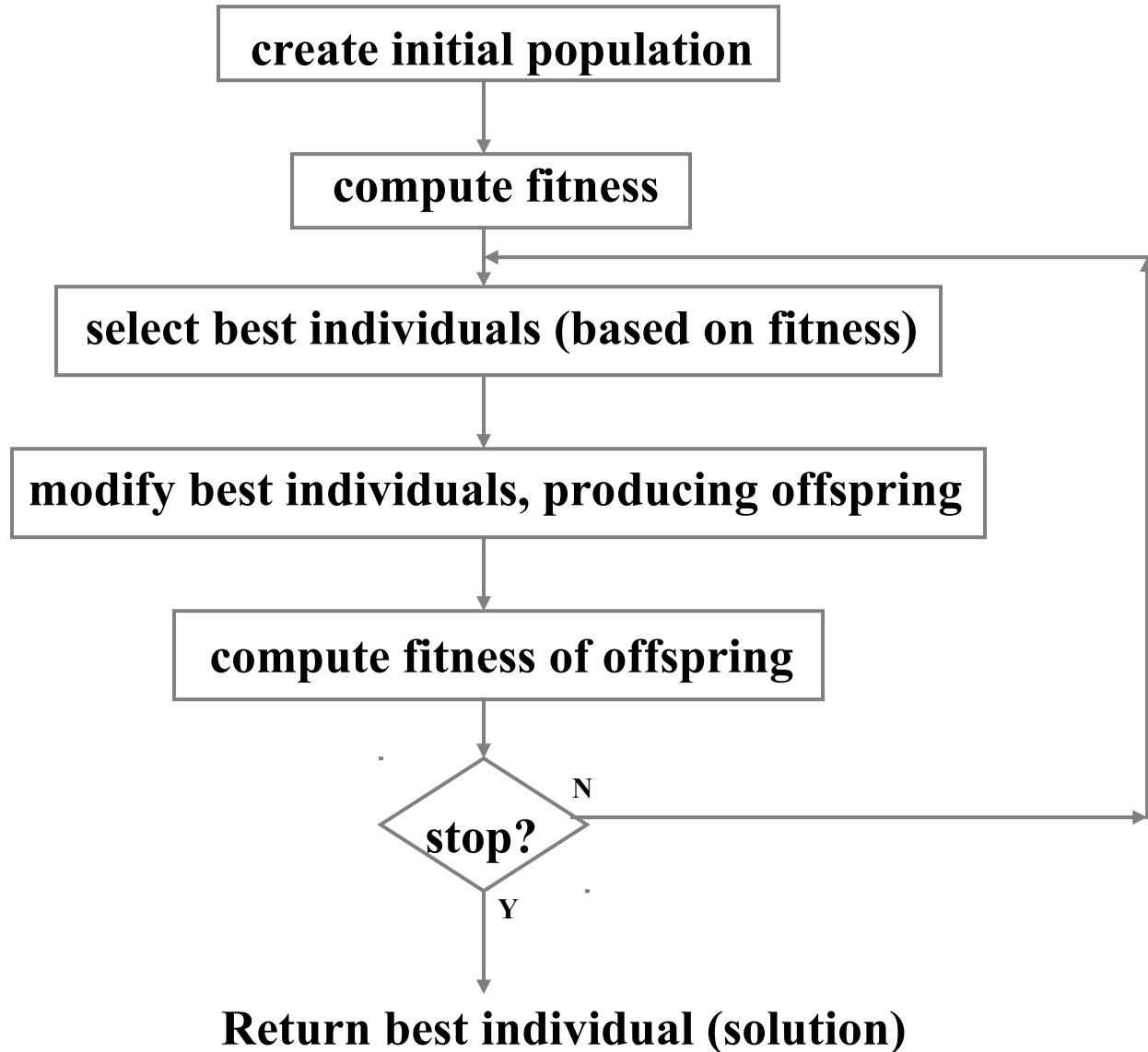


# **Introduction to Intelligent Systems CO528**

## **An Introduction to Evolutionary Algorithms**

**Dominique Chu**

# Basic Flow Chart of EAs

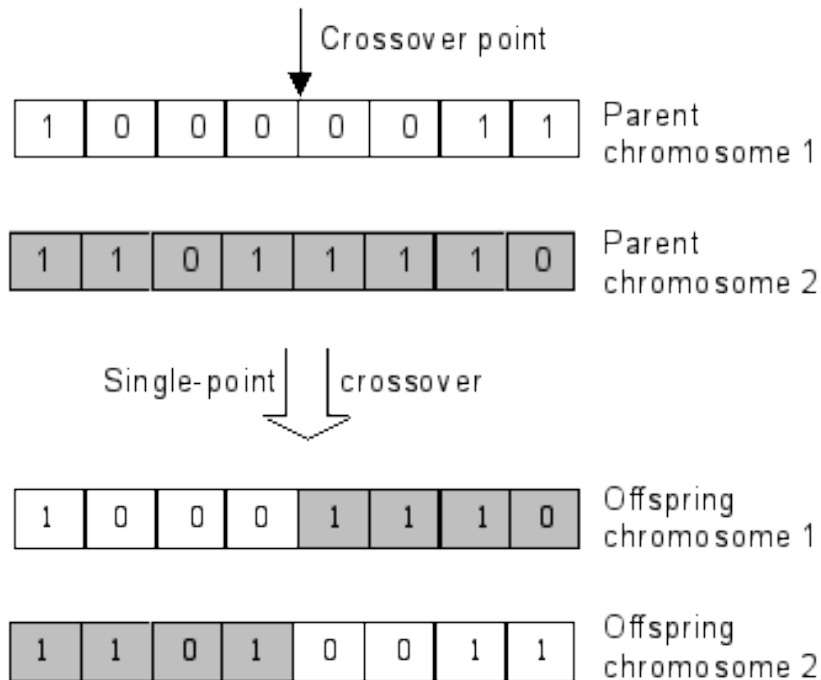


# Simple Genetic Algorithm (SGA) (1)

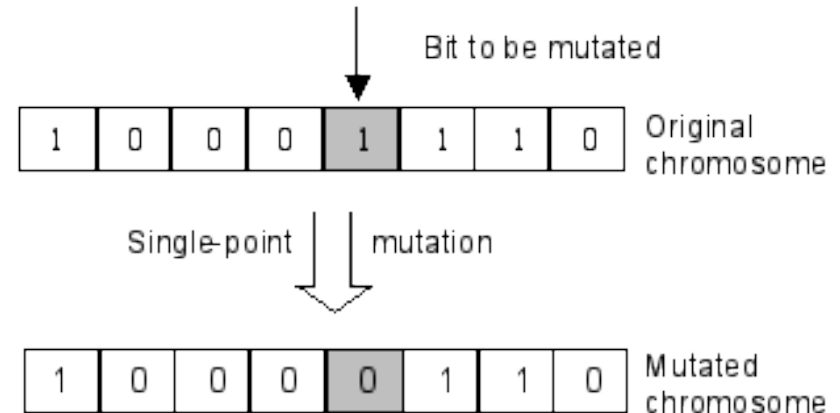
- **Clearly described in Goldberg's book [1989]**
- **Fixed-size population of individuals (solutions)**
- **Individual (or “chromosome”) representation:  
binary string - e.g., 001101**
- **Proportionate selection, simulating a Roulette Wheel**
- **Simple genetic operators (to produce offspring):**
  - **Simple one-point crossover**
  - **Simple Mutation: flip a bit**

# Simple Genetic Algorithm (2)

- **Basic Operators of a Simple GA (binary strings):**
  - **Single-point crossover & single-point mutation**



**Fig. 1: Single-point crossover**



**Fig. 2: Single-point mutation**

# A pedagogical example of SGA (1)

Goldberg's book [1989]

- “Toy” problem: finding the maximum value of the function  $x^2$  in the interval  $[0..31]$
- **Individual encoding:** five bits representing  $x$  in  $[0..31]$
- **Fitness function:**  $x^2$   
(the larger the fitness, the better the individual)
- Fitness evaluation: obtain  $x$ , decode the 5 bits (*genotype*), and then compute  $x^2$  (*phenotype*)
- Initial population (randomly generated):  
0 1 1 0 1  
1 1 0 0 0  
0 1 0 0 0  
1 0 0 1 1

# A pedagogical example of SGA (2)

- Measuring fitness of each individual in the population:

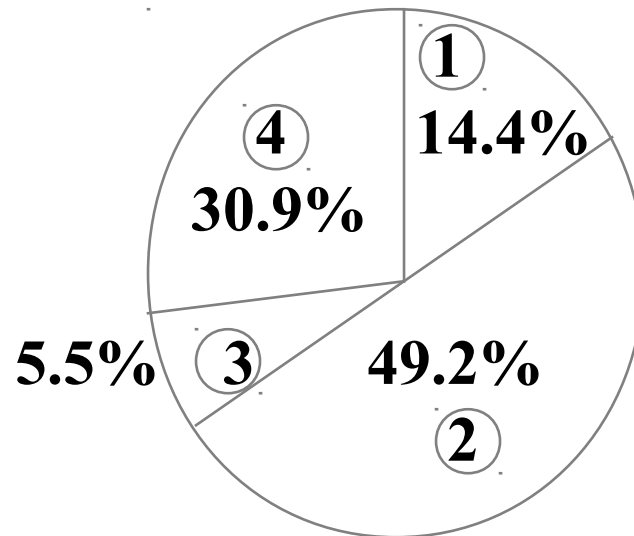
No.	individual	x	(fitness) x <sup>2</sup>	% of total fitness
1	0 1 1 0 1	13	169	14.4
2	1 1 0 0 0	24	576	49.2
3	0 1 0 0 0	8	64	5.5
4	1 0 0 1 1	19	361	30.9

E.g.: decoding individual 1:

$$0*2^4 + 1*2^3 + 1*2^2 + 0*2^1 + 1*2^0 = 13$$

# A pedagogical example of SGA (3)

- Selection of the best individuals for reproduction



- To select an individual for reproduction, we “spin” the above “biased” roulette wheel, whose slots have sizes proportional to the fitness of the individuals
- This is called *roulette-wheel selection*

# A pedagogical example of SGA (4)

- **Suppose the selected individuals are**
  - one copy of individual No. 1
  - one copy of individual No. 4
  - two copies of individual No. 2
  - (individual No. 3 was not selected)
- **Selected individuals (*parents*) probably undergo crossover, to produce two new individuals (*children*)**
  - User-defined crossover probability: about 80%
- **Children can also undergo mutation**
  - User-defined mutation probability: 1%  
(in nature most mutations are harmful)



# A pedagogical example of SGA (5)

- **Example of one-point crossover:**

**crossover of individuals No. 1 and 2, after the 4th bit**

parents					children				
0	1	1	0	1	0	1	1	0	0
1	1	0	0	0	1	1	0	0	1

**crossover of individuals No. 2 and 4, after the 2nd bit**

parents					children				
1	1	0	0	0	1	1	0	1	1
1	0	0	1	1	1	0	0	0	0

- **Note: crossover point is randomly chosen**

# A pedagogical example of SGA (6)

## Population at generation 0

individual	x	(fitness) x <sup>2</sup>
0 1 1 0 1	13	169
1 1 0 0 0	24	576
0 1 0 0 0	8	64
1 0 0 1 1	19	361
Average:		293
Maximum:		576

## Population at generation 1

individual	x	(fitness) x <sup>2</sup>
0 1 1 0 0	12	144
1 1 0 0 1	25	625
1 1 0 1 1	27	729
1 0 0 0 0	16	256
Average:		439
Maximum:		729

