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DEPARTMENT OF PHYSICS  
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# Geodesics in Schwarzschild Metric

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# Acknowledgments

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## **Abstract**

Devo davvero fare l'abstract?

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# Introduction

Bozza

Study of geodesics in Schwarzschild metric  
Computer simulations on the second chapter



# Chapter 1

## Theory

### 1.1 Introduction

#### 1.1.1 Why the Schwarzschild Geometry

Newtonian mechanics is built upon the concept of absolute time and space. Once the concept of *inertial frame* is well-defined, physics can be done on a space described by Euclidean geometry. Free particles (particles on which no forces are acting) move in a straight line, which is the shortest distance between two points in a three-dimensional space, measured as:

$$\Delta s^2 = \Delta x^2 + \Delta y^2 + \Delta z^2. \quad (1.1)$$

On the other hand, time is *just* seen as a parameter, common to every inertial frame, that can be used to determine the particle velocity and acceleration.

With the appearance of Maxwell's Equations it became clear that what they predicted (the speed of light being constant in every inertial frame) was in contrast with the description of our space given by Newtonian Mechanics, where the speed of anything changes with respect to the inertial frame chosen. Between Maxwell's Equations and Newtonian mechanics Einstein chose to modify the latter and wrote his two postulates for the theory of Special Relativity:

- The laws of physics are invariant (identical) in all inertial frames of reference;
- The speed of light in vacuum,  $c = 299\,792\,458\text{m/s}$ , is the same for all observers, regardless of the motion of light source or observer.

The postulates may or may not be intuitive, but simple observations based on them bring us to abandon the idea of absolute space and time and to introduce the concept of *spacetime*, together with a new way of measuring distances

$$\Delta s^2 = -c^2 \Delta t^2 + \Delta x^2 + \Delta y^2 + \Delta z^2. \quad (1.2)$$

In special relativity distances measured this way are the same for every observer in every inertial frame possible.

The appearance of time in a formula that is supposed to give us the distance between two objects is surely destabilizing at first, but geometry teaches us that fixing the way we calculate  $\Delta s^2$ , more properly referred to as the *line element*  $ds^2$ , is enough to describe the geometry of the space that we are using. Since eq. 1.2 is different from eq. 1.1, in particular there is a minus

sign in front of  $\Delta t^2$ , we moved away from the familiar three-dimensional Euclidean geometry and are now in four-dimensional spacetime, usually referred to as *flat spacetime* or *Minkowski space*.

This new geometry allowed for a reformulation of Maxwell's Equations and brought (and explained) phenomena like time dilation, length contraction and the relativity of simultaneity. The last one in particular, the concept that the simultaneity of two events depends on the frame of reference, poses a threat to the *force* of gravity. Up until this point gravity was defined as the instantaneous force  $F_{12}$  acting on a mass  $m_1$  at time  $t$  due to a second mass  $m_2$ :

$$F_{12} = G \frac{m_1 m_2}{|r_1(t) - r_2(t)|^2} \quad (1.3)$$

The adjective *instantaneous* in a theory where nothing can travel faster than the speed of light should already raise some concern. But looking at  $r_1(t)$  and  $r_2(t)$  in eq. 1.3, that are supposed to indicate the positions of the masses in the same instant of time, makes it even clearer that the force  $F_{12}$  can't be the same in all frames of reference.

Solving this issue gave birth to the theory of general relativity, where a mass is not a source of gravitational force anymore, but is responsible for bending the four-dimensional spacetime itself. This implies that when we observe a particle deviating its trajectory from a straight line in the presence of a massive object, it is not because of a force acting on it. In fact, we can consider the particle free and moving from point A to point B along the shortest path, it is just that in the curved surface bent by the mass the shortest path is not a straight line.

While this concept may not enhance our intuitive understanding, the implications and the mathematical formalism required to articulate the theory are even more challenging. If the presence of mass distorts the space we work in, changing the line element  $ds^2$  is therefore necessary. The details of the theories, particularly the Einstein field equations, that describe this distortion and allow us to evaluate the new  $ds$  from a give distribution of mass are beyond the scope of this thesis. Our focus will be on evaluating the observable effects, given the line element.

More specifically we will study one of the simplest curved spacetime that general relativity has to offer: the geometry of empty space outside a spherically symmetric source of curvature, for example, a spherical star. It is one of the simplest because of the many symmetries that presents and, luckily, is also one of the most useful.

