

## **Computability theory**

FAMAF - UNC

**SLP**

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# 1 Introduction

These are my notes on computability theory. The only definitions that ought to be known first-hand are the following.

Let  $\Sigma$  denote an arbitrary language,  $\omega := \mathbb{N} + \{0\}$ , and  $n, m \geq 0$  fixed elements in  $\omega$ . Then:

- A  $\Sigma$ -mixed function is a function s.t.  $\mathcal{D}_f \subseteq \omega^n \times \Sigma^{*m} \mapsto \varphi$  with either  $\varphi = \omega$  or  $\varphi = \Sigma^*$ .
- A  $\Sigma$ -mixed function is  $\Sigma$ -effectively computable if we can find some algorithmic procedure that, given an input in  $\omega^n \times \Sigma^{*m}$ , outputs the value of  $f(\vec{x}, \vec{a})$ .
- Any set  $S \subseteq \omega^n \times \Sigma^{*m}$  is termed a  $\Sigma$ -mixed set.
- If there is an algorithmic procedure that enumerates  $S$ , we say  $S$  is  $\Sigma$ -effectively enumerable.
- If there is an algorithmic procedure that decides the belonging to  $S$ , we say  $S$  is  $\Sigma$ -effectively computable.

Here, the notion of "algorithmic procedure" is non-rigorous. The principal subject of this study are three formalizations of this concept: Turing computability, recursive computability (Godel), and imperative computability (von Neumann).

## 2 Coding infinite tuples

We define  $\omega^{\mathbb{N}} := \{(s_1, s_2, \dots) : s_i \in \omega\}$  and  $\omega^{[\mathbb{N}]} \subseteq \omega^{\mathbb{N}} := \{(s_1, s_2, \dots) : s_i \in \omega \wedge \exists k \in \mathbb{N} : i \geq k \Rightarrow s_i = 0\}$ .

### 2.1 The $i$ th prime function

We define

$$\begin{aligned} pr : \mathbb{N} &\mapsto \omega \\ n &\mapsto \text{the } n\text{th prime number} \end{aligned}$$

**Theorem 1** *For all  $x \in \mathbb{N}$  there is a unique infinituple  $\vec{s} \in \omega^{[\mathbb{N}]}$  s.t.*

$$x = \prod_{i=1}^{\infty} pr(i)^{s_i}$$

The theorem follows trivially from the definition of  $\omega^{[\mathbb{N}]}$  and the fundamental theorem of arithmetic.

**Problem 1** *Prove the previous theorem via complete induction.*

The base case is trivial. Assume the statement holds for all  $n \leq k$ . The fundamental theorem of arithmetic ensures that  $k+1 = p_1 \cdot \dots \cdot p_m$  where  $p_i$  is prime. Assume the factorization above is ordered (this is,  $p_{j+1} > p_j$  for all  $j \in [1, m]$ ). Then  $k+1 = p_m \cdot q$  with  $q = p_1 \cdot \dots \cdot p_{m-1}$ .

*Subproof.* We will prove  $k+1 = p_m \cdot q \Rightarrow q \leq k$ . Assume the premise holds and the consequence does not. Since  $q > k$  we have  $q \cdot x > k+1$  for all  $x > 1$ . Then  $q \cdot x > k+1$  for all  $x$  that is prime. Then  $q \cdot p_m \neq k+1$  which is a contradiction. Then, if  $k+1 = q \cdot p_m$ , we have  $q \leq k$ . ■

Since  $q \leq k$ , via inductive hypothesis,  $q$  takes the productorial form of the theorem above. Then  $k+1 = q \cdot pr(j)$  where  $pr(j) = p_m$ . Then the theorem holds for all  $n \in \mathbb{N}$ .

**Theorem 2** *If  $p, p_1, \dots, p_m$  are prime ( $m \geq 1$ ) and  $p \mid p_1 \cdot \dots \cdot p_m$ , then  $p = p_i$  for some  $i$ .*

**Proof.** Assume  $p \mid p_1 \dots p_m$ .  $\therefore p \leq p_1 \dots p_m$ . The uniqueness of the prime factorization tells us that  $p_1 \dots p_n$  are factors of a unique integer  $x$ .

Assume  $p \nmid p_j$  for any  $j = 1, \dots, m$ .  $\therefore p$  is a prime factor of  $p_1 \dots p_m$  distinct from  $p_j$  for all  $p_j$ .  $\therefore x = p_1 \dots p_m p \neq x$ .  $\therefore \perp$

$\therefore p \mid p_i$  for some  $i = 1, \dots, m$ . ■

We use  $\langle s_1, s_2, \dots \rangle$  to denote the number  $x = \prod_{n=1}^{\infty} pr(n)^{s_n}$ . We use  $(x)_i$  to denote  $s_i$  in said tuple and  $(x)$  to denote the infinituple itself.

**Theorem 3** *The functions*

$$\begin{array}{ll} \mathbb{N} \mapsto \omega^{[\mathbb{N}]} & \omega^{[\mathbb{N}]} \mapsto \mathbb{N} \\ x \mapsto (x) = ((x)_1, (x)_2, \dots) & (s_1, s_2, \dots) \mapsto \langle s_1, s_2, \dots \rangle \end{array}$$

*are bijections each the inverse of the other.*

The theorem should be intuitive. The function that maps a number  $x$  to the infinituple of its prime exponents is the inverse of the function which takes an infinituple and maps it to the product of its prime factors with the corresponding exponents.

**Theorem 4**

$$(x)_i = \max_t (pr(i)^t \mid x)$$

**Proof.** Let  $x \in \mathbb{N}$  be s.t.  $x = p_1^{s_1} \dots p_m^{s_m}$  with  $p_j$  prime and  $m_j \neq 0$  for all  $j = 1, \dots, m$ . Evidently  $(x)_i = s_i$ .

Let  $x \in \mathbb{N}$  and

$$\mathcal{P}_i := \{t \in \omega : pr(i)^t \mid x\}$$

Since  $\mathcal{P}_i \subseteq \mathbb{N}$  is necessary finite it has a maximum  $m_i$ . The fundamental theorem of arithmetic directly gives  $x = pr(1)^{m_1} pr(2)^{m_2} \dots$ . Then by definition  $m_i = (x)_i$ . ■

We define

$$\begin{array}{l} Lt : \mathbb{N} \mapsto \omega \\ x \mapsto \begin{cases} \max_i (x)_i \neq 0 & x \neq 1 \\ 0 & x = 1 \end{cases} \end{array}$$

The function returns the index of the maximum prime factor (that is not zero-exponentiated) in the factorization of  $x$ . Since, in this factorizations, all prime factors beyond  $Lt(x)$  are zero,  $Lt(x)$  can be understood as an upper bound of the factorization. This is formalized in the following theorem.

**Theorem 5**

$$x = \prod_{i=1}^{Lt(x)} pr(i)^{(x)_i}$$

**Problem 2** *Prove the previous theorem.*

$$\begin{aligned} x &= \prod_{i=1}^{\infty} pr(i)^{(x)_i} \wedge (x) \in \omega^{[\mathbb{N}]} \therefore \exists k \in \omega : i \geq k \Rightarrow (x)_i = 0 \\ \therefore x &= \prod_{i=1}^{k-1} pr(i)^{(x)_i} \times \prod_{i=k}^{\infty} pr(i)^0 = \prod_{i=1}^{k-1} pr(i)^{(x)_i}. \text{ But } k - 1 := \\ \max_i ((x)_i \neq 0) &:= Lt(x). \blacksquare \end{aligned}$$

Prime numbers closely relate to set enumerability. The reason is that the prime decomposition of a number associates to any unique  $x \in \mathbb{N}$  an infinite number of inters  $(x)_1, (x)_2, \dots$ . Say we want to generate all possible pairs  $(x, y, z) \in \omega^3$  given a unique input  $x \in \mathbb{N}$ . Then, letting  $(x, y, z) = ((x)_1, (x)_2, (x)_3)$ , we have

$$\begin{aligned} x = 1 &\mapsto (0, 0, 0) \\ x = 2 &\mapsto (1, 0, 0) \\ x = 3 &\mapsto (0, 1, 0) \\ x = 4 &\mapsto (2, 0, 0) \\ x = 5 &\mapsto (0, 0, 1) \\ x = 6 &\mapsto (1, 1, 0) \\ x = 7 &\mapsto (0, 0, 0) \\ x = 8 &\mapsto (3, 0, 0) \\ x = 9 &\mapsto (0, 2, 0) \\ &\vdots \end{aligned}$$

It is easy to see that any  $(x, y, z) \in \omega^3$  is reached. This generalizes to  $\omega^n, n \in \omega$  and to  $\omega^n \times \Sigma^{*m}$  via the  $*^{\leq}$  function (which we shall study in the following section).

## 2.2 Orders over $\Sigma$

Let  $\Sigma$  an alphabet with  $n$  symbols. We want to find a bijection between  $\omega$  and  $\Sigma^*$  assuming some order  $\leq$  over  $\Sigma$ . Let  $s^{\leq} : \Sigma^* \mapsto \Sigma^*$  be

$$\begin{aligned}
s^{\leq}((a_n)^m) &= (a_1)^{m+1} & m \geq 0 \\
s^{\leq}(\alpha a_i(a_n)^m) &= \alpha a_{i+1}(a_1)^m & 1 \leq i < n, m \geq 0
\end{aligned}$$

This function enumerates the language ordered  $\Sigma$ . For example, consider  $\Sigma = \{ @, ! \}$  with  $@ < !$ . Then

$$\begin{aligned}
s^{\leq}(\varepsilon) &= s^{\leq}(!^0) = @ \\
s^{\leq}(@) &= s^{\leq}(\varepsilon @ (!)^0) = \varepsilon ! \varepsilon = ! \\
&\vdots
\end{aligned}$$

Repeated application of this logic outputs the following enumeration:

$$@, !, @@, @!, !@, !!, @@@, @@!, @!@, @!!, !@@, !@!, !!@, !!!, \dots$$

The reason why  $s^{\leq}(\beta)$  enumerates the language is that every  $\beta$  is either of the form  $(a_n)^m$  or  $\alpha a_i(a_n)^m$ . This is, it is either a word with only the last character to a certain exponent, or a word with some subchain before the last character to a certain exponent.

Now we are ready to define a bijection between  $\omega$  and  $\Sigma^*$ . Let

$$\begin{aligned}
*^{\leq} : \omega &\mapsto \Sigma^* \\
x &\mapsto \begin{cases} \varepsilon & x = 0 \\ s^{\leq}(*^{\leq}(i)) & x = i + 1 \end{cases}
\end{aligned}$$

For example, using the same alphabet as before, this function maps

$$\begin{aligned}
0 &\mapsto \varepsilon \\
1 &\mapsto @ \\
2 &\mapsto ! \\
3 &\mapsto @@ \\
4 &\mapsto @! \\
5 &\mapsto !@ \\
6 &\mapsto !! \\
7 &\mapsto @@@ \\
&\vdots
\end{aligned}$$



Now, observe that any  $\alpha \in \Sigma^*$  is a concatenation of unique symbols, and that each of these unique symbols is the  $i$ th element of  $\Sigma^*$  for some  $i$ . We write to express this  $\alpha = a_{i_k} \dots a_{i_0}$  where  $i_k, i_{k-1}, \dots, i_0 \in \{1, \dots, n\}$ . Then we define the inverse of the previous function as follows:

$$\begin{aligned} \#^{\leq} : \Sigma^* &\mapsto \omega \\ \varepsilon &\mapsto 0 \\ a_{i_k} \dots a_{i_0} &\mapsto i_k n^k + \dots + i_0 n^0 \end{aligned}$$

For example, consider  $\alpha = @!@ = a_1 a_2 a_1$ . Then  $\#^{\leq}(\alpha) = 1 \times 2^2 + 2 \times 2^1 + 1 \times 2^0 = 4 + 4 + 1 = 9$ . It is easy to verify that  $*^{\leq}(9) = @!@$ .

Thus, the functions given produce a perfect bijection between numbers and words. Each word can be univocally determined by its numeric position in the language; each number can be univocally determined by a word whose position in the language is that number.

**Theorem 6** *Let  $n \geq 1$ . Then any  $x \in \mathbb{N}$  is uniquely written as  $x = i_k n^k + i_{k-1} n^{k-1} + \dots + i_0 n^0$  with  $k \geq 0, 1 \leq i_j \leq n$  for all  $j$ .*

### 2.3 Extending the order to words

We can extend  $\leq$  from  $\Sigma$  onto  $\Sigma^*$  by letting  $\alpha \leq \beta$  if and only if  $\#^{\leq}(\alpha) \leq \#^{(\leq)}(\beta)$ .

### 3 Enumerable and computable sets

Let  $\mathcal{F} : \mathcal{D}_{\mathcal{F}} \subseteq \omega^k \times \Sigma^{*l} \rightarrow \omega^n \times \Sigma^{*m}$ . We define  $\mathcal{F}_{(i)} = p_i^{n,m} \circ \mathcal{F}$ . Then

$$\begin{aligned} \mathcal{F}_{(i)} : \mathcal{D}_{\mathcal{F}} \subseteq \omega^k \times \Sigma^{*l} &\mapsto \omega & 1 \leq i \leq n \\ \mathcal{F}_{(i)} : \mathcal{D}_{\mathcal{F}} \subseteq \omega^k \times \Sigma^{*l} &\mapsto \Sigma^* & n+1 \leq i \leq m \end{aligned}$$

We say a set  $S \subseteq \omega^n \times \Sigma^{*m}$  is  $\Sigma$ -effectively enumerable if it is empty or there is a function  $\mathcal{F} : \omega \rightarrow \omega^n \times \Sigma^{*m}$  s.t.  $\text{Im}_{\mathcal{F}} = S$  and  $\mathcal{F}_{(i)}$  is  $\Sigma$ -computable for all  $1 \leq i \leq n+m$ .

**Theorem 7** *A non-empty set  $S \subseteq \omega^n \times \Sigma^{*m}$  is  $\Sigma$ -effectively enumerable if and only if there is an effective procedure  $\mathcal{P}$  s.t.*

- The input space is  $\omega$
- $\mathcal{P}$  halts for all  $x \in \omega$
- The output set is  $S$ —i.e. whenever  $\mathcal{P}$  halts, it outputs an element of  $S$ , and for every  $(\vec{x}, \vec{\alpha}) \in S$  there is some input  $x \in \omega$  s.t.  $\mathcal{P}(x) \mapsto_{\text{halting}} (\vec{x}, \vec{\alpha})$ .

**Problem 3** Let  $F : \{(x, \alpha) \in \omega \times \Sigma^* : |\alpha|^x \equiv 0 \pmod{2}\} \mapsto \omega^2 \times \Sigma^*$  be defined as follows:

$$F(x, \alpha) = (x, x^2 + |\alpha|, \varepsilon)$$

Provide  $F_{(1)}, F_{(2)}, F_{(3)}$ . What function is  $[F_{(1)}, F_{(2)}, F_{(3)}]$ ?

By definition,  $F_{(i)} = p_i^{1,1} \circ F$ . Then

$$\begin{aligned} F_{(1)}(x, \alpha) &= x \\ F_{(2)}(x, \alpha) &= x + |\alpha| \\ F_{(3)}(x, \alpha) &= \varepsilon \end{aligned}$$

It is evident that the composition given results in  $F$ .

**Problem 4** Prove that  $F = [F_{(1)}, \dots, F_{(n+m)}]$ .

Let  $G := [F_{(1)}, \dots, F_{(n+m)}]$ .

$$\therefore G(\vec{x}, \vec{\alpha}) = (p_1^{n,m} \circ F(\vec{x}, \vec{\alpha}), \dots, p_{n+m} \circ F(\vec{x}, \vec{\alpha})) = F(\vec{x}, \vec{\alpha}) \blacksquare$$

**Theorem 8** Let  $S \subseteq \omega^n \times \Sigma^{*m}$ . The following statements are equivalent.

- (1)  $S$  is  $\Sigma$ -effectively enumerable.
- (2)  $S$  is the domain of a  $\Sigma$ -effectively computable function  $f$ .
- (3)  $S$  is the image of a function  $F : \mathcal{D}_f \subseteq \omega^n \times \Sigma^{*m} \mapsto S$  s.t. each  $F_{(i)}$  is  $\Sigma$ -effectively computable.

That (1)  $\Leftrightarrow$  (3) is trivial. (1)  $\Leftrightarrow$  (2) can be proven as follows.

**Proof.** ( $\Rightarrow$ ). Assume  $S$  is  $\Sigma$ -effectively enumerable. Then there is a procedure  $\mathbb{P}$  s.t. for any  $x \in \omega$  the procedure outputs a value of  $S$ , and all values of  $S$  are mapped. Consider the procedure  $\mathbb{P}'$  s.t. given an input  $(\vec{x}, \vec{\alpha}) \in S$  it *a.* computes  $\mathbb{P}$  with input  $x = 0, 1, \dots$  until  $\mathbb{P}$  maps to  $(\vec{x}, \vec{\alpha})$  and *b.* then returns  $x$ . Evidently  $\mathbb{P}'$  computes the inverse of the function computed by  $\mathbb{P}$ . Observe that  $\mathbb{P}'$  will only halt if  $(\vec{x}, \vec{\alpha}) \in S$ . Then  $S$  is the domain of a  $\Sigma$ -effectively computable function.

( $\Leftarrow$ ) Assume  $S$  is the domain of a  $\Sigma$ -effectively computable function. Let  $(\vec{w}, \vec{\beta})$  an arbitrary element of  $S$ . Consider a procedure  $\mathbb{P}$  which does the following with an input  $x \in \omega$ .

- (1) Produce the enumeration of  $\omega \times \omega^n \times \Sigma^{*m}$  given by  $x$ . This will produce a variable  $(t, \vec{x}, \vec{\alpha})$ .
- (2) Run  $t$  steps of  $\mathbb{P}_f$  with input  $(\vec{x}, \vec{\alpha})$ . If the program terminated, return  $(\vec{x}, \vec{\alpha})$ . If not, return  $(\vec{w}, \vec{\beta})$ .

Evidently,  $\mathbb{P}$  enumerates  $S$ . ■

Since any  $\Sigma$ -effectively computable set is  $\Sigma$ -effectively enumerable, point (1) of the previous theorem can be substituted by  $S$  is  $\Sigma$ -effectively enumerable—with some loss of generality.

**Theorem 9** Let  $f : \mathcal{D}_f \subseteq \omega^n \times \Sigma^{*m} \mapsto \varphi$  with  $\varphi$  either  $\omega$  or  $\Sigma^*$ . Let  $S \subseteq \mathcal{I}_f$ . Then if  $f$  is  $\Sigma$ -effectively computable and  $S$  is  $\Sigma$ -effectively enumerable,  $f^{-1}(S) = \{(\vec{x}, \vec{\alpha}) : f(\vec{x}, \vec{\alpha}) \in S\}$  is  $\Sigma$ -effectively enumerable.

**Proof.** Assume  $f$  is  $\Sigma$ -effectively computable and  $S \subseteq \mathcal{I}_f$  is  $\Sigma$ -effectively enumerable. Let  $(\vec{w}, \vec{\beta})$  an arbitrary element of  $f^{-1}(S)$ . Consider the following effective procedure operating over an input  $x \in \omega$ :

- (0) If  $x = 0$  return  $(\vec{w}, \vec{\beta})$ . Else proceed.
- (1) Enumerate an element of  $s \in S$  using input  $x$ .
- (2) Use  $(\vec{x}, \vec{\alpha}) := ((x)_1, \dots, (x)_n, *^{\leq}((x)_{n+1}), \dots, *^{\leq}((x)_m))$  to compute a value of  $f(\vec{x}, \vec{\alpha})$ .
- (3) If  $f(\vec{x}, \vec{\alpha}) = s$  return  $(\vec{x}, \vec{\alpha})$ . Else return  $(\vec{w}, \vec{\beta})$ .

Evidently, the procedure enumerates  $f^{-1}(S)$ . ■

The theorem states that the set of inputs which map to a region of a computable function's range is enumerable if that region is enumerable.

**Theorem 10** *If  $f : \mathcal{D}_f \subseteq \omega^n \times \Sigma^{*m} \mapsto \varphi$  with  $\varphi$  either  $\omega$  or  $\Sigma^*$ , then if  $S \subseteq \mathcal{D}_f$  is  $\Sigma$ -effectively enumerable,  $f|_S$  is  $\Sigma$ -effectively computable.*

### 3.1 Prime numbers and enumerable sets

Let  $\Sigma \neq \emptyset$  be an alphabet with a total order  $\leq$ . Let  $S \subseteq \omega^n \times \Sigma^{*m}$  a  $\Sigma$ -mixed set of arbitrary dimensions. Notice that for any  $n$ -tuple  $(x_1, \dots, x_n)$ , with  $x_i \in \omega$ , we can find a corresponding  $\varphi \in \mathbb{N}$  s.t.

$$\varphi = 2^{x_1} 3^{x_2} \dots pr(n)^{x_n}$$

In other words,  $(x_1, \dots, x_n)$  corresponds to the exponents of the  $n$  prime factors of a unique natural number. At the same time, the  $m$ -tuple  $(\alpha_1, \dots, \alpha_m)$  corresponds to a unique  $\psi \in \mathbb{N}$  s.t.

$$\psi = 2^{y_1} 3^{y_2} \dots pr(m)^{y_m}$$

where  $\alpha_j = *^{\leq}(y_j)$ . In other words,  $(\alpha_1, \dots, \alpha_m)$  corresponds to a unique natural number whose  $m$  prime factors have exponents given by the position of each word in the language.

Both of these relations come from the uniqueness of prime factorizations. They provide a way to enumerate  $\Sigma$ -mixed sets. In particular, if  $S$  is  $\Sigma$ -total we enumerate it mapping each  $x \in \omega$  to  $((x)_1, \dots, (x)_n, *^{\leq}((x)_{n+1}), \dots, *^{\leq}((x)_m))$ . If  $S$  is not  $\Sigma$ -total, then one can still enumerate it assuming that it is  $\Sigma$ -computable. Indeed, one maps  $x$  to the corresponding  $(n+m)$ -tuple described above if the tuple is in  $S$ , and leaves the procedure undefined (or without halt) otherwise. This can be expressed as follows:

Because  $\Sigma$ -total sets are enumerable (as pointed out above), any  $\Sigma$ -mixed set that is  $\Sigma$ -computable is enumerable (via restriction of the  $\Sigma$ -total enumeration).

**Theorem 11** *If  $S \subseteq \omega^n \times \Sigma^{*m}$  is  $\Sigma$ -effectively computable, then it is  $\Sigma$ -effectively enumerable.*

**Problem 5** *Prove the following statement: If  $S \subseteq \omega$  and  $f : S \mapsto \omega$  is  $\Sigma$ -effectively computable, then*

$$A = \{x \in S : x \text{ is even} \wedge x/2 \in S \wedge f(x) = f(x/2)\}$$

is  $\Sigma$ -effectively enumerable.

Assume  $f$  is  $\Sigma$ -effectively computable.  $\therefore S$  is  $\Sigma$ -effectively enumerable.

Let  $\mathbb{P}_S$  be the procedure that enumerates  $S$ . Let  $\mathbb{P}_f$  denote the procedure which computes  $f$ ,  $w \in S$  fixed and arbitrary, and  $\mathbb{P}$  with input  $x \in \omega$  the following procedure:

- (0) If  $x = 0$  return  $w$  and finish.
- (1) Use  $\mathbb{P}_S$  twice, with inputs  $(x)_1, (x)_2$  respectively, to produce elements  $s_1, s_2 \in S$ .
- (2) Check if  $s_1$  is even (this is trivially effectively computable). If it isn't, return  $w$  and finish.
- (3) Check if  $s_2 = s_1/2$ . If it isn't, return  $w$  and finish.
- (4) Use  $\mathbb{P}_f$  to compute  $f(s_1), f(s_2)$  and compare these values. If they are not equal, return  $w$  and finish.
- (5) Return  $s_1$ .

Evidently,  $\mathbb{P}$  enumerates  $A$ .

## 4 Turing

From now on, we will attempt formalizations of three so far informal concepts:

- $\Sigma$ -effectively computable functions
- $\Sigma$ -effectively computable sets
- $\Sigma$ -effectively enumerable sets

The first formalization is given by Turing.

### 4.1 Turing machine

A Turing machine is a 7-uple  $M = (Q, \Sigma, \Gamma, \delta, q_0, B, F)$  where

- $Q$  is a set of states
- $\Gamma \supset \Sigma$  is an alphabet
- $\Sigma$  is the input alphabet
- $B \in \Gamma - \Sigma$  is a blank symbol
- $\delta : \mathcal{D}_\delta \subseteq Q \times \Gamma \mapsto Q \times \Gamma \times \{L, R, K\}$
- $q_0 \in Q$  is the initial state
- $F \subseteq Q$  is the set of final states

**Problem 6** *If  $M$  a Turing machine then  $\delta$  is a  $\Sigma$ -mixed function.*

A function is said to be a  $\Sigma$ -mixed function if  $\mathcal{D}_f \subseteq \omega^n \times \Sigma^{*m}$  for some  $n, m \geq 0$  and  $I_f \subseteq \omega$  or  $I_f \subseteq \Sigma^*$ . The  $\delta$  function satisfies neither of these properties; its domain is a set of states  $Q \times \Gamma \not\subseteq \omega^n \times \Sigma^{*m}$  and its image is a set of sets.

**Problem 7** *If  $M$  a Turing machine,  $\mathcal{D}_\delta$  is a  $\Sigma$ -mixed set.*

A set  $S$  is said to be  $\Sigma$ -mixed iff  $S \subseteq \omega^n \times \Sigma^{*m}$  for some  $n, m \geq 0$ . We have already mentioned that  $\mathcal{D}_\delta = Q \times \Gamma \not\subseteq \omega^n \times \Sigma^{*m}$  for any  $n, m$ . Then  $\mathcal{D}_\delta$  is not  $\Sigma$ -mixed.

**Problem 8** *If  $M$  a Turing machine, then  $I_\delta$  is  $\Sigma$ -mixed.*

False again.

## 4.2 Deterministic Turing machine

A Turing machine is said to be deterministic iff  $|\delta(p, \sigma)| \leq 1$  for all  $p \in Q, \sigma \in \Gamma$ .

## 4.3 Instantaneous descriptions

An instantaneous description is a word of the form  $\alpha q \beta$  where  $\alpha, \beta \in \Gamma^*, [\beta]_{|\beta|} \neq B$  and  $q \in Q$ . If the instantaneous description is  $\alpha_1 \alpha_2 \dots \alpha_n q \beta_1 \beta_2 \dots \beta_m B B B \dots$ , we read: *The Turing machine is in state  $q$  and it is reading  $\beta_1$* . We use  $\mathbb{D}$  to denote the set of instantaneous descriptions. We define

$$\begin{aligned} St : \mathbb{D} &\mapsto Q \\ d &\mapsto \text{Only symbol of } Q \text{ that is in } d \end{aligned}$$

**Problem 9** Let  $d \in \mathbb{D}$  an instantaneous description. Then  $Ti(d)$  is a triple.

False:  $d$  is not a triple but a single element of  $(\Gamma \cup Q)^*$ .

**Problem 10** If  $d \in \mathbb{D}$  then  $St(d) = d \cap Q$

False. The operation  $d \cap Q$  makes no sense, insofar as  $d$  is a symbol. It would be correct to say  $St(d) = \{d_1, d_2, \dots, d_m\} \cap Q$  where  $d_i$  are the characters in the word  $d$ .

