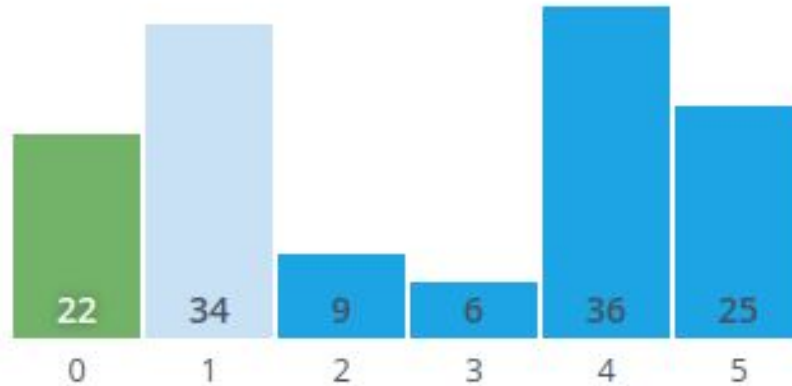
## Insertion Sort Simulation



# Insertion Sort Simulation

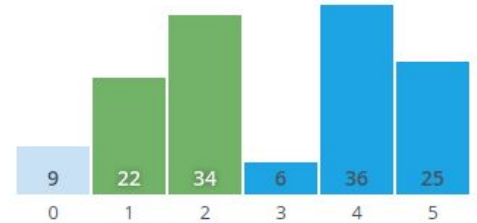
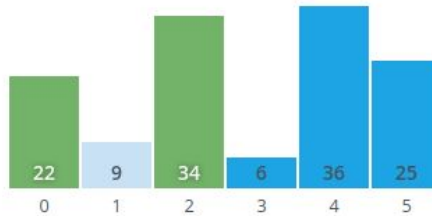
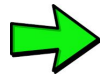
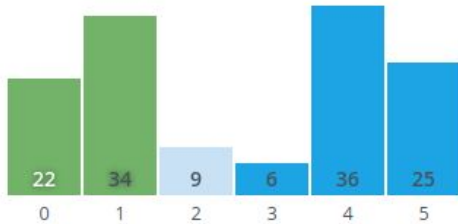
Green records to the left are always sorted.

We begin with the record in position 0 in the sorted portion, and we will be moving the record in position 1 (in blue) to the left until it is sorted.



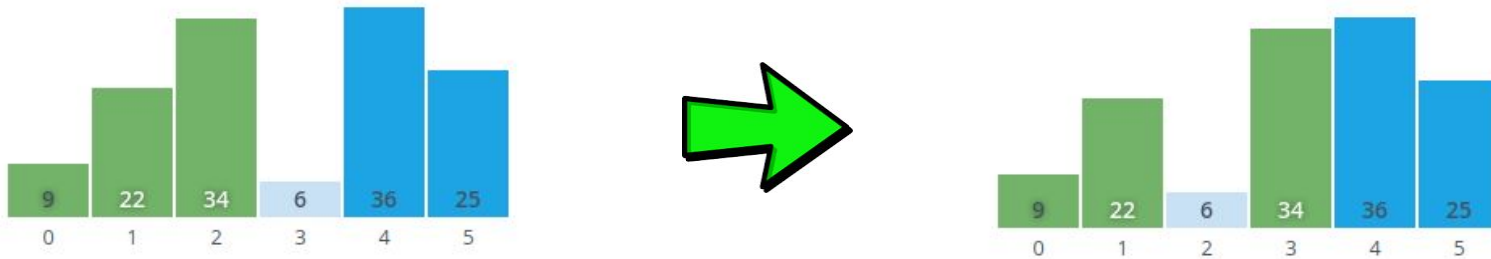
# Insertion Sort Simulation

Move the blue record to the left until it reaches the correct position.



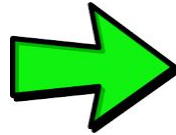
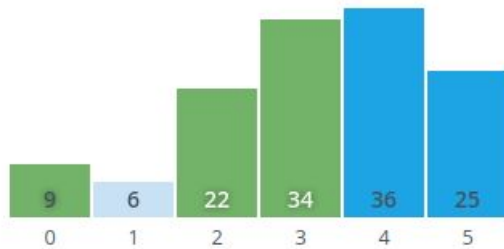
# Insertion Sort Simulation

Move the blue record to the left until it reaches the correct position.

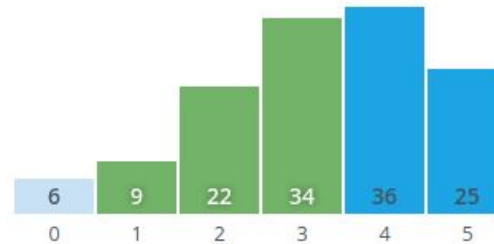


# Insertion Sort Simulation

Move the blue record to the left until it reaches the correct position.

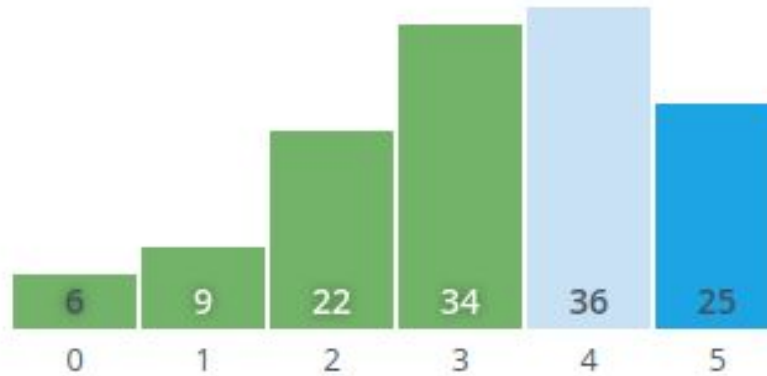


Swap



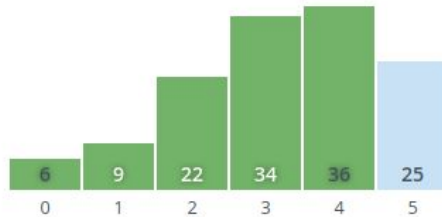
# Insertion Sort Simulation

Move the blue record to the left until it reaches the correct position.