The line element of what is more commonly know as the Schwarzschild geometry is

$$ds^2 = - \left( 1 - \frac{2GM}{c^2 r} \right) (cdt)^2 + \left( 1 - \frac{2GM}{c^2 r} \right)^{-1} dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2)$$

expressed in spherical coordinates centered in the mass responsible for bending the space.

### 1.1.2 Notation and Formalism

In the *flat spacetime* we can introduce a coordinate basis for four-vectors

$$\mathbf{e}_t = (1, 0, 0, 0), \quad \mathbf{e}_x = (0, 1, 0, 0), \quad \mathbf{e}_y = (0, 0, 1, 0), \quad \mathbf{e}_z = (0, 0, 0, 1). \quad (1.4)$$

The set  $\{\mathbf{e}_t, \mathbf{e}_x, \mathbf{e}_y, \mathbf{e}_z\}$ , is often referred to as  $\{\mathbf{e}_0, \mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$ . Any four-vector  $\mathbf{a}$  can then be written as

$$\mathbf{a} = a^t \mathbf{e}_t + a^x \mathbf{e}_x + a^y \mathbf{e}_y + a^z \mathbf{e}_z = a^0 \mathbf{e}_0 + a^1 \mathbf{e}_1 + a^2 \mathbf{e}_2 + a^3 \mathbf{e}_3 \quad (1.5)$$

where  $(a_t, a_x, a_y, a_z)$ , or equivalently  $(a_0, a_1, a_2, a_3)$ , are the components of the four-vector. Both notations will be used.

Another useful convention is to use Roman letters (usually  $i$  or  $j$ ) to refer to indices 1, 2, 3 and Greek letters (usually  $\mu$  or  $\nu$ ) to refer to indices 0, 1, 2, 3. Using Einstein notation the expression in eq. 1.5, can be rewritten simply as  $\mathbf{a} = a^\mu \mathbf{e}_\mu$ . Other useful ways to specify the components of  $\mathbf{a}$  are

$$a^\mu = (a^t, a^x, a^y, a^z) \quad a^\mu = (a^t, a^i) \quad a^\mu = (a^t, \vec{a})$$

where  $\vec{a} = a^i \mathbf{e}_i$  is the three-dimensional vector  $(a_x, a_y, a_z)$ .

The length of the four-vector  $\mathbf{a}$  must match the definition given with the  $\Delta s^2$  in 1.2, it is useful to define the *metric*  $\eta_{\nu\mu}$  so that

$$\eta_{\nu\mu} = \begin{matrix} & \begin{matrix} t & x & y & z \end{matrix} \\ \begin{matrix} t \\ x \\ y \\ z \end{matrix} & \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \end{matrix} \quad \implies \quad ds^2 = \eta_{\nu\mu} dx^\nu dx^\mu \quad (1.6)$$

where a double sum is implied, and we rightfully notice that the minus sign has appeared again under the  $t$  component. Now we can compactly write

$$\mathbf{a} \cdot \mathbf{a} = \eta_{\mu\nu} a^\mu a^\nu = -(a^t)^2 + (a^x)^2 + (a^y)^2 + (a^z)^2 \quad (1.7)$$

Without any claim of rigorously demonstrating it, we can say that since this scalar product is built from the line element  $ds^2$ , it is the same in every inertial frame one might choose. Quantities that have these properties are *invariant*.

When working in the Schwarzschild geometry it is useful to adopt the Schwarzschild coordinates, spherical coordinates centered at the center of the mass  $M$ , and use geometrized units, where  $G = c = 1$  (Appendix A). The line element and the metric can be rewritten as

$$ds^2 = - \left(1 - \frac{2M}{r}\right) (dt)^2 + \left(1 - \frac{2M}{r}\right)^{-1} dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2)$$

$$g_{\nu\mu} = \begin{matrix} & \begin{matrix} t & r & \theta & \phi \end{matrix} \\ \begin{matrix} t \\ r \\ \theta \\ \phi \end{matrix} & \begin{pmatrix} -(1 - 2M/r) & 0 & 0 & 0 \\ 0 & (1 - 2M/r)^{-1} & 0 & 0 \\ 0 & 0 & r^2 & 0 \\ 0 & 0 & 0 & r^2 \sin^2 \theta \end{pmatrix} \end{matrix} \quad (1.8)$$

It's worth pointing out that, given 1.11, the coordinate basis introduced in 1.4 is not normalized in this geometry, for example:

$$\mathbf{e}_t \cdot \mathbf{e}_t = g_{\nu\mu} e_t^\mu e_t^\nu = g_{00} = -(1 - 2M/r) \quad (1.9)$$