## 4.4 State transitions

Given  $\alpha \in (\Gamma \cup Q)^*$  we define

$$\begin{aligned} [\varepsilon] &= \varepsilon \\ [\alpha\sigma] &= \alpha\sigma \text{ if } \sigma \neq B \\ [\alpha B] &= [\alpha] \end{aligned}$$

Thus,  $[\alpha]$  removes the trailing blank symbols of  $\alpha$  (if any).

Given  $d_1, d_2 \in \mathbb{D}$  with  $d_1 = \alpha p \beta$ , we say  $d_1 \vdash d_2$  if, given  $\alpha \in \Gamma, \alpha, \beta \in \Gamma^*, p, q \in Q$ , one of the following three cases hold.

(Case 1)  $\alpha \neq \varepsilon$ , and

$$\delta(p, [\beta B]_1) \ni (q, \sigma, L)$$

and

$$d_2 = [\alpha \frown q [\alpha]_{|\alpha|} \sigma \frown \beta]$$

*Interpretation.* The Turing machine at state  $p$  will write  $\sigma$  at its current position, transition to state  $q$ , and move to the left.

*Example.* Let  $\Sigma = \{ @, \# \}$ . Assuming  $\delta(p) = \{(q, \#, L)\}$ , then the following is an example of *Case 1*.

$$@ \# @ p @ @ @ B B B \vdash @ \# q @ \# @ @ B B \dots$$

(*Case 2*)

$$\delta(p, [\beta B]_1) \ni (q, \sigma, R)$$

and

$$d_2 = \alpha \sigma q \frown \beta$$

*Interpretation.* The Turing machine at state  $p$  will write  $\sigma$  at its current position, transition to state  $q$ , and move to the left.

*Example.* Let  $\Sigma = \{ @, \# \}$ . Assuming  $\delta(p) = \{(q, \#, R)\}$ , then the following is an example of *Case 2*.

$$@ \# @ p @ @ @ B B B \vdash @ \# @ \# q @ @ B B \dots$$

(*Case 3*)

$$\delta(p, [\beta B]_1) \ni (q, \sigma, K)$$

and

$$d_2 = \lfloor \alpha q \sigma \frown \beta \rfloor$$

*Interpretation.* The Turing machine at state  $p$  will write  $\sigma$  at its current position, transition to state  $q$ , and stay at the same position.

*Example.* Let  $\Sigma = \{ @, \# \}$ . Assuming  $\delta(p) = \{(q, \#, K)\}$ , then the following is an example of *Case 2*.

$$@ \# @ p @ @ @ B B B \vdash @ \# @ q \# @ @ B B \dots$$



We say  $d \vdash^n d'$  if there are  $d_1, \dots, d_{n+1}$  s.t.  $d = d_1, d' = d_{n+1}$ , and  $d_i \vdash d_{i+1}$  for all  $i = 1, \dots, n$ . Observe that  $d \vdash^0 d'$  if  $d = d'$ . Finally, we denote  $d \vdash^* d'$  iff  $(\exists n \in \omega) d \vdash^n d'$ .

**Problem 11** Determine true or false for the following propositions.

(1)  $d \vdash d$  for all  $d \in \mathbb{D}$ . The proposition is false. It is trivial to find a counterexample.

(2) If  $\alpha p \beta \not\vdash d$  for every  $d \in \mathbb{D}$ , then  $\delta(p, [\beta B]_1) = \emptyset$ . Assume  $\alpha p \beta \not\vdash d$  for every  $d \in \mathbb{D}$ . Assume  $\delta(p, [\beta B]_1) \neq \emptyset$ . Then there must be some  $\{(q, \sigma, D)\}$  with  $D \in \{L, R, K\}$  that corresponds to this evaluation of  $\delta$ . But then there would exist some  $d$ , given by the case division above and depending on the value of  $D$ , s.t.  $\alpha p \beta \vdash d$ . But this is a contradiction. The statement is true.

(3) If  $(p, \alpha, L) \in \delta(p, a)$  then  $pa \not\vdash d$  for all  $d \in \mathbb{D}$ . This is correct. Remember that for a transition to the left to be defined we require that a substring  $\alpha \neq \varepsilon$  precede the Machine's head. (See *Case 1*, requirement  $\alpha \neq \varepsilon$ .) But here  $d_1 = pa$  has an initial segment  $\varepsilon$  preceding  $p$ . Then  $pa \not\vdash d$  for any  $d$ . The statement is true.

(4) Given  $d_1, d_2 \in \mathbb{D}$ , if  $d_1 \vdash d_2$  then  $|d_1| \leq |d_2| + 1$ . It makes no sense to say  $|d_1| \leq |d_2| + 1$  insofar as an instantaneous description contains infinitely many symbols  $B$  at the end. So the statement, as it is phrased, is false. However, consider the alternative postulate:  $d_1 \vdash d_2 \Rightarrow |\lfloor d_1 \rfloor| \leq |\lfloor d_2 \rfloor| + 1$ . Two instantaneous description over the same machine always have the same number of symbols. So  $|\lfloor d_1 \rfloor| = |\lfloor d_2 \rfloor|$ , which makes the statement trivially true.

**Problem 12** Prove that  $M$  is deterministic iff for each  $d \in \mathbb{D}$  there is at most one  $d' \in \mathbb{D}$  s.t.  $d \vdash d'$ .

( $\Rightarrow$ ) Assume  $M$  is deterministic. Then for any  $d \in \mathbb{D}$  of the form  $\alpha q \beta B B \dots$ , we have either  $\delta(q) = \{(q', \sigma, D)\}$  or  $\delta(q) = \emptyset$ . If  $\delta(q) = \emptyset$ , then (by definition of  $\vdash$ ) there is no instantaneous description  $d'$  s.t.  $d \vdash d'$ . If  $\delta(q) = \{(q', \sigma, D)\}$ , then two cases are possible. (1)  $d$  holds the assumptions sustaining the case definition of  $\vdash$ , in which case the transition is uniquely determined by  $(q', \sigma, D)$ . (2)  $d$  does not hold the assumptions sustaining the case definition of  $\vdash$  (e.g.  $D = L, \alpha = \varepsilon$ ), in which case there is by definition no  $d'$  s.t.  $d \vdash d'$ .

( $\Leftarrow$ ) This does not hold!! Assume that, for all  $d \in \mathbb{D}$ , there is at most one  $d'$  s.t.  $d \vdash d'$ . Consider the case where there is no  $d'$  s.t.  $d \vdash d'$ . In the case where  $\alpha = \varepsilon$  and

$$\delta(q) = \{(q', \sigma, L), (q'', \sigma', L)\}$$

the machine is non-deterministic without violating the assumption. In other words, that  $d \vdash d'$  for at most one  $d'$  does not imply that the machine is deterministic.

## 4.5 Halting and languages

Given  $d \in \mathbb{D}$ , we say  $M$  halts starting from  $d$  if there is some  $d' \in \mathbb{D}$  s.t.

$$\begin{aligned} d &\vdash^* d' \\ d' &\not\vdash d'' \text{ for all } d'' \in \mathbb{D} \end{aligned}$$

We say a word  $w \in \Sigma^*$  is accepted by a Turing machine  $M$  by *reach of final state* if

$$\exists d \in \mathbb{D} : [q_0 B w] \vdash^* d \wedge St(d) \in F$$

Observe that we do not require that  $d \in St(d)$  satisfies  $d \not\vdash d'$  for all  $d' \in \mathbb{D}$ . In other words, a machine may accept a word by reach of final state and not halt.

The language accepted by a Turing machine is

$$\mathcal{L}(M) = \{w \in \Sigma^* : w \text{ is accepted by reach of final state} \}$$

**Problem 13** Let  $\Sigma = \{a, b\}$ . Find a Turing machine  $M$  that accepts the language  $\{w \in \Sigma^* : |\omega|_a = 2|\omega|_b\}$

As examples, here are some words accepted by the language:  $\varepsilon, aab, baa, ababaa, \dots$ . Our machine will operate in the following manner.

(1) It will parse the string looking for a  $b$ ; if a  $b$  is found, it replaces it with  $y$ , sets the head of the machine to the beginning, and proceeds to parse for two  $a$ s and replace them with  $xs$ . This gives

$$\begin{aligned} \delta(q_0, \sigma) &= \begin{cases} \{(q_1, y, L)\} & \sigma = b \\ \{(q_0, \sigma, R)\} & \sigma = a \\ \{(q_9, \sigma, L)\} & \sigma = B \end{cases} \\ \delta(q_1, \sigma) &= \begin{cases} \{(q_1, \sigma, L)\} & \sigma \neq \varepsilon \\ \{(q_2, \varepsilon, R)\} & \sigma = \varepsilon \end{cases} \end{aligned}$$

where  $q_1$  resets the machine's head to the initial position. Now

$$\delta(q_2, \sigma) = \begin{cases} \{(q_3, x, R)\} & \sigma = a \\ \{(q_2, \sigma, R)\} & \sigma \neq a \end{cases}$$

$$\delta(q_3, \sigma) = \begin{cases} \{(q_4, x, R)\} & \sigma = a \\ \{(q_3, \sigma, R)\} & \sigma \neq a \end{cases}$$

The state  $q_4$  occurs after two  $a$ s have been found to correspond to a single  $b$ . Thus, we must return to  $q_0$  with the machine head reset to its initial position.

$$\delta(q_4, \sigma) = \begin{cases} \{(q_4, \sigma, L)\} & \sigma \neq \varepsilon \\ \{(q_0, \sigma, R)\} & \sigma = \varepsilon \end{cases}$$

The only undefined state is  $q_9$ . This is the state reached after, in state  $q_0$ , the string is parsed but no  $b$  is found. If there is some  $a$  left in the string, then the word must not be accepted; but if no  $a$  exists, the word must be accepted. Thus,  $q_9$  can parse from right to left looking for  $a$ s; if  $\varepsilon$  is reached without  $a$ s on the way, it should map to a final state. If some  $a$  is found, it should never halt.

$$\delta(q_9, \sigma) = \begin{cases} [(q_9, \sigma, L)] & \sigma \notin \{a, \varepsilon\} \\ [(q_9, \sigma, K)] & \sigma = a \\ [(q_{\mathcal{F}}, \sigma, K)] & \sigma = \varepsilon \end{cases}$$

where  $q_{\mathcal{F}} \in F$  is the only final state. Of course,  $\Gamma = \{a, b, x, y, B\}$ ,  $Q = \{q_0, q_1, q_2, q_3, q_4, q_9, q_{\mathcal{F}}\}$ .

We say a word  $w \in \Sigma^*$  is accepted by detention (or by a halt) if  $M$  halts when starting from  $[q_0 B]$ . We denote

$$\mathcal{H}(M) = \{w \in \Sigma^* : w \text{ is accepted by detention}\}$$

**Theorem 12** *Let  $L \subseteq \Sigma^*$ . Then the following statements are equivalent:*

- (1) *There is a Turing machine  $M$  s.t.  $L = L(M)$ .*
- (2) *There is a Turing machine  $M$  s.t.  $L = \mathcal{H}(M)$ .*

## 4.6 $\Sigma$ -Turing computable functions

To represent numbers on the Turing tape, we use the  $i$  letter. Thus, we extend the definition of a Turing machine ensuring that  $i \in \Gamma - (\{B\} \cup \Sigma)$  is a symbol.

Let  $f : \mathcal{D}_f \subseteq \omega^n \times \Sigma^{*m} \mapsto \Sigma^*$  a  $\Sigma$ -mixed function. We say  $f$  is  $\Sigma$ -Turing computable if there is a deterministic Turing machine  $M$  s.t.

- If  $(\vec{x}, \vec{\alpha}) \in \mathcal{D}_f$ , then there is a state  $p \in Q$  s.t.

$$\lfloor q_0 B i^{x_1} B \dots i^{x_n} B \alpha_1 B \dots B \alpha_m \rfloor \vdash^* \lfloor p B f(\vec{x}, \vec{\alpha}) \rfloor$$

and  $\lfloor p B f(\vec{x}, \vec{\alpha}) \rfloor \not\vdash d$  for all  $d \in \mathbb{D}$ .

- If  $(\vec{x}, \vec{\alpha}) \in \omega^n \times \Sigma^{*m} - \mathcal{D}_f$ , then the machine does not halt starting from

$$\lfloor q_0 B i^{x_1} B \dots i^{x_n} B \alpha_1 B \dots B \alpha_m \rfloor$$

When  $f \mapsto \omega$ , we analogously say a Turing machine computes  $f$  iff

- If  $(\vec{x}, \vec{\alpha}) \in \mathcal{D}_f$ , then there is a state  $p \in Q$  s.t.

$$\lfloor q_0 B i^{x_1} B \dots i^{x_n} B \alpha_1 B \dots B \alpha_m \rfloor \vdash^* \lfloor p B i^{f(\vec{x}, \vec{\alpha})} \rfloor$$

and  $\lfloor p B i^{f(\vec{x}, \vec{\alpha})} \rfloor \not\vdash d$  for all  $d \in \mathbb{D}$ .

- If  $(\vec{x}, \vec{\alpha}) \in \omega^n \times \Sigma^{*m} - \mathcal{D}_f$ , then the machine does not halt starting from

$$\lfloor q_0 B i^{x_1} B \dots i^{x_n} B \alpha_1 B \dots B \alpha_m \rfloor$$

If  $M$  and  $f$  satisfy the properties above, we say  $f$  is computed by  $M$ .

**Theorem 13** *If  $f : \mathcal{D}_f \subseteq \omega^n \times \Sigma^{*m} \mapsto I \in \{\omega, \Sigma^*\}$  is computed by a Turing machine  $M$ , then  $f$  is  $\Sigma$ -effectively computable.*

**Problem 14** *Determine if the following statements are true or false.*

(1) *If  $M$  a deterministic turing machine and  $M$  computes  $f$ , then  $\mathcal{L}(M) = \mathcal{D}_f$ .*

Since  $M$  computes  $f$ ,  $M$  halts for any  $(\vec{x}, \vec{\alpha}) \in \mathcal{D}_f$  and does not halt for any  $(\vec{x}, \vec{\alpha}) \notin \mathcal{D}_f$ . Then, by definition,  $\mathcal{H}(M) = \mathcal{D}_f$ . This does not imply  $\mathcal{L}(M) = \mathcal{D}_f$ . However, it does imply that there necessarily exists a Turing machine  $M'$  s.t.  $\mathcal{L}(M') = \mathcal{D}_f$ .

(2) If  $f, g$  are two functions and  $M$  is a Turing machine that computes  $f$  and  $g$ , then  $f = g$ . Assume the premise holds. Then  $\mathcal{H}(M) = \mathcal{D}_f = \mathcal{D}_g := \mathcal{D}$ . Furthermore, for any  $(\vec{x}, \vec{\alpha}) \in \mathcal{D}$ , the Turing machine halts with an instantaneous description representing  $f(\vec{x}, \vec{\alpha})$ . But since it computes  $g$ , the instantaneous description also represents  $g(\vec{x}, \vec{\alpha})$ . This implies  $f(\vec{x}, \vec{\alpha}) = g(\vec{x}, \vec{\alpha})$ . Then  $f = g$ . The statement is true.

(3) Let  $M$  a Turing machine and assume  $M$  computes  $f$  with  $f$  a  $\Sigma$ -total function. Then  $M$  halts from  $d$  for whichever  $d \in \mathbb{D}$ . It is true that, since  $f$  is  $\Sigma$ -total, for any  $(\vec{x}, \vec{\alpha}) \in \omega^n \times \Sigma^{*m}$ ,  $f(\vec{x}, \vec{\alpha})$  is defined. This does imply that every  $d$  of the form  $\lfloor q_0 i^{x_1} \dots i^{x_n} \alpha_1 \dots \alpha_m \rfloor$  is accepted (I skipped the possibly infinite  $B$  symbols in the description). However, there is no restriction in  $\mathbb{D}$  as to the number of unit or alphabetic symbols which an arbitrary  $d \in \mathbb{D}$  may contain. Thus,

$$\mathbb{D}' \subseteq \mathbb{D} = \{d \in \mathbb{D} : \lfloor d \rfloor = \lfloor q_0 i^{x_1} \dots i^{x_k} \alpha_1 \dots \alpha_l \rfloor : k \neq n \vee l \notin m\}$$

satisfies  $D' \not\subseteq \mathcal{H}(M)$ .

## 5 Godel

**Definition 1** A set  $S_1 \times \dots \times S_n \times L_1 \times \dots \times L_m$  is rectangular if  $S_i \subseteq \omega, L_i \subseteq \Sigma^*$  for all  $i$ .

**Lemma 1**  $S$  is rectangular if and only if  $(\vec{x}, \vec{\alpha}) \in S \wedge (\vec{y}, \vec{\beta}) \in S$  implies  $(\vec{x}, \vec{\beta}) \in S$ .

*Example.* The set  $\{(0, \#\#), (1, \% \% \%)\}$  is not rectangular ( $(1, \#\#), (0, \% \% \%)$  are not in  $S$ .) Observe how this set cannot be expressed as a product of subsets of  $\omega$  and  $\Sigma$ . Thus, the concept of *rectangular set* is equivalent to a set formed via *Cartesian product*.

*Notation.* If  $f : \omega_1 \times \dots \times \omega_n \times \alpha_1 \times \alpha_m \rightarrow \Lambda$  we write  $f \sim (n, m, \Lambda)$ , and read  $f$  is of type  $n, m$  to  $\Lambda$ .

*Notation.* If  $f_1, \dots, f_n$   $\Sigma$ -mixed functions, then

$$[f_1, \dots, f_n](\vec{x}, \vec{\alpha}) = (f_1(\vec{x}, \vec{\alpha}), \dots, f_n(\vec{x}, \vec{\alpha}))$$

### 5.1 Primitive recursion

Let  $R$  a  $\Sigma$ -mixed function s.t.  $R \sim (n, m, \varphi)$ , where  $\varphi = \omega$  or  $\varphi = \Sigma^*$ . Primitive recursion consists in recursively defining  $R$  with two primitive functions  $f$ , which gives the base case, and  $g$ , which gives the recursive step. The recursion is done over  $\omega$ , associating  $R(t, \vec{x}, \vec{\alpha})$  with  $R(t-1, \vec{x}, \vec{\alpha})$ , or over  $\Sigma^*$ , associating  $R(\vec{x}, \vec{\alpha}, \alpha a)$  with  $R(\vec{x}, \vec{\alpha}, \alpha)$ . Since the computation of  $R$  may depend on the element which the recursion dismisses ( $t$  in the numeric case,  $a$  in the alphabetic case),  $g$  incorporates this value into its arguments. Thus, we have that:

- If  $\varphi = \omega$ 
  - If the recursion is over  $\omega$ ,  $f \sim (n-1, m, \omega), g \sim (n+2, m, \omega)$
  - If the recursion is over  $\Sigma^*$ ,  $f \sim (n, m-1, \omega), g \sim (n+1, m+1, \omega)$ .
- If  $\varphi = \Sigma^*$ 
  - If the recursion is over  $\omega$ ,  $f \sim (n-1, m, \Sigma^*), g \sim (n+1, m+1, \Sigma^*)$
  - If the recursion is over  $\Sigma^*$ ,  $f \sim (n, m-1, \Sigma^*), g \sim (n, m+2, \Sigma^*)$ .

We say  $f, g$  construct  $R$  via primitive recursion and we denote  $R$  as  $R(f, g)$ .

## 5.2 Numeric to numeric primitive recursion

Let  $R \sim (n, m, \#)$ . Then functions  $f \sim (n-1, m, \#)$ ,  $g \sim (n+1, m, \#)$  recursively define  $R$  if and only if

$$\begin{cases} R(0, \vec{x}, \vec{\alpha}) &= f(\vec{x}, \vec{\alpha}) \\ R(t+1, \vec{x}, \vec{\alpha}) &= g\left(R(t, \vec{x}, \vec{\alpha}), t, \vec{x}, \vec{\alpha}\right) \end{cases}$$

We use the notation  $R(f, g)$  to say  $R$  is defined by primitive recursion by  $f$  and  $g$ .

**Problem 15** Find functions that recursively define  $R = \lambda t [2^t]$

Since  $R \sim (1, 0, \#)$  we know  $f \sim (0, 0, \#)$  is a constant function and  $g \sim (2, 0, \#)$ . Since  $R(0) = 1$  we know  $f = C_1^{0,0}$ . Observe that  $R(t+1) = R(t) \times 2$ . Thus we may let  $g = \lambda x [2 \cdot x] \circ p_1^{2,0}$ .

*Example.*  $R(2) = \lambda x [2x] \circ p_1^{2,0} (R(1), 2) = 2 \times R(1) = 2 \times (2 \times R(0)) = 2 \times 2 \times 1 = 4$ .

**Problem 16** Define  $R(t) = \lambda t x_1 [x_1^t]$  recursively.

Since  $R \sim (2, 0, \#)$  we know  $f \sim (1, 0, \#)$  and  $g \sim (3, 0, \#)$ . Now,  $R(0, x_1) = 1 \implies f = C_1^{1,0}$ . Since  $R(t+1, x_1) = R(t, x_1) \cdot x_1$  we observe that  $g = \lambda xy [xy] \circ [p_1^{3,0}, p_3^{3,0}]$ . Since each  $p_k^{3,0} \sim (3, 0, \#)$  we have that  $g$  is of the desired type.

**Problem 17** Is it true that  $R(\lambda xy [0], p_2^{4,0}) = p_1^{3,0}$ ?

$R \sim (2, 0, \#)$ ;  $f \sim (2, 0, \#)$ . So  $f$  cannot be a primitive constructor of  $R$ .

**Problem 18** Determine true or false: If  $f : \omega^2 \rightarrow \omega$  and  $g : \omega^4 \rightarrow \omega$ , then for each  $(x, y) \in \omega^2$  we have

$$R(f, g)(2, x, y) = g \circ \left( g \circ \left[ f \circ [p_2^{3,0}, p_2^{3,0}], p_1^{3,0}, p_2^{3,0}, p_3^{3,0} \right] \right) (0, x, y).$$

Passing the arguments into the functions this results in

$$\begin{aligned} R(f, g)(2, x, y) &= g \circ (g \circ [f(x, x), 0, x, y]) \\ &= g \circ (g(f(x, x), 0, x, y)) \end{aligned}$$

But the expression makes no sense, since  $\zeta = g(f(x, x), 0, x, y) \in \omega$  is not a function and hence  $g \circ \zeta$  is undefined.

### 5.3 Numeric to alphabet primitive recursion

Let  $R \sim (n, m, \Sigma)$ . Then functions  $f \sim (n-1, m, \Sigma)$ ,  $g \sim (n, m+1, \Sigma)$  recursively define  $R$  if and only if

$$\begin{aligned} R(0, \vec{x}, \vec{\alpha}) &= f(\vec{x}, \vec{\alpha}) \\ R(t+1, \vec{x}, \vec{\alpha}) &= g\left(t, \vec{x}, \vec{\alpha}, R(t, \vec{x}, \vec{\alpha})\right) \end{aligned}$$

**Problem 19** Let  $\Sigma = \{\%, @, ?\}$ . Define  $R = \lambda t x_1 [\% @ \% \% \% \% ?^t]$  via primitive recursion.

Let  $f = C_{\% @ \% \% \% \%}^{1,0}$  and  $g = d_? \circ [p_3^{2,1}]$ . For example,  $R(3, x_1) = d_? \circ [R(2, x_1)] = d_? \circ [d_? \circ R(1, x_1)] = d_? \circ [d_? \circ [d_? \circ [C_{\% @ \% \% \% \%}^{1,0}]]] = \% @ \% \% \% \% ? ? ?$ .

**Problem 20** True or false: If  $f, g$  are  $\Sigma$ -mixed s.t.  $R(f, g) \sim (1+n, m, *)$ , then  $f \sim (n, m, *)$  and  $g \sim (n, m+1, *)$ .

False. The  $g$  function must have the same number of numeric arguments than  $R$ .

### 5.4 Alphabet to numeric primitive recursion

If  $\Sigma$  an alphabet, then a  $\Sigma$ -indexed family of functions is a function  $\mathcal{G}$  s.t.  $D_{\mathcal{G}} = \Sigma$  and for each  $a \in D_{\mathcal{G}}$  there is a function  $\mathcal{G}(a)$ . We write  $\mathcal{G}_a$  instead of  $\mathcal{G}(a)$ .

If  $R \sim (n, m, \omega)$  then  $R$  can be recursively defined by  $f \sim (n, m-1, \omega)$  an indexed family  $\mathcal{G}$  s.t.  $\mathcal{G}_a \sim (n+1, m, \omega)$  as follows:

$$\begin{cases} R(F, \mathcal{G})(\vec{x}, \vec{\alpha}, \varepsilon) = f(\vec{x}, \vec{\alpha}) \\ R(f, \mathcal{G})(\vec{x}, \vec{\alpha}, \alpha a) = \mathcal{G}_a\left(R(\vec{x}, \vec{\alpha}, \alpha), \vec{x}, \vec{\alpha}, \alpha\right) \end{cases}$$

**Problem 21** Let  $\Sigma = \{\%, @, ?\}$ . Find  $f, \mathcal{G}$  s.t.  $R = \lambda \alpha_1 \alpha [|\alpha_1| + |\alpha| @]$ .

$R \sim (0, 2, \#)$ . Since  $R(\alpha_1, \varepsilon) = |\alpha_1|$  we let  $f := \lambda \alpha = |\alpha|$ . Now,  $g \sim (1, 2, \#)$  is given by  $g := \mathcal{G}$  where

$$\begin{aligned} \mathcal{G} : \Sigma &\rightarrow \{Suc \circ p_1^{1,2}, p_1^{1,2}\} \\ \% &= p_2^{1,2} \\ ? &= p_2^{1,2} \\ @ &= Suc \circ p_2^{1,2} \end{aligned}$$



For example,  $R(??, @?@) = \mathcal{G}_@ (R(@?@), ??, @) = 1 + R(??, @?@)$ .  
This boils down to  $1 + R(??, @) = 1 + 1 + R(??, \varepsilon) = 2 + |??| = 2$ , the desired output.

## 5.5 Alphabet to alphabet primitive recursion

If  $R \sim (n, m, *)$  then  $f \sim (n, m - 1, *)$  and  $\mathcal{G}$  a  $\Sigma$ -indexed family, with  $\mathcal{G}_a \sim (n, m + 1, *)$  for all  $a \in \Sigma$ , define  $R$  via primitive recursion if

$$\begin{cases} R(\vec{x}_n, \vec{\alpha}_{m-1}, \varepsilon) &= f(\vec{x}, \vec{\alpha}) \\ R(\vec{x}_n, \vec{\alpha}_{m-1}, \alpha a) &= \mathcal{G}_a(\vec{x}, \vec{\alpha}, \alpha, R(\vec{x}, \vec{\alpha}, \alpha)) \end{cases}$$

**Problem 22** Let  $\Sigma = \{ @, ? \}$ . Define  $R = \lambda \alpha_1 \alpha [\alpha_1 \alpha]$  recursively.

Observe that  $R \sim (0, 2, *)$ .  $R(\alpha_1, \varepsilon) = \alpha_1 \implies f := \lambda \alpha [\alpha]$ . Now, we let  $\mathcal{G}_a = d_a \circ p_3^{0,3}$  for all  $a \in \Sigma$ , and the recursion is complete.

