Classification of Imaginary Quadratic Fields of Class Number 1

31. The Class Number

- 1.1. Quadratic Forms (QD/17, reduced forms, etc.)
- 1.1.2. Landau's Theorem
- 1.1.3. Class group Q(D) and the 2-torsion part.
 - 1.2. Orders
 - 1.2.1. Definitions and basic properties (conductor, discriminant, etc.)
 - 1.2.2. The class group Ol(O) and the isomorphism $Ol(O) \cong Ol(D)$
 - 1.2.3. The class number formula.

§2. Automorphic Functions

- 2.1. Definitions of automorphic/modular forms (graded algebra A(15(M))
- 2.2. Examples: GK, A, n, j, Nz, f, f, fz (the Weber functions)
- 2.3. Relations between or, f, f, fz
- 2.4. Computation of j(0) for h(0) = 1 using n_z, f, f_r, f_z
- 2.5. Complex Multiplication: generating ring class fields with special values of 1/2, f,...

83. Main Theorem

- 3.1. Statement, discussion about why it might be true (siegel's formula, Gross-Zagier) + history about its proof.
- 3.2. Proof of (=) using computers and quadratic forms.
- 3.3. Reduction to the case $d_k = -p$, $p \equiv 3 \pmod 8$
- 3.4. Study the field theoretic properties of $\alpha = \frac{5}{8} + \frac{2}{2} \left(\frac{3+\sqrt{-p}}{2}\right)^2$
- 3.5. Solve the diophantine equations deduced from 3.4
- 3.6. Produce a list of j-invariants and compare to 2.4

1.1. Quadratic Forms

- · DEF: An integral binary quadratic form is a polynomial Q(xy) = ax2+bxy+cy2 e Z[xy]
 - · it is primitive if ged (a,b,c)=1
 - · the discriminant of a is D = b2-4ac (we will only consider

 - · A form Q is reduced if it is primitive, positive definite and |U|=a or a=c >> 6>0 Note: if Q is reduced ⇒ √= > a > 161 so there are finitely many

reduced forms of a given discriminant.

Note: I gives an algorithm to explicitly write all reduced forms of discriminant D.

• There is an action $SL_2(Z) \cap Q_D^{\dagger} = \{Q \mid Q \text{ is prim., posidef. and disc} = D \}$

 $(\mathcal{D},Q)(x,y) = Q(px+qy,rx+sy)$, $\mathcal{D}=(Pq)$

PROP: { reduced forms of dirc D } is a complete set of representative for TNQt

DEE: Cl(D) = 17/QtD and it is a group with the composition law

 $(Q*Q')(x,y) = aa'x^2 + Bxy + \frac{B^2-D}{4aa'}y^2$ (B satisfies some system of congr.)

 $[Q] \cdot [Q^*] = [Q * Q'] , [ax^2 + bxy + cy^2]^{-1} = [ax^2 - bxy + cy^2]$

NOTE: The simple discription of [Q] gives us a very simple discription of Ce(D) [z]. in fact:

PROP! $D = 1 \pmod{4} \Rightarrow |Cl(D)[2]| = 2^{r-1} \qquad (r = \# \text{ of prime divisors of } D)$

· [THM (Landau) h (-4n) =1 (>> n & \{1,2,3,4,7}

Pf: 点 Computational (e.g. n=3, D=-12, 小= = 2 7, a >, lb1

=> If n + 1,2,3,4,7 you explicitly construct at least two reduced forms of discriminant—4n.

- x2+ny2 is always one
- n = ac (not prime power), $gcd(acc) = 1 \implies ax^2 + cy^2$ works
- n = 2 134 , 4x2 + 4xy + (2~1+1) y2 works , etc...

