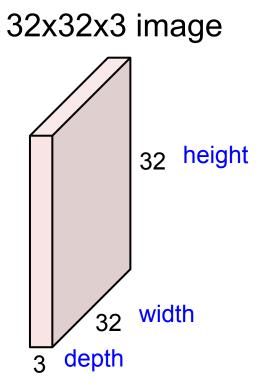
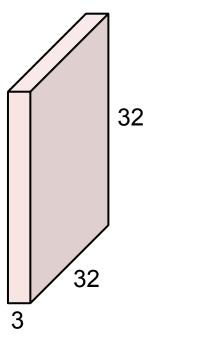
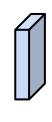
# Convolutional Neural Networks



32x32x3 image

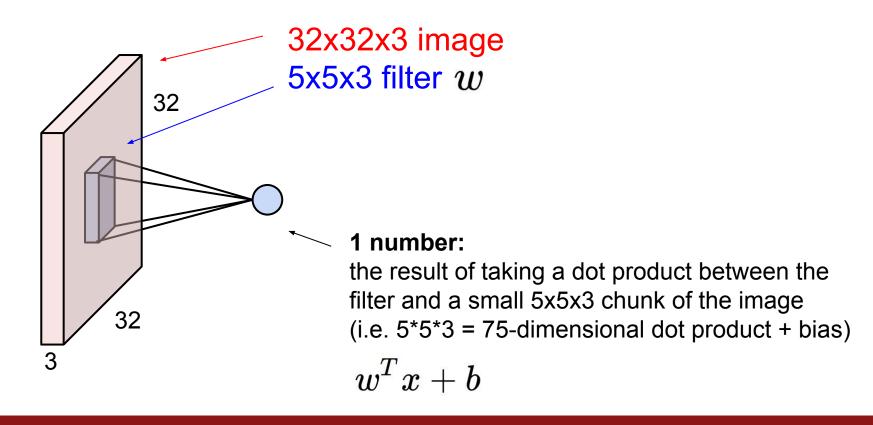


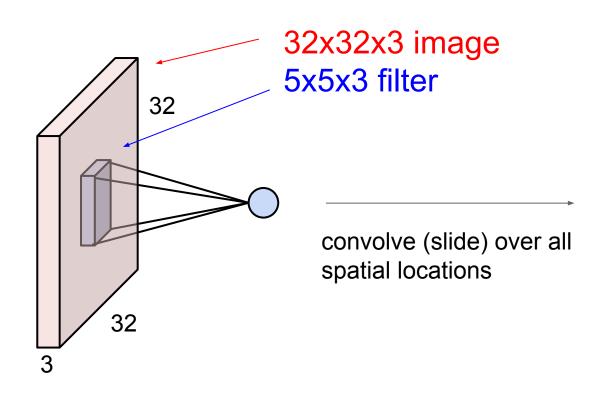
5x5x3 filter



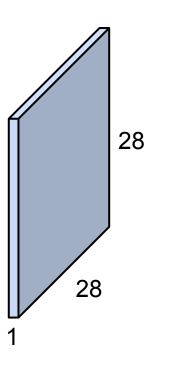
**Convolve** the filter with the image i.e. "slide over the image spatially, computing dot products"

# Convolution Layer Filters always extend the full depth of the input volume 32x32x3 image 5x5x3 filter 32 **Convolve** the filter with the image i.e. "slide over the image spatially, computing dot products"