*Example.* The evaluation for arbitrary inputs looks as follows:

$$\begin{aligned} R(?@?, @?) &= d_? (R(?@?, @)) \\ &= d_? (d_@ (R(?@?, \varepsilon))) \\ &= d_? (d_@ (?@?)) \\ &= d_? (?@?@) \\ &=?@?@? \end{aligned}$$

## 5.6 The point of primitive recursion

**Theorem 14** If  $f, g$  are  $\Sigma$ -computable then  $R(f, g)$  is too.

## 5.7 The primitive recursive set

Let  $\Sigma$  a language. We define  $PR_0^\Sigma = \{ Suc, Pred, C_0^{0,0}, C_\varepsilon^{0,0} \} \cup \{ d_a \} \cup \{ p_j^{n,m} \}$ .

Observe that every  $\mathcal{F} \in PR_0^\Sigma$  is  $\Sigma$ -computable. Then we define

$$PR_{k+1}^\Sigma = PR_k^\Sigma \cup \{ f \circ [f_1 \dots f_r] : f \text{ and } f_i \in PR_k^\Sigma \} \cup \{ R(f, g) : f, g \in PR_k^\Sigma \}$$

In other words,  $PR_k^\Sigma$  is the set of all functions that are either compositions of functions in  $PR_{k-1}^\Sigma$  or functions built via primitive recursion by functions in  $PR_{k-1}^\Sigma$ . The total primitive recursive set  $PR^\Sigma$  is defined as  $PR^\Sigma = \bigcup_{k \geq 0} PR_k^\Sigma$ .

*Note.* Observe that when we include  $R(f, g) : f, g \in PR_k^\Sigma$ , we also include the case where  $g = \mathcal{G}$  an indexed family of functions.

I provide a list of functions that are in  $PR^\Sigma$  for any  $\Sigma$ .

- Addition, multiplication and factorial
- String concatenation and string length
- All constant functions  $C_k^{n,m}$  for any  $k, n, m \in \omega$ .
- Two-variable exponentiation:  $\lambda xy [x^y]$ .
- Two-variable string exponentiation:  $\lambda x \alpha [\alpha^x]$ .

With  $x - y := \max(x - y, 0)$  the list may continue:

- The maximum of two numeric variables
- The predicates  $x = y, x \leq y, \alpha = \beta$ .
- The predicate  $x$  is even.
- The predicate  $x = |\alpha|$ .
- The predicate  $\alpha^x = \beta$ .

## 5.8 Predicates

The  $\vee, \wedge$  operators are defined only for predicates of the same type. In other words,  $P \circ Q$ , where  $\circ \in \{\wedge, \vee\}$ , is defined only if  $P \sim (n, m, \#) \wedge Q \sim (n, m, \#)$ . If  $P, Q$  are  $\Sigma$ -p.r. then  $P \circ Q$  and  $\neg P$  also are. Furthermore,  $P, Q$  must have the same domains.

## 5.9 Primitive recursive sets

A  $\Sigma$ -mixed  $S \sim (n, m)$  set is primitive recursive if and only if its characteristic function  $\chi_S^{\omega^n \times \Sigma^{m*}}$  is p.r. Recall that  $\chi_S^{n,m} = \lambda \vec{x} \vec{\alpha} [(\vec{x}, \vec{\alpha}) \in S]$ .

If  $S_1, S_2$  are  $\Sigma$ -p.r. then their union, intersection and difference are. The proof follows from the fact that

$$\begin{aligned}\chi_{S_1 \cup S_2} &= (\chi_{S_1} \vee \chi_{S_2}) \\ \chi_{S_1 \cap S_2} &= (\chi_{S_1} \wedge \chi_{S_2}) \\ \chi_{S_1 - S_2} &= \lambda xy [x - y] \circ [\chi_{S_1}, \chi_{S_2}]\end{aligned}$$

The only property here that may not be immediately intuitive is the last one. But observe that  $S_1 - S_2 = \{s \in S_1 : s \notin S_2\}$ . Now, let  $\chi_{S_1}(\vec{x}, \vec{a}) = a$ ,  $\chi_{S_2}(\vec{x}, \vec{a}) = b$ . Evidently, if the  $n + m$ -tuple is in  $S_1$  but not in  $S_2$ ,  $a - b = 1$ . If the tuple is in both sets,  $a - b = 0$ . Etc.

**Theorem 15** *A rectangular set  $S_1 \times \dots \times S_n \times L_1 \times \dots \times L_m$  is  $\Sigma$ -p.r. if and only if each  $S_1, \dots, S_n, L_1, \dots, L_m$  is  $\Sigma$ -p.r.*

This theorem is important, insofar as it allows us to evaluate whether a Cartesian product is  $\Sigma$ -p.r. only by looking at its set factors. This theorem should follow from the properties of primitive recursive sets mentioned before.

**Theorem 16** *If  $f \sim (n, m, \Omega)$  is  $\Sigma$ -p.r. (not necessarily  $\Sigma$ -total) and  $S$  is a  $\Sigma$ -p.r. set, then  $f|_S$  is  $\Sigma$ -p.r.*

The previous theorem is useful in proving a function is  $\Sigma$ -p.r. For example, let  $P = \lambda x \alpha \beta \gamma [x = |\gamma| \wedge \alpha = \gamma^{Pred(|\beta|)}]$ . We cannot use the fact that both predicate functions are  $\Sigma$ -p.r. to conclude that  $P$  is  $\Sigma$ -p.r., because  $P_1 = \lambda x \alpha [x = |\alpha|]$  and  $P_2 = \lambda x \alpha \beta \gamma [\alpha = \gamma^{Pred(|\beta|)}]$  do not have the same domains. Simply observe that  $\beta$  cannot take the value  $\varepsilon$  in  $P_2$ , but it can take in  $P_1$ .

However, observe that  $\mathcal{D}_P = \omega \times \Sigma^* \times (\Sigma^* - \varepsilon) \times \Sigma^*$ . This set is  $\Sigma$ -p.r. because  $\chi_{\mathcal{D}_P}^{1,3} = \neg \lambda [\alpha = \beta] \circ [p_3^{1,3}, C_\varepsilon^{1,3}]$  is  $\Sigma$ -p.r. Now, we can safely say that  $P = P_1|_{\mathcal{D}_P} \wedge P_2$ , ensuring with the restriction that both predicates have the same domain. Since  $\mathcal{D}_P$  is  $\Sigma$ -p.r. so is  $P_1|_{\mathcal{D}_P}$ , from which readily follows that so is  $P$ . ■

**Theorem 17** *A set  $S$  is  $\Sigma$ -p.r. if and only if it is the domain of a  $\Sigma$ -p.r. function.*

**Theorem 18** *If  $S_1, S_2$  are  $\Sigma$ -p.r. sets, then  $S_1 \cup S_2, S_1 \cap S_2, S_1 - S_2$  are  $\Sigma$ -p.r. sets.*

From the two previous theorems follows that if  $f_1, f_2$  are  $\Sigma$ -p.r. then  $f_1 \cap f_2$  are  $\Sigma$ -p.r. The reason is that  $\mathcal{D}_{f_1 \cap f_2} = \mathcal{D}_{f_1} \cap \mathcal{D}_{f_2}$ , which are both  $\Sigma$ -p.r. by virtue of being domains of  $\Sigma$ -p.r. functions. Then their intersection is  $\Sigma$ -p.r. Then they are the domain of a  $\Sigma$ -p.r.—namely  $f_1 \cap f_2$ .

The same *does not* happen with  $f_1 \cup f_2$  because this set may violate the definition of a function; namely, that to each  $(\vec{x}, \vec{a})$  corresponds a unique element  $((\vec{x}, \vec{a}), f(\vec{x}, \vec{a}))$ .

## 5.10 Case division

If  $f_1, \dots, f_n$  are s.t.  $D_{f_j} \cap D_{f_k} = \emptyset$  for  $j \neq k$  and  $f_j \mapsto \Omega$ , then  $\mathcal{F} = f_1 \cup \dots \cup f_n$  is s.t.

$$\mathcal{F} : D_{f_1} \cup \dots \cup D_{f_n} \rightarrow \Omega$$

$$e \rightarrow \begin{cases} f_1(e) & e \in D_{f_1} \\ \vdots \\ f_n(e) & e \in D_{f_n} \end{cases}$$

Under the same constraints, if  $f_i$  is  $\Sigma$ -p.r. for all  $i$ , then  $\mathcal{F}$  is  $\Sigma$ -p.r. This reveals a proving method. Given a function  $\mathcal{H}$ , we can prove it is  $\Sigma$ -p.r. by proving it is the union of  $\Sigma$ -p.r. functions, under the constraint that the domains of these functions are disjoint.

For example, this can be used to prove that  $\lambda\alpha \llbracket \alpha \rrbracket_i$  is  $\Sigma$ -p.r. Assume a language  $\Sigma$ . Then

$$\llbracket \alpha \rrbracket_i = \begin{cases} a & i = |\alpha| + 1 \\ \llbracket \alpha \rrbracket_i & \text{otherwise} \end{cases}$$

for any  $a \in \Sigma$ . The base case is the trivial  $\llbracket \varepsilon \rrbracket_i = \varepsilon$ . From this follows that  $R = \llbracket \alpha \rrbracket_i \sim (1, 1)$  is defined via primitive recursion by  $f = C_\varepsilon^{1,0}$  and  $\mathcal{G}$  an indexed family where  $\mathcal{G}_a$  is of the form above for every  $a$ . Evidently  $f$  is  $\Sigma$ -p.r.; now we want to prove  $\mathcal{G}_a$  is  $\Sigma$ -p.r. for any  $a \in \Sigma$ .

Observe that the sets  $S = \{(i, \alpha, \zeta) : i = |\alpha| + 1\}$  and its complement  $\bar{S}$  are disjoint and  $\Sigma$ -p.r. (We skip the proof of this statement.) It follows from the division by cases that

$$\mathcal{G}_a = p_3^{1,2}|_S \cup C_a^{1,2}|_{\bar{S}}$$

is  $\Sigma$ -p.r. Thus,  $R = \llbracket \alpha \rrbracket_i$  is  $\Sigma$ -p.r.

**Problem 23** Let  $\Sigma = \{ @, \$ \}$ . Let  $h : \mathbb{N} \times \Sigma^+ \mapsto \omega$  be  $x^2$  if  $x + |\alpha|$  is even, 0 otherwise. Prove that  $f$  is  $\Sigma$ -p.r.

Let  $S = \{(n, \alpha) \in \mathbb{N} \times \Sigma^* : n + |\alpha| \equiv 0 \pmod{2}\}$  and  $g := \lambda x \alpha \llbracket x^2 \rrbracket$ .

$g$  is  $\Sigma$ -p.r.

$\therefore g_S \cup C_0^{1,1}|_{\bar{S}} = f$  is  $\Sigma$ -p.r.

**Problem 24** Let  $h$  have  $\mathcal{D}_h = \{(x, y, \alpha) : x \leq y\}$  and be s.t.  $R \mapsto x^2$  if  $|\alpha| \leq y$ , zero otherwise. Show  $h$  is  $\Sigma$ -p.r.

Let  $S := \{(x, y, \alpha) \in \mathcal{D}_h : y \leq |\alpha|\}$ . Evidently,  $h = f_1 = C_0^{2,3}$  when  $|\alpha| > y$  (this is, when the argument is in  $\bar{S}$ ). When the argument is in  $S$ , it is  $f_2 = \lambda x[x^2] \circ [p_1^{2,1}]$ . It is trivial to observe both functions are  $\Sigma$ -p.r. Then  $h = f_1|_{\bar{S}} \cup f_2|_S$ , where of course  $S \cup \bar{S} = \mathcal{D}_h$ .

## 5.11 Summation, product and concatenation

Let  $f \sim (n+1, m, \#)$  with domain  $\mathcal{D}_f = \omega \times S_1 \times \dots \times S_n \times L_1 \times \dots \times L_m$ , with  $S_i \subseteq \omega, L_i \subseteq \Sigma^*$ . Then we define  $\sum_{t=x}^{t=y} f(t, \vec{x}, \vec{\alpha})$  in the usual way, with the constraint that the sum is 0 if  $y < x$ . In the same way we define  $\prod_{t=x}^{t=y} f(t, \vec{x}, \vec{\alpha})$  and the concatenation  $\subset_{t=x}^{t=y} f(t, \vec{x}, \vec{\alpha})$  for the case  $L_f \subseteq \Sigma^*$ .

The domain of each of these is  $\mathcal{D} = \omega \times \omega \times S_1 \times \dots \times S_n \times L_1 \times \dots \times L_m$ , where the first two  $\omega$  elements are the  $x, y$  domains of the sum.

**Theorem 19** If  $f$  is  $\Sigma$ -p.r. then the functions are  $\Sigma$ -p.r.

To understand why, let  $G = \lambda t x \vec{x} \vec{\alpha} [\sum_{i=x}^{i=t} f(i, \vec{x}, \vec{\alpha})]$ . Evidently,  $G = \circ [p_2^{n+2,m}, p_1^{n+2,m}, p_3^{n+2,m}, \dots, p_{n+2+m}^{n+2,m}]$  and so we only need to prove  $G$  is  $\Sigma$ -p.r. Observe that

$$G(0, x, \vec{x}, \vec{\alpha}) = \begin{cases} 0 & x > 0 \\ f(0, \vec{x}, \vec{\alpha}) & x = 0 \end{cases}$$

$$G(t+1, x, \vec{x}, \vec{\alpha}) = \begin{cases} 0 & x > t+1 \\ G(t, x, \vec{x}, \vec{\alpha}) + f(t+1, \vec{x}, \vec{\alpha}) & \text{otherwise} \end{cases}$$

Thus, if we let each of these functions be called  $h, g$  we have that  $G = R(h, g)$ . Suffices to show  $h, g$  are  $\Sigma$ -p.r. This can be proven using division by cases and domain restriction.

**Problem 25** Prove that  $G = \lambda x x_1 [\sum_{t=1}^{t=x} \text{Pred}(x_1)^t]$  is  $\Sigma$ -p.r.

We know  $f = \lambda x t [\text{Pred}(x)^t]$  is  $\Sigma$ -p.r. (trivial to show). Let  $\mathcal{G} = \lambda x y x_1 [\sum_{t=x}^{t=y} f(x_1, t)]$ . We know from the last theorem that  $\mathcal{G}$  is  $\Sigma$ -p.r.

It is evident that  $G = \mathcal{G} \circ [C_1^{2,0}, p_1^{2,0}, p_2^{2,0}]$ . Then  $G$  is  $\Sigma$ -p.r. ■

Show it to me. Well,  $G(x, x_1) = (\mathcal{G} \circ [C_1^{2,0}, p_1^{2,0}, p_2^{2,0}]) (x, x_1) = \mathcal{G}(0, x, x_1) = \sum_{t=0}^{t=x} f(x_1, t)$ .

**Problem 26** Show that  $G = \lambda xy\alpha \left[ \prod_{t=y+1}^{t=|\alpha|} (t + |\alpha|) \right]$  is  $\Sigma$ -p.r.

It is trivial to show  $f = \lambda t\alpha [t + |\alpha|]$  is  $\Sigma$ -p.r. Let

$$\mathcal{G} = \lambda xy\alpha \left[ \prod_{t=x}^{t=y} (t + |\alpha|) \right]$$

which is  $\Sigma$ -p.r. Observe that  $G(x, y, \alpha) = \mathcal{G}(y + 1, |\alpha|, \alpha)$ . Then

$$G = \mathcal{G} \circ \left[ \text{Suc} \circ p_2^{2,1}, \lambda\alpha[|\alpha|] \circ p_3^{2,1}, p_3^{2,1} \right]$$

Then  $G$  is  $\Sigma$ -p.r. ■

**Problem 27** Prove that

$$\lambda xyz\alpha\beta \left[ \prod_{t=3}^{t=z+5} \alpha^{\text{Pred}(z) \cdot t} \beta^{\text{Pred}(\text{Pred}(|\alpha|))} \right]$$

is  $\Sigma$ -p.r.

Let  $G$  denote the function in question. First of all, observe that  $\mathcal{D}_G = \omega^2 \times \mathbb{N} \times \Sigma^{*2}$ —which means  $G$  is not  $\Sigma$ -total. Let us divide our proof by parts.

(1) Let  $\mathcal{F} = \lambda xy\alpha\beta \left[ \alpha^{\text{Pred}(x) \cdot y} \beta^{\text{Pred}(\text{Pred}(|\alpha|))} \right]$ , where evidently  $\mathcal{F} \sim (2, 2, *)$  with  $x \in \mathbb{N}$ . Observe that

$$\begin{aligned} \mathcal{F}_1 &:= \lambda xy\alpha \left[ \alpha^{\text{Pred}(x)y} \right] \\ &= \lambda x\alpha [\alpha^x] \circ \left[ \lambda xy [xy] \circ \left[ \text{Pred} \circ p_1^{2,1}, p_2^{2,1} \right], p_3^{2,1} \right] \\ \mathcal{F}_2 &:= \lambda\alpha\beta \left[ \alpha^{\text{Pred}(\text{Pred}(|\alpha|))} \right] \\ &= \lambda x\alpha [\alpha^x] \circ \left[ p_1^{0,2}, \text{Pred} \circ \left[ \text{Pred} \circ \left[ \lambda\alpha[|\alpha|] \circ p_2^{0,2} \right] \right] \right] \end{aligned}$$

and evidently

$$\begin{aligned} \mathcal{F} &= \lambda xy\alpha\beta [\mathcal{F}_1(x, y, \alpha) \mathcal{F}_2(\beta, \alpha)] \\ &= \lambda\alpha\beta [\alpha\beta] \circ \left[ \mathcal{F}_1 \circ \left[ p_1^{2,2}, p_2^{2,2}, p_3^{2,2} \right], \mathcal{F}_2 \circ \left[ p_4^{2,2}, p_3^{2,2} \right] \right] \end{aligned}$$

This proves  $\mathcal{F}$  is  $\Sigma$ -p.r.

(2) It is evident that  $G = \lambda x y z \alpha \beta \left[ \underset{t=3}{\overset{t=z+5}{C}} \mathcal{F}(z, t, \alpha, \beta) \right]$ . If we let

$$\mathcal{G} := \lambda x y z \alpha \beta \left[ \underset{t=x}{\overset{t=y}{C}} \mathcal{F}(z, t, \alpha, \beta) \right]$$

it is evident that  $G = \mathcal{G} \circ \left[ C_3^{3,2}, \lambda z[z+5] \circ p_3^{3,2}, p_3^{3,2}, p_4^{3,2}, p_5^{3,2} \right]$ . Then  $G$  is  $\Sigma$ -p.r. ■

## 5.12 Predicate quantification

If  $P : S_0 \times S_1 \times \dots \times S_n \times L_1 \times \dots \times L_m$  is a predicate and  $S \subseteq S_0$ , then

$(\forall t \in S)_{t \leq x} P(t, \vec{x}, \vec{\alpha})$  is 1 when  $P(t, \vec{x}, \vec{\alpha}) = 1$  for all  $t \in \{u \in S : u \leq x\}$ . The domain of the quantified proposition is  $\omega \times S_1 \times \dots \times S_n \times L_1 \times \dots \times L_m$ , where the first argument (accounted by  $\omega$ ) is the upper bound  $x$ . We generalize, where  $L \subseteq L_{m+1}, S \subseteq S_0$ :

$$\begin{aligned} (\forall t \in S)_{t \leq x} P(t, \vec{x}, \vec{\alpha}) &: \omega \times S_1 \times \dots \times S_n \times L_1 \times \dots \times L_m \rightarrow \{0, 1\} \\ (\exists t \in S)_{t \leq x} P(t, \vec{x}, \vec{\alpha}) &: \omega \times S_1 \times \dots \times S_n \times L_1 \times \dots \times L_m \rightarrow \{0, 1\} \\ (\forall \alpha \in L)_{|\alpha| \leq x} P(\vec{x}, \vec{\alpha}, \alpha) &: \omega \times S_1 \times \dots \times S_n \times L_1 \times \dots \times L_m \rightarrow \{0, 1\} \\ (\exists \alpha \in L)_{|\alpha| \leq x} P(\vec{x}, \vec{\alpha}, \alpha) &: \omega \times S_1 \times \dots \times S_n \times L_1 \times \dots \times L_m \rightarrow \{0, 1\} \end{aligned}$$

It is important to observe that the set over which the quantification is done is a subset of the set from which comes the driving variable  $t$  (in the numeric case) or  $\alpha$  (in the alphabetic case).

**Theorem 20** (1) If  $P : S_0 \times S_1 \times \dots \times S_n \times L_1 \times \dots \times L_m \rightarrow \omega$  a predicate  $\Sigma$ -p.r., and  $S \subseteq S_0$  is  $\Sigma$ -p.r., then both quantifications over  $P$  are  $\Sigma$ -p.r.

(2) If  $P : S_1 \times \dots \times S_n \times L_1 \times \dots \times L_m L_{m+1} \rightarrow \omega$  a predicate  $\Sigma$ -p.r., and  $L \subseteq L_{m+1}$  is  $\Sigma$ -p.r., then both quantifications over  $P$  are  $\Sigma$ -p.r.

The theorem above states that the quantification over a  $\Sigma$ -p.r. set of a  $\Sigma$ -p.r. predicate is itself  $\Sigma$ -p.r. Though unbounded quantification does not preserve these properties, in general a bound exists "naturally" for quantifications, which serves to prove that a bounded quantification is  $\Sigma$ -p.r.. Consider the following example.

*Example.* The predicate  $\lambda x y [x \mid y]$  is  $\Sigma$ -p.r, because  $P = x_1 x_2 [x_2 = t x_1]$  is  $\Sigma$ -p.r. Since  $P$  is  $\Sigma$ -p.r., any **bounded** quantification of it over a  $\Sigma$ -p.r. set is itself  $\Sigma$ -p.r. For example,

$$\lambda x x_1 x_2 [(\exists t \in \omega)_{t \leq x} x_2 = t x_1]$$

is  $\Sigma$ -p.r. Now, observe that if  $x_2 = t x_1$  then it is necessary that  $t \leq x_2$ . But

$$\begin{aligned} & \lambda x_1 x_2 [(\exists t \in \omega)_{t \leq x_2} x_2 = t x_1] \\ &= \lambda x x_1 x_2 [(\exists t \in \omega)_{t \leq x} x_2 = t x_1] \circ [p_2^{2,0}, p_1^{2,0}, p_2^{2,0}] \end{aligned}$$

Then the **bounded** quantification, with  $x_2$  as bound, is  $\Sigma$ -p.r.

**Problem 28** Let  $\Sigma = \{ @, ! \}$ . Show that  $S = \{ (2^x, @^x, !) : x \in \omega \wedge x \text{ impar} \}$  is  $\Sigma$ -p.r.

For clarity, observe that a few elements of  $S$  are

$$(2, @, !), (8, @@@, !), (32, @@@@, !), \dots$$

Let  $P_1 = \lambda x y \alpha [x = 2^{y+1}]$ ,  $P_2 = \lambda x y \alpha [\alpha = @^{y+1}]$ . It is clear that  $\mathcal{D}_{P_1} = \mathcal{D}_{P_2}$ . It is trivial to prove that both are  $\Sigma$ -p.r. Then  $P_1 \wedge P_2$  is  $\Sigma$ -p.r. Then

$$\chi_S^{1,2} = \lambda x y \alpha \beta [(\exists k \in \omega)_{k \leq x} (P_1(y, k, \alpha) \wedge P_2(y, y, \alpha)) \wedge \beta = !]$$

is  $\Sigma$ -p.r.

## 5.13 Minimization of numeric variable

Let  $P$  an arbitrary predicate over a numeric variable. If there is some  $t \in \omega$  s.t.  $P(t, \vec{x}, \vec{\alpha})$  holds, we use  $\min_t P(t, \vec{x}, \vec{\alpha})$  to denote the minimum  $t$  that holds. This is **not defined** if there is no tuple  $(\vec{x}, \vec{\alpha})$  over which the predicate holds. Furthermore,  $\min_t P(t, \vec{x}, \vec{\alpha}) = \min_i P(i, \vec{x}, \vec{\alpha})$ ; this is,  $\min_t$  does not depend on the variable  $t$ .

We define

$$M(P) = \lambda \vec{x} \vec{\alpha} \left[ \min_t P(t, \vec{x}, \vec{\alpha}) \right]$$

We say  $M(P)$  is obtained via minimization of the numeric variable from  $P$ .



*Example.* Let  $Q : \omega \times \mathbb{N}$  be s.t.  $Q(x, y)$  denotes the quotient of  $\frac{x}{y}$ . This quotient is by definition the maximum element of  $\{t \in \omega : ty \leq x\}$ . Let  $P = \lambda txy [ty \leq x]$ . Observe that

$$\mathcal{D}_{M(P)} = \{(x, y) \in \omega^2 : (\exists t \in \omega) P(t, x, y) = 1\}$$

If  $(x, y) \in \omega \times \mathbb{N}$ , one can show that  $\min_t x < ty = Q(x, y) + 1$ . Then  $M(P) = \text{Suc} \circ Q$ .

**The U rule.** If  $f$  is a  $\Sigma$ -mixed function with type  $(n, m, \#)$  and we want to find a predcat  $P$  s.t.  $f = M(P)$ , it is sometimes useful to design  $P$  so

$$f(\vec{x}, \vec{\alpha}) = \text{only } t \in \omega \text{ s.t. } P(t, \vec{x}, \vec{\alpha})$$

**Problem 29** Use the **U rule** to find a predicate  $P$  s.t.  $M(P) = \lambda x [\text{integer part of } \sqrt{x}]$ .

Let  $f(x)$  denote the integer part of  $\sqrt{x}$ . If  $f(x) = y$  then  $y^2 \leq x \wedge (y+1)^2 > x$ . Then letting  $P = \lambda xy [x^2 \leq y \wedge (x+1)^2 > y]$  ensures that  $M(P(x, y)) = f(x)$ .

**Problem 30** Find  $P$  s.t.  $M(P) = \lambda xy [x - y]$ .

Since  $x - y$  is unique for each pair  $x, y$ ,  $P = \lambda xyz [z = x - y]$ . Then  $\min_z P(x, y, z) = \lambda xy [x - y]$ . For example,  $3 - 5 = 0$  and  $\min_z P(3, 5, z) = 0$ .

**Theorem 21** If  $P$  a predicate that is effectively computable and  $\mathcal{D}_P$  is effectively computable, then  $M(P)$  is effectively computable.

## 5.14 Recursive function

Now we define  $R_0^\Sigma = PR_0^\Sigma$  and

$$\begin{aligned} R_{k+1}^\Sigma = & R_k^\Sigma \\ & \cup \{f \circ [f_1, \dots, f_n] : f_i \in R_k^\Sigma\} \\ & \cup \{R(f, g) : f, g \in R_k^\Sigma\} \\ & \cup \{M(P) : P \text{ is } \Sigma\text{-total} \wedge P \in R_k^\Sigma\} \end{aligned}$$

In other words, recursive functions are all primitive recursive functions plus all predicate minimization functions over  $\Sigma$ -total and recursive predicates.

We define  $R^\Sigma = \bigcup_{k \geq 0} R_k^\Sigma$ .