· Recall: K is an imaginary quadratic field, $K = Q(\sqrt{D})$, D < O D-free

$$\mathcal{O}_{K} = \begin{cases} \mathbb{Z}\left[\frac{1+\sqrt{D}}{2}\right] \quad D \equiv 1 \pmod{4} \\ \mathbb{Z}\left[\sqrt{D}\right] \quad D \not\equiv 1 \pmod{4} \end{cases} \quad \mathcal{O}_{K}^{X} = \left\{\pm 1\right\} \left(D \leftarrow 3\right)$$

· DEF: OSK is an order in K if it is a sobring a contains an integral basis for K/B.

Remarks:

-
$$O \subseteq O_K$$
 by the integrality of O_K \Rightarrow $[O_K:O] = f < \infty$ is called the conductor of D .

D:= det
$$\begin{pmatrix} x & B \end{pmatrix}^2 = f dx$$
 is $\Rightarrow O = \langle 1, f w_k \rangle$ $w_k = \frac{dx + V dx}{2}$ called the discriminant of $O = \langle x, B \rangle$ so the conductor uniquely determines O .

 $D = -4V^2$, $V = volume \langle x, B \rangle$.

DEF: I(O) = { a < K | a is an invertible fractional ideals, P(O) = { a < I(O) | a is

Note: 0= Ox then frac. ideal => invertible frac. ideal. < (#, 0=<1,13), A=<2,4+13>.

DEF: I(O) is a group with $A \cdot b = ab$, I(O) is a subgroup, $CO(O) := \frac{I(O)}{P(O)}$ h(O) = |CO(O)|

Example: O=Z[V=3] CQ(V=3] has $d_N=-12$. We will see h(0)=1 but O is not Q a UFD (e.g. $2\cdot Z=H=(1+V=3)(1-V=3)$). This is a big difference between Q and Q_N .

THM: D < 0, $D \equiv 0,1 \pmod{4}$. $O \subseteq K \operatorname{disc} = D$ Then $CL(D) \cong CL(O)$ via: $\left[ax^2 + bxy + cy^2\right] \mapsto \left\langle a, \frac{-b + \sqrt{b}}{2} \right\rangle, \left\langle x, \beta \right\rangle \mapsto \frac{N_{WO}(\alpha x - \beta y)}{N(4x\beta x)} (Im(\beta x) > 0)$

Remarks:

• it is necessary for K to be imaginary: e.g. $K=B(\sqrt{3})$ is a UFD so $h(B_K)=1$ but h(12)>1 since $\pm (\chi^2-3\gamma^2)$ are inequivalent forms. To remedy this we only consider the narrow class group $C^{\dagger}(O):=I(O)/p^{\dagger}(O)$ where $p^{\dagger}(O)=\{aO|N(a)2o\}$

THM: (class number formula)
$$h(0) = \frac{n(0n)}{[0n] \cdot 0n]} \frac{|(0n) \cdot p(n)|}{|(0n) \cdot p(n)|}$$

For Kimagikany quadratic: Legendre symbol for odd p

$$h(O) = \frac{h(O\kappa)}{[O\kappa^{2} \cdot O^{2}]} \cdot f \prod_{P \mid F} \left(1 - \left(\frac{d\kappa}{P}\right)\frac{1}{P}\right) \quad \left(\frac{d\kappa}{z}\right) = \begin{cases} 0 & z(d\kappa) \\ 1 & d\kappa = 1 \end{cases}$$

Pf (Sketch) There is an exact sequence given by the $1 + 0^{\times} \rightarrow 0^{\times} \rightarrow \frac{(0_{1} + 0)^{\times}}{(0)^{+} + 0^{\times}} \rightarrow (0)$ given by the snake lemma applied to

$$| \rightarrow K^{x}/\varnothing x \rightarrow \bigoplus_{p \in \mathcal{O}_{K}} K^{x}/\varnothing_{p} \rightarrow \mathcal{C}(\varnothing) \rightarrow |$$

$$| \rightarrow K^{x}/\mathscr{O}_{K}^{x} \rightarrow \bigoplus_{p \in \mathscr{O}_{K}} K^{x}/\varnothing_{k} \rightarrow \mathcal{C}(\varnothing_{K}) \rightarrow |$$

and $\bigoplus_{p \in \mathcal{O}} (\mathcal{O}_{K})_{p}^{\times}/\mathcal{O}_{p}^{\times} \cong (\mathcal{O}_{K}/f\mathcal{O}_{K})^{\times}/\mathcal{O}_{K}f\mathcal{O}_{K}^{\times}$ by CRT. \square