If we want an orthonormal tetrad we can define

$$\hat{\mathbf{e}}_t = \left(1 - \frac{2M}{r}\right)^{-1/2} \mathbf{e}_t \quad \Rightarrow \quad \hat{\mathbf{e}}_t \cdot \hat{\mathbf{e}}_t = g_{\nu\mu} \hat{e}_t^\mu \hat{e}_t^\nu = g_{00} \left(1 - \frac{2M}{r}\right)^{-1} = -1 \quad (1.10a)$$

$$\hat{\mathbf{e}}_r = \left(1 - \frac{2M}{r}\right)^{1/2} \mathbf{e}_r \quad \Rightarrow \quad \hat{\mathbf{e}}_r \cdot \hat{\mathbf{e}}_r = g_{\nu\mu} \hat{e}_r^\mu \hat{e}_r^\nu = g_{00} \left(1 - \frac{2M}{r}\right) = 1 \quad (1.10b)$$

$$\hat{\mathbf{e}}_\theta = \frac{1}{r} \mathbf{e}_\theta \quad \Rightarrow \quad \hat{\mathbf{e}}_\theta \cdot \hat{\mathbf{e}}_\theta = 1 \quad (1.10c)$$

$$\hat{\mathbf{e}}_\phi = \frac{1}{r \sin \theta} \mathbf{e}_\phi \quad \Rightarrow \quad \hat{\mathbf{e}}_\phi \cdot \hat{\mathbf{e}}_\phi = 1 \quad (1.10d)$$



## 1.2 Proprieties of the Metric

Let's first analyze the Schwarzschild metric in more detail:

$$ds^2 = -\left(1 - \frac{2M}{r}\right)(dt)^2 + \left(1 - \frac{2M}{r}\right)^{-1}dr^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2) \quad (1.11)$$

There are two singularities in  $r = 0$  and  $r = 2M$ . The first one is intrinsic to the spherical coordinate system and, since the metric is only valid in the space outside the star, doesn't concern us. The second occurs at what is defined as the *Schwarzschild radius*  $r_s = 2M$ . Every non-black hole object has a radius larger than its Schwarzschild radius. The nature and significance of this will become clearer in the subsequent sections.

On the other hand, if we take the limit as  $r$  approaches infinity, we notice that the metric becomes asymptotically flat, approaching the metric of Minkowski space.

Finally,  $ds^2$  is independent of the coordinates  $t$  and  $\phi$ . This is expected, as the mass responsible for curving the spacetime is static and spherically symmetric. The metric's independence from time and rotation implies the existence of two easy *killing vectors*:

$$\xi = (1, 0, 0, 0) \quad \text{and} \quad \eta = (0, 0, 0, 1). \quad (1.12)$$

A *killing vector* is a direction in the four-dimensional spacetime along which we can freely move without changing the metric. It is a general way to describe a symmetry of the metric. Since symmetries correspond to conserved quantities they will be a key point in studying the trajectories of free particles, the *geodesics*.

We start by considering the four-momentum  $\mathbf{p}$  of a particle of mass  $m$ , defined as

$$p^\mu := mu^\mu = m \frac{dx^\mu}{d\tau} \quad (1.13)$$

where  $u$  is the four-velocity of the particle,  $x$  its position and  $\tau$  the proper time. Therefore the quantities

$$E = -\xi \cdot \mathbf{p} = -g_{00}p^0 = m \left(1 - \frac{2M}{r}\right) \frac{dx^t}{d\tau}$$

$$L = \eta \cdot \mathbf{p} = g_{33}p^\phi = mr^2 \sin^2\theta \frac{dx^\phi}{d\tau}$$

will be conserved along the geodesic. We already named them  $E$  and  $L$  as they are respectively the energy and the angular momentum at large  $r$  and low velocities. To simplify the expressions the discussion will use renormalized quantities

$$e = \frac{E}{m} = \left(1 - \frac{2M}{r}\right) \frac{dx^t}{d\tau} \quad (1.14a)$$

$$l = \frac{L}{m} = r^2 \sin^2\theta \frac{dx^\phi}{d\tau}. \quad (1.14b)$$

$e$  and  $l$  are the conserved energy and angular momentum per unit rest mass.