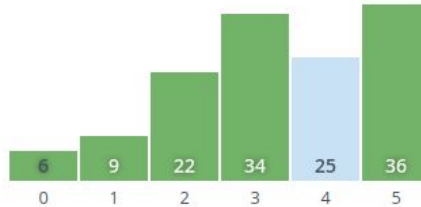


# Insertion Sort Simulation

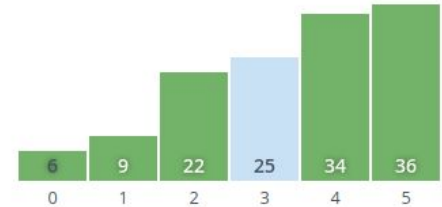
Move the blue record to the left until it reaches the correct position.



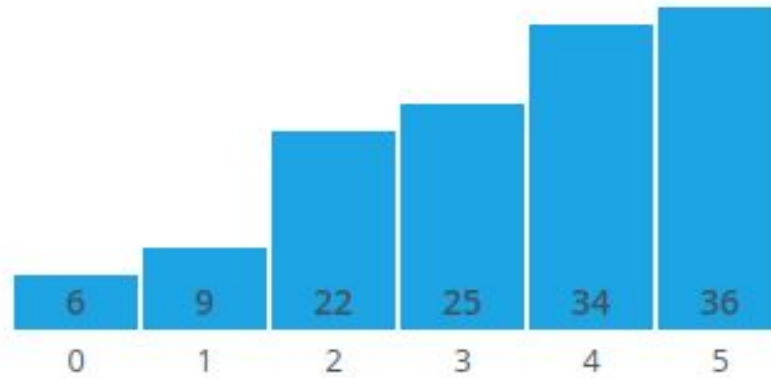
Swap



Swap



## Final Sorted Output

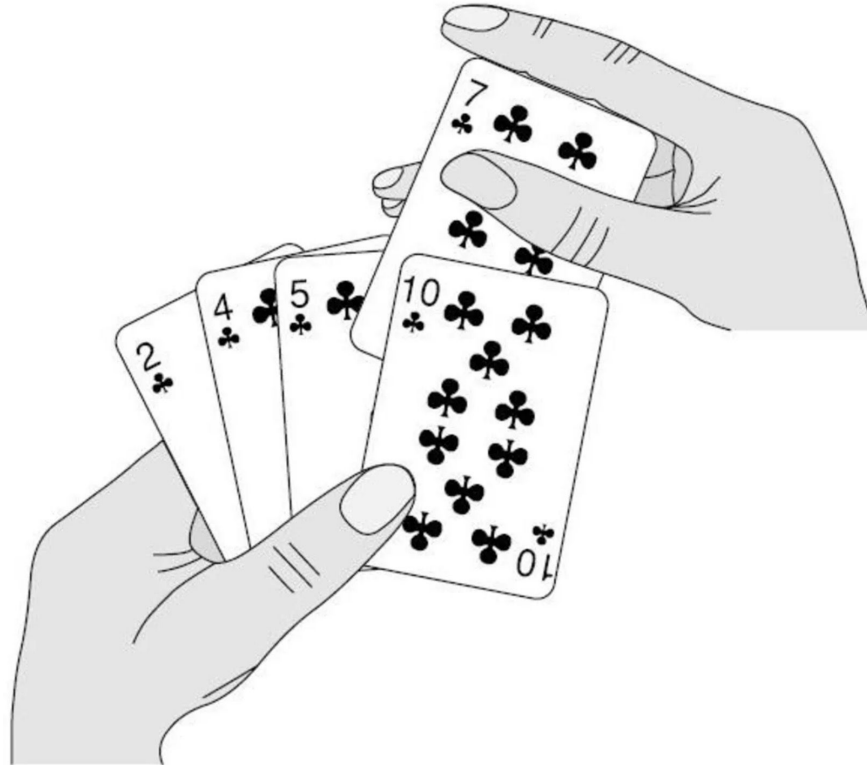




**Q:** What would be a real life example of Insertion sort?



# Insertion Sort



# Algorithm

- If the element is the first one, it is already sorted.
- Move to next element
- Compare the current element with all elements in the sorted array
- Shift all the the elements in sorted sub-list that is greater than the value to be sorted.
- Insert the value at the correct position
- Repeat until the complete list is sorted