 $\frac{COR}{}$: Using $CL(D) \leftrightarrow CL(O)$ we have $h(m^2D) = h(D)mT(1-(\frac{D}{P})\frac{1}{P})$

Pf: Take O and O' of [O:O']=m and compare h(o) and h(o) to $h(O_K)$. Note: $O_K^{\times}=\pm 1$ when 0<-3.

Example $O = \mathbb{Z}[\sqrt{-6}] = O_{K}$, $K = Q(\sqrt{-6})$, D = -20 and $Q^{\dagger}_{ZO} = \{ [x^{2} + 5y^{2}][2x^{2} + 2xy^{2}] + 3y^{2} \}$ So $Q(-68) = \{1, (2,14\sqrt{-6})\}$.

32. Modular (ish) Functions

DEF:
$$j:H \rightarrow C$$
 by $j(z) = j(\langle z,i \rangle) = 1728 \frac{g_{z}(z)^{3}}{\Delta(z)}$

Remarks: The Weierstrass 8-function satisfied the diff. eqn. $(82)^2 = 482 - 92(1)8 - 93(1)$ where $g_{K}(\Lambda) = \sum_{k} \frac{1}{w^{2}k}$ and $\Delta(\Lambda)$ is the discriminant of the polynomial $4x^3 - g_2(\Lambda)x - g_3(\Lambda)$, i.e. $\Delta(z) = 16(e_1 - e_2)^2(e_1 - e_3)^2(e_2 - e_3)^2$ where $e_1 = \mathcal{S}(\frac{\omega}{2}), e_2 = \mathcal{S}(\frac{\omega}{2}), e_3 = \mathcal{S}_{\lambda}(\frac{\omega_1 + \omega_2}{2}), \quad \lambda = \langle \omega_1, \omega_2 \rangle \Rightarrow \Delta(\Lambda) \neq 0.$

Properties:
$$j(O) \in \mathbb{R}$$
 (follows from $O = \overline{O}$, and $\overline{j(\Lambda)} = \overline{j(\Lambda)}$) / $j: H \to \mathbb{C}$ is bijective, making

·
$$j(it) \in \mathbb{R}$$
 ($j(z) = \frac{1}{4} + 744 + \cdots \in \mathbb{Z}(q)$) with $q = e^{2\pi i t}$ and $q \in \mathbb{R}$ if $z = it$)

$$\frac{j(\mathcal{O}_{K})=j(\mathcal{O}_{K'})}{|\mathcal{O}_{K'}|} \iff K=K', \quad (\text{since } j(\Lambda)=j(\Lambda') \implies \Lambda \text{ and } \Lambda' \text{ are homolhetic})$$

· j She B Invariant.

$$\frac{DEF:}{\sqrt[3]{a}(2)} = 12 \frac{9z(2)}{\sqrt[3]{a}(2)} \quad \text{(choose in so that } \sqrt[3]{a}(it) \in \mathbb{R})$$

Properties:

$$\eta(z+1) = \zeta_{qq}\eta(z)$$

 $\eta(-\frac{1}{2}) = \sqrt{-iz} \eta(z)$

7. Dz((ca)z) = 5, ac-ab + warded Dz(z) so not quite SLz(Z)-invariant.

DEF: Let $\eta(z) = q^{1/24} TT (1-g^n)$, $q = e^{2\pi i z}$ be the Decletical eta-function. Then the weter $f(z) = \int_{48}^{-1} \frac{2(\frac{1+z}{2})}{2(z)} , f_1(z) = \frac{2(\frac{z}{2})}{2(z)} , f_2(z) = \sqrt{2} \frac{2(2z)}{2(2z)}$