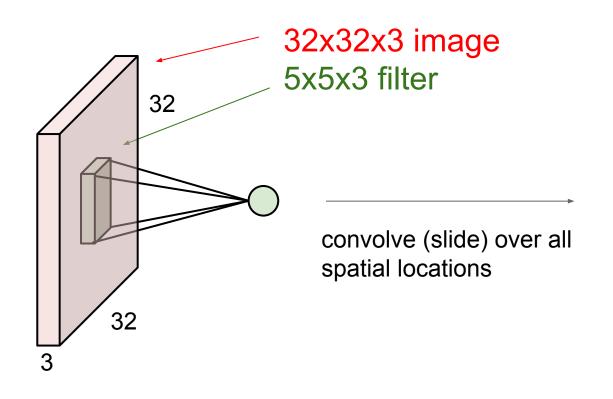


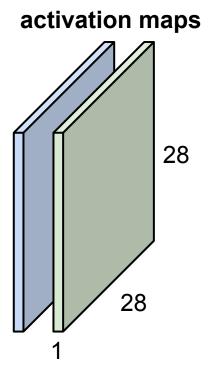


#### activation map

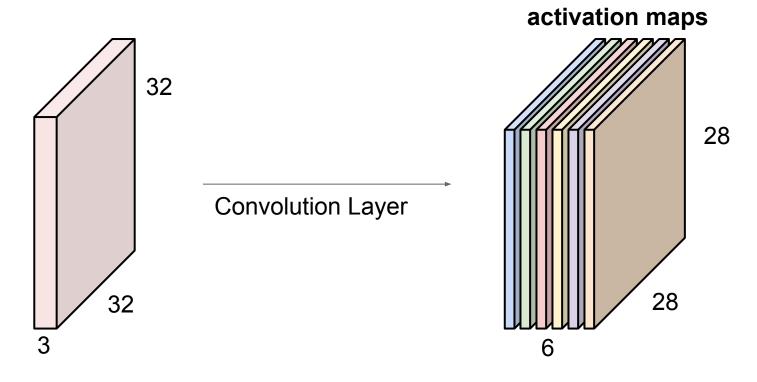


consider a second, green filter



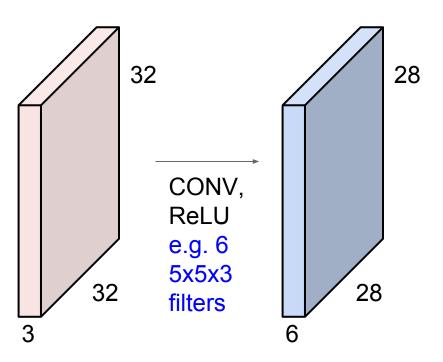


For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:

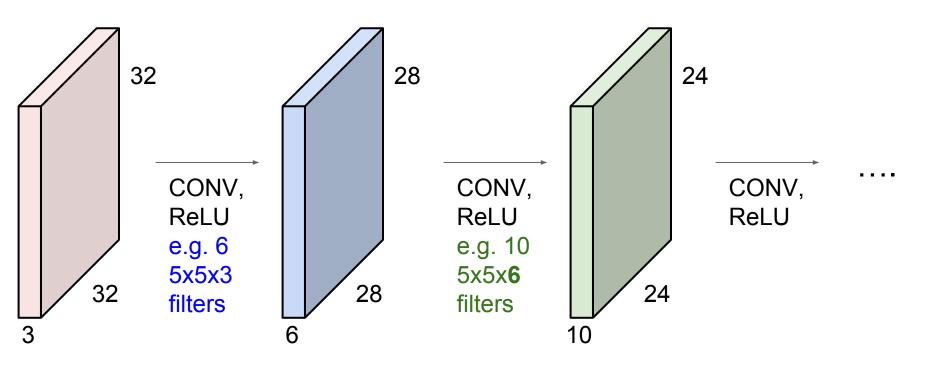


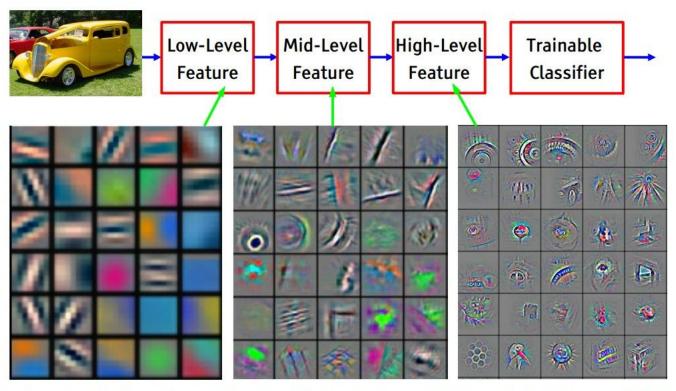
We stack these up to get a "new image" of size 28x28x6!