# Time & Space Complexity

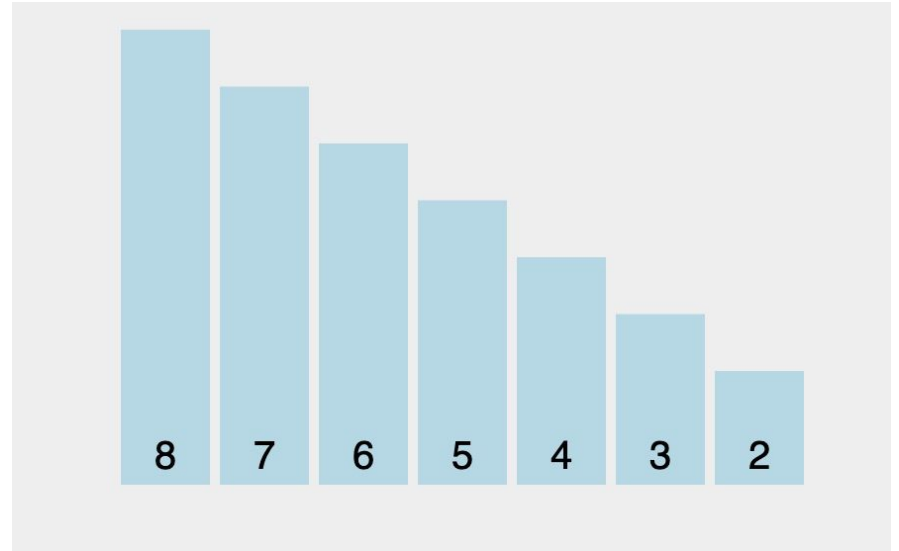
Worst case ? \_\_\_\_\_

Best case ? \_\_\_\_\_

Average case ? \_\_\_\_\_

Stable ? \_\_\_\_\_

In Place? \_\_\_\_\_



# Time & Space Complexity

Time complexity:  **$O(n^2)$**

Space complexity:  **$O(1)$**

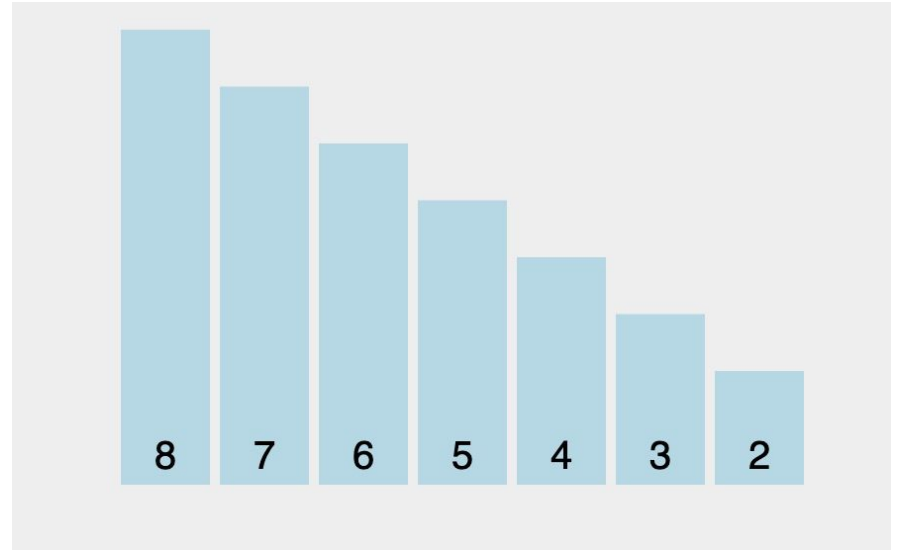
Worst case       **$O(n^2)$**

Best case       **$O(n)$**

Average case       **$O(n^2)$**

Stable ?      Yes

In Place?      Yes



Solve by Using Insertion Sort

# Implementation

```
array = [64, 25, 12, 22, 11]
size = len(array)
for i in range(1, size):
    key = array[i]
    j = i - 1

    while j >= 0 and key < arr[j]:
        array[j + 1] = array[j]
        j -= 1
    array[j + 1] = key
return array
```

# Distribution Sorting



# 1. Counting Sort

# Definition



If the range of the numbers is small enough that can fit in memory;

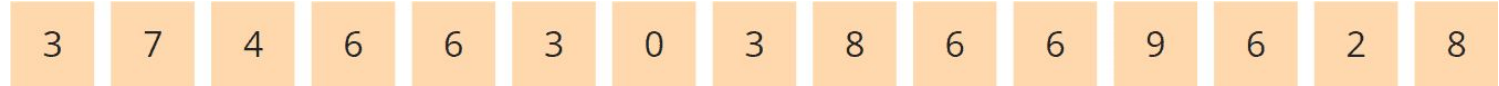
Count the occurrence of items then generate output based on counts in counter array.

# Illustration

## Phase 1: **Counting**

First, a **storage array** is created whose length corresponds to the number range. Then you iterate once over the elements to be sorted, and, for each element, you **increment the value** in the array at the position corresponding to the element.

Consider the following array as an example.

