ADVERSARIAL TRAINING BLOCKS GENERALIZATION IN NEURAL POLICIES

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ABSTRACT

Deep neural networks have made it possible for reinforcement learning algorithms to learn from raw high dimensional inputs. This jump in the progress has caused deep reinforcement learning algorithms to be deployed in many different fields from financial markets to biomedical applications. While the vulnerability of deep neural networks to imperceptible specifically crafted perturbations has also been inherited by deep reinforcement learning agents, several adversarial training methods have been proposed to overcome this vulnerability. In this paper we focus on state-of-the-art adversarial training algorithms and investigate their robustness to semantically meaningful natural perturbations ranging from changes in brightness to rotation. We conduct several experiments in the OpenAI Atari environments, and find that state-of-the-art adversarially trained neural policies are more sensitive to natural perturbations than vanilla trained agents. We believe our investigation lays out intriguing properties of adversarial training and our observations can help build robust and generalizable neural policies.

1 INTRODUCTION

The advancements in deep neural networks lead to wide spread of applications from image classification Krizhevsky et al. (2012) to natural language processing Sutskever et al. (2014), and from speech recognition Hannun et al. (2014) to self learning systems Mnih et al. (2015). Yet the ability to learn from raw high dimensional data brought some of the drawbacks inherited from deep neural networks to deep neural policies.

Szegedy et al. (2014) showed that specifically crafted imperceptible perturbations can lead to misclassification in image classification. After this initial work a new research area emerged to investigate the vulnerabilities of deep neural networks against specifically crafted adversarial examples. While various works studied many different ways to compute these examples Carlini & Wagner (2017); Madry et al. (2018); Goodfellow et al. (2015); Kurakin et al. (2016) several works focused on studying ways to increase the robustness against such specifically crafted perturbations, based on training with the existence of such perturbations Madry et al. (2018); Tramèr et al. (2018); Goodfellow et al. (2015); Xie & Yuille (2020).

As image classification suffered from this vulnerability towards worst-case distributional shift in the input a series of work conducted in deep reinforcement learning showed that deep neural policies are also susceptible towards the specifically crafted imperceptible perturbations Huang et al. (2017); Kos & Song (2017); Pattanaik et al. (2018); Lin et al. (2017). While one line of work put effort on exploring these vulnerabilities in deep neural policies Korkmaz (2020a; 2021a;c;b), another line in parallel focused making them robust and reliable via adversarial training Pinto et al. (2017); Mandlekar et al. (2017); Zhang et al. (2020).

To be able to build generalizable and robust deep neural policies, in this paper we approach the problem of performance degradation with respect to observed input from a wider perspective of distributional shift and make the following contributions:

- We conduct an investigation on the robustness of state-of-the-art adversarially trained deep neural policies against various types of distributional shift in the input.
- We perform several experiments in the OpenAI Atari baselines.
- We compare the performance drop of the vanilla trained agents with the state-of-the-art adversarially trained agents against natural semantically meaningful perturbations.
- We find that vanilla trained agents are more robust against natural semantically meaningful perturbations than the state-of-the-art adversarially trained agents.

2 BACKGROUND

2.1 ADVERSARIAL EXAMPLES

Adversarial examples were introduced in computer vision by Szegedy et al. (2014) based on producing perturbations via a box constrained optimization method. Goodfellow et al. (2015) proposed a fast method called the fast gradient sign method (FGSM) to produce adversarial examples based on the linearization of the cost function used to train the network at the input sample point.

$$x_{\text{adv}} = x + \epsilon \cdot \frac{\nabla_x J(x, y)}{||\nabla_x J(x, y)||_p}, \tag{1}$$

where x represents the input, y represents the labels and $J(x, y)$ represents the cost function used to train the deep neural network. Kurakin et al. (2016) propose the iterative version of the fast gradient sign method inside an ϵ-ball.

$$x^0_{\text{adv}} = x, \tag{2}$$

$$x^{N+1}_{\text{adv}} = \text{clip}_\epsilon(x^N_{\text{adv}} + \alpha \text{sign}(\nabla_x J(x^N_{\text{adv}}, y))) \tag{3}$$

This method is also known as projected gradient descent (PGD) as proposed by Madry et al. (2018).