In the next sections the normalization of the four-velocity  $\mathbf{u}$  will be really useful too

$$\mathbf{u} \cdot \mathbf{u} = g_{\nu\mu}u^\nu u^\mu = -1 \quad \text{for } m \neq 0 \quad (1.15a)$$

$$\mathbf{u} \cdot \mathbf{u} = g_{\nu\mu}u^\nu u^\mu = 0 \quad \text{for } m = 0. \quad (1.15b)$$

It's not a property of the metric, but it's valid for every  $g_{\nu\mu}$ . Equations 1.15 can be derived like this

$$\begin{aligned} ds^2 &= g_{\nu\mu} dx^\nu dx^\mu \\ \frac{ds^2}{d\tau^2} &= g_{\nu\mu} \frac{dx^\nu}{d\tau} \frac{dx^\mu}{d\tau} . \end{aligned}$$

From here we use  $ds^2 = 0$  for a light ray, or the definition of proper time  $d\tau^2 = -ds^2$  for a massive particle.

### 1.3 Gravitational Redshift

Let's consider a static observer in  $r$ . When the observer measures the energy of a photon, that corresponds to the  $t$  component of  $\mathbf{p}$ , they do that using their local orthonormal tetrad that we described in 1.10.

Referring to  $p^{\hat{t}}$  as the value measured in the orthonormal tetrad  $\{\hat{e}_t, \hat{e}_r, \hat{e}_\theta, \hat{e}_\phi\}$  and  $p^t$  as the value measured with the coordinate basis  $\{e_t, e_r, e_\theta, e_\phi\}$ , the energy measured in  $r$  will be

$$\begin{aligned} E(r) = p^{\hat{t}} &= \mathbf{p} \cdot \hat{\mathbf{e}}_t = \mathbf{p} \cdot \mathbf{e}_t \left(1 - \frac{2M}{r}\right)^{-1/2} = \mathbf{p} \cdot \xi \left(1 - \frac{2M}{r}\right)^{-1/2} \\ \left(1 - \frac{2M}{r}\right)^{1/2} E(r) &= \mathbf{p} \cdot \xi = \text{const} . \end{aligned} \tag{1.16}$$

Where we used the expression for  $\hat{e}_t$  from eq. 1.10a and notice that  $\mathbf{e}_t = \xi$  from eq. 1.4 and eq. 1.12. Solving for the constant  $\mathbf{p} \cdot \xi$  we find the expression in 1.16. The relationship between the energy of a photon measured at  $r'$  and the one measured at  $r$  from two static observers using their own tetrad is

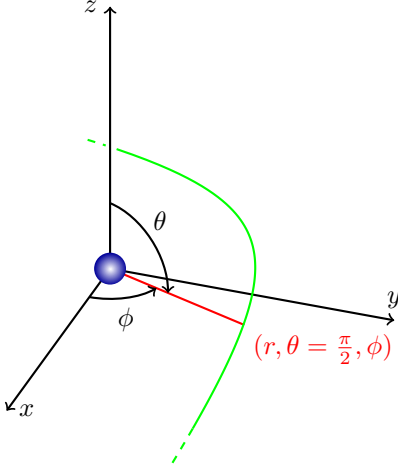
$$\left(1 - \frac{2M}{r'}\right)^{1/2} E(r') = \left(1 - \frac{2M}{r}\right)^{1/2} E(r)$$

Taking the limit as  $r'$  approaches infinity and using  $E = \hbar\omega$  for the energy of the photon

$$\omega_\infty = \omega_* \left(1 - \frac{2M}{r}\right)^{1/2} .$$

Here,  $\omega_\infty$  is the frequency measured by a distant observer at  $r \gg r_s$ , while  $\omega_*$  denotes the frequency measured at a specific distance  $r$ . Photons observed at a certain distance from a star exhibit a lower frequency compared to the one they have at the point of emission.

## 1.4 Particle Orbits



We now study the orbits of a *test particle* in this geometry. A *test particle* is defined as a particle with a mass so small that it does not significantly disturb the surrounding spacetime geometry.