**Theorem 22** *If  $f \in R^\Sigma$  then  $f$  is  $\Sigma$ -effectively computable.*

**Theorem 23** *Not every  $\Sigma$ -recursive function is  $\Sigma$ -p.r. In other words,*

$$PR^\Sigma \subseteq R^\Sigma \text{ but } PR^\Sigma \neq R^\Sigma$$

It is obvious by definition that if  $f$  is  $\Sigma$ -p.r. then it is recursive. But if a function is recursive, it could very well be a minimization predicate over a  $\Sigma$ -total function that is not  $\Sigma$ -p.r. itself! In other words,

$$R^\Sigma - PR^\Sigma = \{M(P) : P \text{ is } \Sigma\text{-p.r.} \wedge P \in R^\Sigma \wedge M(P) \text{ is not } \Sigma\text{-p.r.}\}$$

In fact, the theorems in previous sections ensured that if  $P$  is  $\Sigma$ -p.r. and so is  $\mathcal{D}_P$ , then  $M(P)$  is  $\Sigma$ -effectively computable. Which doesn't entail that it is  $\Sigma$ -p.r.

**Theorem 24** *If  $P \sim (n+1, m, \#)$  is a  $\Sigma$ -p.r. predicate then (1)  $M(P)$  is  $\Sigma$ -recursive. If there is a  $\Sigma$ -p.r. function  $f \sim (n, m, \#)$  s.t.  $M(P)(\vec{x}, \vec{\alpha}) = \min_t P(t, \vec{x}, \vec{\alpha}) \leq f(\vec{x}, \vec{\alpha})$  for all  $(\vec{x}, \vec{\alpha}) \in \mathcal{D}_{M(P)}$ , then  $M(P)$  is  $\Sigma$ -p.r.*

The theorem above gives the conditions to say whether  $M(P)$  is recursive and whether it is  $\Sigma$ -p.r. It is recursive simply if  $P$  is  $\Sigma$ -p.r. And it is  $\Sigma$ -p.r. if  $M(P)$  is bounded by some function  $f$  for all values in the domain of  $M(P)$ .

**Theorem 25** *The quotient function, the remainder function, and the  $i$ th prime function are  $\Sigma$ -p.r.*

## 5.15 Minimization of alphabetic variable

We define  $M^\leq(P) = \lambda \vec{x} \vec{\alpha} [\min_\alpha^\leq P(\vec{x}, \vec{\alpha}, \alpha)]$ , where  $\leq$  is some order over the language  $\Sigma$  in question.

**Theorem 26** *If  $P$  is  $\Sigma$ -p.r. predicate over a string, then the same conditions apply for  $M(P)$  to be  $\Sigma$ -p.r. as in the theorem for predicates over numbers.*

**Problem 31** *Prove that  $\lambda \alpha [\sqrt{\alpha}]$  is  $\Sigma$ -p.r.*

Observe that  $\lambda \alpha [\sqrt{\alpha}] = \min_\alpha \lambda \alpha \beta [\beta = \alpha \alpha]$ . The predicate, which we call  $P$ , is trivially  $\Sigma$ -p.r. This means that  $\lambda \alpha [\sqrt{\alpha}] \in R^\Sigma$ .

Let  $M(P)$  denote the minimization above. Then  $M(P)(\alpha, \beta) \leq \beta$ . In other words,  $M(P)$  is bounded by  $f = \lambda \alpha [\alpha]$ . Then  $\lambda \alpha [\sqrt{\alpha}] \in PR^\Sigma$ .

### 5.16 Enumerable sets

We say  $S \subseteq \omega^n \times \Sigma^{*2}$  is  $\Sigma$ -recursively enumerable if it is empty or there is a function  $\mathcal{F} : \omega \rightarrow \omega^n \times \Sigma^{*2}$  s.t.

- $Im_{\mathcal{F}} = S$
- $\mathcal{F}_{(i)}$  is  $\Sigma$ -recursive for every  $1 \leq i \leq n + m$ .

Here,  $\Sigma$ -recursive functions model  $\Sigma$ -computable functions.

### 5.17 Recursive sets

The Godelian model of a  $\Sigma$ -effectively computable set is simple. A set  $S$  is  $\Sigma$ -recursive when  $\chi_S$  is  $\Sigma$ -recursive.

### 5.18 Alphabet independence

**Theorem 27** *Let  $\Sigma, \Gamma$  two alphabets. If  $f$  is  $\Sigma$ -mixed and  $\Gamma$ -mixed, then  $f$  is  $\Sigma$ -recursive iff it is  $\Gamma$ -recursive. The analogue applies to recursive sets and this extends to primitive recursion.*

The theorem above states that recursiveness or primitive-recursiveness is independent of any given alphabet.

## 6 Neumann

### 6.1 The $S^\Sigma$ language

We provide von Neumann's model of  $\Sigma$ -effectively computable function. We use  $Num = \{0, 1, \dots, 9\}$  a set of *symbols* (not numbers) and define  $S : Num^* \mapsto Num^*$  as

$$\begin{aligned} S(\varepsilon) &= 1 \\ S(\alpha 0) &= \alpha 1 \\ S(\alpha 2) &= \alpha 3 \\ &\vdots \\ S(\alpha 9) &= S(\alpha) 0 \end{aligned}$$

It is easy to observe that  $S$  is a "counting" or "enumerating" function of the alphabet  $Num$ . We define

$$\begin{aligned} \text{---} : \omega &\mapsto Num^* \\ \overline{0} &\mapsto \varepsilon \\ \overline{n+1} &\mapsto S(\overline{n}) \end{aligned}$$

In other words,  $\overline{n}$  simply denotes the alphabetic symbol of  $Num$  that denotes the number  $n$ . The whole syntax of the  $S^\Sigma$  language is given by  $\Sigma \cup \Sigma_p$ , where

$$\Sigma_p = Num \cup \{\leftarrow, +, =, ., \neq, \frown, \varepsilon, N, K, P, L, I, F, G, O, T, B, E, S\}$$

It is important to note that these are *symbols* or *strings*, not values. The  $\varepsilon$  in  $\Sigma_p$  is not the empty letter, but the symbol that denotes it. The  $\mp, -$  signs are not the operations plus and minus, but the same symbols that denote these operations.

### 6.2 Variables, labels, and instructions

Any word of the form  $N\overline{k}$  is a numeric variable;  $P\overline{k}$  is an alphabetic variable;  $L\overline{k}$  is a label.

A basic instruction in  $S^\Sigma$  is one of the following words:

- $N\overline{k} \leftarrow N\overline{k} - 1$
- $N\overline{k} \leftarrow N\overline{k} + 1$

- $N\bar{k} \leftarrow N\bar{n}$
- $N\bar{k} \leftarrow 0$
- $P\bar{k} \leftarrow \sim P\bar{k}$
- $P\bar{k} \leftarrow P\bar{k}.a$
- $P\bar{k} \leftarrow P \leftarrow \varepsilon$
- *IF*  $N\bar{k} \neq 0$  *GOTO*  $L\bar{n}$
- *IF*  $P\bar{k}$  *BEGINS a* *GOTO*  $L\bar{n}$
- *GOTO*  $L\bar{n}$
- *SKIP*

An instruction is any word of the form  $\alpha I$  where  $\alpha \in \{L\bar{n} : n \in \mathbb{N}\}$  and  $I$  is a basic instruction. We use  $Ins^\Sigma$  to denote the set of all instructions in  $\mathcal{S}^\Sigma$ . When  $I = L\bar{n}J$  and  $J$  a basic instruction, we say  $L\bar{n}$  is the label of  $J$ .

### 6.3 Programs in $\mathcal{S}^\Sigma$

A program in  $\mathcal{S}^\Sigma$  is any word  $I_1 \dots I_n$ , with  $n \geq 1$ , s.t.  $I_k \in Ins^\Sigma$  for all  $1 \leq k \leq n$  and the following property holds:

**GOTO Law:** For every  $1 \leq i \leq n$ , if  $GOTOL\bar{m}$  is the end of  $I_i$ , then there is some  $j$ ,  $1 \leq j \leq n$ , s.t.  $I_j$  has label  $L\bar{m}$ .

Informally, a program is any chain of instructions satisfying that GOTO instructions map to actual labels in the program.

We use  $Pro^\Sigma$  to denote the set of all programs in  $\mathcal{S}^\Sigma$ .

*Observation.* Note that  $Ins^\Sigma \not\subseteq Pro^\Sigma$ . The reason is  $Ins^\Sigma$  contains chains of instructions that do not satisfy the GOTO law. For example, the single line  $IFN1 \neq 0 GOTOL1 \in Ins^\Sigma$  is not a program.

**Theorem 28** *Let  $\Sigma$  a finite alphabet. Then*

- *If  $I_1 \dots I_n = J_1 \dots J_m$ , with  $I_k, J_k \in Ins^\Sigma$ , then  $n = m$  and  $I_k = J_k$  for all  $k$ .*
- *If  $\mathcal{P} \in Pro^\Sigma$  then there is a unique set of instructions  $I_1 \dots I_n$  s.t.  $\mathcal{P} = I_1 \dots I_n$ .*

The theorem above establishes that any program in  $Pro^\Sigma$  is a *unique* concatenation of instructions. We use  $n(\mathcal{P})$  to denote the number of instructions that make up  $\mathcal{P} \in Pro^\Sigma$ . By convention, if  $\mathcal{P} = I_1^\mathcal{P} \dots I_{n(\mathcal{P})}^\mathcal{P}$ , then  $I_j^\mathcal{P} = \varepsilon$  if  $j \notin [1, n(\mathcal{P})]$ . In other words, we understand that a program contains infinitely many empty symbols to the right and left (like in Turing machines).

*Observation.*  $n(\alpha)$  and  $I_j^\alpha$  are defined only when  $\alpha \in Pro^\Sigma$ ,  $i \in \omega$ . This means the domain of  $\lambda\alpha[n(\alpha)]$  is  $Pro^\Sigma \subseteq \Sigma \cup \Sigma_p$  and that of  $\lambda i\alpha[I_i^\alpha]$  is  $\omega \times Pro^\Sigma$ .

**Problem 32** *Is it true that  $Ins^\Sigma \cap Pro^\Sigma = \emptyset$ ? And is it true that  $\lambda i \mathcal{P}[I_i^\mathcal{P}]$  has domain  $\{(i, \mathcal{P}) \in \mathbb{N} \times Pro^\Sigma : i \leq n(\mathcal{P})\}$ ?*

Both statements are false. A single instruction in  $Ins^\Sigma$  can be a program (as long as it is not a GOTO statement to a non-existent label). Furthermore,  $\lambda i \mathcal{P}[I_i^\mathcal{P}]$  is defined for  $i = 0$  (it maps to  $\varepsilon$ ) and for  $i \geq n(\mathcal{P})$  (it also maps to  $\varepsilon$ ).

**Problem 33** *Prove: If  $\mathcal{P}_1, \mathcal{P}_2 \in Pro^\Sigma$  then  $\mathcal{P}_1 \mathcal{P}_2 = \mathcal{P}_2 \mathcal{P}_1 \Rightarrow \mathcal{P}_1 = \mathcal{P}_2$ .*

This follows from the theorem that guarantees that any program  $\mathcal{P} \in Pro^\Sigma$  is a *unique* concatenation of instructions. Let  $\mathcal{P}_1 = I_1^{\mathcal{P}_1} \dots I_{n(\mathcal{P}_1)}^{\mathcal{P}_1}$  and  $\mathcal{P}_2 = I_1^{\mathcal{P}_2} \dots I_{n(\mathcal{P}_2)}^{\mathcal{P}_2}$ . Assume  $\mathcal{P}_1 \mathcal{P}_2 = \mathcal{P}_2 \mathcal{P}_1$ . Then

$$I_1^{\mathcal{P}_1} \dots I_{n(\mathcal{P}_1)}^{\mathcal{P}_1} I_1^{\mathcal{P}_2} \dots I_{n(\mathcal{P}_2)}^{\mathcal{P}_2} = I_1^{\mathcal{P}_2} \dots I_{n(\mathcal{P}_2)}^{\mathcal{P}_2} I_1^{\mathcal{P}_1} \dots I_{n(\mathcal{P}_1)}^{\mathcal{P}_1}$$

Then, from the last theorem follows that  $I_k^{\mathcal{P}_1} = I_k^{\mathcal{P}_2}$ . From this follows directly that  $\mathcal{P}_1 = \mathcal{P}_2$ . ■

## 6.4 States in programs of $S^\Sigma$

We define  $Bas : Ins^\Sigma \mapsto (\Sigma \cup \Sigma_p)^*$ , the program that returns the substring of an instruction corresponding to its basic instruction, as

$$Bas(I) = \begin{cases} J & I = L\bar{k}J \\ I & \text{otherwise} \end{cases}$$

Recall that

$$\sim_\alpha = \begin{cases} [\alpha]_2 \dots \alpha | \alpha | & |\alpha| \geq 2 \\ \varepsilon & \text{otherwise} \end{cases}$$

We define  $\omega^{[\mathbb{N}]} = \{(s_1, s_2, \dots) : \exists n \in \mathbb{N} : i > n \Rightarrow s_i = 0\}$ . Similarly,  $\Sigma^{*[\mathbb{N}]}$  denotes the set of infinite alphabetic tuples that contain only  $\varepsilon$  from some index onwards.

A **state** is a tuple  $(\vec{s}, \vec{\sigma}) \in \omega^{\mathbb{N}} \times \Sigma^{*[\mathbb{N}]}$ . If  $i \geq i$  we say  $s_i$  has the value of the  $N\vec{i}$  variable in the state, and  $\sigma_i$  the value of the  $P\vec{i}$  variable in the state. Thus, a state is a pair of infinite tuples containing the values of the variables in a program.

We use

$$[[x_1, \dots, x_n, \alpha_1, \dots, \alpha_m]]$$

to denote the state  $((x_1, \dots, x_n, 0, 0, \dots), (\alpha_1, \dots, \alpha_m, \varepsilon, \varepsilon, \dots))$ .

## 6.5 Instantaneous description of a program in $\mathcal{S}^\Sigma$

Since a program  $\mathcal{P} \in \text{Pro}^\Sigma$  may contain GOTO instructions, it is not always the case that  $I_{k+1}^\mathcal{P}$  is executed after  $I_k^\mathcal{P}$ . Thus, when running a program, we not only need to consider its state but the specific instruction to be executed. An instantaneous description is a mathematical object which describes all this information.

Formally, an instantaneous description is triple  $(i, \vec{s}, \vec{\alpha}) \in \omega \times \omega^\mathbb{N} \times \Sigma^{*\mathbb{N}}$ . This Cartesian product is the set of all possible instantaneous descriptions. The triple reads: The following instruction is  $I_i^\mathcal{P}$  and the current state is  $(\vec{s}, \vec{\alpha})$ . Observe that if  $i \notin [1, n(\mathcal{P})]$ , then the description reads: We are in state  $(\vec{s}, \vec{\alpha})$  and we must execute  $\varepsilon$  (nothing).

We define the successor function

$$S_\mathcal{P} : \omega \times \omega^\mathbb{N} \times \Sigma^{*\mathbb{N}} \mapsto \omega \times \omega^\mathbb{N} \times \Sigma^{*\mathbb{N}}$$

which maps an instantaneous description to the successor instantaneous description (the one after executing the instruction in the first).

## 6.6 Computation from a given state

Let  $\mathcal{P} \in \text{Pro}^\Sigma$  and a state  $(\vec{s}, \vec{\alpha})$ . The *computation* of  $\mathcal{P}$  from  $(\vec{s}, \vec{\alpha})$  is defined as

$$\left( (1, \vec{\alpha}, \vec{\alpha}), S_\mathcal{P} \left( 1, \vec{s}, \vec{\alpha} \right), S_\mathcal{P} \left( S_\mathcal{P} \left( 1, \vec{s}, \vec{\alpha} \right) \right), \dots \right)$$

In other words, the *computation* of  $\mathcal{P}$  is the infinite tuple whose  $i$ th element is the instantaneous description of  $\mathcal{P}$  after  $i - 1$  instructions have been executed.

We say  $S_\mathcal{P} \left( \dots S_\mathcal{P} \left( S_\mathcal{P} \left( 1, \vec{s}, \vec{\alpha} \right) \right) \right)$  is the instantaneous description obtained after  $t$  steps if the number of times  $S_\mathcal{P}$  was executed is  $t$ .

**Problem 34** Give true or false for the following statements.

*Statement 1:* If  $S_\mathcal{P}(i, \vec{s}, \vec{\alpha}) = (i, \vec{s}, \vec{\alpha})$  then  $i \notin [1, n(\mathcal{P})]$ . The statement is false. It could be the case that  $i \notin [1, n(\mathcal{P})]$ , in which case we would say the program halted. However, consider the program

## L1 GOTO L1

Evidently,  $S_{\mathcal{P}}(1, \vec{s}, \vec{\alpha}) = (1, \vec{s}, \vec{\alpha})$ , and  $1 \leq 1 \leq n(\mathcal{P})$ .

*Statement 2.* Let  $\mathcal{P} \in Pro^{\Sigma}$  and  $d$  an instantaneous description whose first coordinate is  $i$ . If  $I_i^{\mathcal{P}} = N_2 \leftarrow N_2 + 1$ , then

$$S_{\mathcal{P}}(d) = (i + 1, (N_1, Suc(N_2), N_3, \dots), (P_1, P_2, P_3, \dots))$$

The statement is true via direct application of the  $S_{\mathcal{P}}$  function.

*Statement 3.* Let  $\mathcal{P} \in Pro^{\Sigma}$  and  $(i, \vec{s}, \vec{\sigma})$  an instantaneous description. If  $Bas(I_i^{\mathcal{P}}) = IF P_3 \text{ BEGINS a GOTO } L_6$  and  $[P_3]_1 = a$ , then  $S_{\mathcal{P}}(i, \vec{s}, \vec{\sigma}) = (j, \vec{s}, \vec{\sigma})$ , where  $j$  is the least number  $l$  s.t.  $I_l^{\mathcal{P}}$  has label  $L_6$ .

Because  $[P_3]_1 = a$ , the value of  $S_{\mathcal{P}}(i, \vec{s}, \vec{\sigma})$  must indeed contain the instruction that has label  $L_6$ . This instruction is the  $j$ th instruction for some  $j$ , etc. The statement is true.

## 6.7 Halting

When the first coordinate of  $S_{\mathcal{P}} \left( \dots S_{\mathcal{P}} \left( S_{\mathcal{P}} \left( 1, \vec{s}, \vec{\sigma} \right) \right) \right)$  with  $t$  steps is  $n(\mathcal{P}) + 1$ , we say  $\mathcal{P}$  halts after  $t$  steps when starting from  $(\vec{s}, \vec{\sigma})$ .

If none of the first coordinates in the computation of  $\mathcal{P}$ ,

$$\left( (1, \vec{\sigma}, \vec{\sigma}), S_{\mathcal{P}} \left( 1, \vec{s}, \vec{\sigma} \right), S_{\mathcal{P}} \left( S_{\mathcal{P}} \left( 1, \vec{s}, \vec{\sigma} \right) \right), \dots \right)$$

is  $n(\mathcal{P})$ , we say  $\mathcal{P}$  does not halt starting from  $(\vec{s}, \vec{\sigma})$ .

## 6.8 $\Sigma$ -computable functions

We give the model of a  $\Sigma$ -effectively computable function in the paradigm of von Neumann. Intuitively,  $f$  is  $\Sigma$ -computable if there is some  $\mathcal{P} \in Pro^{\Sigma}$  that computes it.

Given  $\mathcal{P} \in Pro^{\Sigma}$ , for every pair  $n, m \geq 0$ , we define  $\Psi_{\mathcal{P}}^{n,m,\#}$  as follows:

$$\begin{aligned} \mathcal{D}_{\Psi_{\mathcal{P}}^{n,m,\#}} &= \{ (\vec{x}, \vec{\alpha}) \in \omega^n \times \Sigma^{*m} : \mathcal{P} \text{ halts from } [[x_1, \dots, x_n, \alpha_1, \dots, \alpha_m]] \} \\ \Psi_{\mathcal{P}}^{n,m,\#}(\vec{x}, \vec{\alpha}) &= \text{Value of } N_1 \text{ in halting state from } [[x_1, \dots, x_n, \alpha_1, \dots, \alpha_m]] \end{aligned}$$



We analogously define  $\Psi_{\mathcal{P}}^{n,m,*}$  for the alphabetic case, where the domain is the same and the value is that of  $P_1$  in the halting state.

A  $\Sigma$ -mixed function, not necessarily total, is  $\Sigma$ -computable if there is a program  $\mathcal{P} \in Pro^{\Sigma}$  s.t.  $f \sim (n, m, \varphi) = \Psi_{\mathcal{P}}^{n,m,\varphi}$ , with  $\varphi \in \{\#, *\}$ . We say  $f$  is computed by  $\mathcal{P}$ .

**Theorem 29** *If  $f$  is  $\Sigma$ -computable, then it is  $\Sigma$ -effectively computable.*

The previous theorem should be obvious. Any program in  $\mathcal{S}^{\Sigma}$  can be translated into an effective procedure with relative simplicity.

**Problem 35** *Let  $\Sigma = \{ @, ! \}$ . Give a program that computes  $f : \{0, 1, 2\} \mapsto \omega$  given by  $f(0) = f(1) = 0, f(2) = 5$ .*

Evidently  $f \sim (1, 0, \#)$  and so we must find some  $\mathcal{P} \in Pro^{\Sigma}$  s.t.  $\Psi_{\mathcal{P}}^{1,0,\#}(x) = f(x)$ . The program must let  $N_1$  hold the value 0 if the starting state is either  $[[0]]$  or  $[[1]]$ , and the value 5 if the starting state is  $[[2]]$ . In all other cases, it must not halt, to ensure that the domain of  $\Psi_{\mathcal{P}}^{1,0,\#}$  is the same as that of  $f$ . The desired program is

```


$$\begin{aligned}
& N_2 \leftarrow N_1 \\
& N_2 \leftarrow N_2 - 1 \\
& \text{IF } N_2 \neq 0 \text{ GOTO } L_1 \\
& \text{GOTO } L_4 \\
L_1 & N_2 \leftarrow N_2 - 1 \\
& \text{IF } N_2 \neq 0 \text{ GOTO } L_2 \\
& \text{GOTO } L_3 \\
L_2 & \text{GOTO } L_2 \\
L_3 & N_1 \leftarrow N_1 + 1 \\
& N_1 \leftarrow N_1 + 1 \\
& N_1 \leftarrow N_1 + 1 \\
& \text{GOTO } L_5 \\
L_4 & N_1 \leftarrow 0 \\
L_5 & \text{SKIP}
\end{aligned}$$


```

If  $\mathcal{P}$  denotes this program, it is evident that  $\mathcal{P}$  only halts for starting states  $[[x_1]]$  with  $x_1 \in \{0, 1, 2\}$ . Thus, the domain of  $\Psi_{\mathcal{P}}^{1,0,\#}$  is precisely  $\mathcal{D}_f$ . It is easy to verify that, more generally,  $\Psi_{\mathcal{P}}^{1,0,\#} = f$ .

**Problem 36** Using the same alphabet as in the previous problem, find  $\mathcal{P} \in \text{Pro}^\Sigma$  that computes  $\lambda xy[x + y]$ .

The desired program is

```

 $L_1$  IF  $N_2 = 0$  GOTO  $L_3$ 
 $N_1 \leftarrow N_1 + 1$ 
 $N_2 \leftarrow N_2 - 1$ 
GOTO  $L_1$ 
 $L_3$  SKIP

```

**Problem 37** Same for  $C_0^{1,1} |_{\{0,1\} \times \Sigma^*}$

Since the domain of the constant function is restricted to  $\{0, 1\} \times \Sigma^*$ , we must ensure the program only halts for states  $[[x_1, x_2, \alpha]]$  s.t.  $x_1, x_2 \in \{0, 1\}$ . Thus, the program is

```

 $N_1 \leftarrow N_1 - 1$ 
 $N_2 \leftarrow N_2 - 1$ 
IF  $N_2 \neq 0$  GOTO  $L_1$ 
IF  $N_1 \neq 0$  GOTO  $L_1$ 
GOTO  $L_2$ 
 $L_1$  GOTO  $L_1$ 
 $L_2$  SKIP

```

**Problem 38** Same for  $\lambda i \alpha[[\alpha]_i]$  (same alphabet).

```

      IF  $N_0 \neq 0$  GOTO  $L_1$ 
       $P_1 \leftarrow \varepsilon$ 
      GOTO  $L_{100}$ 
 $L_1$   $N_1 \leftarrow N_1 - 1$ 
 $L_2$   $N_1 \leftarrow N_1 - 1$ 
       $P_1 \leftarrow \neg P_1$ 
      IF  $N_1 \neq 0$  GOTO  $L_2$ 
      IF  $P_1$  STARTSWITH @ GOTO  $L_2$ 
      IF  $P_1$  STARTSWITH ! GOTOL $L_3$ 
      GOTOL $L_{100}$ 
 $L_3$   $P_1 \leftarrow !$ 
 $L_2$   $P_1 \leftarrow @$ 
 $L_{100}$  SKIP

```

*Example.* Let  $\alpha = @!@@$ . Assume we give  $[[4, \alpha]]$ . Since  $4 \neq 0$  we go to  $L_1$  immediately. Here  $N_1$  is set to three. Then  $N_1$  is set to two and  $P_1$  is set to  $!!@$ . Since  $N_1 \neq 0$ ,  $N_1$  is now set to 1 and  $P_1$  to  $!@$ . Once more,  $N_1$  is now set to 0 and  $P_1$  to  $@$ . Since now  $N_1 = 0$ , we know the starting character of  $P_1$  is the one we looked for. We set  $P_1$  to be its first character (if  $P_1 = \varepsilon$  it has no first character and nothings needs to be done, because this means the input  $[[x_1, \alpha]]$  had  $x_1 > |\alpha|$ ). The other cases also work.

**Problem 39** Give a program that computes  $s^{\leq}$  where  $@ < !$ .

Recall that  $s^{\leq} : \Sigma^* \mapsto \Sigma^*$  is defined as

$$\begin{aligned}
 s^{\leq}((a_n)^m) &= (a_1)^{m+1} & m \geq 0 \\
 s^{\leq}(\alpha a_i(a_n)^m) &= \alpha a_{i+1}(a_1)^m & 1 \leq i < n, m \geq 0
 \end{aligned}$$

In our case, this functions enumerates the language in question as follows:

$\varepsilon, @, !, @@, @!, !@, !!, @@@, @@!, @!@, @!!, !@@, !@!, !!@, !!!, \dots$

## 6.9 Macros

A macro is the template of a program that computes a  $\Sigma$ -mixed function. There are two types:

- Those that assign that simulate setting the value of a variable to a function of others;
- Those that use IF statements that direct a program to a label if a predicate function of other variables is true.

A macro is not a program because it does not necessarily hold to **GOTO law**. The formal definition of a macro is hand-wavy and long; check the source. The variables of a macro that are only used within the macro are the *auxiliary variables*. The variables that receive the input (from within some program) are the *official variables*.