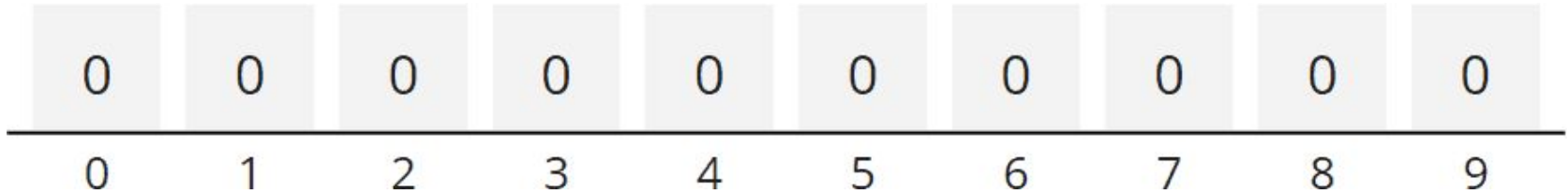


Find the maximum number

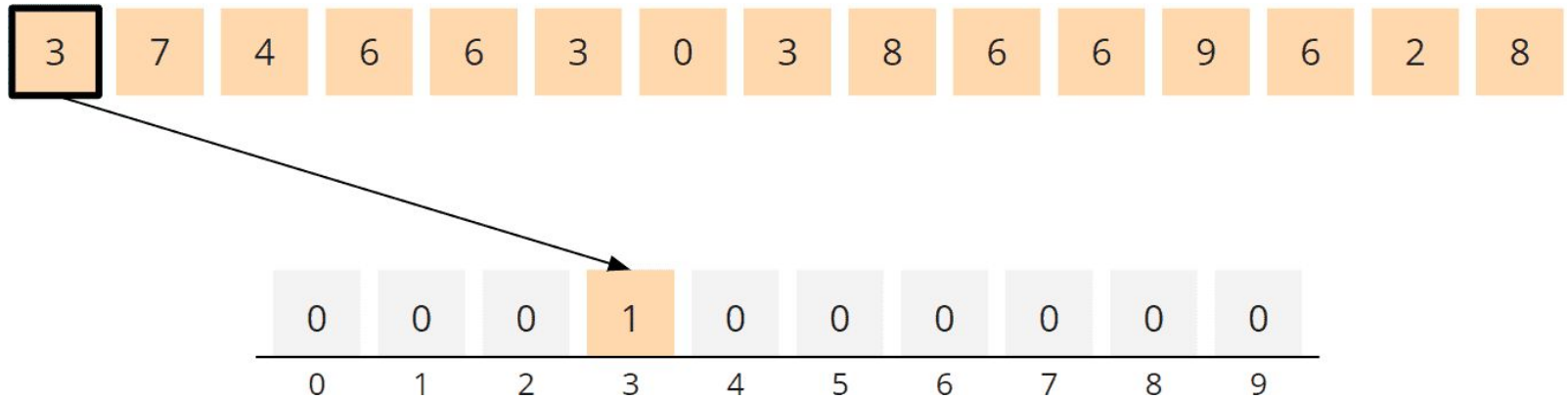
9

max

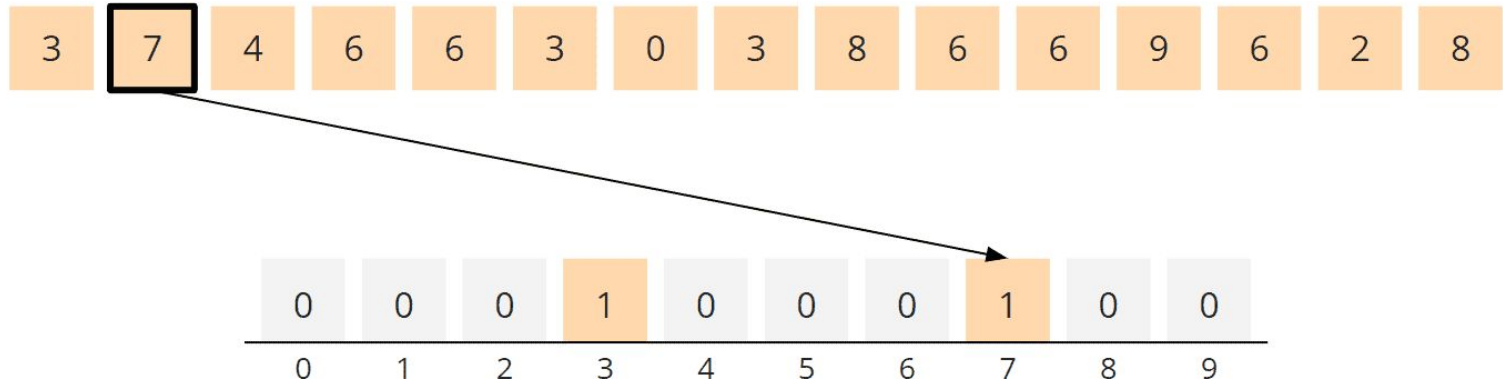
We create an additional array with the length of the maximum number +1. In our case we create an array with length of 10, initialized with zeros.



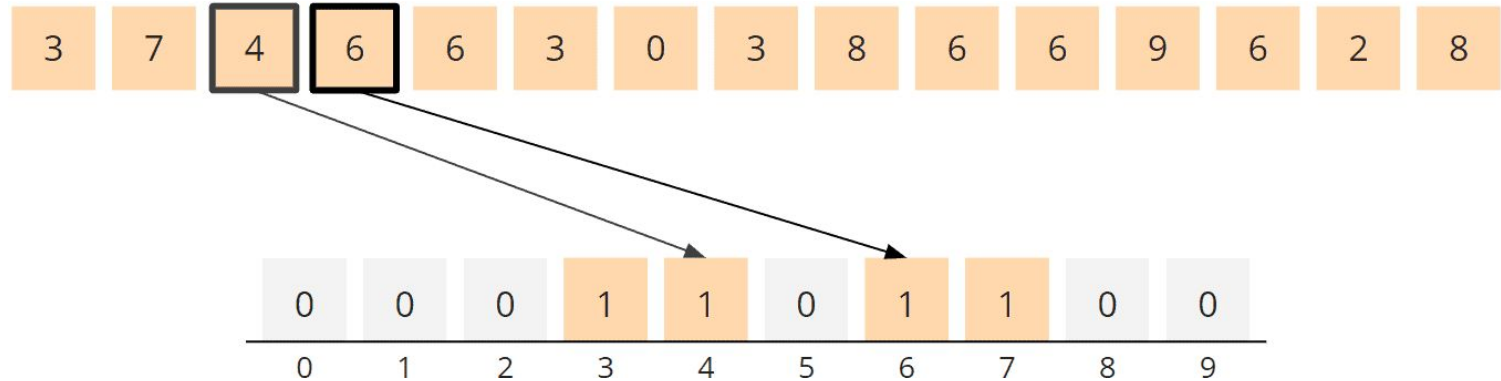
Now we iterate over the array to be sorted. The first element is a 3 – accordingly, we increase the value in the auxiliary array at position 3 by one:



The second element is a 7. We increment the field at position 7 in the helper array