2.2 DEEP REINFORCEMENT LEARNING AND ADVERSARIES

Initially adversarial examples were introduced to the deep reinforcement learning domain by Huang et al. (2017) and Kos & Song (2017) concurrently by utilizing FGSM as proposed by Goodfellow et al. (2015). Several studies have been conducted to make deep reinforcement learning policies more robust to such specifically crafted malicious examples. Mandlekar et al. (2017) use adversarial examples produced via modifying the environment by taking the gradient of the cost function with respect to input at training time to regularize the policy in an attempt to increase robustness. Pinto et al. (2017) model the interaction between the perturbation maker and the agent as a zero-sum Markov game, and propose a joint training algorithm to improve robustness against an adversary that aims to minimize the expected cumulative reward of the agent. Gleave et al. (2020) model the relationship between the adversary and the agent as a zero-sum game where the agent is limited to taking natural actions in the environment rather than minimal ℓ_p-norm bounded perturbations, and proposed a self-playing approach to gain robustness against such an adversary. Finally, Zhang et al. (2020) propose a modified MDP called a state-adversarial MDP with the aim of obtaining theoretically principled robust polices.

3 EXPERIMENTAL SETUP

In our experiments we use OpenAI Brockman et al. (2016) Atari baselines Bellemare et al. (2013). Our models are trained with DDQN Wang et al. (2016) and Zhang et al. (2020). We test trained policies averaged over 10 episodes. We measure the performance drop of the agent as,

$$\mathcal{I} = \frac{\text{Score}_{\text{clean}} - \text{Score}_{\text{adv}}}{\text{Score}_{\text{clean}} - \text{Score}^{\text{fixed}}_{\text{min}}}. \tag{4}$$

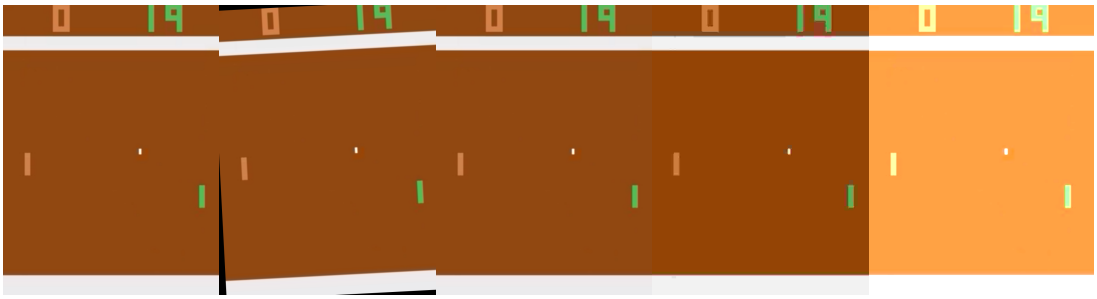

Figure 1: Original frame and environmental modifications. Columns: original frame, rotation, compression artifacts, brightness and contrast.

where $\text{Score}_{\text{clean}}$ represents the score from a clean run of the game where no perturbations are introduced to the agent's observations, $\text{Score}_{\text{min}}^{\text{fixed}}$ represents the minimum score available for a given game, and $\text{Score}_{\text{adv}}$ represents a run of the game where perturbations are introduced to agent's observation system.

4 Adversarial Trained Models under Natural Perturbations

In this paper we focus on investigating adversarial training and its effects on generalization of neural policies. In particular, we focus on the state-of-the-art adversarial training method proposed by Zhang et al. (2020). In this paper the authors propose to model the observation perturbations as a modified MDP, which they refer to as a state-adversarial MDP, with the aim of making the agent more robust towards natural measurement errors or adversarial noises. The authors test their adversarially trained models under the PGD attack proposed by Madry et al. (2018). In our paper we test adversarially trained DDQN models proposed by Zhang et al. (2020) under some natural perturbations proposed by Korkmaz (2020b). In this paper the authors introduce several minimal natural perturbations to deep reinforcement learning policies observation system and investigate the performance drop of the trained policies. We compare the proposed state-of-the-art adversarially trained agents with vanilla trained agents under the natural semantically meaningful perturbations and find that vanilla trained models are more robust than adversarially trained models against many natural perturbations including brightness, rotation, compression artifacts, and contrast.