**Theorem 30** *Let  $\Sigma$  a finite alphabet. Then if  $f$  a  $\Sigma$ -computable function, there is a macro  $\left[ \overline{Zn+1} \leftarrow f(V_1, \dots, V_n, W_1, \dots, W_m) \right]$  with  $Z \in \{V, W\}$  depending on the value of  $f$ .*

**Example.** The function  $\mathcal{F} = \lambda xy[x + y]$  is  $\Sigma$ -computable. Then there is a macro that computes it. Such macro is:

$$\begin{aligned} &V_4 \leftarrow V_2 \\ &V_5 \leftarrow V_3 \\ &V_1 \leftarrow V_4 \\ &A_1 \text{ IF } V_5 \neq 0 \text{ GOTO } A_2 \\ &\quad \text{GOTO } A_3 \\ &A_2 \text{ } V_5 \leftarrow V_5 - 1 \\ &\quad V_1 \leftarrow V_1 + 1 \\ &\quad \text{GOTO } A_1 \\ &A_3 \text{ SKIP} \end{aligned}$$

We replace  $V_1$  with that variable where the output is to be stored,  $V_2, V_3$  with the variables that are to be summed, and this performs the sum of two variables. Now, to program  $\lambda xy[x \cdot y]$  we can use the following:

$$\begin{aligned} &L_1 \text{ IF } N_2 \neq 0 \text{ GOTO } L_2 \\ &\quad \text{GOTO } L_3 \\ &L_2 \text{ } [N_3 \leftarrow \mathcal{F}(N_3, N_1)] \\ &\quad N_2 \leftarrow N_2 - 1 \\ &\quad \text{GOTO } L_1 \\ &L_3 \text{ } N_1 \leftarrow N_3 \end{aligned}$$

**Problem 40** Let  $\Sigma = \{ @, ! \}$  and  $f \sim (0, 1, \#)$  a  $\Sigma$ -computable function. Let  $L = \{ \alpha \in \mathcal{D}_f : f(\alpha) = 1 \}$ . Using the macro  $[V_1 \leftarrow f(W_1)]$ , give a program  $\mathcal{P} \in \text{Pro}^\Sigma$  s.t.  $\mathcal{D}_{\Psi_{\mathcal{P}}^{0,1,\#}} = L$ .

$\mathcal{D}_{\Psi_{\mathcal{P}}^{0,1,\#}} = L$  if and only if  $\mathcal{P}$  halts only when starting from a state  $[[\alpha \in L]]$   
Such  $\mathcal{P}$  may be

```

[N1 ← f(P1)]
IF N1 ≠ 0 GOTO L1
GOTO L2
L1 GOTO L1
L2 SKIP

```

Incidentally, it is easy to observe that  $\Psi_{\mathcal{P}}^{0,1,\#} = f|_L$ .

**Problem 41** Let  $\Sigma = \{ @, ! \}$  and  $f \sim (1, 0, *)$  a  $\Sigma$ -computable function. Using  $[W_1 \leftarrow f(V_1)]$ , give a program  $\mathcal{P} \in \text{Pro}^\Sigma$  s.t.  $\mathcal{D}_{\Psi_{\mathcal{P}}^{1,0,*}} = \text{Im}_f$ .

We require a program  $\mathcal{P} \in \text{Pro}^\Sigma$  s.t.  $\mathcal{P}$  halts only from a starting state of the form  $[[\alpha \in \text{Im}_f]]$ . Such a program may be

```

L1 [P2 ← f(N1)]
      [IF P1 = P2 GOTO L2]
      N1 ← N1 + 1
      GOTO L1
L2 Skip

```

where  $[IF W_1 = W_2 GOTO A_1]$  is the macro

```

W3 ← W1
W4 ← W2
A1 IF W3BEGINS @ GOTO A2
      IF W3BEGINS ! GOTO A3
A2                                     IF W4 BEGINS @ GOTO A4
      GOTO A1000
A3 IF W4 BEGINS ! GOTO A4
A4 W3 ← ¬W3
      W4 ← ¬W4
      GOTO A5
A1000 SKIP

```

that checks if two *not-empty* strings are equal and jumps to the official label A<sub>5</sub> if the case is true.

## 6.10 Enumerable sets

A non-empty  $\Sigma$ -mixed set  $S$  is  $\Sigma$ -enumerable if and only if there are programs  $\mathcal{P}_1, \dots, \mathcal{P}_{n+m}$  s.t.

$$\mathcal{D}_{\Psi_{\mathcal{P}_1}^{n,m,\#}} = \dots = \mathcal{D}_{\Psi_{\mathcal{P}_n}^{n,m,\#}} = \omega$$

$$\mathcal{D}_{\Psi_{\mathcal{P}_{n+1}}^{n,m,*}} = \dots = \mathcal{D}_{\Psi_{\mathcal{P}_{n+m}}^{n,m,*}} = \omega$$

and

$$S = Im \left[ \Psi_{\mathcal{P}_1}^{n,m,\#}, \dots, \Psi_{\mathcal{P}_n}^{n,m,\#}, \Psi_{\mathcal{P}_{n+1}}^{n,m,*}, \dots, \Psi_{\mathcal{P}_{n+m}}^{n,m,*} \right]$$

In other words, for each input  $x \in \omega$ , the  $i$ th program  $\mathcal{P}_i$  computes the value of the  $i$ th element in a tuple of  $S$ . Another way to put this is

**Theorem 31** *If  $S$  a non-empty  $\Sigma$ -mixed set, then it is equivalent to say:*

- (1)  $S$  is  $\Sigma$ -enumerable.
- (2) *There is a  $\mathcal{P} \in Pro^\Sigma$  satisfying the following two properties.*
  - a. *For all  $x \in \omega$ ,  $\mathcal{P}$  halts from  $\llbracket x \rrbracket$  into a state of the form  $\llbracket x_1, \dots, x_n, \alpha_1, \dots, \rrbracket$  when  $(x_1, \dots, x_n, \alpha_1, \dots, \alpha_n) \in S$ .*
  - b. *For any tuple  $(x_1, \dots, x_n, \alpha_1, \dots, \alpha_m) \in S$ , there is a  $x \in \omega$  s.t.  $\mathcal{P}$  halts starting from  $\llbracket x \rrbracket$  in a state of the form  $\llbracket x_1, \dots, x_n, \alpha_1, \dots, \alpha_m \rrbracket$*

When a program satisfies these properties, we say it *enumerates*  $S$ .

## 6.11 $\Sigma$ -computable sets

A  $\Sigma$ -mixed set  $S$  is said to be  $\Sigma$ -computable if  $\chi_S^{\omega^n \times \Sigma^{*m}}$  is  $\Sigma$ -computable. This is,  $S$  is  $\Sigma$ -computable if and only if there is a  $\mathcal{P} \in Pro^\Sigma$  s.t.  $\mathcal{P}$  computes  $\chi_S^{\omega^n \times \Sigma^{*m}}$ .

Observe that this means that  $\mathcal{P}$  halts with  $N_1 = 1$  when starting from  $[[\vec{x}, \vec{\alpha}]]$  if  $(\vec{x}, \vec{\alpha}) \in S$ , and halts with  $N_1 = 0$  otherwise. We say  $\mathcal{P}$  *decides* the belonging to  $S$ .

Observe that if  $\chi_S^{\omega^n \times \Sigma^{*m}}$  is  $\Sigma$ -computable, then there is a macro

$$\left[ IF \chi_S^{\omega^n \times \Sigma^{*m}} (V_1 \dots, V_{\bar{n}}, W_1, \dots, W_{\bar{m}}) GOTO A_1 \right]$$

We will write this macro as  $[IF (V_1, \dots, V_{\bar{n}}, W_1, \dots, W_{\bar{m}}) \in S GOTO A_1]$ . Of course, this macro is only valid when  $S$  is a  $\Sigma$ -computable set.

**Theorem 32** *In Godel's paradigm,  $S$  is  $\Sigma$ -p.r. iff it is the domain of a  $\Sigma$ -p.r. function. This statement does not hold in von Neumann's paradigm. There are sets that are domains of  $\Sigma$ -computable functions that are not  $\Sigma$ -computable themselves.*

## 7 Paradigm battles

### 7.1 Neumann triumphs over Godel

**Theorem 33** *If  $h$  is  $\Sigma$ -recursive then it is  $\Sigma$ -computable.*

A corollary is that every  $\Sigma$ -recursive function has a corresponding macro.

**Theorem 34** *If  $S_1, S_2 \subseteq \omega^n \times \Sigma^{*m}$  are  $\Sigma$ -enumerable, then  $S_1 \cup S_2$  is  $\Sigma$ -enumerable.*

**Proof.** Via assumption there are  $F : \omega \mapsto \omega^n \times \Sigma^{*m}, G : \omega \mapsto \omega^n \times \Sigma^{*m}$  s.t.  $F_{(i)}, G_{(i)}$  are  $\Sigma$ -computable for every  $i$  and  $Im_F = S_1, Im_G = S_2$ .  $\therefore F_{(i)}, G_{(i)}$  have associated macros.

$\lambda x [x \text{ is even}]$  is  $\Sigma$ -p.r.  $\therefore$  it is  $\Sigma$ -computable.  $\therefore \lambda x [x \text{ is even}]$  has an associated macro.  $\therefore$  There is an IF macro which checks if a variable holds an even number.

$\lambda x [x/2]$  is  $\Sigma$ -p.r.  $\therefore$  it is  $\Sigma$ -p.r.  $\therefore$  it has an associated macro.

Using the macros above, one can write a program  $\mathcal{P} \in Pro^\Sigma$  that does the following:

If the input  $N_1$  is even, set  $N_1$  to its integer quotient by two and then let  $N_1 = F_1(N_1), \dots, N_n = F_n(N_n)$ .  
If the input  $N_1$  is odd, set  $N_1$  to its integer quotient by two minus one and then let  $N_1 = G_1(N_1), \dots, N_n = G_n(N_n)$ .

It is easy to see that this satisfies the theorem of the **Enumerable sets** section of the von Neumann paradigm.

**Theorem 35** *If  $S \subseteq \omega^n \times \Sigma^{*m}$  is  $\Sigma$ -computable, then  $S$  is enumerable.*

**Proof.** Assume  $S \subseteq \omega^n \times \Sigma^{*m}$  is  $\Sigma$ -computable.  $\therefore \frac{n,m}{S}$  is  $\Sigma$ -recursive and has an associated macro.

Recall that  $pr : \mathbb{N} \mapsto \omega$  is  $\Sigma$ -p.r.  $\therefore pr$  is  $\Sigma$ -recursive and has an associated macro. The same is true of  $\leq^*$ , since its definition involves only string concatenations and string exponentiations (which are  $\Sigma$ -p.r.) on two mutually exclusive cases.

Let

$$\mathcal{F} : [1, m] \times \mathbb{N} \mapsto \omega \cup \Sigma^*$$

$$(x, i) \mapsto \begin{cases} (x)_i & 1 \leq i \leq n \\ *^{\leq} ((x)_i) & n+1 \leq i \leq m \end{cases}$$



The program  $\mathcal{P}_i$  with input  $x, i$  defined as

```

       $[N_2 \leftarrow pr(N_2)]$ 
 $L_1$    $[IF \neg(N_2 \mid N_1) GOTO L_2]$ 
       $N_3 \leftarrow N_3 + 1$ 
       $[N_2 \leftarrow N_2 \times N_2]$ 
       $GOTO L_1$ 
 $L_2$    $[IF 1 \leq N_1 \leq m GOTO L_3]$ 
       $[N_1 \leftarrow *^{\leq}(N_3)]$ 
       $GOTO L_{100}$ 
 $L_3$    $N_1 \leftarrow N_3$ 
 $L_{100}$   $SKIP$ 

```

when  $n + 1 \leq i \leq m$  computes  $\mathcal{F}$ .  $\therefore$  There is a macro for  $\mathcal{F}$ .

Let  $u = n + m + 1$  and  $\mathcal{P}$  the following program:

```

       $N\bar{u} \leftarrow N_1$ 
       $[N_1 \leftarrow \mathcal{F}(N\bar{u}, 1)]$ 
       $[N_2 \leftarrow \mathcal{F}(N\bar{u}, 2)]$ 
       $\vdots$ 
       $[P_{\bar{m}} \leftarrow \mathcal{F}(N\bar{u}, n + m)]$ 
       $[IF \chi_s^{n,m}(N_1, \dots, N_{\bar{n}}, P_{\bar{m}}) GOTO L_{100}]$ 
 $L_0$    $GOTO L_0$ 
 $L_{100}$   $SKIP$ 

```

*a.* For any  $x \in \omega$ ,  $\mathcal{P}$  halts at a state with instantaneous description  $[[x_1, \dots, x_n, \alpha_1, \dots, \alpha_m]]$  with  $(x_1, \dots, x_n, \alpha_1, \dots, \alpha_n) \in S$ ; *b.* for any  $(\vec{x}, \vec{\alpha}) \in S$  there is some  $x \in \omega$  s.t.  $\mathcal{P}$  halts at  $[[\langle \vec{x}, \vec{\alpha} \rangle]]$  when starting from  $x$ .  $\therefore \mathcal{P}$  enumerates  $S$ .

**Problem 42** Prove that, if  $S \subseteq \Sigma^*$  is  $\Sigma$ -enumerable, then

$$T = \{\alpha \in \Sigma^* : \exists \beta \in S : \alpha \text{ is subchain of } \beta\}$$

is  $\Sigma$ -enumerable.

Let  $\mathcal{F} : \omega \mapsto \Sigma^*$  denote the function which enumerates  $S$ , which is  $\Sigma$ -computable and has a macro. The key to the problem is to observe that, per each  $\alpha \in S$ , there are  $|\alpha| - 1$  subchains of  $\alpha$  in  $T$ . Thus, for  $\mathcal{F}(i)$  we want to enumerate  $|\mathcal{F}(i)| - 1$  subchains.

I provide a program that accomplishes this and then illustrate its operation on a finite alphabet. It is easy to verify that the program enumerates  $T$  and that this enumeration holds for infinite alphabets as well.

Let  $\mathcal{P}$  with input  $x \in \omega$  be

```

[P1 ←  $\mathcal{F}(N_3)$ ]
[N50 ←  $|\mathcal{F}(N_3)|$ ]
L1 [IF N1 ≥ N50 GOTO L2]
      N10 ← N50 − N1
      N10 ← N10 − 1
L11 [P1 ←  $\frown P_1$ ]
      N10 ← N10 − 1
      [IF N10 = 0 GOTO L100]
      GOTO L11
L2  N3 ← N3 + 1
      [P1 ←  $\mathcal{F}(N_3)$ ]
      [N50 ← N50 +  $|P_1|$ ]
      GOTO L1
L100 SKIP

```

*Example.* Let  $S = \{abab, bba, bbba, abb\}$ . Then the program can be illustrated as follows:

$$\begin{array}{l}
\overbrace{N_{50} \leq N_1} \\
x = 0 \mid \overbrace{0 \leq 4} \mid N_{10} = 3 \mapsto a \\
x = 1 \mid 1 \leq 4 \mid N_{10} = 2 \mapsto ab \\
x = 2 \mid 2 \leq 4 \mid N_{10} = 1 \mapsto aba \\
x = 3 \mid 3 \leq 4 \mid N_{10} = 0 \mapsto aba
\end{array}$$

When  $x = 4$ , we have  $N_{50} \geq N_1$  and so  $P_1$  becomes  $bba$ ,  $N_{50}$  becomes  $4 + 3 = 7$  and

$$\begin{array}{l}
N_{50} \leq N_1 \\
x = 4 \mid \overbrace{4 \leq 7} \mid N_{10} = 2 \mapsto b \\
x = 5 \mid 5 \leq 7 \mid N_{10} = 1 \mapsto bb \\
x = 6 \mid 6 \leq 7 \mid N_{10} = 0 \mapsto bb
\end{array}$$

When  $x = 7$ , the  $L_2$  case is met twice, and so  $P_1 = bbba$ ,  $N_{50} = 4 + 3 + 4 = 11$  and

$$\begin{array}{l}
N_{50} \leq N_1 \\
x = 7 \mid \overbrace{7 \leq 11} \mid N_{10} = 3 \mapsto b \\
x = 8 \mid 8 \leq 11 \mid N_{10} = 2 \mapsto bb \\
x = 9 \mid 9 \leq 11 \mid N_{10} = 1 \mapsto bbb \\
x = 10 \mid 10 \leq 11 \mid N_{10} = 0 \mapsto bbbb
\end{array}$$

etc. Thus, the program enumerates  $S$  and, per each  $\alpha \in S$ , it enumerates the substrings which make up  $\alpha$ . The program is s.t.

$$\begin{array}{l}
0 \mapsto \text{The smallest substring of } \mathcal{F}(0) \\
1 \mapsto \text{The next smallest substring of } \mathcal{F}(0) \\
2 \mapsto \text{The next smallest substring of } \mathcal{F}(0) \\
3 \mapsto \text{The next smallest of } \mathcal{F}(0) \\
4 \mapsto \text{The smallest substring of } \mathcal{F}(1) \\
5 \mapsto \text{The next smallest } \mathcal{F}(1) \\
6 \mapsto \text{The next smallest substring of } \mathcal{F}(1) \\
\vdots
\end{array}
\left. \vphantom{\begin{array}{l} 0 \mapsto \text{The smallest substring of } \mathcal{F}(0) \\ 1 \mapsto \text{The next smallest substring of } \mathcal{F}(0) \\ 2 \mapsto \text{The next smallest substring of } \mathcal{F}(0) \\ 3 \mapsto \text{The next smallest of } \mathcal{F}(0) \end{array}} \right\} 0 \leq x \leq |\mathcal{F}(0)|$$

$$\left. \vphantom{\begin{array}{l} 4 \mapsto \text{The smallest substring of } \mathcal{F}(1) \\ 5 \mapsto \text{The next smallest } \mathcal{F}(1) \\ 6 \mapsto \text{The next smallest substring of } \mathcal{F}(1) \end{array}} \right\} |\mathcal{F}(0)| \leq x \leq |\mathcal{F}(0)\mathcal{F}(1)|$$

## 7.2 Godel triumphs over Neumann

The syntax of  $\mathcal{S}^\Sigma$  is  $(\Sigma \cup \Sigma_p)$ -recursive. Recall that  $S : Num^* \mapsto Num!^*$  was the (symbolic) successor satisfying  $S(\bar{n}) = \overline{n+1}$ . In particular,  $S(\varepsilon) = 1$ ,  $S(\alpha 0) = \alpha 1$ ,  $S(\alpha 2) = \alpha 3$ ,  $\dots$ ,  $S(\alpha 9) = S(\alpha)0$ . It is obvious that the function is  $Num$ -p.r. The same can be shown of  $- : \omega \mapsto Num^*$ .

**Theorem 36** *Let  $\Sigma$  an arbitrary alphabet. Then  $S$  and  $-$  are  $(\Sigma \cup \Sigma_p)$ -p.r.*

Recall that  $Ins^\Sigma$  is the set of instructions in  $\mathcal{S}^\Sigma$ . It can be proven that this set is the union of a series of  $(\Sigma \cup \Sigma_p)$ -p.r. sets.

**Theorem 37** *Let  $\Sigma$  an arbitrary alphabet. Then  $Ins^\Sigma$  is  $(\Sigma \cup \Sigma_p)$ -p.r.*

The following three functions contain all the information relevant to  $\mathcal{S}^\Sigma$ . Assuming  $n, m \in \omega$  are fixed,

$$\begin{aligned} i^{n,m} \omega \times \omega^n \times \Sigma^{*m} xPro^\Sigma &\mapsto \omega \\ E_{\#}^{n,m} \omega \times \omega^n \times \Sigma^{*m} xPro^\Sigma &\mapsto \omega^{[\mathbb{N}]} \\ E_*^{n,m} \omega \times \omega^n \times \Sigma^{*m} xPro^\Sigma &\mapsto \Sigma^{*[\mathbb{N}]} \end{aligned}$$

For brevity, let  $f^{n,m}(t, \vec{x}, \vec{\alpha}, \mathcal{P}) = f(t)$  for  $f \in \{i^{n,m}, E_{\#}^{n,m}, E_*^{n,m}\}$ —this is, let as assume fixed  $\vec{x}, \vec{\alpha}, \mathcal{P}$ . Then

$$\begin{aligned} (i^{n,m}(0), E_{\#}^{n,m}(0), E_*^{n,m}(0)) &= (1, (x_1, \dots, x_n, 0, \dots), (\alpha_1, \dots, \alpha_n, 0, \dots)) \\ (i^{n,m}(t+1), E_{\#}^{n,m}(t+1), E_*^{n,m}(t+1)) &= S_{\mathcal{P}}(i^{n,m}(t), E_{\#}^{n,m}(t), E_*^{n,m}(t)) \end{aligned}$$

It is clear that  $(i^{n,m}(t, \vec{x}, \vec{\alpha}, \mathcal{P}), E_{\#}^{n,m}(t, \vec{x}, \vec{\alpha}, \mathcal{P}), E_*^{n,m}(t, \vec{x}, \vec{\alpha}, \mathcal{P}))$  is the instantaneous description of  $\mathcal{P}$  after  $t$  steps starting from  $[[\vec{x}, \vec{\alpha}]]$ .

The  $E$  functions are not  $(\Sigma \cup \Sigma_p)$ -mixed, but if we define  $E_{\varphi j}^{n,m}$ , with  $\varphi \in \{*, \#\}$ , as the  $j$ th coordinate of  $E_{\varphi}^{n,m}$ , we find that  $E_{\varphi j}^{n,m}$  is  $(\Sigma \cup \Sigma_p)$ -mixed.

**Theorem 38** *The functions  $i^{n,m}, E_{\varphi j}^{n,m}$  are  $(\Sigma \cup \Sigma_p)$ -mixed functions.*

Given  $n, m \in \omega$ , we define

$$Halt^{n,m} = \lambda t \vec{x} \vec{\alpha} \mathcal{P} [i^{n,m}(t, \vec{x}, \vec{\alpha}, \mathcal{P}) = n(\mathcal{P}) + 1]$$

Observe that  $\mathcal{D}_{Halt} = \omega \times \omega^n \times \Sigma^{*m} \times Pro^\Sigma$ .

**Theorem 39**  *$Pro^\Sigma$  is a  $(\Sigma \cup \Sigma_p)$ -p.r. set and  $n(\mathcal{P}), I_j^{\mathcal{P}}$  are  $(\Sigma \cup \Sigma_p)$ -p.r. functions.*

**Theorem 40** *The Halt function is  $\Sigma$ -p.r.*

**Proof.** Observe that

$$Halt^{n,m} = \lambda xy [x = y] \circ \left[ i^{n,m}, \lambda \mathcal{P} [n(\mathcal{P})] \circ p_{n+m+2}^{1+n, m+1} \right]$$

We define  $T^{n,m} = M(\text{Halt}^{n,m})$ . Observe that

$$\mathcal{D}_T^{n,m} = \{(\vec{x}, \vec{\alpha}, \mathcal{P}) \in \omega^n \times \Sigma^{*m} : \mathcal{P} \text{ halts when starting from } \llbracket (\vec{x}, \vec{\alpha}) \rrbracket\}$$

**Theorem 41**  $T^{n,m}$  is  $(\Sigma \cup \Sigma_p)$ -recursive but it is not  $(\Sigma \cup \Sigma_p)$ -p.r.

The previous theorem follows from the fact that  $T^{n,m}$  is a minimization over a  $\Sigma$ -p.r. predicate.

**Theorem 42** If  $f$  is a  $\Sigma$ -computable  $\Sigma$ -mixed function, then  $f$  is  $\Sigma$ -recursive.

The previous theorem states that any function computable in von Neumann's paradigm is computable in Godel's paradigm.

**Proof.** The proof consists in showing that  $f$  is  $(\Sigma \cup \Sigma_p)$ -recursive. Then, due to the alphabet independence of recursion, it is  $\Sigma$ -recursive.

We define  $\Phi_{\#}^{n,m} := \lambda \vec{x} \vec{\alpha} \mathcal{P} \left[ \Psi_{\mathcal{P}}^{n,m,\#}(\vec{x}, \vec{\alpha}) \right]$ . The domain of the function is consists thus in all  $(\vec{x}, \vec{\alpha}, \mathcal{P})$  s.t.  $(\vec{x}, \vec{\alpha}) \in \mathcal{D}_{\Psi_{\mathcal{P}}^{n,m,\#}}$ . We can think of this as the *execution function*, which takes some input and a program and returns the value of that program when executed on that input.

We similarly define  $\Phi_{\mathcal{P}}^{n,m,*}$ .

**Theorem 43** The functions  $\Phi_{\mathcal{P}}^{n,m,\#}, \Phi_{\mathcal{P}}^{n,m,*}$  are  $(\Sigma \cup \Sigma_p)$ -recursive.

**Proof.** Observe that  $\mathcal{D}_{T^{n,m}} = \mathcal{D} = \Phi_{\mathcal{P}}^{n,m,\#}$ . For any  $(\vec{x}, \vec{\alpha}, \mathcal{P}) \in \mathcal{D}_{T^{n,m}}$  we have

$$\Phi_{\mathcal{P}}^{n,m,\#} = E_{\#1}^{n,m} (T^{n,m}(\vec{x}, \vec{\alpha}, \mathcal{P}), \vec{x}, \vec{\alpha}, \mathcal{P})$$

$$\text{which implies } \Phi_{\mathcal{P}}^{n,m,\#} = E_{\#1}^{n,m} \circ \left[ T^{n,m}, p_1^{n,m+1}, \dots, p_{n+m+1}^{n,m+1} \right].$$

$$\therefore \Phi_{\mathcal{P}}^{n,m,\#} \text{ is } (\Sigma \cup \Sigma_p)\text{-p.r.}$$

An important consequence of this theorem is that any  $\Sigma$ -computable function is  $\Sigma$ -recursive. From this follows an interesting theorem.

**Theorem 44** If  $f : \mathcal{D}_f \subseteq \omega^n \times \Sigma^{*m} \mapsto \varphi$ , with  $\varphi = \omega$  or  $\varphi = \Sigma^*$ , then there is a  $\Sigma$ -p.r. predicate  $P : \mathbb{N} \times \omega^n \times \Sigma^{*m} \mapsto \omega$  and a  $\Sigma$ -p.r. function  $g : \mathbb{N} \mapsto \varphi$  s.t.  $f = g \circ M(p)$ .

Informally, the theorem establishes that any recursive function is some transformation  $g$  of the minimal natural number which satisfies some predicate  $P$ . The proof is straightforward. Let  $\mathcal{P}_0$  a program which computes  $f$  and  $\leq$  an order over  $\Sigma$ . Then

$$P := \lambda t \vec{x} \vec{\alpha} \left[ \text{Halt}^{n,m}((t)_1, \vec{x}, \vec{\alpha}, \mathcal{P}_0) \wedge (t)_2 = \#^{\leq} (E_{*1}^{n,m}((t)_1, \vec{x}, \vec{\alpha}, \mathcal{P}_0)) \right]$$

Now let  $g := \lambda t \left[ *^{\leq}((t)_2) \right]$

Both  $P$  and  $g$  are  $\Sigma$ -p.r. and evidently  $f = g \circ M(P)$ .

### 7.3 Interacting programs

Using the results of the previous section, we can construct programs that depend on other programs. This is illustrated with an example. Say  $\Sigma \{ @, + \}$  and  $\mathcal{P}_0 \in \text{Pro}^\Sigma$  is s.t.  $0 \in \mathcal{D}_{\Psi_{\mathcal{P}_0}^{1,0,\#}}$  with  $\Psi_{\mathcal{P}_0}^{1,0,\#}(0) = 2$ . We will show

$$S = \left\{ x \in \mathcal{D}_{\Psi_{\mathcal{P}_0}^{1,0,\#}} : \Psi_{\mathcal{P}_0}^{1,0,\#}(x) \neq 0 \right\}$$

is  $\Sigma$ -enumerable.