From eq. 1.14b we know that the angular momentum is conserved, so we can expect the orbit to lie on a plane. Without losing generality we can imagine the particle path to stay in the  $xy$  plane, fixing  $\theta = \pi/2$  and, consequently,

$$u^\theta = \frac{d\theta}{d\tau} = 0$$

$$l = r^2 \sin^2 \theta \frac{d\phi}{d\tau} = r^2 \frac{d\phi}{d\tau}$$

The four-velocity of our test particle can be written as

$$u^\mu = \left( \frac{dx^t}{d\tau}, \frac{dx^r}{d\tau}, \frac{dx^\theta}{d\tau}, \frac{dx^\phi}{d\tau} \right) = \left( \frac{dt}{d\tau}, \frac{dr}{d\tau}, 0, \frac{l}{r^2} \right).$$

Where we simplified the notation using  $t = x^t$  and  $r = x^r$ . Thanks to the normalization of  $\mathbf{u}$  and using  $\theta = \pi/2$  again, we can now write

$$-1 = g_{\nu\mu} u^\nu u^\mu = - \left( 1 - \frac{2M}{r} \right) \left( \frac{dt}{d\tau} \right)^2 + \left( 1 - \frac{2M}{r} \right)^{-1} \left( \frac{dr}{d\tau} \right)^2 + \frac{l^2}{r^2}$$

By using the conserved energy per unit rest mass found in 1.14a to eliminate the dependence from  $t$  and rearranging the expression, we have

$$\mathcal{E} = \frac{e^2 - 1}{2} = \frac{1}{2} \left( \frac{dr}{d\tau} \right)^2 + \frac{l^2}{2r^2} - \frac{M}{2} - \frac{Ml^2}{r^3} \quad (1.17)$$

Here we divided everything by a factor 2 and defined the dimensionless and constant  $\mathcal{E}$ , so that it resembled the Newtonian case. We can also define an effective potential

$$V_{eff}(r) = \frac{l^2}{2r^2} - \frac{M}{r} - \frac{Ml^2}{r^3}. \quad (1.18)$$

To better understand eq. 1.18, we can express it in  $\mathcal{LMT}$  units, substituting  $l \rightarrow L/(mc)$  and  $M \rightarrow GM/c^2$  and multiplying both sides by the rest mass  $mc^2$  used to normalize eq. 1.17.

$$mc^2 V_{eff}(r) = \frac{L^2}{2mr^2} - \frac{GMm}{r} - \frac{GML^2}{c^2 mr^3}$$

The first two terms are identical to the Newtonian potential for a particle of mass  $m$  and angular momentum  $L$ , orbiting around an object of mass  $M$ . The third one is new, ignorable for  $GML^2 \ll c^2 mr^3$ , and it is proportional to  $r^{-3}$ .

Figure 1.1 shows the effect of the  $r^{-3}$  term: the infinite centrifugal barrier of the Newtonian potential disappears in  $V_{eff}$  and a particle with enough energy can fall to the center of the massive object.

Taking the derivative of  $V_{eff}$  with respect to  $r$  gives us the stationary points for  $\frac{l}{M} \geq \sqrt{12}$ .

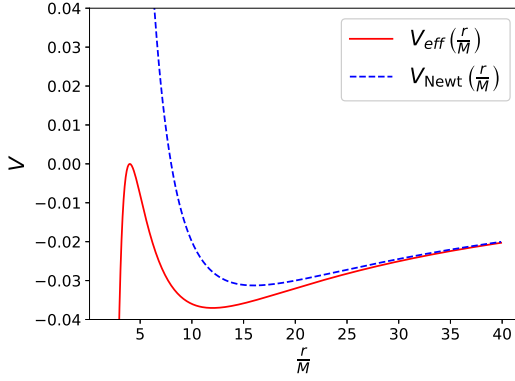


Figure 1.1: Effective potential defined in eq. 1.18 against the Newtonian potential,  $\frac{l}{M} = 4$ . The  $r^{-3}$  term dominates for  $r \sim r_s$  and the particle can fall into the massive object. On the other hand the Newtonian potential presents its characteristic infinite centrifugal barrier.

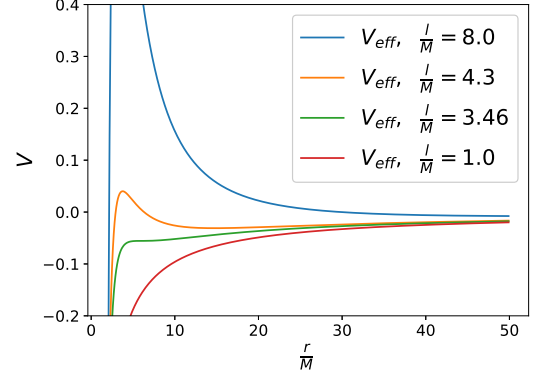


Figure 1.2: Plot of  $V_{eff}$  for  $\frac{l}{M} = [1, \sqrt{12}, 4.3, 8]$ . For  $\frac{l}{M} = \sqrt{12}$  (green) there is only one stationary point and it is not stable. For  $\frac{l}{M} = 1$  (red) there is no stationary point. The only stable points can be found for  $\frac{l}{M} > \sqrt{12}$ , in  $r_{min}$ , defined in eq. 1.19a.