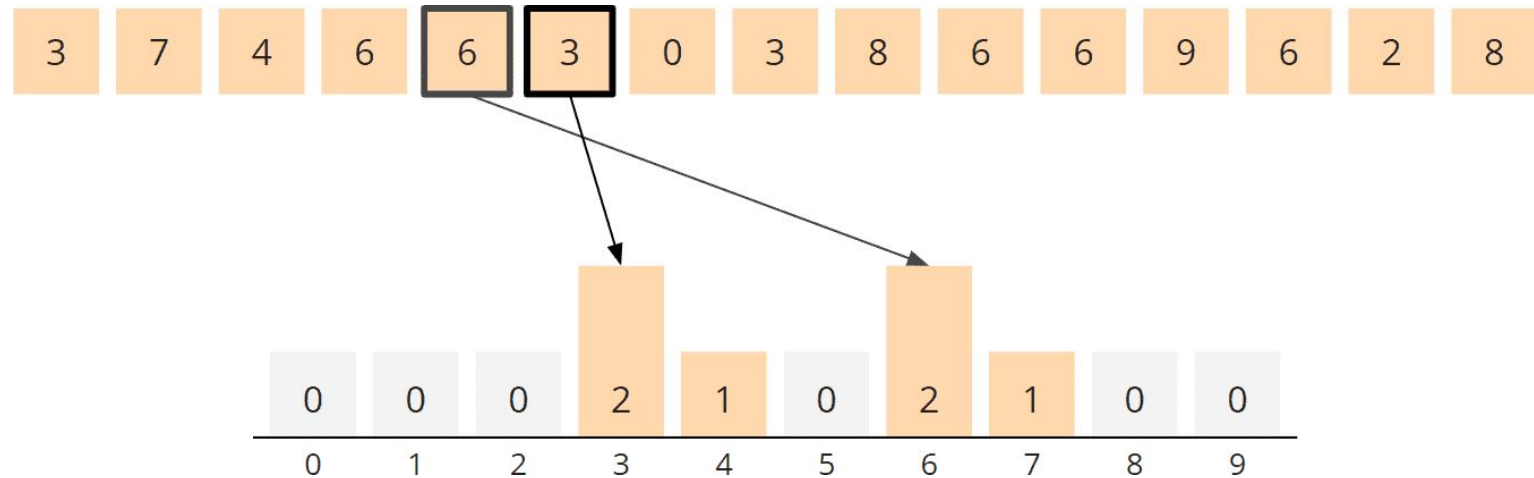


Elements 4 and 6 follow – thus, we increase the values at positions 4 and 6 by one each

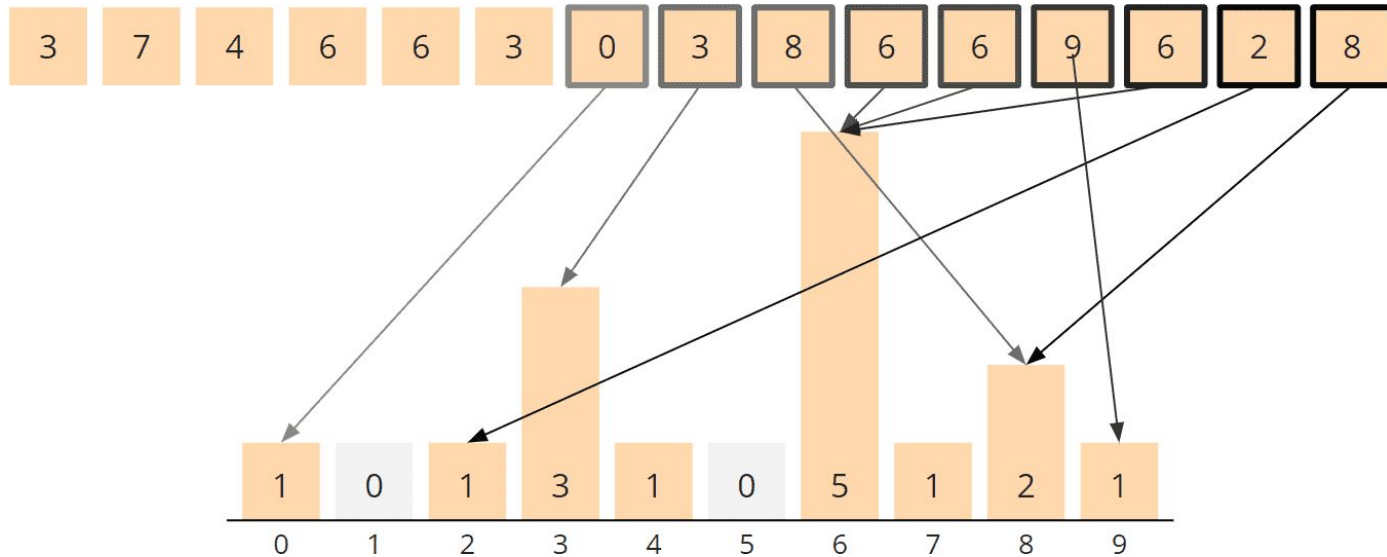




The next two elements – the 6 and the 3 – are two elements that have already occurred before. Accordingly, the corresponding fields in the auxiliary array are increased from 1 to 2



The principle should be clear now. After also increasing the auxiliary array values for the remaining elements, the auxiliary array finally looks like this