The program will do the following. For each input  $x$ , it will test whether  $\mathcal{P}_0$  halts when starting from  $[(x)_1]$  in  $(x)_2$  steps. If it doesn't it will simply return zero (since  $0 \in S$ ). If it does, it will compute  $\mathcal{P}_0$  starting from  $[(x)_1]$ ; if the output is not zero, it will return it. If it is zero, it will return 0.

Of course, the program will make use of the  $\text{Halt}^{1,0}$  function, which is  $\Sigma$ -recursive and thus has an associated macro. It will also use  $E_j^{1,0}$  to retrieve the output of  $\mathcal{P}_0$  after  $(x)_2$  steps. This function is also  $\Sigma$ -p.r. and thus it also has an associated macro. The program is

```

      IF  $N_1 \neq 0$  GOTO  $L_1$ 
      GOTO  $L_2$ 
 $L_1$    $[N_3 \leftarrow (N_1)_1]$ 
       $[N_4 \leftarrow (N_1)_2]$ 
       $[IF Halt^{1,0}(N_4, N_3, \mathcal{P}_0) GOTO L_3]$ 
      GOTO  $L_2$ 
 $L_3$    $[N_5 \leftarrow E_1^{1,0}(N_4, N_3, \mathcal{P}_0)]$ 
       $[IF N_5 = 0 GOTO L_2]$ 
       $N_1 \leftarrow N_5$ 
      GOTO  $L_4$ 
 $L_2$    $N_1 \leftarrow 0$ 
 $L_4$   SKIP

```

Thus, a program may use another program to operate.

**Theorem 45** *If  $S$  a  $\Sigma$ -mixed set then these statements are equivalent:*

- (1)  $S$  is  $\Sigma$ -enumerable
- (2)  $S = I_F$  for  $F : \mathcal{D}_F \subseteq \omega^n \times \Sigma^{*m} \mapsto S$  s.t.  $F_{(i)}$  is  $\Sigma$ -computable for each  $i = 1, \dots, n+m$ .
- (3)  $S$  is the domain of a  $\Sigma$ -computable function.

**Proof.** (1)  $\Rightarrow$  (2) Assume  $S$  is  $\Sigma$ -enumerable. Then there are programs  $\mathcal{P}_1, \dots, \mathcal{P}_{n+m}$  s.t.  $S = \text{Image of } [\Psi_{\mathcal{P}_1}^{n,m,\#}, \dots, \Psi_{\mathcal{P}_{n+m}}^{n,m,*}]$ . Let  $f = [\Psi_{\mathcal{P}_1}^{n,m,\#}, \dots, \Psi_{\mathcal{P}_{n+m}}^{n,m,*}]$ . ■

(2)  $\Rightarrow$  (3) Assume  $S = I_F$  with  $F$  a function satisfying the specifications of the theorem. Let  $\mathcal{P}_i \in \text{Pro}^\Sigma$  denote the program that computes  $F_{(i)}$ . It is possible to construct a program that, from an initial state  $[(\vec{x}, \vec{\alpha})]$ , does the following:

- (0) Let  $N_{n+1} \leftarrow 1$ .
- (1) Evaluate whether each  $\mathcal{P}_i$  with initial state

$$[(x_{n+1})_1, \dots, (x_{n+1})_n, (*^\leq(\alpha_{m+1}))_1, \dots, (*^\leq(\alpha_{m+1}))_m]$$

halts in  $t = (x_{n+1})_{n+1}$  steps.

- (2) If the programs halt, proceed to step (3). Else let  $N_1 \leftarrow N_1 + 1$  and return to (1).
- (3) Let  $N_{n+i}$  store the output of  $\mathcal{P}_i$  for  $1 \leq i \leq n$ , and  $P_{m+i}$  store the output of  $\mathcal{P}_i$  for  $n+1 \leq i \leq m$ .
- (4) If  $N_{n+i} = N_i \wedge P_{m+i} = P_i$  for all  $1 \leq n+m$  return 1. Else loop forever.

The reader may implement this program in the  $\mathcal{S}^\Sigma$  language using the *Halt* function. The program attempts to (and must eventually succeed at) generating an element of  $S$  using the  $F_{(1)}, \dots, F_{(n+m)}$  functions. It then compares whether the values of the input state are equal to the generated element of  $S$ . If this is true, then it outputs 1. Otherwise it loops forever. It is evident that the program computes  $(\lambda \vec{x} \vec{\alpha} [1])|_S$ . ■

(3)  $\Rightarrow$  (1) Assume  $S$  is the domain of a  $\Sigma$ -computable function  $f$ . One can construct a program that does the following: Given a fixed  $w \in S$  and a starting state  $[[x]]$ ,

- (0) If  $N_1 = 0$  set  $N_1 \leftarrow w$  and finish.
- (1) Check if  $\mathcal{P}_f$  halts starting from

$$[[ (N_1)_1, \dots, (N_1)_n, *^{\leq} ((N_1)_{n+1}), \dots, *^{\leq} ((N_1)_{n+m}) ]]$$

in  $(N_1)_{n+m+1}$  steps. If it does not, set  $N_1 \leftarrow N_1 + 1$  and go to (1); else continue.

- (2) Set  $N_1, \dots, N_n, P_1, \dots, P_m$  so that the final state is

$$[[ (N_1)_1, \dots, (N_1)_n, *^{\leq} ((N_1)_{n+1}), \dots, *^{\leq} ((N_1)_{n+m}) ]]$$

and finish.

This program is easy to implement in  $\mathcal{S}^\Sigma$ . It is evident that it enumerates  $\mathcal{D}_f = S$ .

**Problem 43** Let  $\Sigma = \{ @, + \}$  and  $f : \mathcal{D}_f \subseteq \Sigma^* \mapsto \omega$  a  $\Sigma$ -computable function s.t.  $f(\varepsilon) = 1$ . Let

$$L = \{ \alpha \in \mathcal{D}_f : f(\alpha) = 1 \}$$

Provide a program  $Q \in \text{Pro}^\Sigma$  that enumerates  $L$ .

Let  $\mathcal{P} \in \text{Pro}^\Sigma$  be the program that computes  $f$ . Our program  $Q$ , given a starting state  $[[x]]$ , may operate as follows:



- (0) Check if the input is zero; if it is return  $\varepsilon$ . (This is important because we will generate strings with the  $*^{\leq}$  function, which never maps to  $\varepsilon$ —without this condition our program would never enumerate  $\varepsilon$ !) (1) Compute  $\beta := *^{\leq}((x)_1), (x)_2$ .  
 (2) If  $\mathcal{P}$  halts in  $(x)_2$  steps starting from  $\beta$ , compute it and go to next step; else return  $\varepsilon$  (since  $\varepsilon \in L$ ).  
 (3) If the output of  $\mathcal{P}$  was 1 return  $\beta$ ; else return  $\varepsilon$ .

```

[IF  $N_1 = 0$  GOTO  $L_2$ ]
[ $P_1 \leftarrow *^{\leq}((N_1)_1)$ ]
[ $N_1 \leftarrow (N_1)_2$ ]
[IF  $\text{Halt}^{0,1}(N_1, P_1, \mathcal{P})$  GOTO  $L_1$ ]
GOTO  $L_2$ 
 $L_1$  [ $N_2 \leftarrow E_{*1}^{0,1}(N_1, P_1, \mathcal{P})$ ]
      [IF  $N_2 = 1$  GOTO  $L_{10}$ ]
 $L_2$   $P_1 \leftarrow \epsilon$ 
 $L_{10}$  SKIP

```

**Problem 44** Let  $\Sigma = \{ @, + \}$  and  $\mathcal{P}_0 \in \text{Pro}^\Sigma$ . Show that

$$S = \left\{ (x, \alpha) : \Psi_{\mathcal{P}_0}^{1,0,\#}(x) = \Psi_{\mathcal{P}_0}^{0,1,\#}(\alpha) \right\}$$

is  $\Sigma$ -enumerable.

The program may operate as follows. Given an initial state  $[[x]]$ ,

- (1) Find  $(x)_1, (x)_2$ .  
 (2) Evaluate whether  $\mathcal{P}$  halts in  $(x)_2$  steps from  $[(x)_1]$ . If it does not, let  $x = x + 1$  and return to (1). If it does go to (3).  
 (3) Find  $\alpha = *^{\leq}((x)_3), (x)_4$ .  
 (4) Evaluate whether  $\mathcal{P}$  halts in  $(x)_4$  steps from  $[\alpha]$ . If it doesn't let  $x = x + 1$  and to (1); if it does go to (5).  
 (5) Check whether the computation of  $\mathcal{P}$  from  $[(x)_1]$  is the same as the computation of  $\mathcal{P}$  from  $[\alpha]$ . If it is not, let  $x = x + 1$  and go to (1), else go to (6).  
 (6) Set  $N_1 \leftarrow (x)_1, P_1 \leftarrow \alpha$ .

$L_0$   $[N_{11} \leftarrow (N_1)_1]$   
 $[N_{12} \leftarrow (N_1)_2]$   
 $[IF Halt^{1,0}(N_{12}, N_{11}, \mathcal{P}) GOTO L_1]$   
 $N_1 \leftarrow N_1 + 1$   
 $GOTO L_0$   
 $L_1$   $[P_{11} \leftarrow *^{\leq}((N_1)_3)]$   
 $[N_{14} \leftarrow (N_1)_4]$   
 $[IF Halt^{0,1}(N_{14}, P_{11}, \mathcal{P}) GOTO L_2]$   
 $N_1 \leftarrow N_1 + 1$   
 $GOTO L_0$   
 $L_2$   $[IF E_{\#1}^{1,0}(N_{12}, N_{11}, \mathcal{P}) = E_{\#1}^{0,1}(N_{14}, P_{11}, \mathcal{P}) GOTO L_3]$   
 $N_1 \leftarrow N_1 + 1$   
 $GOTO L_0$   
 $L_4$   $N_1 \leftarrow N_{11}$   
 $P_1 \leftarrow P_{11}$

**Problem 45** If  $S \subseteq \omega$  and  $f : S \mapsto \omega$  is  $\Sigma$ -computable, then

$$W = \{x \in S : x \text{ is even} \wedge x/2 \in S \wedge f(x) = f(x/2)\}$$

is  $\Sigma$ -enumerable.

There is some  $\mathcal{P}_f \in \text{Pro}^\Sigma$  that computes  $f$ .  $\therefore S$  is  $\Sigma$ -enumerable via some  $\mathcal{P}_S \in \text{Pro}^\Sigma$ . Let  $w \in W$  an arbitrary element.

```

[IF  $N_1 = 0$  GOTO  $L_{666}$ ]
 $N_2 \leftarrow (N_1)_1$ 
 $N_3 \leftarrow (N_1)_2$ 
 $N_4 \leftarrow \mathcal{P}_S(N_2)$ 
 $N_5 \leftarrow \mathcal{P}_S(N_3)$ 
[IF  $N_4$  is odd GOTO  $L_{666}$ ]
[IF  $\neg(N_5 = N_4/2)$  GOTO  $L_{666}$ ]
[IF  $f(N_5) \neq f(N_4)$  GOTO  $L_{666}$ ]
 $N_1 \leftarrow N_2$ 
GOTO  $L_1$ 
 $L_{666}$   $[N_1 \leftarrow w]$ 
 $L_1$  SKIP

```

The program starting from  $[[x]]$  generates two elements of  $s_1, s_2 \in S$  using  $(x)_1, (x)_2$ —unless  $x = 0$ , where it just outputs  $w$ . If  $s_1$  is even, and  $s_2 = s_1/2$ , and  $f(s_1) = f(s_2)$ , it outputs  $s_1$ . Else it outputs  $w$ . It is clear that the program enumerates  $W$ .

**Problem 46** Let  $\Sigma = \{ @, + \}$  and  $\mathcal{P}_0 \in \text{Pro}^\Sigma$ . Prove that

$$W = \{(x, \alpha, \beta) \in \omega \times \Sigma^* \times \Sigma^* : \mathcal{P}_0 \text{ halts from } [[x, \alpha, \beta]]\}$$

is  $\Sigma$ -enumerable.

Observe that  $W = \mathcal{D}_f$  where  $f = \Psi_{\mathcal{P}_0}^{1,2,\#}$ . We have already proven that the domain of a  $\Sigma$ -computable function is  $\Sigma$ -enumerable. Then  $W$  is  $\Sigma$ -enumerable.

If the program is still desired, let  $(w, \alpha, \beta) \in \mathcal{D}_f$  an arbitrary element of the domain of  $f$ . Then

```

[IF  $N_1 = 0$  GOTO  $L_{666}$ ]
 $N_2 \leftarrow (N_1)_1$ 
 $P_1 \leftarrow *^{\leq}((N_1)_2)$ 
 $P_2 \leftarrow *^{\leq}((N_1)_3)$ 
 $N_3 \leftarrow (N_1)_4$ 
[IF  $\neg \left( \text{Halt}^{1,2}(N_3, N_2, P_1, P_2, \mathcal{P}_f) \right)$  GOTO  $L_{666}$ ]
 $N_1 \leftarrow N_2$ 
GOTO  $L_1$ 
 $L_{666}$   $N_1 \leftarrow w$ 
 $P_1 \leftarrow \alpha$ 
 $P_2 \leftarrow \beta$ 
 $L_1$  SKIP

```

enumerates  $W$ .

**Problem 47** Let  $\Sigma = \{ @, + \}$  and  $\mathcal{P}_0 \in \text{Pro}^\Sigma$ . Let

$$L = \left\{ \alpha \in \Sigma^* : (\exists x \in \mathbb{N}) \Psi_{\mathcal{P}_0}^{1,1,\#}(x^2, \alpha) = \Psi_{\mathcal{P}_0}^{0,2,\#}(\alpha, \alpha) \right\}$$

Provide a program  $\mathcal{P} \in \text{Pro}^\Sigma$  s.t.  $\mathcal{D}_{\Psi_{\mathcal{P}}^{1,0,*}} = \omega$  and  $\mathcal{I}_{\Psi_{\mathcal{P}}^{1,0,*}} = L$ .

Let  $f = \Psi_{\mathcal{P}_0}^{1,1,\#}$ ,  $g = \Psi_{\mathcal{P}_0}^{0,2,\#}$ , which have associated macros by virtue of being  $\Sigma$ -computable.  $L$  is the set of strings  $\alpha \in \Sigma^*$  s.t. some  $x \in \mathbb{N}$  satisfies  $f(x^2, \alpha) = g(\alpha, \alpha)$ . Given an arbitrary  $\varphi \in L$  and a starting state  $[[x]]$  we can construct  $\mathcal{P}$  as follows:

- (0) If  $N_1 = 0$  return  $\varphi$  and finish.
- (1) Let  $N_1 \leftarrow (N_1)_1, P_1 \leftarrow *^{\leq}((N_1)_2)$ .
- (2) Let  $N_3 \leftarrow (N_1)_3, N_4 \leftarrow (N_1)_4$ .
- (3) Evaluate whether  $\mathcal{P}_0$  halts with input  $[[N_1^2, P_1]]$  in  $N_3$  steps. Evaluate whether  $\mathcal{P}_0$  halts with input  $[[P_1, P_1]]$  in  $N_4$  steps. If both are true continue, else set  $P_1 \leftarrow \varphi$  and finish.
- (4) Evaluate whether  $f(N_1^2, P_1) = g(P_1, P_1)$ . If false, set  $P_1 \leftarrow \varphi$  and finish. Else continue.
- (5) Finish.

Since  $((x)_1, *^{\leq}((x)_2), (x)_3, (x)_4)$  over  $x = 1, 2, \dots$  explores all possible tuples  $(x, \alpha, t_1, t_2) \in \omega \times \Sigma^* \times \omega \times \omega$ , the program enumerates  $L$ .

Since every  $\mathcal{P} \in Pro^{\Sigma}$  is a word in  $\Sigma \cup \Sigma_p$ , we can enumerate sets of programs  $\mathcal{P} \subseteq (\Sigma \cup \Sigma_p)^*$ . The enumeration of a set of programs is no different to the enumeration of any set of words. For example, say we want to enumerate

$$\mathcal{P} = \left\{ \mathcal{P} \in Pro^{\Sigma} : \Psi_{\mathcal{P}}^{n,m,\#}(10) = 10 \right\}$$

Observe that  $SKIP \in (\Sigma \cup \Sigma_p)$  and the program  $\mathcal{P} = SKIP \in \mathcal{P}$ . Thus, an enumeration starting at  $\llbracket x \rrbracket$  can proceed as follows:

- (1) If  $x = 0$  let  $P_1 \leftarrow SKIP$  and finish.
- (2) Take  $\alpha = *^{\leq}((x)_1), \varphi = (x)_2$ .
- (3) If  $\alpha \in Pro^{\Sigma}$  and  $\mathcal{P}$  halts at a state  $\llbracket 10 \rrbracket$  when starting from  $\llbracket 10 \rrbracket$  and in  $\varphi$  steps, let  $P_1 \leftarrow \alpha$ . Else let  $P_1 \leftarrow SKIP$ .

## 7.4 Church's thesis

**Theorem 46** *If a  $\Sigma$ -mixed function  $f$  is  $\Sigma$ -Turing computable then it is  $\Sigma$ -recursive.*

**Theorem 47** *If a  $\Sigma$ -mixed function is  $\Sigma$ -computable then it is Turing-computable.*

In virtue of the aforementioned theorems, we have that

**Theorem 48** *The following statements are equivalent:*

- $f$  is  $\Sigma$ -computable
- $f$  is  $\Sigma$ -recursive
- $f$  is Turing-computable

The theorem above extends to set decidability and enumerability. Church's thesis is the following:

**Church's thesis.** Every  $\Sigma$ -effectively computable function is  $\Sigma$ -recursive.

A formal proof of this thesis has not been given, but there's consensus around that fact that it is most likely true.

## 8 Extending $\Sigma$ -recursion

We now extend the  $\Sigma$ -recursive paradigm with results which are easier to prove in the imperative paradigm.

**Theorem 49 (Case division)** Assume  $f_i : \mathcal{D}_{f_i} \subseteq \omega^n \times \Sigma^{*m} \mapsto \varphi$ , with  $\varphi = \omega$  or  $\varphi = \Sigma^*$  and  $i = 1, 2, \dots, k$ , are  $\Sigma$ -recursive functions. If  $\mathcal{D}_{f_i} \neq \mathcal{D}_{f_j}$  for any  $i \neq j, i, j \in [1, k]$ , then  $f_1 \cup \dots \cup f_k$  is  $\Sigma$ -recursive.

**Proof.** Let  $\mathcal{P}_1, \dots, \mathcal{P}_k \in \text{Pro}^\Sigma$  the programs that compute  $f_1, \dots, f_k$ . We define  $H_i = \lambda t \vec{x} \vec{\alpha} [Halt^{n,m}(t, \vec{x}, \vec{\alpha}, \mathcal{P}_i)]$ . Assume  $\varphi = \omega$ .

Since  $Halt^{n,m}$  is  $(\Sigma \cup \Sigma_p)$ -p.r. it is  $\Sigma$ -p.r. Then it is  $\Sigma$ -computable and has an associated *IF* macro. Then the (pseudo)program

$$\begin{array}{l}
 L_0 \quad : \overline{Nn+1} \leftarrow \overline{Nn+1} + 1 \\
 \quad \left[ IF Halt^{n,m} \left( \overline{Nn+1}, N_1, \dots, N_{\overline{n}}, P_1, \dots, P_{\overline{m}}, \mathcal{P}_1 \right) GOTO L_2 \right] \\
 \quad \vdots \\
 \quad \left[ IF Halt^{n,m} \left( \overline{Nn+1}, N_1, \dots, N_{\overline{n}}, P_1, \dots, P_{\overline{m}}, \mathcal{P}_k \right) GOTO L_2 \right] \\
 L_1 \quad [N_1 \leftarrow f_1(N_1, \dots, N_{\overline{n}}, P_1, \dots, P_{\overline{m}})] \\
 \quad GOTO \overline{Ln(\mathcal{P})} \\
 L_2 \quad [N_1 \leftarrow f_2(N_1, \dots, N_{\overline{n}}, P_1, \dots, P_{\overline{m}})] \\
 \quad GOTO \overline{Ln(\mathcal{P})} \\
 \quad \vdots \\
 \overline{Lk} \quad [N_1 \leftarrow f_k(N_1, \dots, N_{\overline{n}}, P_1, \dots, P_{\overline{m}})] \\
 \overline{Ln(\mathcal{P})} \quad SKIP
 \end{array}$$

Evidently the (pseudo) program computes  $f_1 \cup \dots \cup f_k$ . If  $\varphi = \Sigma^*$  the change that is to be done is trivial. ■

**Theorem 50** Let  $f : \mathcal{D}_f \subseteq \omega^n \times \Sigma^{*m} \mapsto \varphi$ , with  $\varphi = \omega$  or  $\varphi = \Sigma^*$ , a  $\Sigma$ -recursive function. If  $S \subseteq \mathcal{D}_f$  is  $\Sigma$ -recursively enumerable, then  $f|_S$  is  $\Sigma$ -recursive.

**Proof.** We will not provide the explicit program but will describe what it should do.

$S$  is  $\Sigma$ -recursively enumerable.  $\therefore$  it is  $\Sigma$ -enumerable.  $\therefore$  There are  $F_{(1)}, \dots, F_{(n+m)}$  s.t.  $S = \mathcal{I} [F_{(1)}, \dots, F_{(n+m)}]$  with  $F_{(i)}$   $\Sigma$ -enumerable for each  $i \in [1, n+m]$ .  $\therefore$  There is a macro for each  $F_{(i)}$ .

A program that from a starting state  $[[\vec{x}, \vec{\alpha}]]$

- (1) Generates an element of  $S$  using the macros of  $F_{(1)}, \dots, F_{(n+m)}$  from input variable  $\overline{Nn+1}$
- (2) If the coordinates of the generated element correspond to  $(\vec{x}, \vec{a})$ , compute  $f(\vec{x}, \vec{a})$  and return this computation. Else continue.
- (3) Let  $\overline{Nn+1} \leftarrow \overline{Nn+1} + 1$  and go back to (1)

Evidently, this program computes  $f|_S$ .

**Theorem 51** *Let  $S_1, S_2$  be  $\Sigma$ -recursive. Prove that  $S_1 \cap S_2, S_1 \cup S_2, S_1 - S_2$  are  $\Sigma$ -recursive.*

**Proof.** Complete.

**Theorem 52** *Let  $S \subseteq \omega^n \times \Sigma^{*m}$ . If  $S$  and  $\omega^n \times \Sigma^{*m} - S$  are  $\Sigma$ -recursively enumerable, then  $S$  is  $\Sigma$ -recursive.*

*Corollary.* *If  $S$  is  $\Sigma$ -recursively enumerable, then it isn't necessarily  $\Sigma$ -recursive.*

**Proof.**  $\chi_S^{\omega^n \times \Sigma^{*m}} = C_1^{n,m}|_S \cup C_0^{n,m}|_{\omega^n \times \Sigma^{*m} - S}$  is  $\Sigma$ -recursive and by hypothesis  $S, \omega^n \times \Sigma^{*m} - S$  are  $\Sigma$ -recursively enumerable.  $\therefore S$  is  $\Sigma$ -recursive.

**Problem 48** *Provide a proof of the following theorem in the imperative paradigm.*

**Theorem 53** *Let  $S \subseteq \omega^n \times \Sigma^{*m}$ . The following statements are equivalent.*

- (1)  $S$  is  $\Sigma$ -recursively enumerable.
- (2)  $S$  is the domain of a  $\Sigma$ -recursive function  $f$ .
- (3)  $S$  is the image of a function  $F : \mathcal{D}_f \subseteq \omega^n \times \Sigma^{*m} \mapsto S$  s.t. each  $F_{(i)}$  is  $\Sigma$ -recursive.

**Proof.** We have proven before this holds for the imperative paradigm. Via paradigm equivalence then this holds for the recursive paradigm.

## 8.1 The Halting problem

When  $\Sigma_p \subseteq \Sigma$ , we define

$$AutoHalt^\Sigma = \lambda \mathcal{P} \left[ (\exists t \in \omega) Halt^{0,1}(t, \mathcal{P}, \mathcal{P}) \right]$$

Evidently,  $AutoHalt^\Sigma \mapsto 1$  with input  $\mathcal{P}$  if  $\mathcal{P}$  halts when inputted to itself.

**Theorem 54**  *$AutoHalt^\Sigma$  is not  $\Sigma$ -effectively computable. This is, there exists no  $\Sigma$ -effectively computable program that determines if a program halts with itself as input.*

**Proof.** Assume  $AutoHalt$  is  $\Sigma$ -computable. Then we can make  $\mathcal{P}$

$$L_1 \quad [IF \ AutoHalt^\Sigma(P_1) \ GOTO \ L_1]$$

Let the starting state be  $[[\mathcal{P}]]$  itself. Observe that  $\mathcal{P}$  halts if  $\mathcal{P}$  does not halt, and if it does not halt then it halts.  $\perp$  This can be easily extended to effectively computable programs beyond the imperative paradigm.



## 9 Problems from final exams and the feared Tómbola

**Problem 49** If  $\leq$  is an order over  $\Sigma$  and  $|\Sigma| = n \geq 1$ , then

$$|\alpha| \leq x \iff \#^{\leq}(\alpha) \leq \sum_{i=0}^{x-1} nn^i$$

for whatever  $x \in \mathbb{N}$ ,  $\alpha \in \Sigma^*$ .

Before proving that the statement is true, consider this example.

Let  $\Sigma = \{w, z\}$  with  $w \leq z$ ; then the language list is

$$\underbrace{w, z}_{2 \text{ with } |\alpha| \leq 1}, \underbrace{ww, wz, zw, zz}_{2^2 \text{ with } |\alpha| \leq 2}, \underbrace{www, wwz, wzw, wzz, zww, zwz, zzw, zzz, \dots}_{2^3 \text{ with } |\alpha| \leq 3}, \dots$$

It is easy to see that this is generalizable, because there are always  $n^k$  words of length  $k$  in an alphabet of  $n$  symbols.

**Proof.** Any word of length  $k$  is a combination of  $k$  symbols from a set of  $n$  that can be drawn with repetition. There are  $n^k$  words of length  $k$ .

( $\Rightarrow$ ) Assume  $|\alpha| \leq x$ . There are  $n^1 + \dots + n^x$  words  $\varphi \in \Sigma^*$  s.t.  $|\varphi| \leq x$ , to each of which correspond a position  $\#^{\leq}(\varphi) \in \mathcal{W} := [1, \dots, n^1 + \dots + n^x]$ . Since  $\#^{\leq}(\alpha) \in \mathcal{W}$  and  $\max \mathcal{W} = n^1 + \dots + n^x$  we have  $\#^{\leq}(\alpha) \leq \sum_{i=1}^x n^i$ . ■

( $\Leftarrow$ ) Assume  $\#^{\leq}(\alpha) \leq n^1 + \dots + n^x$  for  $x \in \mathbb{N}$ .

Assume  $|\alpha| > x$ .