$$r_{\min/\max} = \frac{l^2}{2M} \left[ 1 \pm \sqrt{1 - 12 \left( \frac{M}{l} \right)^2} \right] \quad \frac{l}{M} > \sqrt{12} \quad (1.19a)$$

$$r_{\text{ISCO}} = 6M \quad \frac{l}{M} = \sqrt{12} \quad (1.19b)$$

For  $\frac{l}{M} < \sqrt{12}$  there are none and the particle is destined to fall towards the mass.

In eq. 1.19a  $r_{\min/\max}$  correspond to an unstable point and to a stable one respectively. The case in eq. 1.19b represents an unstable point, the curve associated with it is represented in green in figure 1.2.

As in the Newtonian case a bound orbit exists only when  $V_{eff}$  has a stable stationary point and the total energy given to the particle is not greater than  $V_{eff}(r_{min})$ .

## 1.5 The Simplest Geodesic, a Radial Infall

## Chapter 2

# Simulations

Computed in `C` and animated in `python`

$$\left\{ \begin{array}{l} \left( \frac{dr}{d\tau} \right)^2 = e^2 - \left( 1 - \frac{2M}{r} \right) \left( 1 + \frac{l^2}{r^2} \right) \\ \frac{d\phi}{d\tau} = \frac{l}{r^2} \\ \frac{dt}{d\tau} = \frac{e}{1 - 2M/r} \end{array} \right. \quad \begin{array}{l} (2.1a) \\ (2.1b) \\ (2.1c) \end{array}$$

ciao00000 [**eco2017come**]



# Conclusions

## CONCLUSIONS

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# Appendix A

## Geometrized Units

In general relativity the constants  $G \simeq 6.674\,30 \times 10^{-11} \text{N m}^2 \text{kg}^{-2}$  and  $c = 299\,792\,458 \text{m/s}$  appears quite often, so it's useful to redefine our units of measurements to cancel them out. Let's take the Schwarzschild line element as an example

$$ds^2 = - \left(1 - \frac{2GM}{c^2 r}\right) (cdt)^2 + \left(1 - \frac{2GM}{c^2 r}\right)^{-1} dr^2 + r^2(d\theta^2 + \sin^2 \theta d\phi^2). \quad (\text{A.1})$$

Time always appears next to the speed of light,  $ct$ . This is effectively as if we were measuring time with the distance that light can cover in  $t$  seconds.

The mass  $M$  is measured in kg in S.I. units. If we multiply it by  $G$  and divide by  $c^2$  we get

$$\left[\frac{GM}{c^2}\right] = \frac{\text{N m}^2 \text{kg}^{-2} \text{kg}}{\text{m}^2 \text{s}^{-2}} = \frac{\text{N}}{\text{kg}} \text{s}^2 = \text{m}.$$

So, in a less intuitive way, we can measure the mass as a distance too.

Substituting  $\hat{t} = ct$  and  $\hat{M} = \frac{GM}{c^2}$  in eq. A.1 gives

$$ds^2 = - \left(1 - \frac{2\hat{M}}{r}\right) d\hat{t}^2 + \left(1 - \frac{2\hat{M}}{r}\right)^{-1} dr^2 + r^2(d\theta^2 + \sin^2 \theta d\phi^2).$$

In this way we went from a  $\mathcal{LMT}$ (Length Mass Time) units system, to an  $\mathcal{L}$  one. Since it will be clearly said when this convention, loosely referred to as  $G = c = 1$ , is in use we will omit the *hat* in the new defined variables.

To go back to  $\mathcal{LMT}$  units we just need to substitute back  $t \rightarrow ct$  and  $M \rightarrow \frac{GM}{c^2}$ , being extra careful on cases like the speed, that is derived from time and therefor inherits a  $1/c$  factor.

Table A.1 shows some typical masses values expressed in geometrical units.

	S.I. units	Geometrized units
Mass of the Earth	$5.97 \times 10^{24} \text{ kg}$	4.43 mm
Mass of the Sun	$1.99 \times 10^{30} \text{ kg}$	1.48 km
M87 black hole	$6.5 \times 10^9 M_\odot$	64.2 au

Table A.1: Some common masses of the universe expressed in unit of length.



# Appendix B

## Prova

Appendice B



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