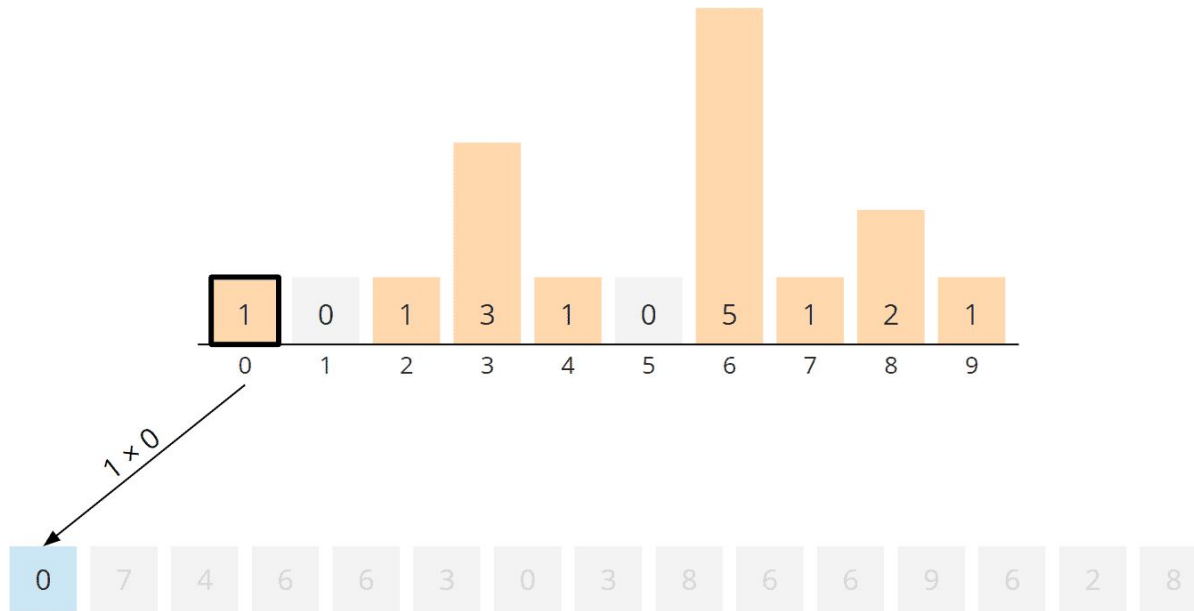


# Illustration

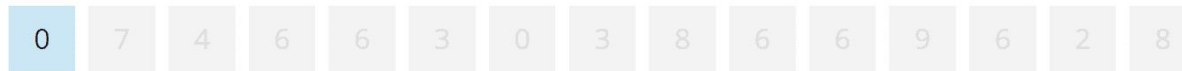
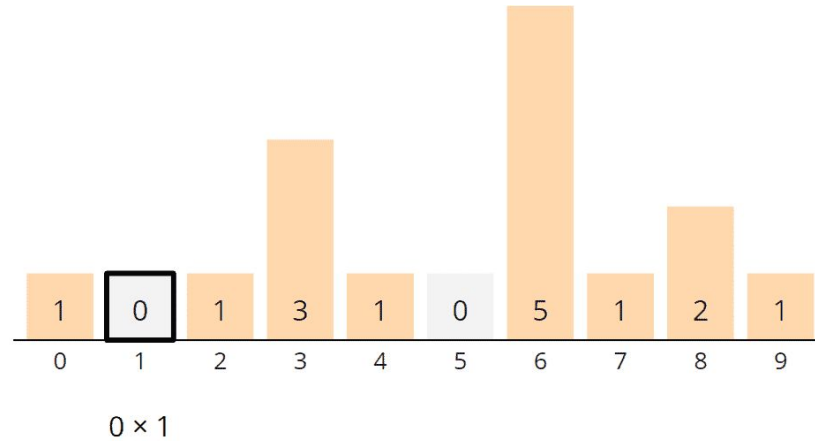
## Phase 2: Rearranging

We iterate once over the histogram array. We write the respective array index into the array to be sorted as often as the histogram indicates at the corresponding position.

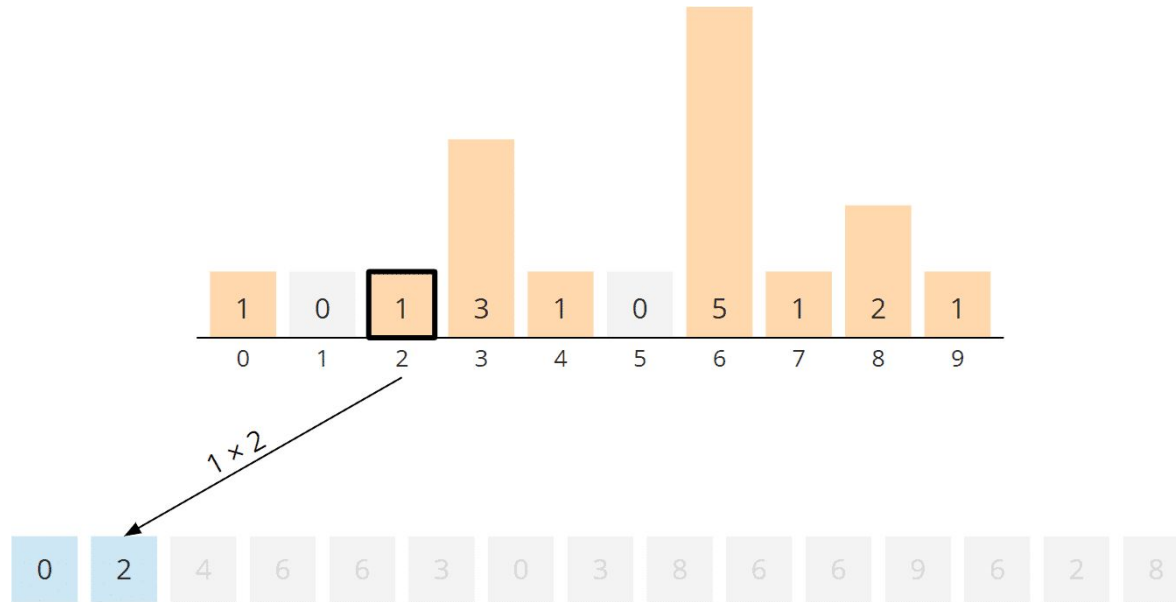
In the example, we start at position 0 in the auxiliary array. That field contains a 1, so we write the 0 exactly once into the array to be sorted.



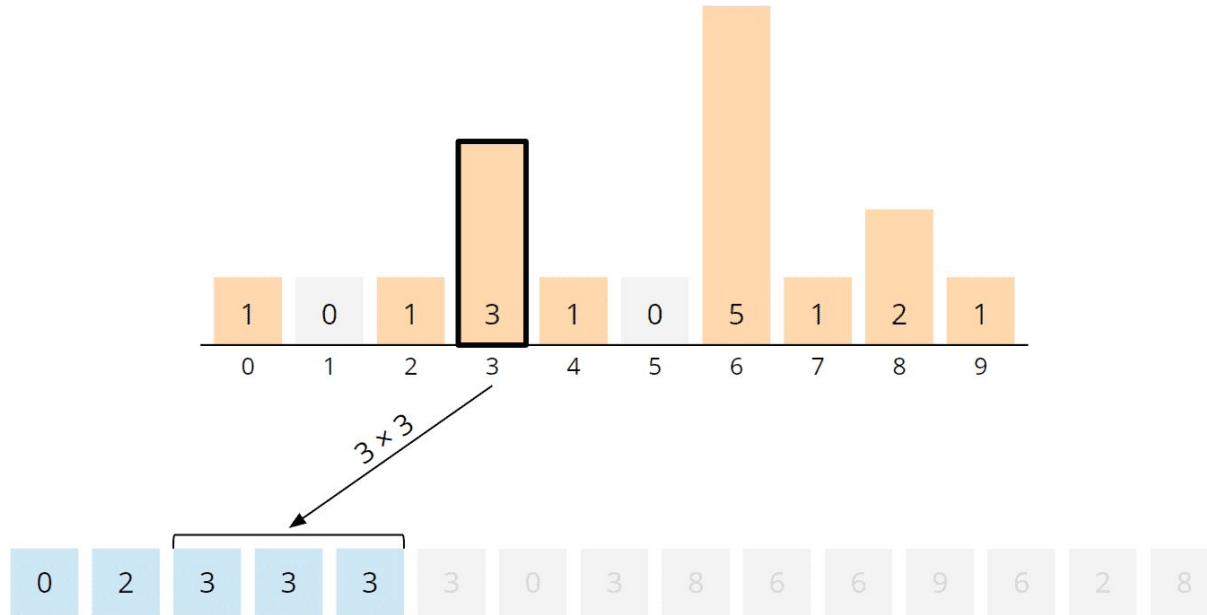
At position 1 in the histogram, there is a 0, meaning we skip this field – no 1 is written to the array to be sorted.