$\therefore \#^{\leq}(\alpha) > \#^{\leq}(\beta)$  for any  $\beta$  s.t.  $|\beta| \leq x$ . There are  $n^1 + \dots + n^x$  words  $\beta$  s.t.  $|\beta| \leq x$ . Then  $\#^{\leq}(\alpha) > n^1 + \dots + n^x$ , which is a contradiction.

$\therefore |\alpha| \leq x$ .

**Problem 50** Let  $\mathcal{P}_0 \in \text{Pro}^\Sigma$  and  $P$  be the predicate

$$\lambda t \vec{x} \vec{\alpha} [\text{Halt}^{n,m}(t, \vec{x}, \vec{\alpha}, \mathcal{P}_0) \wedge (t)_1 = E_{\#1}^{n,m}(t, \vec{x}, \vec{\alpha}, \mathcal{P}_0)]$$

Then  $\Psi_{\mathcal{P}_0}^{n,m,\#} = \lambda t [(t)_1] \circ M(P)$ .

Two functions are the same if their domains are equal and their ranges are equal.

(1)

$$\mathcal{D}_{\lambda t [(t)_1] \circ M(P)} = \mathcal{D}_{M(P)} = \{(\vec{x}, \vec{\alpha}) \in \omega^n \times \Sigma^{*m} : (\exists t \in \omega) P(t, \vec{x}, \vec{\alpha}) = 1\}$$

$$\mathcal{D}_{\Psi_{\mathcal{P}_0}^{n,m,\#}} = \{(\vec{x}, \vec{\alpha}) \in \omega^n \times \Sigma^{*m} : \mathcal{P}_0 \text{ halts with starting state } [[\vec{x}, \vec{\alpha}]]\}$$

Any  $(\vec{x}, \vec{\alpha}) \in \mathcal{D}_{M(P)}$  satisfies that  $\mathcal{P}_0$  halts starting from  $(\vec{x}, \vec{\alpha})$ ; this is,  $\mathcal{D}_{M(P)} \subseteq \mathcal{D}_{\Psi_{\mathcal{P}_0}^{n,m,\#}}$ . Now consider an arbitrary element  $(\vec{x}, \vec{\alpha}) \in \mathcal{D}_{\Psi_{\mathcal{P}_0}^{n,m,\#}}$ . We know  $\mathcal{P}_0$  halts at  $t$  steps, for some  $t \in \omega$ , when starting from  $[[\vec{x}, \vec{\alpha}]]$ . This means it halts at  $t + k$  steps for any  $k \in \mathbb{N}$ . Then, given that input  $(\vec{x}, \vec{\alpha})$ , there are infinitely many  $k \in \mathbb{N}$  s.t. the program halts at  $t + k$  steps, and thus there is some  $t' = t + k$  s.t. the output of the program is  $(t')_1$ . Then  $\mathcal{D}_{\Psi_{\mathcal{P}_0}^{n,m,\#}} \subseteq \mathcal{D}_{M(P)}$ .

$$\therefore \mathcal{D}_{M(P)} = \mathcal{D}_{\Psi_{\mathcal{P}_0}^{n,m,\#}}$$

(2) The value of  $M(P)$  is the least  $t$  s.t.  $\mathcal{P}_0$  halts in  $t$  steps and s.t. the output  $\mathcal{P}_0$  at halt is  $(t)_1$ . It is trivial to see then that  $\Psi_{\mathcal{P}_0}^{n,m,\#} = \lambda t [(t)_1] \circ M(P)$ .

$\therefore$  The statement is true.

**Problem 51** *If  $f$  is  $\Sigma$ -computable then  $\mathcal{D}_f$  is  $\Sigma$ -recursive.*

Assume  $f$  is  $\Sigma$ -computable. We know that  $\mathcal{D}_f$  is not necessarily  $\Sigma$ -computable itself. This directly implies  $\mathcal{D}_f$  is not necessarily  $\Sigma$ -recursive.

**Problem 52** *If  $\mathcal{P} \in \text{Pro}^\Sigma$  s.t.  $\mathcal{D}_{\Psi_{\mathcal{P}}^{1,0,*}} = \omega$  then  $\mathcal{D}_{\Psi_{\mathcal{P}}^{1,1,*}} = \omega \times \Sigma^*$*

The statement is false. Observe that the program  $\mathcal{P}_\#$  s.t.  $\Psi_{\mathcal{P}_\#}^{1,0,*} = \#^\leq$  satisfies the antecedent (that the function has an associated program follows from the fact that it is  $\Sigma$ -p.r. and hence  $\Sigma$ -computable).

Add to the first line of  $\mathcal{P}_\#$  the instruction  $L_1$  [*IF*  $P_1 \neq \varepsilon$  *GOTO*  $L_1$ ], which is a valid macro expansion because  $\lambda\alpha$  [ $\alpha \neq \varepsilon$ ] is  $\Sigma$ -r and then  $\Sigma$ -computable. It is evident that this program still satisfies the antecedent, but does not satisfy the consequent, since

$$\mathcal{D}_{\Psi_{\mathcal{P}_\#}^{1,1,*}} = \{(x, \alpha) \in \omega^n \times \Sigma^{*m} : \alpha = \varepsilon\}$$

**Problem 53** *Let  $M$  a Turing machine that computes  $p_1^{2,0}$ . Then  $M$  computes  $p_1^{1,0}$ .*

The statement is not necessarily true. Consider the arbitrary instantaneous description

$$\lfloor q_0 B i^{x_1} B i^{x_2} \rfloor$$

The Turing machine may be programmed so that not having a  $i$  symbol after the second  $B$  symbol leads to a non-halting state. This could be done without loss of generality under the assumption that the Turing machine leads to a state  $\lfloor q_f i^{x_1} \rfloor$ . If this were true, then the Machine would not compute  $p_1^{1,0}$ .

**Problem 54** Let  $f, g : \omega \mapsto \omega$  s.t.  $g \in PR^\Sigma$  and  $f \in PR_3^\Sigma - PR_2^\Sigma$ . Then  $f \circ g \in PR_5^\Sigma - PR_3^\Sigma$ .

Recall that

$$PR_k^\Sigma = PR_{k-1}^\Sigma \cup \{f \circ [f_1 \dots f_m] : f, f_i \in PR_{k-1}^\Sigma\} \cup \{R(f, g) : f, g \in PR_{k-1}^\Sigma\}$$

For brevity, we will call the second and third sets in the union  $A_{k-1}, B_{k-1}$

From the definition of  $PR_k^\Sigma$  follows that

$$\begin{aligned} PR_3^\Sigma - PR_2^\Sigma &= PR_2^\Sigma \cup A_2 \cup B_2 - PR_2^\Sigma \\ &= A_2 \cup B_2 \end{aligned}$$

and

$$\begin{aligned} PR_5^\Sigma - PR_3^\Sigma &= PR_4 \cup A_4 \cup B_4 - PR_3^\Sigma \\ &= (PR_3^\Sigma \cup A_3 \cup B_3) \cup A_4 \cup B_4 - PR_3^\Sigma \\ &= A_3 \cup B_3 \cup A_4 \cup B_4 \end{aligned}$$

From this follows that  $g \in PR_3^\Sigma$ , because  $g \in A_2 \cup B_2$  is either a composition of functions from  $PR_2^\Sigma$  or a function built via primitive recursion with functions of  $PR_2^\Sigma$ .

Assume  $f \in PR_k^\Sigma$  with  $k > 5$ . Then  $f \circ g \in PR_6^\Sigma$ . Then  $f$  is neither a composition of functions in  $PR_3^\Sigma \cup PR_4^\Sigma$  nor built via primitive recursion by functions in  $PR_3^\Sigma \cup PR_4^\Sigma$ . In other words,  $f \notin PR_5^\Sigma - PR_3^\Sigma$ . This counter-example suffices to show that the statement is false.

**Problem 55** Assume  $\Sigma_p \subseteq \Sigma$ . Show that for any  $\mathcal{P} \in \text{Pro}^\Sigma$  there is some  $Q \in \text{Pro}^\Sigma$  s.t.

$$\Psi_{\mathcal{P}}^{1,0,\#} \circ \Psi_Q^{1,0,\#} = \text{id}_{\text{Im}(\Psi_{\mathcal{P}}^{1,0,\#})}$$

For any macro used give the predicate or function associated to it.

Let  $\mathcal{P}$  an arbitrary program that computes a  $\Sigma$ -computable function  $f$ . Let  $Q$  be

```

       $N_5 \leftarrow N_1$ 
 $L_1$    $[N_2 \leftarrow f(N_3)]$ 
       $[IF\ N_2 = N_5\ GOTO\ L_2]$ 
       $N_3 \leftarrow N_3 + 1$ 
       $GOTO\ L_1$ 
 $L_2$   $N_1 \leftarrow N_3$ 

```

where  $[IF\ N_2 = N_5\ GOTO\ L_2]$  denotes (for praticity) the macro  $[IF\ P(V_1, V_2)\ GOTO\ A_1]$  with  $P = \lambda xy\ [x = y]$  (which is trivially  $\Sigma$ -computable) and  $[V_1 \leftarrow f(V_2)]$  is the macro associated to the  $\Sigma$ -computable function  $f$ .

Let  $f := \Psi_{\mathcal{P}}^{1,0,\#}$ ,  $g := \Psi_Q^{1,0,\#}$ . We shall prove  $f \circ g = \text{id}_{\text{Im}(f)}$ .

(1) Observe that  $Q$  halts if and only if starting from  $[[x]]$  with  $x \in \text{Im}(f)$ . The reason is that  $Q$  computes  $f(N_3)$  with  $N_3 = 0, 1, \dots$  until  $f(N_3) = N_5$ . This will only happen if  $N_5$ , which stores the input, is in  $\text{Im}(f)$ .

(2) Observe that  $Q$  starting from  $[[x]]$  returns a value  $y$  s.t.  $f(y) = x$ . In other words,  $g(x) = y \Rightarrow f(y) = x$ , or rather  $(f \circ g)(x) = x$ .

Points (1) and (2) entail that

$$(f \circ g) = \text{Id}_{\text{Im}(f)} \blacksquare$$

**Problem 56** Let  $\Sigma = \{ @, + \}$  and  $f : \omega^n \times \Sigma^{*m} \mapsto \omega$  a  $\Sigma$ -p.r. function. Show that the set

$$S = \left\{ (x, y, \alpha) : \exists z \in (\omega - \{1\}) : z \mid \sum_{k=y}^{x+y} f(k^y, \alpha)^x \right\}$$

is  $\Sigma$ -p.r.

The approach to the problem is to simplify  $S$  and then show that it is the domain of a  $\Sigma$ -p.r. function. Then, by virtue of the theorem which states that a set is  $\Sigma$ -p.r. iff it is the domain of a  $\Sigma$ -p.r. function, we shall have that  $S$  is  $\Sigma$ -p.r.

(1) Let  $g = \lambda xy\alpha \left[ \sum_{k=y}^{x+y} f(k^y, \alpha)^x \right]$ . Let  $\mathcal{D}_x = \{z \in \omega : z \neq 1 \wedge z \mid x\}$ . Evidently, for any  $x \neq 1$ ,  $\mathcal{D}_x \neq \emptyset$ . So,

$$\langle \exists z \in (\omega - 1) : z \neq 1 : z \mid x \rangle \equiv x \neq 1$$

It follows that  $S = \{(x, y, \alpha) : g(x, y, \alpha) \neq 1\}$ .

(2) We will prove  $g$  is  $\Sigma$ -p.r. Simply observe that by assumption  $f$  is  $\Sigma$ -p.r. Then

$$H(x, y, z, \alpha) := f(x^y, \alpha)^z = \lambda xz [x^z] \circ \left[ f \circ \left[ \lambda xz [x^z] \circ \left[ p_1^{3,1}, p_2^{3,1} \right], p_4^{3,1} \right], p_3^{3,1} \right]$$

is  $\Sigma$ -p.r. Then

$$g = \lambda abxy\alpha \left[ \sum_{k=a}^{k=b} H(k, y, x, \alpha) \right] \circ \left[ p_2^{2,1}, \lambda xy [x + y] \circ \left[ p_1^{2,1}, p_2^{2,1} \right], p_1^{2,1}, p_2^{2,1}, p_3^{2,1} \right]$$

The general summation of a  $\Sigma$ -p.r. function is  $\Sigma$ -p.r., and we have proven  $g$  is a composition of this general summation and  $\Sigma$ -p.r. functions. Then  $g$  is  $\Sigma$ -p.r.

(3) Observe that  $\mathcal{D}_{Suc \circ (Suc \circ g)}$  is  $\Sigma$ -p.r. because the function is  $\Sigma$ -p.r. Evidently, this set is closely related to  $S$ , insofar as

$$\mathcal{D}_{Suc \circ (Suc \circ g)} = \{(x, y, \alpha) : g(x, y, \alpha) \neq 1 \wedge g(x, y, \alpha) \neq 0\}$$

Now consider the set  $S' = \{(x, y, \alpha) : g(x, y, \alpha) = 0\}$ , potentially empty. The set is  $\Sigma$ -p.r. because

$$\chi_{S'}^{2,1} = \begin{cases} 1 & g(x, y, \alpha) = 0 \\ 0 & \text{otherwise} \end{cases}$$

is  $\lambda xy [x = y] \circ \left[ g \circ \left[ p_1^{2,1}, p_2^{2,1}, p_3^{2,1} \right], C_0^{2,1} \right]$

Then, because the union of  $\Sigma$ -p.r. sets is  $\Sigma$ -p.r. we have

$$\mathcal{D}_{\text{Succo}(\text{Succog})} \cup S' = S$$

is  $\Sigma$ -p.r.

**Problem 57** *Let*

$$L = \left\{ \mathcal{P} \in Pro^\Sigma : (\exists x \in \omega) \Psi_{\mathcal{P}}^{1,1,*}(x, \varepsilon) = \varepsilon \right\}$$

*Find a  $Q \in Pro^\Sigma$  s.t.  $Im(\Psi_Q^{1,0,*}) = L$  and  $\mathcal{D}_{\Psi_Q^{1,0,*}} = \omega$*

The problem essentially asks for a program  $Q$  that enumerates  $L$ . Let  $\mathcal{P}$  be fixed, arbitrary element of  $L$  (For example *SKIP*, which evidently belongs to  $L$ ). Using the fact that  $(\Sigma \cup \Sigma_p)^*$  is  $\Sigma$ -enumerable (it is  $(\Sigma \cup \Sigma_p)$ -total), we can write  $Q$  to reproduce the following algorithm with an input  $x$ :

- (1) If  $x = 0$  return  $\mathcal{P}$  and stop. Else continue.
- (2) Enumerate  $(\Sigma \cup \Sigma_p)$  with input  $(x)_1$  and store the word in  $\mathcal{P}'$ .
- (3) If  $\mathcal{P}'$ , from a starting state  $[[ (x)_2, \varepsilon ]]$ , halts in  $(x)_3$  steps with final state  $[[ \epsilon ]]$ , output  $\mathcal{P}'$  and finish.
- (4) Output  $\mathcal{P}$ .

Let  $\Phi$  the  $\Sigma$ -computable program which enumerates  $(\Sigma \cup \Sigma_p)^*$  and  $[V_1 \leftarrow \Phi(V_2)]$  its associated macro. Let  $[W_1 \leftarrow \mathcal{P}]$  be the macro that assigns to  $W_1$  the word  $\mathcal{P} \in L$ . Then the program  $Q$  given by

```

IF  $N_1 \neq 0$  GOTOL1
GOTO L4
L1 [ $P_1 \leftarrow \Phi((N_1)_1)$ ]
  [ $IF Halt^{1,1}((N_1)_3, (N_1)_2, \varepsilon, P_1)$  GOTO L2]
  GOTO L4
L2 [ $IF E_{*1}^{1,1}((N_1)_3, (N_1)_2, \varepsilon, P_1) \neq \varepsilon$  GOTO L4]
  GOTO L5
L4 [ $P_1 \leftarrow \mathcal{P}$ ]
L5 SKIP

```

enumerates  $L$ .

*Note.* Observe that  $Halt, (x)_i, \lambda\alpha\beta[\alpha \neq \beta], E_{*i}^{1,1}$  are all  $\Sigma$ -p.r. Then their compositions are  $\Sigma$ -p.r. and then  $\Sigma$ -computable. By alphabet independence they are  $(\Sigma \cup \Sigma_p)$ -computable  $\therefore$  The macros used in the program are all valid.



**Problem 58** Let  $\Sigma = \{ @, ?, \sim \}$ . Prove that

$$S = \{ (x, \alpha, \beta) \in \mathbb{N} \times \Sigma^* \times \{?, \sim\}^* : x = t^2 \text{ for some } t \in \mathbb{N} \vee \alpha = \beta \}$$

is  $\Sigma$ -p.r.

$S$  is  $\Sigma$ -p.r. iff  $\chi_S^{1,2}$  is  $\Sigma$ -p.r. Evidently

$$\chi_S^{1,2} = \lambda x \alpha \beta \left[ \left( (\exists t \in \omega)_{t \leq x} x = t^2 \vee \alpha = \beta \right) \wedge |\beta|_{@} = 0 \right]$$

We will show this function is  $\Sigma$ -p.r. by separating the predicates and showing that each is  $\Sigma$ -p.r. One must only be careful in ensuring that the predicates have the same domains.

(1) Let  $P_1 = \lambda x \alpha \beta [(\exists t \in \omega)_{t \leq x} x = t^2]$ . (Of course  $x = t^2 \Rightarrow t \leq x$ , hence the natural bound.) Of course, this is

$$\lambda u x \alpha \beta [(\exists t \in \omega)_{t \leq u} t = x^2] \circ [p_1^{1,2}, p_1^{1,2}, p_2^{1,2}, p_3^{1,2}]$$

It is a theorem that  $\lambda x \vec{x} \vec{\alpha} [(\exists t \in \omega)_{t \leq x} Q(\vec{x}, \vec{\alpha})]$ , with  $Q$  a predicate, is  $\Sigma$ -p.r. if  $Q$  is  $\Sigma$ -p.r. In our case it is evident that  $t = x^2$  is  $\Sigma$ -p.r. and hence  $P$  is  $\Sigma$ -p.r.

(2) It is a theorem that  $P_2 := \lambda x \alpha \beta [\alpha = \beta]$  is  $\Sigma$ -p.r.

(3) Let  $f = C_0^{0,0}$  and  $\mathcal{G}$  be the following indexed family of functions:

$$\begin{aligned} \mathcal{G} : \Sigma &\mapsto \{ \text{Suc} \circ p_1^{1,1}, p_1^{1,1} \} \\ @ &\mapsto \text{Suc} \circ p_1^{1,1} \\ ? &\mapsto p_1^{1,1} \\ \sim &\mapsto p_1^{1,1} \end{aligned}$$

Then evidently  $P_3 := \lambda x \alpha \beta [|\beta|_{@}] = R(f, \mathcal{G})$  is  $\Sigma$ -p.r.

Since all these predicates are  $\Sigma$ -p.r. and have identical domains, then

$$\chi_S^{1,2} = (P_1 \vee P_2) \wedge P_3$$

is well-defined and  $\Sigma$ -p.r. ■

**Problem 59** Assume  $f : \mathcal{D}_f \subseteq \Sigma^* \mapsto \omega$  is  $\Sigma$ -effectively computable and  $g : \mathcal{D}_g \subseteq \Sigma \mapsto \omega$  is  $\Sigma$ -effectively computable. Show

$$L = \{\alpha \in \mathcal{D}_f \cap \mathcal{D}_g : f(\alpha) = g(\alpha)\}$$

is  $\Sigma$ -effectively enumerable. Assume  $\varepsilon \in L$ .

Let  $\mathbb{P}_f, \mathbb{P}_g$  be the effective procedures which compute  $f, g$  respectively. Let  $\mathbb{P}_L$  be the following effective procedure, with a unique input  $x \in \omega$ :

- (1) If  $x = 0$  go to step (7); otherwise go to step (2)
- (2) Let  $\alpha = *^{\leq}((x)_1)$ .
- (3) If  $|\alpha| > 1$  let  $x = x + 1$  and go to step (2). Otherwise, go to step (4).
- (4) Do  $(x)_2$  steps of procedure  $\mathbb{P}_f$  with input  $\alpha$ . If the program finished, return its output in  $\varphi$  and go to (5). If it didn't finish, let  $x = x + 1$  and go to (2).
- (5) Do  $(x)_3$  steps of procedure  $\mathbb{P}_g$  with input  $\alpha$ . If the program finished, store its output in  $\psi$  and go to (7); otherwise let  $x = x + 1$  and go to (2).
- (6) If  $\varphi = \psi$  go to (8)
- (7) Let  $\alpha = \varepsilon$ .
- (8) Return  $\alpha$ .

The procedure enumerates  $L$ .

**Problem 60** Determine true or false for the following statements.

(1)  $Num^* \neq \omega$ .

Certainly true. In fact  $Num^* \cap \omega = \emptyset$ . The elements of  $Num$  are symbols denoting the natural numbers and zero, but *are not* these numbers.

(2)  $\{(\omega, 2) \text{ is a function whose domain is } \omega\}$

Tuples containing sets are not defined. Hence  $(\omega, 2)$  is an undefined and meaningless expression. The statement is false. However, observe that the set  $\{(x, 2) : x \in \omega\}$  is the function  $C_2^{1,0}$ .

(3) If  $(\vec{s}, \vec{\sigma})$  is a state of  $\mathcal{S}^\Sigma$ , then  $Ti(\vec{s}) = n$ -tuple for some  $n$ .

The statement is false. By definition, the state of a program in  $\mathcal{S}^\Sigma$  is a 2-tuple whose first element is a member of  $\omega^{[\mathbb{N}]}$ . This is the set of infinite tuples that contain only zeros from some index onwards. Then  $\vec{s}$  is infinite.

(4) Let  $\Sigma = \{ @, \sim \}$ . Then  $\lambda\alpha [ @\alpha ]$  is the function

$$R \left( C_{@}^{0,0}, \left\{ (@, d_{@} \circ p_2^{0,2}), (\sim, d_{\sim} \circ p_2^{0,2}) \right\} \right)$$

Let  $\mathcal{G}$  denote the indexed family of functions which the statement associates to  $R$ .

The first observation is that the domains are correct. Since  $\lambda\alpha [ @\alpha ]$  does recursion over an alphabetic var, mapping to alphabetic values, and has type  $(0, 1, *)$ , then  $f \sim (0, 0, *)$  and  $\mathcal{G}_a \sim (0, 2, *)$  for all  $a \in \Sigma$ .

Evidently  $R(f, g)(\epsilon) = @$  which makes the base case function correct. Now, the indexed family of functions maps

$$R(f, g)(\alpha w) = dw \circ R(\alpha)$$

We can prove this is not correct. Let  $\alpha \in \Sigma^*$  be s.t.  $|\alpha| = n$ . Then

$$\begin{aligned} R(\alpha w) &= R(\alpha)w \\ &= R(\frown\alpha)w[\alpha]_n \\ &= R(\frown\alpha)w[\alpha]_n[\alpha]_{n-1} \\ &\vdots \\ &= R(\epsilon)w[\alpha]_n[\alpha]_{n-1} \dots [\alpha]_1 \\ &= @w[\alpha]_n[\alpha]_{n-1} \dots [\alpha]_1 \end{aligned}$$

Evidently  $R(f, g)$  appends  $@$  to the start of the reciprocal of  $\alpha$ , which is not what  $\lambda\alpha [ @\alpha ]$  does.

(5) For whatever  $\alpha \in \Sigma^*$ , we have  $[\varepsilon\alpha]_1 \neq \varepsilon$ .

This is false. Assume  $\alpha = \varepsilon$ . Then  $\varepsilon\alpha = \varepsilon$  and  $[\varepsilon\alpha]_1 = [\varepsilon\varepsilon]_1 = [\varepsilon]_1 = \varepsilon$ .

(6) Let  $\Sigma$  a finite alphabet. Let  $S = \{(x, y) \in \omega \times \mathbb{N} : \sqrt{x} \in \mathbb{Q}\}$ . Then  $S$  is a  $\Sigma$ -mixed set.

By definition, a  $\Sigma$ -mixed set is any set  $W$  that satisfies  $W \subseteq \omega^n \times \Sigma^{*m}$  for  $n, m \geq 0$ . Evidently,  $S \subseteq \omega \times \mathbb{N}$  does not satisfy this.

(7)  $f$  is  $\Sigma$ -mixed iff  $\exists n, m \geq 0$  s.t.  $\mathcal{D}_f \subseteq \omega^n \times \Sigma^{*m} \wedge \text{I}_f \subseteq \omega \cup \Sigma^*$

The statement is false. The image of a  $\Sigma$ -mixed function must be *either*  $\omega$  or  $\Sigma^*$ , but it cannot be the union of these.

(8) Let  $M = (Q, \Sigma, \Gamma, \delta_0, q_0, B, F)$  a deterministic Turing machine and assume  $Q$  is an alphabet disjoint from  $\Gamma$ . Let  $d, d' \in \mathbb{D}$ . Then it is the case that

$$d \vdash^1 d' \Rightarrow d \neq d'$$

The statement is clearly false. We can provide a counter-example. Let  $\Sigma$  be an arbitrary language. Assume the machine has any non-zero number of states, some of which are final states. If  $\delta(q_0, x) = \{(q_0, x, K)\}$  for any  $x \in \Sigma$ . Let  $d$  be the instantaneous description of the machine when it starts. Obviously,  $d \vdash^n d$  for any  $n \in \mathbb{N}$ .

(9) Let  $M$  a Turing machine exactly like the one above. Let  $d \in \mathbb{D}$ . Then if  $d \vdash d$  we have that  $M$  halts starting from  $d$ .

The statement is false. We say a machine halts starting from  $d$

$$(1) d \vdash^* d'$$

$$(2) d' \not\vdash d''$$

for  $d', d'' \in \mathbb{D}$ . The scenario described does not meet this definition, because  $d \vdash d$  implies that it is not true that  $d \not\vdash d'$ .

(1)  $Ins \subseteq Pro^\Sigma$

The statement is false. Instructions in  $Ins^\Sigma$  may not satisfy the GOTO law.

(2) Let  $\Sigma = \Sigma_p$ . Then

$$\Psi_{P_1 \leftarrow P_1.SP_1 \leftarrow P_1.KP_1 \leftarrow P_1.IP_1 \leftarrow P_1.P}^{2,1,*} = \lambda\alpha\beta[\alpha\beta] \circ \left[ p_1^{2,1}, C_{SKIP}^{2,1} \right]$$

The word  $P_1 \leftarrow P_1.SP_1 \leftarrow P_1.KP_1 \leftarrow \dots$  is not a program since it is not a concatenation of instructions.

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**Problem 61** *Let*

$$L = \left\{ \mathcal{P} \in \text{Pro}^{\Sigma_p} : (\exists x \in \mathbb{N}) \Psi_{\mathcal{P}}^{1,1,*}(x, EBE) = EBE \right\}$$

Find a program  $Q \in \text{Pro}^{\Sigma_p}$  that enumerates  $L$ . For each macro used, give the associated  $\Sigma_p$ -computable function.