Properties:
$$f_1(22)f_2(2) = \sqrt{2}$$
 (compose q-series)

$$\begin{cases} \frac{f(z+1) = \sum_{q \in z} f_1(z)}{f_1(z+1) = \sum_{q \in z} f_2(z)} & f_1(-1/z) = f_2(z) \\ f_2(z+1) = \sum_{q \in z} f_2(z) & f_2(-1/z) = f_2(z) \\ f_3(z+1) = \sum_{q \in z} f_2(z) & f_2(-1/z) = f_3(z+1) \end{cases}$$

Properties:
$$f_{1}(2z) f_{2}(z) = \sqrt{2}$$
 (company q-series) $\begin{cases} \frac{f(z+1) = 5 \frac{1}{48} f_{1}(z)}{f_{1}(z+1)} = f_{2}(z) \\ \frac{f_{1}(z+1) = 5 \frac{1}{48} f_{1}(z)}{f_{1}(z+1)} = \frac{f_{1}(z)}{f_{2}(z+1)} = \frac{f_{2}(z)}{f_{2}(z+1)} \end{cases}$

$$\frac{f(z+1) = 5 \frac{1}{48} f_{1}(z)}{f_{1}(z+1)} = \frac{f_{1}(z)}{f_{2}(z+1)} = \frac{f_{2}(z)}{f_{2}(z+1)} = \frac{f_{2}($$

Pf: (sketch) A(z) = 16 (e1-e2) (e1-e3) (e2-e2) where e2-e1 = TTM(z)4 f(z)4, e2-e3 = TTM(z)4 f(d)8 By computing 8(w) - 8(w) in terms of on (der log on = -8h) which has a q-series comparable to those of 4, 4, fz.

Since e_1, e_2, e_3 are roots of $4x^3 - g_2(\Lambda) \times -g_3(\Lambda)$, use Newton-Girard to express gz(A) and g= (A) in terms of f, f, fz.

THM: (Main Theorem of CM) Let O be an order in an imaginary graduatic freld.

i) j(0) is an algebraic integer, K(j(0)) is the ring class-field of 0, C(0) = Gal(L/K).

ii) if
$$O = \langle 1, 2_0 \rangle$$
, $z_0 = \begin{cases} \sqrt{D} \text{III} & D \equiv 0 \text{ (mod 4)} \end{cases}$ and $s \neq 0$ in the of $f \in O$.

 $\mathcal{O}_{z}(z_{0})$ is an algebraic integer, $K(\mathcal{O}_{z}(z_{0})) = L$ is the r.c.f of O and $C(O) \cong Gal(L/K)$ in fact $O(\mathcal{O}_{z}(z_{0})) = O(\mathcal{O}_{z}(z_{0}))$.

iii) if
$$O=\langle 1,36-m\rangle$$
, 3tm, $m=3$ (mod4), Then Re $K=\&(J-m)$.
 $f(V-m)^2$ is an angebraic integer, $C(O)\cong Gal(K(f(V-m^2)/K))$

Pf: really hard. (ii) and (iii) sort of follow from (i) but require heavy use of the transformation laws of 12, 4, 4, 42 and Galois Theory.

The relations given by Tith give us a concrete way of computing j(0) when h(0)=1. Note that if $h(0)=1 \Rightarrow j(0) \in \mathbb{Z}$ (since j(0) is real) $\Rightarrow j(0) \in \mathbb{Z}$

Pf: (sketch) $f_2(\sqrt{-m}) = \sqrt{2} q^{1/24} \prod (1+q^n) < \sqrt{2} q^{1/24} \prod e^{q^n} = \sqrt{2} q^{1/24} e^{\frac{q}{4}} q^{-1/3} e^{-\frac{q}{4}} < \frac{q}{1-e^{-2\pi}} < 1.002q$ $\Rightarrow \sqrt{2} q^{1/24} < f_2(\sqrt{-m}) < \sqrt{2} q^{1/24} e^{1.002q} \Rightarrow 256 q^{2/3} \cdot q^{-1/3} e^{-\frac{8.016q}{4}} < \gamma_2(\sqrt{-m}) < \frac{q}{4}$ where every estimate of the difference of the $e^{16.004} \cdot 256 q^{2/3} \cdot q^{-1/3}$ in between these borner.