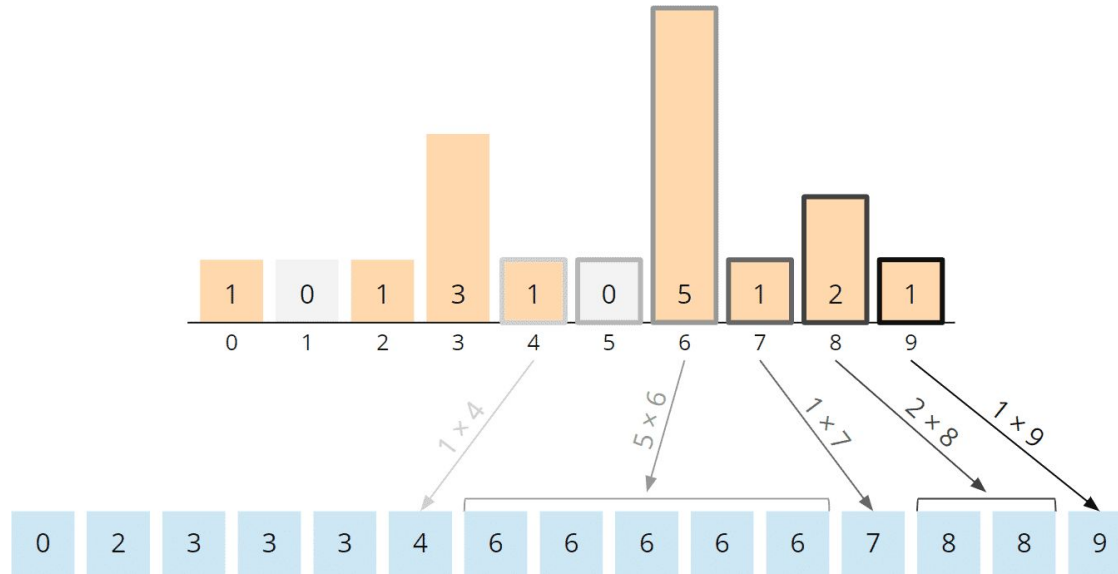
Position 2 of the histogram again contains a 1, so we write 2 once into the array to be sorted



We come to position 3, which contains a 3; so we write three times a 3 into the array



And so it goes on. We write 4 once, 6 five times, 7 once, 8 twice and finally 9 once into the array to be sorted





Solve by Using Counting Sort

# Implementation

```
maximum = max(nums)
count = [0] * (maximum + 1)

for num in nums:
    count[num] += 1

target = 0
for index, value in enumerate(count):
    for i in range(value):
        nums[target] = index
        target += 1
```

## Count Sorting with Negative Numbers

**Q:** Will the above implementation work when we include negative numbers?

**Q:** If NO, what can we do to make it work?

## Count Sorting with Negative Numbers

The problem with the previous counting sort was that we could not sort the elements if we have negative numbers in them. Because there are **no negative array indices**.

# Implementation

```
maximum = max(nums)
minimum = abs(min(nums))
count = [0] * (maximum + minimum + 1)
for num in nums:
    count[num + minimum] += 1

target = 0
for index, value in enumerate(count):
    for i in range(value):
        nums[target] = index - minimum
        target += 1
```

## Time complexity



Worst case ? \_\_\_\_\_

Best case ? \_\_\_\_\_

Average case ? \_\_\_\_\_

Stable ? \_\_\_\_\_

In Place? \_\_\_\_\_

**Note:** Counting sort is most efficient if the range of input values is not greater than the number of values to be sorted.

## Time complexity

The time complexity of counting sort algorithm is  $O(n+k)$  where  $n$  is the number of elements in the array and  $k$  is the range of the elements.

Worst case  $O(n+k)$

Best case  $O(n+k)$

Average case  $O(n+k)$

Stable ? \_\_\_\_\_No\_\_\_\_\_

In Place? \_\_\_\_\_No\_\_\_\_\_

**Note:** Counting sort is most efficient if the range of input values is not greater than the number of values to be sorted.



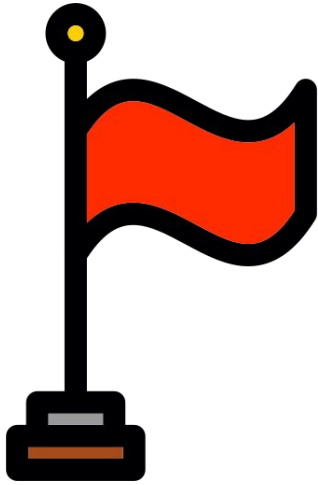
- Any **improvement in sorting time** significantly affect the **overall efficiency** and saves a great deal of computer time.
- Space constraints are usually less important than time complexity, for most sorting algorithms the amount of space needed is closer to  **$O(n)$** .