Observe that  $SKIP \in L$ . Observe that  $\Sigma_p^*$  is  $\Sigma_p$ -enumerable (because it is  $\Sigma_p$ -total). Furthermore,  $\text{Pro}^{\Sigma_p}$  is  $\Sigma$ -p.r. and therefore  $\chi_{\text{Pro}^{\Sigma_p}}^{\Sigma_p}$  is  $\Sigma$ -recursive and hence  $\Sigma$ -computable. Let  $\mathcal{F}(x)$  be the  $\Sigma$ -computable function which enumerates  $\Sigma_p^*$ . Then

```

[IF  $N_1 = 0$  GOTO  $L_{666}$ ]
 $N_2 \leftarrow (N_1)_1$ 
 $N_3 \leftarrow (N_1)_2$ 
 $N_4 \leftarrow (N_1)_3$ 
 $P_1 \leftarrow \mathcal{F}(N_2)$ 
[IF  $P_1 \in \text{Pro}^{\Sigma_p}$  GOTO  $L_1$ ]
GOTO  $L_{666}$ 
 $L_1$  [IF  $\text{Halt}^{1,1}(N_3, N_4, EBE, P_1)$  GOTO  $L_2$ ]
      GOTO  $L_{666}$ 
      [IF  $E_{*1}^{1,1}(N_3, N_4, EBE, P_1) = EBE$  GOTO  $L_3$ ]
 $L_{666}$   $P_1 \leftarrow SKIP$ 
 $L_3$   $SKIP$ 

```

enumerates  $L$ .

Since  $E_{*1}^{1,1}$  is  $\Sigma_p$ -p.r. and  $\lambda\alpha\beta [\alpha = \beta]$  is  $\Sigma$ -p.r. the macro  $[IF E_{*1}^{1,1} \dots]$  etc. is trivially associated to a  $\Sigma$ -computable. The same can be said of the macro that uses  $\text{Halt}^{1,1}$ .

**Problem 62** *Let  $\Sigma = \{ @, \sim \}$ . Let*

$$\mathcal{F} : \{x \in \omega : x \text{ is odd}\} \times \Sigma^* \mapsto \omega$$

$$(x, \alpha) \mapsto \begin{cases} x & x \geq 3 \\ |\alpha| & \text{otherwise} \end{cases}$$

*Prove that  $\mathcal{F}$  is  $\Sigma$ -p.r.*

We will present two different proofs of this fact.

(1) Observe that  $\mathcal{D}_{\mathcal{F}} = \{x \in \omega : x \text{ is odd}\} \times \Sigma^*$ . Since  $\lambda xy [x \mid y]$  is  $\Sigma$ -p.r. we have  $\lambda x [2 \mid x]$  is  $\Sigma$ -p.r. The negation of a  $\Sigma$ -p.r. predicate is  $\Sigma$ -p.r. and then  $\lambda x [2 \nmid x]$  is  $\Sigma$ -p.r. It is then evident that  $\chi_{\mathcal{D}_{\mathcal{F}}}^{\omega \times \Sigma^*} = \lambda x [2 \nmid x]$  is  $\Sigma$ -p.r.

$\therefore \mathcal{F}$  is  $\Sigma$ -p.r.

(2) Let  $\mathcal{F}_1(x, \alpha) = x$  and  $\mathcal{F}_2(x, \alpha) = |\alpha|$ . It is trivial to see both are  $\Sigma$ -p.r. Furthermore, the set  $S_1 := \{(x, \alpha) : x \text{ is odd} \wedge x \geq 3\}$  is  $\Sigma$ -p.r. (trivial) So is the set  $S_2 := \{(x, \alpha) : x \text{ is odd} \wedge x < 3\}$ . Then  $\mathcal{F}_1|_{S_1}, \mathcal{F}_2|_{S_2}$  are both  $\Sigma$ -p.r. Since  $S_1 \cap S_2 = \emptyset$ , we have  $\mathcal{F} = \mathcal{F}_1 \cup \mathcal{F}_2$ .

$\therefore \mathcal{F}$  is  $\Sigma$ -p.r.

**Problem 63** Determine true or false for the following statements.

(1)  $\{(\omega, w)\}$  is a function whose domain is  $\omega$ .

The statement is false. In all rigour,  $\{(\omega, w) = (\{0, 1, 2, \dots\}, w)\}$  which is not a function.

(2) The function  $x + 1$  is  $\Sigma$ -mixed for whatever alphabet  $\Sigma$ .

The expression  $x + 1$  is undefined. If  $x$  were defined over  $\mathbb{Z}$ , for example, the function would not be  $\Sigma$ -mixed.

(3) Let  $\mathbb{P}$  an effective procedure which computes  $f : \mathcal{D}_f \subseteq \omega \mapsto \omega$ . Then the input set of  $\mathbb{P}$  is  $\mathcal{D}_f$

By definition we say  $\mathbb{P}$  computes  $f$  if  $\mathbb{P}$  halts from input  $(\vec{x}, \vec{\alpha}) \in \mathcal{D}_f$  with output  $f(\vec{x}, \vec{\alpha})$  and does not halt for  $(\vec{x}, \vec{\alpha}) \notin \mathcal{D}_f$ . However, the proper input set of the procedure is precisely  $\omega^n \times \Sigma^{*m}$ . So the statement is false.

(4) Let  $\Sigma = \{ @, * \}$ . Then

$$R(p_1^{0,1}, \left\{ (@, p_3^{0,3}), (*, d_* \circ p_3^{0,3}) \right\})(@*, @*) = @ * **$$

Let  $\mathcal{F} = R(f, g)$ . Then by def.

$$\begin{aligned} \mathcal{F}(@*, @*) &= R(@*, @)* \\ &= R(@*, \varepsilon)* \\ &= @ * * \end{aligned}$$

The statement is false.

(5) If  $P_1, P_2, P_3$  are  $\Sigma$ -p.r. predicates with equal domains, then  $(P_1 \vee p_2 \wedge P_3)$  is  $\Sigma$ -p.r.

The statement is true by theory.

(6) Let  $\Sigma$  an alphabet and  $\mathcal{P} \in Pro^\Sigma$ . Then

$$\Phi_*^{n,m} \circ [p_1^{n,m}, \dots, p_{n+m}^{n,m}, C_{\mathcal{P}}^{n,m}]$$

is a  $\Sigma$ -mixed function.

$\Phi$  is undefined and hence the statement is false.

(7) If  $M$  a Turing machine then  $\delta : Q \times \Gamma \mapsto Q \times \Gamma \times \{L, R, K\}$

The statement is false, insofar as the domain of  $\delta$  is  $\mathcal{D}_\delta \subseteq Q \times \Gamma$  but may not be  $Q \times \Gamma$ .

(8) If  $\mathcal{P} \in Pro^\Sigma$  is s.t. *GOTO* is not a sub-word of  $\mathcal{P}$ , then  $\Psi_{\mathcal{P}}^{1,0,\#}$  is  $\Sigma$ -p.r.



(1) Sea  $\mathcal{P}_0 \in Pro^\Sigma$  un programa fijo que no tiene enunciados que involucren la sub-palabra *GOTO*. Podemos deducir que  $\mathcal{P}_0$  se detiene siempre en  $n(\mathcal{P}_0) + k$  pasos, con  $k \geq 0$ . En otras palabras,  $\lambda tx [Halt^{1,0}(t, x, \mathcal{P}_0)] \geq n(\mathcal{P}_0)$ . De esto se sigue por definición de  $T^{1,0}$  que  $T^{1,0}(x, \mathcal{P}_0) = n(\mathcal{P}_0)$  para cualquier  $x \in \omega$ , o más formalmente

$$\lambda x [T^{1,0}(x, \mathcal{P}_0)] = \lambda \mathcal{P} [n(\mathcal{P})] \circ C_{\mathcal{P}_0}^{1,0}$$

*Duda.* Por teorema  $\lambda \mathcal{P} [n(\mathcal{P})]$  es  $(\Sigma \cup \Sigma_p)$ -p.r. Luego, para nuestro  $\mathcal{P}_0$  fijo, sucede que  $\lambda x [T^{1,0}(x, \mathcal{P}_0)]$  es  $(\Sigma \cup \Sigma_p)$ -p.r. Pero esto no parece ser necesario para continuar la demostración. Es decir, todo lo que viene ahora prescinde de este hecho. ¿O me equivoco?

(2) Recuerde que  $\lambda x [\Psi_{\mathcal{P}_0}^{1,0,\#}(x)]$  es, por definición, el valor que resulta en la primer variable numérica de  $\mathcal{P}_0$  cuando termina partiendo de  $[[x]]$ . Puesto que sabemos que  $\mathcal{P}_0$  termina en  $n(\mathcal{P}_0)$  pasos, es evidente por la misma definición de  $E_{\#j}^{1,0}$  que

$$\begin{aligned} \lambda x [\Psi_{\mathcal{P}_0}^{1,0,\#}(x)] &= \lambda x [E_{\#1}^{1,0}(n(\mathcal{P}_0), x, \mathcal{P}_0)] \\ &= \lambda tx \mathcal{P} [E_{\#1}^{1,0}(t, x, \mathcal{P})] \circ [\lambda \mathcal{P} [n(\mathcal{P})] \circ C_{\mathcal{P}_0}^{1,0}, p_1^{1,0}, C_{\mathcal{P}_0}^{1,0}] \end{aligned}$$

La función constante, la proyección, y  $\lambda \mathcal{P} [n(\mathcal{P})]$  son  $(\Sigma \cup \Sigma_p)$ -p.r. Luego la función dada arriba es  $(\Sigma \cup \Sigma_p)$ -p.r. Por independencia del alfabeto, se sigue que es  $\Sigma$ -p.r.

$\therefore \lambda x [\Psi_{\mathcal{P}_0}^{1,0,\#}(x)]$  es  $\Sigma$ -p.r. ■

De esto se sigue trivialmente que  $T^{1,0}(x, \alpha, \mathcal{P}) \leq n(\mathcal{P})$ . Puesto que (por un teorema del teórico) la función  $\lambda \mathcal{P} [n(\mathcal{P})]$  es  $(\Sigma \cup \Sigma_p)$ -p.r., tenemos que  $T^{1,0}(x, \alpha, \mathcal{P})$  es menor o igual a una función  $(\Sigma \cup \Sigma_p)$ -p.r. Recordemos el teorema que establece que

Si  $P : \mathcal{D}_f \subseteq \omega^n \times \Sigma^{*m}$  un predicado  $\Sigma$ -p.r. y  $M(P) \leq f(\vec{x}, \vec{\alpha})$  con  $f$  una función  $\Sigma$ -p.r., entonces  $M(P)$  es  $\Sigma$ -p.r.

El predicado  $Halt^{n,m}$  es  $(\Sigma \cup \Sigma_p)$ -p.r. para cualesquiera  $n, m \in \omega$ . Luego  $T^{1,0}(x, \mathcal{P})$  es  $(\Sigma \cup \Sigma_p)$ -p.r.

(2) Denotemos  $\mathcal{T} = \lambda x [T^{1,0}(x, \mathcal{P})]$  y  $\mathcal{E} = \lambda x [E_{\#}^{1,0}(\mathcal{T}(x), x, \mathcal{P})]$ . Es decir,  $\mathcal{E}$  mapea un elemento  $x$  al valor de la primer variable numérica que resulta de ejecutar  $\mathcal{P}$  desde el estado  $[[x]]$ , donde  $\mathcal{P}$  termina con la cantidad mínima de pasos. Es evidente entonces que  $\Psi_{\mathcal{P}}^{1,0,\#} = \mathcal{E}$ . Pero dado que

$$\mathcal{T} = T^{1,0} \circ [p_1^{1,0}, C_{\mathcal{P}}^{1,0}]$$

es evidentemente  $(\Sigma \cup \Sigma_p)$ -p.r., y  $E_{\#}^{1,0}$  es  $(\Sigma \cup \Sigma_p)$ -p.r., entonces

$$\mathcal{E} = E_{\#}^{1,0} \circ [\mathcal{T} \circ p_1^{1,0}, p_1^{1,0}, C_{\mathcal{P}}^{1,0}]$$

es  $(\Sigma \cup \Sigma_p)$ -p.r. Por independencia del alfabeto,  $\mathcal{E}$  es  $\Sigma$ -p.r. Pero  $\Psi_{\mathcal{P}}^{1,0,\#} = \mathcal{E}$ .

■

# 11 Finales

## 11.1 Final 2020-02

**Problem 64** Let  $\Sigma = \{\sim, @\}$  and

$$L = \left\{ \mathcal{P} \in Pro^\Sigma : \exists \alpha \in \Sigma^* : \Psi_\varphi^{1,1,\#}(5, \alpha @) = |\mathcal{P}| \right\}$$

Provide a program  $Q \in Pro^{\Sigma \cup \Sigma_p}$  that enumerates  $L$ .

Observe that  $\varphi := N1 \leftarrow N1 \in L$ , since  $|N1 \leftarrow N1| = 5$  and hence  $\Psi_\varphi^{1,1,\#}(5, \alpha) = 5 = |\varphi|$  for all  $\alpha \in \Sigma^*$ .

Let  $\mathcal{F}_{\Sigma \cup \Sigma_p}$  be the function which enumerates  $(\Sigma \cup \Sigma_p)^*$  (which is enumerable by virtue of being  $(\Sigma \cup \Sigma_p)$ -total) and  $\mathcal{F}_\Sigma$  that which enumerates  $\Sigma^*$  (enumerable by the same reason).

The desired program is then

```

      IF  $N_1 \neq 0$  GOTO  $L_1$ 
      GOTO  $L_{666}$ 
 $L_1$   [ $N_2 \leftarrow (N_1)_1$ ]
      [ $N_3 \leftarrow 5$ ]
      [ $N_4 \leftarrow (N_1)_2$ ]
      [ $N_5 \leftarrow (N_1)_3$ ]
      [ $P_1 \leftarrow \mathcal{F}_{\Sigma \cup \Sigma_p}(N_5)$ ]
      [ $P_2 \leftarrow \mathcal{F}_\Sigma(N_4)$ ]
       $P_2 \leftarrow P_2.@$ 
      [ $\text{IF } P_1 \in Pro^\Sigma \text{ GOTO } L_2$ ]
      GOTO  $L_{666}$ 
 $L_2$   [ $\text{IF } Halt^{1,1}(N_2, N_3, P_2, P_1) \text{ GOTO } L_3$ ]
      GOTO  $L_{666}$ 
 $L_3$   [ $N_6 \leftarrow |P_1|$ ]
      [ $\text{IF } E_{*1}^{1,1}(N_2, N_3, P_2, P_1) = N_6 \text{ GOTO } L_4$ ]
      GOTO  $L_{666}$ 
 $L_{666}$  [ $P_1 \leftarrow \varphi$ ]
 $L_4$   SKIP

```

**Problem 65** Let  $\Sigma = \{ @, * \}$  and  $S = \{ (x, \alpha) \in \omega \times \Sigma^* : [\alpha]_1 = * \}$ . Prove that the function

$$F(x, \alpha) = \begin{cases} \prod_{i=x}^{i=x^2} x^i & x \leq |\alpha| \\ |\alpha|^2 & \text{otherwise} \end{cases}$$

is  $\Sigma$ -p.r.

We shall use division by cases. First, observe that

$$\mathcal{D}_f = \{ (x, \alpha) \in \omega \times \Sigma^* : x \leq |\alpha| \} \cup \{ (x, \alpha) \in \omega \times \Sigma^* : x > |\alpha| \}$$

Call each term in the union of  $\mathcal{D}_f$   $S_1, S_2$  (left to right). It is evident that  $S_1 \cap S_2 = \emptyset$ .

(1) Let  $F_1 := \lambda x \alpha \left[ \prod_{i=x}^{i=x^2} x^i \right]$ . It is easy to observe that

$$F_1 = \lambda a b x \alpha \left[ \prod_{i=a}^{i=b} x^i \right] \circ \left[ p_1^{1,1}, \lambda x [x^2] \circ p_1^{1,1}, p_1^{1,1}, p_1^{1,1}, p_2^{1,1} \right]$$

The general productorial  $\lambda a b x \alpha \left[ \prod_{i=a}^{i=b} x^i \right]$  is  $\Sigma$ -p.r. if and only if  $\lambda x i [x^i]$  is  $\Sigma$ -p.r. We know that exponentiation is  $\Sigma$ -p.r. Then the general productorial is  $\Sigma$ -p.r. Then  $F_1$  is  $\Sigma$ -p.r.

(2) It is trivial to observe that  $F_2 = \lambda x \alpha [|\alpha|^2]$  is  $\Sigma$ -p.r. Simply observe that

$$\lambda x \alpha [|\alpha|^2] = \lambda x y [x^y] \circ \left[ \lambda \alpha [|\alpha|] \circ p_2^{1,1}, p_1^{1,1} \right]$$

(3) Both  $S_1, S_2$  are  $\Sigma$ -recursive. This is simple to prove. Simply observe that  $\chi_{S_1}^{\omega \times \Sigma^*} = \lambda x \alpha [x \leq |\alpha|] = \lambda x y [x < y] \circ \left[ p_1^{1,1}, \lambda \alpha [|\alpha|] \circ p_2^{1,1} \right]$  which is evidently  $\Sigma$ -p.r. That  $\chi_{S_2}^{\omega \times \Sigma^*}$  is  $\Sigma$ -p.r. is proven similarly.

(4) It is a theorem that a  $\Sigma$ -p.r. function restricted to a  $\Sigma$ -p.r. set is  $\Sigma$ -p.r. Then  $F_1|_{S_1}, F_2|_{S_2}$  are  $\Sigma$ -p.r. It is obvious that  $F = F_1|_{S_1} \cup F_2|_{S_2}$ .

$\therefore$  By case division,  $F$  is  $\Sigma$ -p.r.

## 11.2 Final 2022-07

**Problem 66** Let  $\Sigma = \{ @, ! \}$  and

$$L = \left\{ \mathcal{P} \in \text{Pro}^\Sigma : @@ \in \text{Im} \left( \Psi_{\mathcal{P}}^{2,1,*} \right) \right\}$$

Find a program that belongs to  $L$  and then give  $Q \in \text{Pro}^{\Sigma \cup \Sigma_p}$  s.t.  $\mathcal{D}_Q = \omega$  and  $\text{Im}(Q) = L$ .

(1)  $SKIP \in L$  since  $\Psi_{SKIP}^{2,1,*}(x, y, @@) = @@$  for any  $x, y \in \omega$ .

(2)  $\text{Pro}^{\Sigma \cup \Sigma_p}$  is  $\Sigma$ -p.r. in accordance to a lemma. Then it is  $\Sigma$ -computable and there is a macro  $[IF \chi_{\text{Pro}^{\Sigma \cup \Sigma_p}}^{(\Sigma \cup \Sigma_p)^*}(V_1) GOTO A_1]$ , which we will call  $[IF V_1 \in \text{Pro}^{\Sigma \cup \Sigma_p} GOTO A_1]$  for simplicity.

(3) We know by theory that  $\lambda x i[(x)_i], \lambda x [*^{\leq}(x)]$  are  $\Sigma$ -recursive. By alphabet independence they are then  $(\Sigma \cup \Sigma_p)$ -recursive. The same is true of  $\text{Halt}^{2,1}$  and  $E_{j*}^{2,1}$ .

Since  $E_{j*}^{2,1}$  is  $(\Sigma \cup \Sigma_p)$ -p.r.,  $\lambda t x y \alpha \mathcal{P} \beta \left[ E_{*1}^{2,1}(t, x, y, \alpha, \mathcal{P}) = \beta \right]$  is  $(\Sigma \cup \Sigma_p)$ -p.r. (trivial to show).

(4) Let  $Q$  be

```

IF  $N_1 \neq 0$  GOTO  $L_1$ 
GOTO  $L_{666}$ 
 $L_1$   $[N_2 \leftarrow (N_1)_1]$ 
       $[N_3 \leftarrow (N_1)_2]$ 
       $[N_4 \leftarrow (N_1)_3]$ 
       $[P_2 \leftarrow *^{\leq}((N_1)_4)]$ 
       $[P_3 \leftarrow *^{\leq}((N_1)_5)]$ 
       $[IF P_3 \in \text{Pro}^{\Sigma \cup \Sigma_p} GOTO L_2]$ 
      GOTO  $L_{666}$ 
 $L_2$   $[IF \text{Halt}^{2,1}(N_2, N_3, N_4, P_2, P_3) GOTO L_3]$ 
      GOTO  $L_{666}$ 
 $L_3$   $[IF E_{*1}^{2,1}(N_2, N_3, N_4, P_2, P_3) = @@ GOTO L_4]$ 
 $L_{666}$   $P_1 \leftarrow SKIP$ 
      GOTO  $L_{10}$ 
 $L_4$   $P_1 \leftarrow P_3$ 
 $L_{100}$   $SKIP$ 

```

Observe that here  $*^{\leq}$  is defined over  $(\Sigma \cup \Sigma_p)^*$ . Starting from  $[[x]]$  the program obtains the  $(x)_4$ th and  $(x)_5$ th words from  $(\Sigma \cup \Sigma_p)^*$  and values  $(x)_1, (x)_2, (x)_3$ . If the  $(x)_5$ th word is a program, it determines whether it halts in  $(x)_1$  steps with input  $(x)_2, (x)_3$  and  $*^{\leq}((x)_4)$ . If it halts it checks whether the output is  $@@$ . If it is it returns the program obtained. If any of the checks fail, it returns *SKIP*.

**Problem 67** Let  $\Sigma = \{ @, ! \}$ . Show that

$$S = \{ (x, y, \alpha, \beta) \in \omega^2 \times \Sigma^{*2} : x \geq 5 \wedge (\exists \gamma \in \Sigma^*) @b = \gamma^y \}$$

is  $\Sigma$ -p.r.

Observe that

$$\chi_S^{\omega^2 \times \Sigma^{*2}}(x, y, \alpha, \beta) = \lambda xy\alpha\beta [x \geq 5] \wedge \lambda xy\alpha\beta [(\exists \gamma \in \Sigma^*) @b = \gamma^y]$$

Consider  $F = \lambda xy\alpha\beta [(\exists \gamma \in \Sigma^*) @b = \gamma^y]$ . It is evident that any  $\gamma \in \Sigma^*$  s.t.  $@b = \gamma^y$  for some  $y \in \omega$  is s.t.  $|\gamma| = 1$ .

**Problem 68** Sea  $\mathcal{P}_0$  un programa fijo y  $f : \omega \mapsto \omega$  una función. Asuma que para toda  $x \in \omega$  el programa  $\mathcal{P}_0$  termina partiendo de  $[[x]]$ . Más aún, asuma que termina en  $t \leq f(x)$  pasos. Demuestre que  $\Psi_{\mathcal{P}_0}^{1,0,\#}$  es  $\Sigma$ -p.r.

No veo como encarar el problema sin asumir algún tipo de computabilidad para  $f$ . Vamos a ver cómo se ve el problema (1) asumiendo que  $f$  es  $\Sigma$ -p.r. y (2) asumiendo que es  $\Sigma$ -recursiva.

Sea  $g$  la función computada por  $\mathcal{P}_0$ . Considere el conjunto

$$G = \{x \in \omega : (x, g(x))\}$$



(1) Asuma  $f$  es  $\Sigma$ -p.r. Por las definiciones de  $\Psi_{\mathcal{P}}^{1,0,\#}, E_{\#1}^{1,0}$ , tenemos que

$$\Psi_{\mathcal{P}_0}^{1,0,\#} = E_{\#1}^{1,0} \circ \left[ f \circ p_1^{1,0}, p_1^{1,0}, C_{\mathcal{P}_0}^{1,0} \right]$$

Esto se sigue de que, al saber que  $\mathcal{P}_0$  desde  $[[x]]$  terminará en  $t \leq f(x)$  pasos, el estado de  $\mathcal{P}_0$  al terminar es el mismo que el estado de  $\mathcal{P}_0$  tras  $f(x)$  pasos. Ahora bien,  $E_{\#j}^{1,0}$ , las proyecciones y la función constante, son  $(\Sigma \cup \Sigma_p)$ -p.r. Luego, por independencia del alfabeto, son  $\Sigma$ -p.r. Como  $f$  es  $\Sigma$ -p.r. tenemos que  $\Psi_{\mathcal{P}_0}^{1,0,\#}$  es  $\Sigma$ -p.r.

(2) Asuma que  $f$  es  $\Sigma$ -recursiva. Se sigue que es o bien  $\Sigma$ -p.r. o bien  $f = M(P)$  para algún predicado  $P : \omega^2 \mapsto \omega$  que es  $\Sigma$ -recursivo. Si fuera  $\Sigma$ -p.r. la demostración del punto (1) resuelve el problema. Asumamos que  $f = M(P_0)$  para algún predicado  $P_0 : \omega^2 \mapsto \omega$ , donde  $P_0$  es  $\Sigma$ -recursivo.

Como  $f$  es  $\Sigma$ -recursiva, es  $\Sigma$ -computable y tiene un macro  $[V1 \leftarrow f(V2)]$  asociado.

$$[N_2 \leftarrow f(N_1)]$$

$$[N_1 \leftarrow E_1^{1,0}(N_2, N_1, \mathcal{P}_0)]$$

**Problem 69** *Let*

$$L = \left\{ \mathcal{P} \in Pro^{\Sigma_p} : (\exists n \in \mathbb{N}) \Psi_{\mathcal{P}}^{1,1,*}(x, EBE) = EBE \right\}$$

*Find a program  $Q \in Pro^{\Sigma_p}$  that enumerates  $L$ .*

Observe that  $SKIP \in L$ . The program in question will make effective the following procedure with input  $x \in \omega$ :

- (1) If  $x = 0$  go to (7).
- (2) Compute  $(x)_1, (x)_2, (x)_3, (x)_4$ .
- (3) Let  $\alpha = *^{\leq}((x)_4), \beta = *^{\leq}((x)_3)$ .
- (4) If  $\alpha \notin Pro^{\Sigma_p}$  go to (7).
- (5) Evaluate whether  $\alpha$  halts in  $(x)_1$  steps with input  $(x)_2, \beta$ . If it does not, go to (7).
- (6) Evaluate whether the output of  $\alpha$  after  $(x)_1$  steps with input  $(x)_2, \beta$  is  $EBE$ . If it is, go to (8).
- (7) Return  $SKIP$  and stop.
- (8) Return  $\alpha$  and stop.

The program is

```

      IF  $N_1 \neq 0$  GOTO  $L_1$ 
      GOTO  $L_{666}$ 
 $L_1$    $[N_2 \leftarrow (N_1)_1]$ 
       $[N_3 \leftarrow (N_1)_2]$ 
       $[N_4 \leftarrow (N_1)_3]$ 
       $[N_5 \leftarrow (N_1)_4]$ 
       $[P_1 \leftarrow *^{\leq}(N_5)]$ 
       $[P_2 \leftarrow *^{\leq}(N_4)]$ 
       $[IF P_1 \in Pro^{\Sigma_p} \text{ GOTO } L_2]$ 
      GOTO  $L_{666}$ 
 $L_2$    $[IF Halt^{1,1}(N_2, N_3, P_2, P_1) \text{ GOTO } L_3]$ 
      GOTO  $L_{666}$ 
 $L_3$    $[IF E_*^{1,1}(N_2, N_3, P_2, P_1) = EBE \text{ GOTO } L_4]$ 
 $L_{666}$   $P_1 \leftarrow SKIP$ 
 $L_4$    $SKIP$ 

```

The macros we use correspond to  $(x)_i$  the  $i$ th prime function, which is  $\Sigma$ -p.r.  
We  $Halt^{n,m}$  function is  $\Sigma^*$  p.r. etc.