**Note: Generation 1 has better individuals than generation 0, i.e., the population evolves...**

# Another Selection Technique

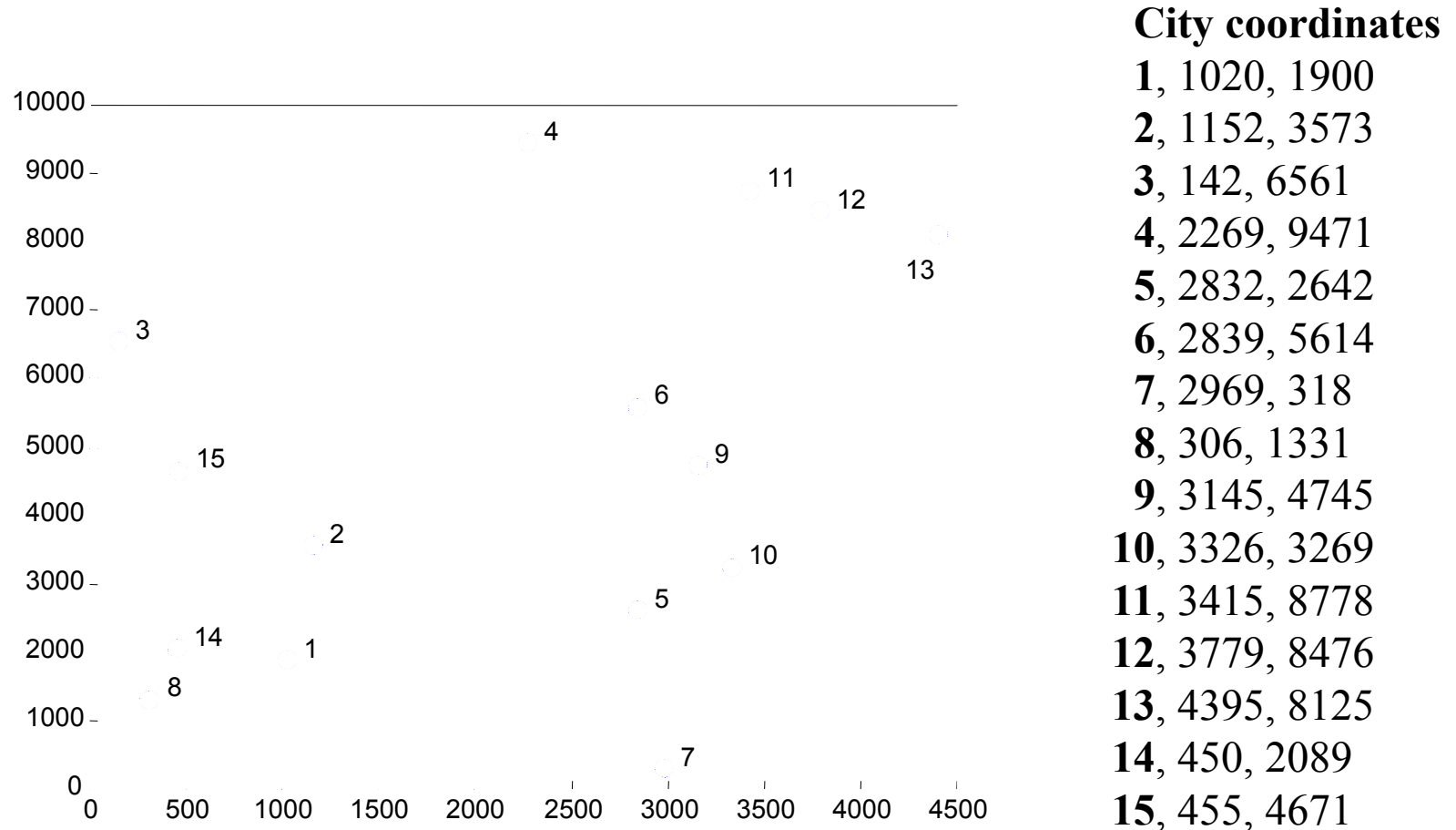
- ***Tournament Selection***: a small subset of  $K$  individuals is chosen at random, then the best individual in this set (the tournament winner) is selected
- $K$  = tournament size (a user-specified parameter)
- The higher the value of  $K$ , the higher the “selective pressure”

## Questions:

- 1) What happens if  $K = 1$ ?
- 2) What happens if  $K$  = population size?