Using These techniques we have:

dn	-3	- 4	-7	-3	-11	-19	-43	-67	-163
Zo	1+1/-3	٤	34/7		3+√-11	3+1-9			
02(20)	_							<u> </u>	-640320
j (20)	O	123	-153	203	-323	-963	-9603	-5280 ³	-6403203
-	^	İ	,	•		-			

well-known

THM: Let K be imaginary quadratic field of discriminant dk, hon (K=Q(ND)) $h(dk)=1 \iff dk \in \{-3,-4,-7,-8,-11,-19,-43,-67,-163\}$

Pf:

(\Leftarrow) Compute all reduced forms of discriminant $\frac{D=1^2}{dk}$ dk (recall the calculation of N(-12).

(⇒)

1) Reduction to case -p=dk, p=3 (mod 8).

we know Mat dx = 0,1 (mod 4).

Case I) $d_k = 0 \pmod{4}$, hen $d_k = -4n$, n > 0. By Landau, $n \in \{1,2,3,4,7\}$ so $d_k \in \{-4,-8,-1/2,-1/6,-2/8\}$, but $d_k/4$ has to be \$1-free and $d_k/4 \neq 1 \pmod{4}$ $\Rightarrow d_k \in \{-4,-8\}$

case 2 | $d_k = 1 \pmod{4}$. By the 2-torsion of $C(d_k)$, $2^{k-1} \le h(d_k) = 1 \implies r = 1$ where r = # prime divisors of d_k . Thus $d_k = -p$ for p prime and $p = 3 \pmod{4}$.

case 2.1] $p = 7 \pmod{8}$, by the class number formula, $h(-4p) = 2h(-p)\left(1 - \left(\frac{-p}{2}\right)\frac{1}{2}\right) = h(-p) = 1$ Again by Landon $p \in \mathcal{E}_{1/2,3,4,75}$, i.e. $p = 7 \Rightarrow d_{K} = -7$.

- 2) case when de = -p, p prime, p=3 (mod 8).
 - · By the class number formula, $h(-4p) = 2h(-p)(1-(\frac{-p}{2})\frac{1}{2}) = 3$
 - * $K=Q(\sqrt{-p})$, $\mathcal{O}_K=\left\langle 1,\frac{3+\sqrt{-p}}{2}\right\rangle$. Choose $\mathcal{O}=\left\langle 1,\sqrt{-p}\right\rangle$ which has conductor 2 so $h(-4p)=h(\mathcal{O})$ so $j(\sqrt{-p})=j(\mathcal{O})$
 - · By the Interry of CM], [K(j(V-p)):K] = 3. Since $j(V-p) \in \mathbb{R} \Rightarrow [\alpha(j(V-p)):\alpha] = 3$.

* By [M] and the uniqueness of the ring class field $K(f(V-p)^2) = K(j(V-p))$ thus, since $f(V-p)^2 \in \mathbb{R}$ (use its q-series) then $[G(f(V-p)^2):Q] = 3$.

*Set $z_0 = \frac{3+\sqrt{2}p}{2}$, $x = \frac{5}{8}$ $f_2(z_0)^2$ by the transformation laws of f_1f_1, f_2 and $f_2(z)f_1(z_0) = \sqrt{2}$ then $\alpha = \frac{2}{f(\sqrt{p})^2} \Rightarrow [Q(\omega):Q] = 3$.