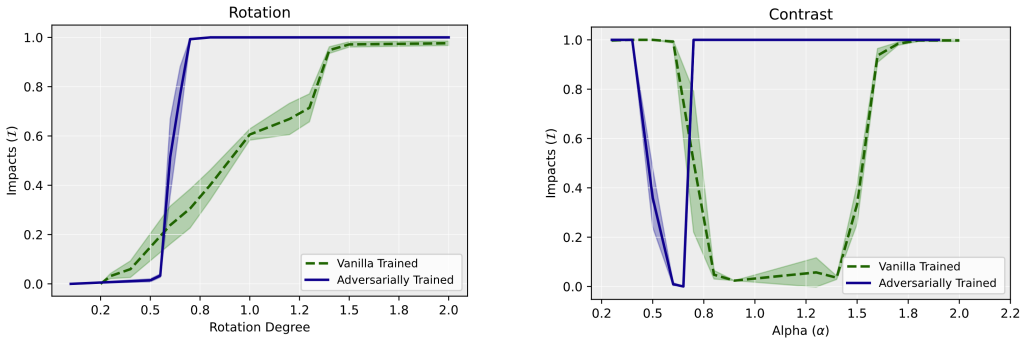

Figure 2: Sensitivity of adversarially trained model and vanilla trained model to the changes in rotation and contrast.

While we observe this particular sensitivity increase to natural perturbations in adversarially trained models in baselines like Atari environments where the generalization capabilities of deep reinforcement learning agents is not the primary concern, this sensitivity increase caused by adversarial training might cause severe problems for the environments where generalization is essential for learning reasonable policies. In particular, Cobbe et al. (2019) environment is more challenging and focused on testing generalization capabilities compared to Atari Baselines. The observation on the limitations

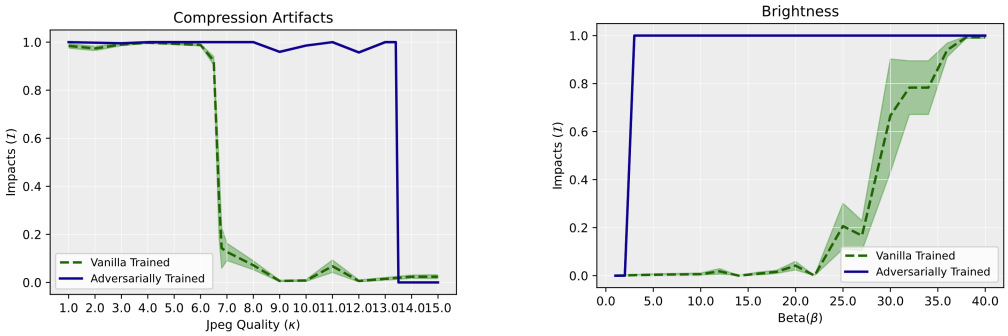

Figure 3: Sensitivity of adversarially trained model and vanilla trained model to the changes in compression artifacts and brightness.

of adversarial trained neural policies towards such natural perturbations implies that adversarially trained neural policies are going to experience challenges in obtaining reasonable policies in these type of environments proposed by Cobbe et al. (2019).

5 Conclusion

In this paper we focused on the generalization capabilities of vanilla trained and the state-of-the-art adversarially trained neural policies. In particular, we tested adversarially trained neural policies under semantically meaningful natural perturbations and we found that vanilla trained deep neural policies are more robust against natural perturbations than adversarially trained deep neural policies. We further argue that this kind of sensitivity increase towards natural perturbations in adversarially trained models can hurt generalization. We belive our study provides a holistic view on the robustness of adversarial training for deep neural policies and can contribute to designing resilient and robust self learning systems for future work.

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