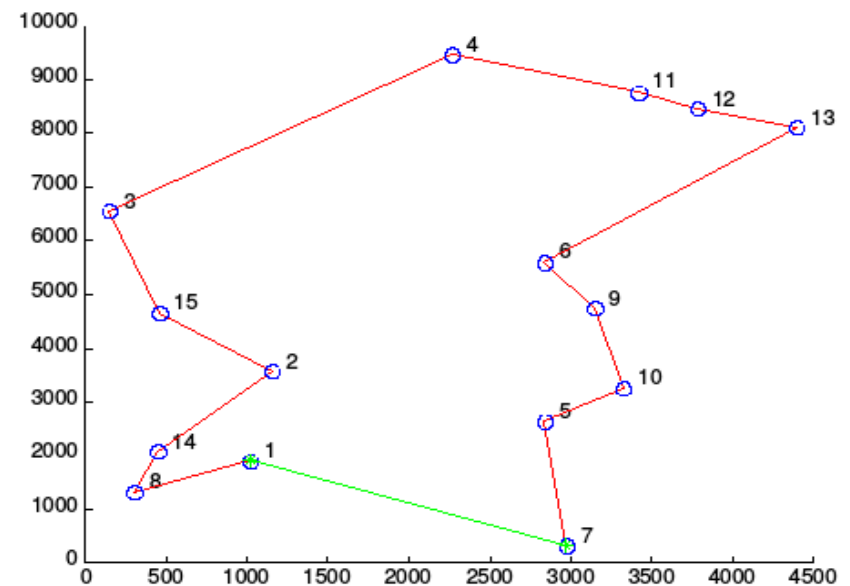
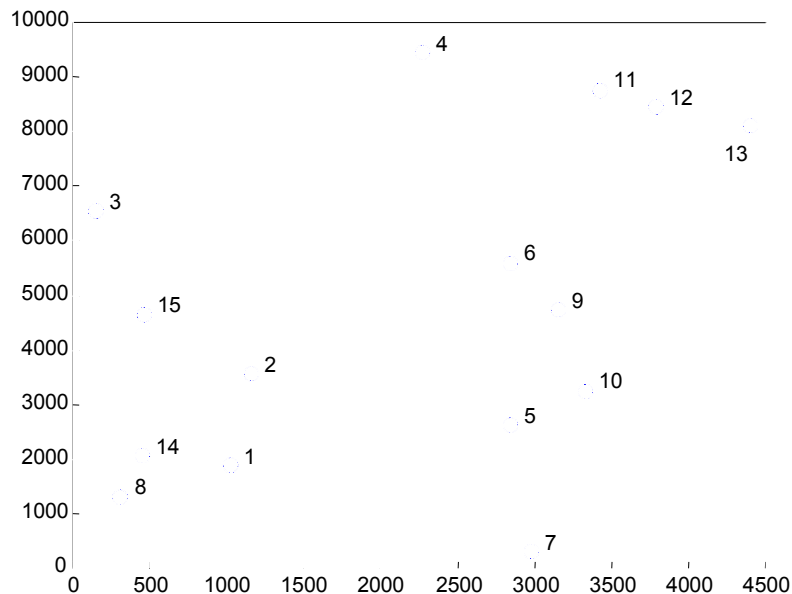
# The Travelling Salesman Problem

- **Well-known combinatorial optimisation problem**



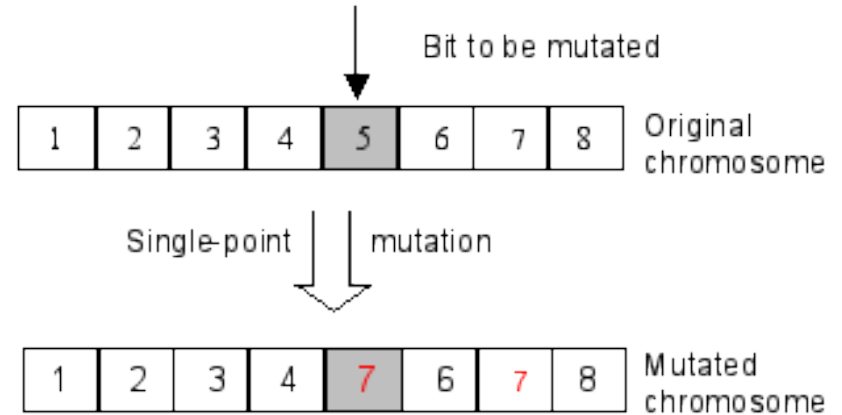
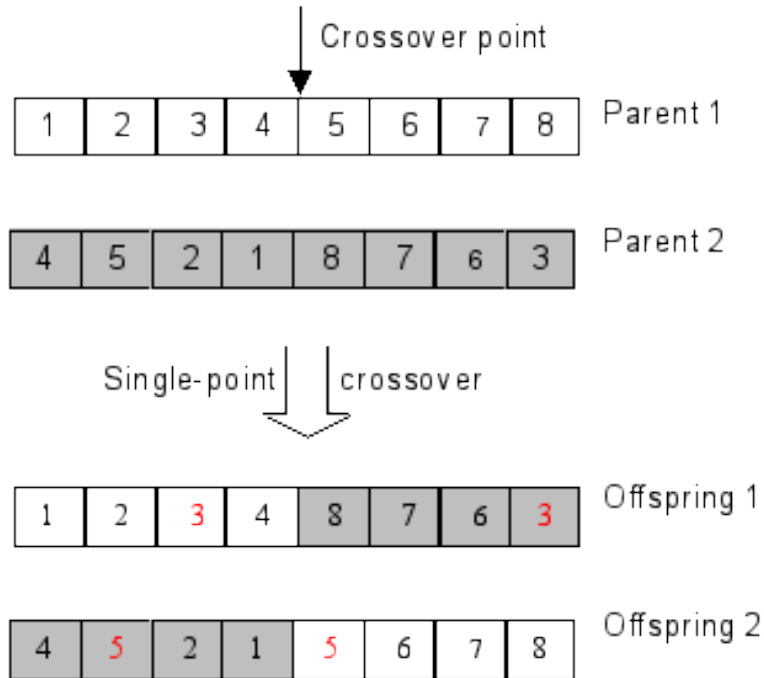
# GA for the Travelling Salesman Problem (1)