# Real Life Computing

# Summary

	Time Complexity			Space	Stable	In Place
	Best Case	Average Case	Worst Case			
<b>Bubble</b>	$O(n^2)$	$O(n^2)$	$O(n^2)$	$O(1)$	Yes	Yes
<b>Insertion</b>	$O(n)$	$O(n^2)$	$O(n^2)$	$O(1)$	Yes	Yes
<b>Selection</b>	$O(n^2)$	$O(n^2)$	$O(n^2)$	$O(1)$	No	Yes
<b>Counting</b>	$O(n + k)$	$O(n + k)$	$O(n + k)$	$O(n)$	No	No



# Checkpoint

[Link](#)

# Using built-in functions to sort

# Python libraries: `sorted()` and `.sort()`

```
array = [1,2,5,4,3,6]
```

```
array.sort()
```

```
print(array)
```

```
# 1 2 3 4 5 6
```

```
array = [1,2,5,4,3,6]
```

```
sorted_array = sorted(array)
```

```
print(sorted_array)
```

```
# 1 2 3 4 5 6
```

```
array = [1,2,5,4,3,6]
```

```
array.sort(reverse=True)
```

```
print(array)
```

```
# 6 5 4 3 2 1
```

# Writing custom comparator

Default sorting sorts **based on the values**

What if you wanted to sort based on:

- In reverse
- Sorting based on some other criteria besides the values

# Example: sort an array based on a cost

```
costs = [1,3,2,5,1,3]
students = [1,2,3,4,5,6]

studentToCost = {}
for idx in range(len(students)):
    studentToCost[students[idx]] = costs[idx]

def customComparator(item):
    return studentToCost[item]

students.sort(key = customComparator)
print(students)
# [1, 5, 3, 2, 6, 4]
```

# Selecting a sorting algorithm

Language libraries often have sorting algorithms so we won't be coding our own sorting algorithms every time.

**Q:** When the range of numbers is small enough?

**Q:** When half of the array is already sorted?



# Practice time

Relative Sort Array

# Helpful Resources

- [Big O Cheat Sheet](#)
- [Insertion Sort](#)
- [Selection Sort](#)
- [Counting Sort](#)
- [Stable vs Unstable Sort](#)
- [Visualizations](#)

# Practice Questions

[Bubble Sort](#)

[Insertion Sort](#)

[Counting Sort](#)

[Selection Sort](#)

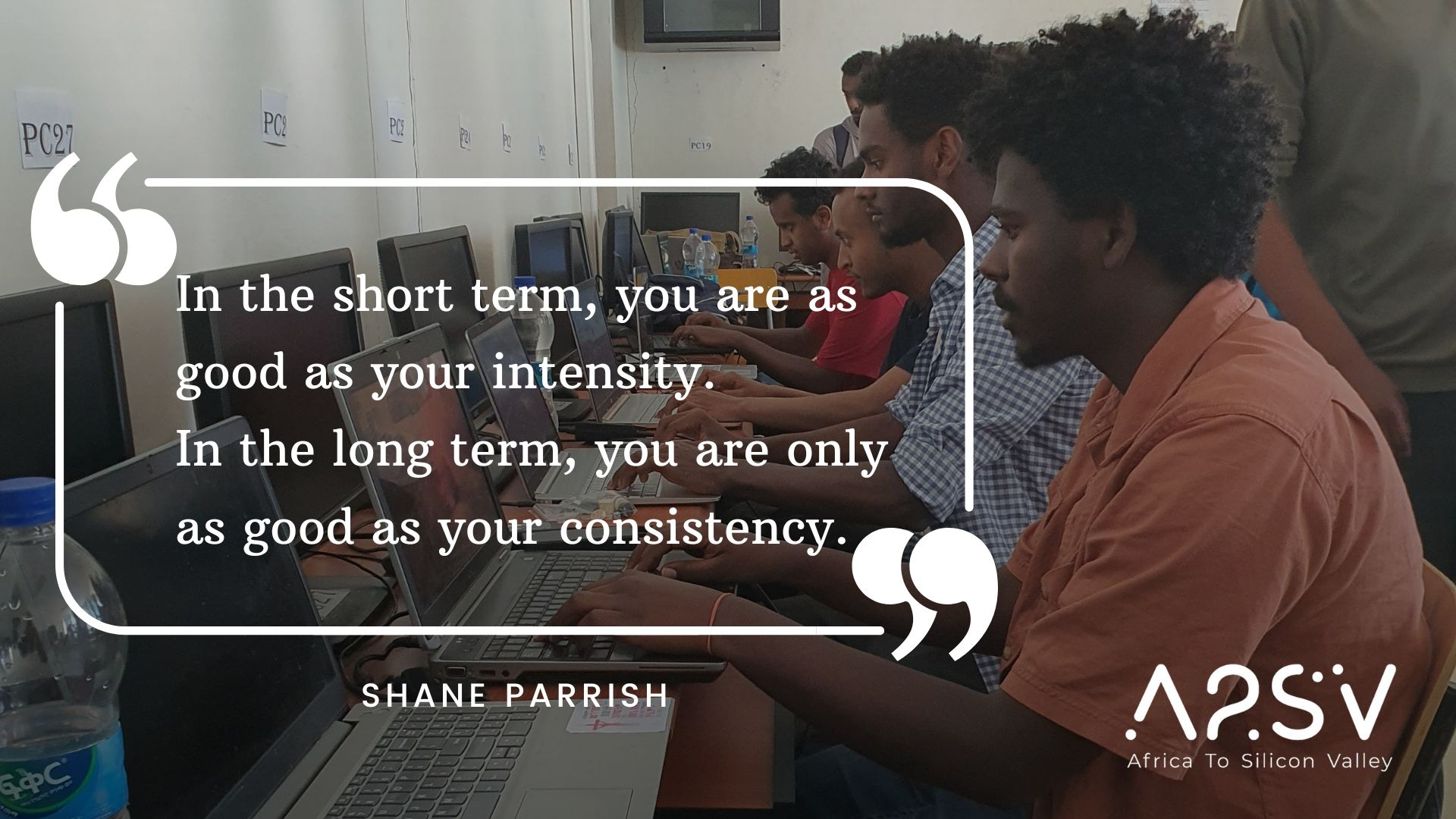
[Sort Colors](#)

[Pancake Sorting](#)

[Find Target Indices After Sorting Array](#)

[Maximum Number Of Coins You Can Get](#)

[How Many Numbers Are Smaller Than The Current Number](#)



“In the short term, you are as good as your intensity.  
In the long term, you are only as good as your consistency.”

SHANE PARRISH

**ATSv**  
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