Observe that $\alpha \in \mathbb{R}$ and $[Q(\omega): \alpha]=3 \Rightarrow [Q(\omega^4): \alpha]=3 \Rightarrow Q(\omega^4)$.

• By the relation $g_2(z_0) = \frac{4^{24}_2(z_0) + 16}{4^2_2(z_0)^3} = \frac{(x^4)^3 + 16}{x^4}$, x^4 satisfies: $x^3 - y_2(z_0) \times -16 = 0$

Notice that (for $d_K \neq -3$) $\mathcal{D}_{Z}(Z_0)$ is an algebraic integer and generales the ring class field of $\mathcal{O}_K = (1, Z_0)$ which is trivial since $h(\mathcal{O}_K) = h(d_K) = 1$ by assumption. Thus $\mathcal{D}_{Z}(Z_0) \in K$ $\Rightarrow \mathcal{D}_{Z}(Z_0) \in \mathbb{Z}$. $\therefore \chi^3 - \mathcal{D}_{Z}(Z_0) \chi - 16 \in \mathbb{Z}[\chi]$

Since $[Q(x^4):Q]=3$ hen $x^3-x_2(20)x-16=\min_{\alpha^4/Q}(\alpha)$

The above implies that it is an alg. integer so if $g(x) = x^3 + ax^2 + bx + c$ is its minimal polynomial \Rightarrow a,b,c $\in \mathbb{Z}$.

If we superate odd and even degree terms and square both sides, we get

$$a^{6} + (2b - a^{2})\alpha^{1} + (b^{2} - 2ac)\alpha^{2} - c^{2} = 0$$

Doing the same but separating the terms ab, 2 from du, 20 me get

$$\alpha^{12} + (2f - e^2) \alpha^8 + (f^2 - 2eg) \alpha^4 - g^2 = 0 \Rightarrow \alpha^4 \text{ satisfies } \chi^3 + (2f - e^2) \chi^2 + (f^2 - 2eg) \chi - g^2$$

By the miqueness of the minimal polynomial:

$$\begin{cases}
0 = 2f - e^{2} \\
-y_{2}(2c) = f^{2} - 2eg
\end{cases}$$

$$-16 = -g^{2}$$

$$\Rightarrow g = \pm 4 \Rightarrow c = \pm 2 \text{ w.l.o.g. } c = 2$$

$$\begin{cases}
2(b^{2} - 4a) = (2b - a^{2})^{2} & \text{2la, } 2b \\
y_{2}(2c) = -(b^{2} - 4a)^{2} - 8(2b - a^{2})
\end{cases}$$

$$x = -2x, b = 4x^{2} + 2y$$

• <u>PROP</u>: The diophantine equation $2X(X^3+1)=Y^2$ has solutions $(0,0),(-1,0),(1,\pm 2),(2,\pm 6)$ Pf: since $g(d(X,X^3+1)=1)$, Then $\pm(X^3+1)$ is a square or twice a square. This gives:

i)
$$\chi^{3} + 1 = Z^{2}$$

ii)
$$\chi^3 + 1 = - Z^2$$

iv)
$$\chi^3 + 1 = -22^2$$

Now the solutions are:

(ii) (1,±1) (work over
$$\mathbb{Z}[3]$$
)

So we can use Nagel-148

since & integral ? 2 Ever

. Thus we compute The (20) with (X14):

(X,Y)	(a,b)	かっしを0)	J(20)		du
(0,0)	(0,0)	9	0	~~~>	-3
(-1,0)	(2,4)	-96	-963		-19
(112)	(-2,8)	-5280	-52803		-67
(1,-2)	(-Z _t 0)	-32	-323		-11
(2,6)	(-4,28)	~640320	-643203		-163
(2,-6)	L-4,4)	-960	-9603		-43

which are exactly the j-invariants associated to

since
$$j(\mathcal{O}_{K}) = j(\mathcal{O}_{K'}) \Rightarrow K = K'$$
.