- **Individual (candidate solution) representation:**
  - A permutation of integer numbers (each gene = a city index):  
€  $\langle 1, 8, 14, 2, 15, 3, 4, 11, 12, 13, 6, 9, 10, 5, 7 \rangle$
- **Fitness function:**
  - distance (length) of each tour



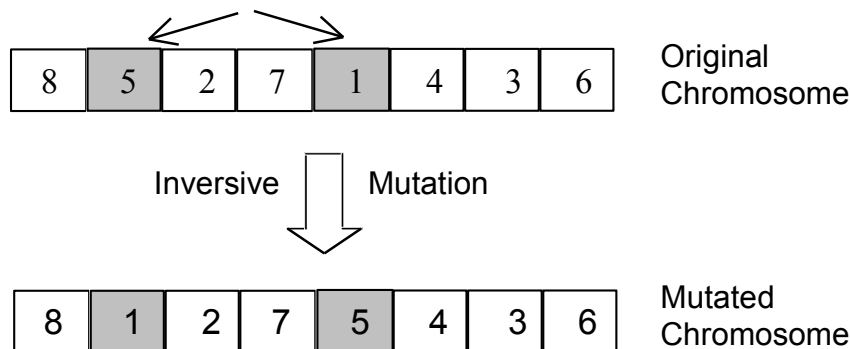
# GA for the Travelling Salesman Problem (2)

- Conventional single-point crossover and single-bit mutation would produce **invalid** offspring:



# GA for the Travelling Salesman Problem (3)

- **Operator specific for permutation problems:**
  - **Swapping two genes of the same chromosome**
  - **Creates one new child from a given parent**



# Advantages of Genetic Algorithms

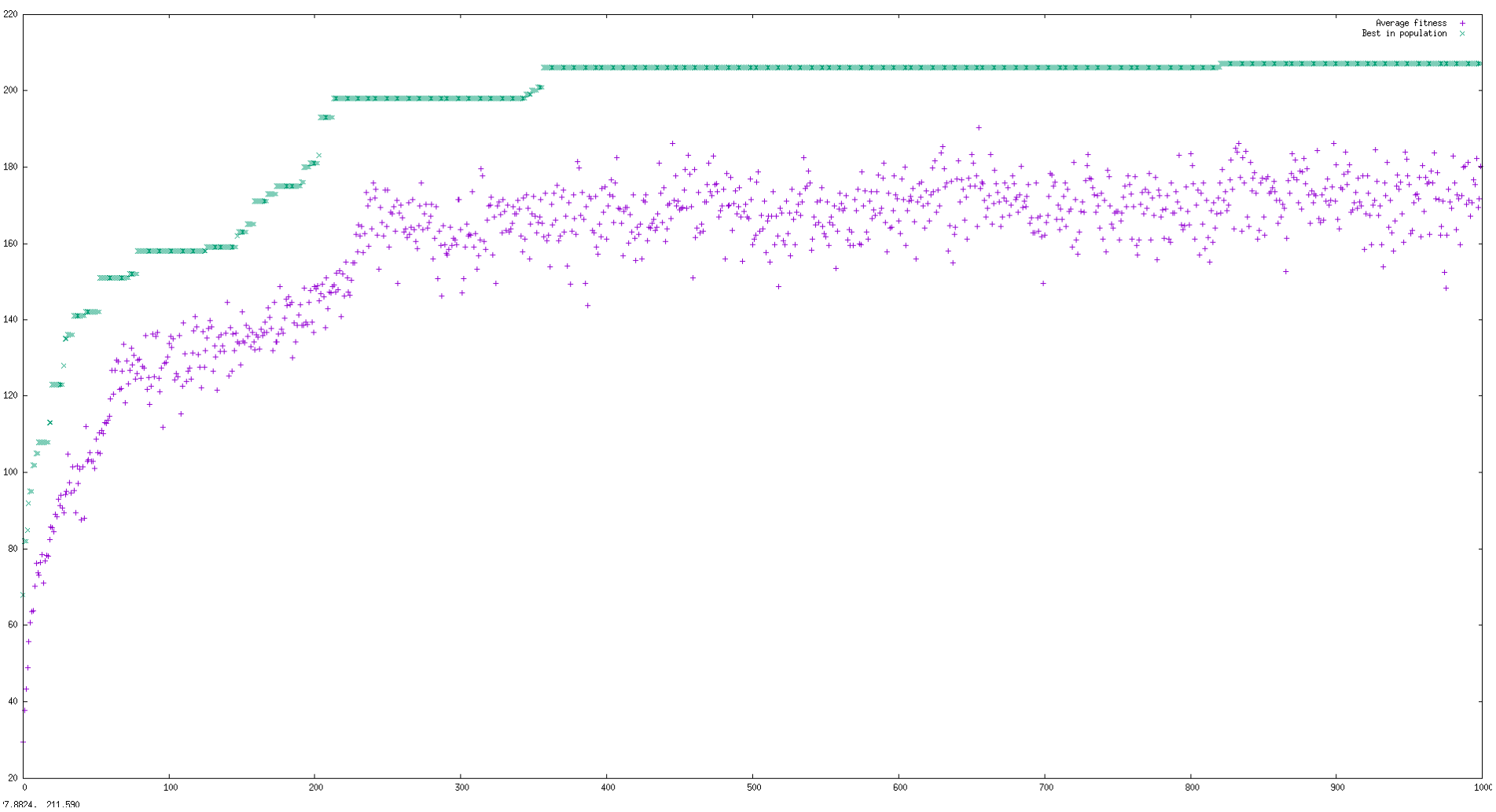
- Perform a “global” search in the search space
  - work with a *population* of individuals (candidate solutions), rather than with just one candidate solution at a time)
  - Avoid the use of “greedy” heuristics (e.g., start at a given city and visit one city at a time, choosing the nearest city at each step)
  - Global search allows a broader exploration of the search space
- Represent a candidate solution in a declarative way, independent of the method used to search for a solution, so they allow the easy specification of constraints on the type of solutions to be found
- Easy to implement



# Disadvantages of Genetic Algorithms

- Do not offer any guarantee of finding the optimal solution, nor any lower bound on the quality of the solutions to be found
- Are computationally expensive in large-scale problems
- Have several parameters (crossover probability, mutation probabilities, population size, number of generations, etc.), whose “optimisation” is not a trivial task

# Typical GA run



# References

- D. E. Goldberg. *Genetic Algorithms in Search, Optimization and Machine Learning*. Addison-Wesley, 1989. Chapters 1 and 3.
- A.E. Eiben and J.E. Smith. *Introduction to Evolutionary Computing*. Springer, 2003. Chapters 1 and 2; sections 3.3, 3.4, 3.7.

# Assessment 1

- **Solve two different problems using genetic algorithms.**
- **You need to implement 2 different GAs (easiest).**
- **The fitness function is given to you as a class (Assess.class).**
- **Your mark will depend partially on the quality of the result (i.e. how good a solution you find).**
- **You are allowed a maximum time to run code. This time will be measured on raptor ( **not** your home machine)!!! Careful!**

# Assessment: Exercise 1

- It takes an array of 20 doubles as input.
- A fitness function is implemented in `Assess.class`, hence you do not need to worry about that.
- You need to find the **minimum** fitness.
- You can limit the search to the interval  $[-5, 5]$  for all variables.

# Assessment: Example 2

- **The second exercise is an instance of the suitcase packing problem.**
- **You have a maximum weight that you are allowed to pack.**
- **You want to maximise your utility.**
- **I am not giving you a table of the values for the utility and the weight. These are encoded in the class file and you do not need this information.**
- **Calling a method in Assess.class gives you the relevant weights and utility.**
- **You need to be careful to take into account the weight constraint. You need to construct a fitness function to reflect that.**

# Dos and don'ts

- **Do follow the instructions in every detail.**
- **Do not add any external libraries or graphical user interfaces.**
- **Do make sure your code compiles from the command line and Example.java contains the Main method.**
- **Do not put your code into subdirectories. This will result in points deductions.**
- **Check the runtime of your code on raptor.**
- **Do not forget to check in the results once you generated it.**
- **Do not check in fixed solutions, but the ones you generated. You will be marked on a (numerically) different problem!**