**Preview:** ConvNet is a sequence of Convolution Layers, interspersed with activation functions



**Preview:** ConvNet is a sequence of Convolutional Layers, interspersed with activation functions





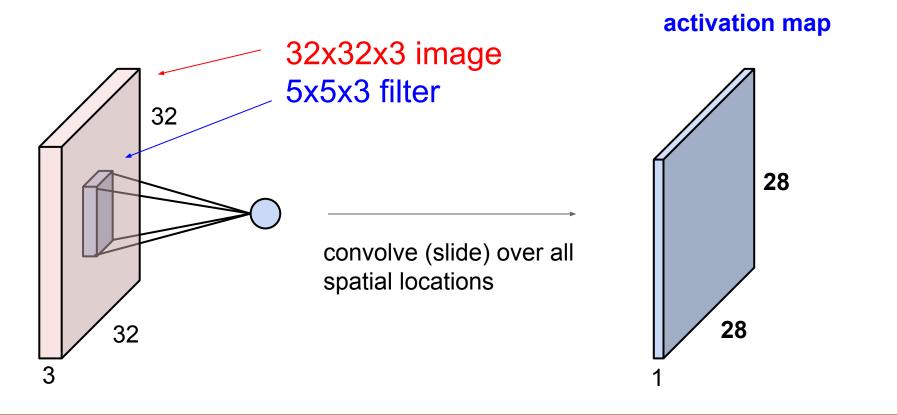
Feature visualization of convolutional net trained on ImageNet from [Zeiler & Fergus 2013]

preview: RELU RELU RELU RELU RELU RELU CONV CONV CONV CONV CONV CONV FC car truck airplane ship horse

Fei-Fei Li & Andrej Karpathy & Justin Johnson

Lecture 7 - 22

27 Jan 2016



7x7 input (spatially) assume 3x3 filter

7x7 input (spatially) assume 3x3 filter

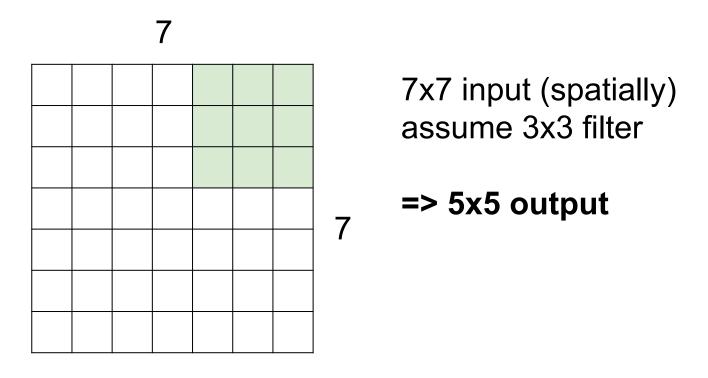
7

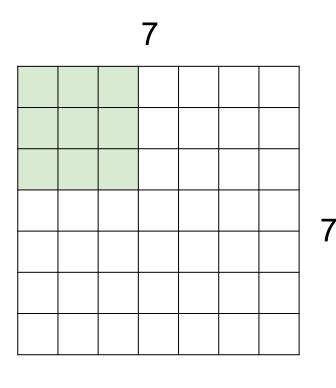
7x7 input (spatially) assume 3x3 filter

7

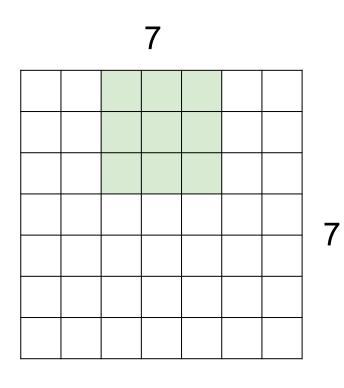
7x7 input (spatially) assume 3x3 filter

7

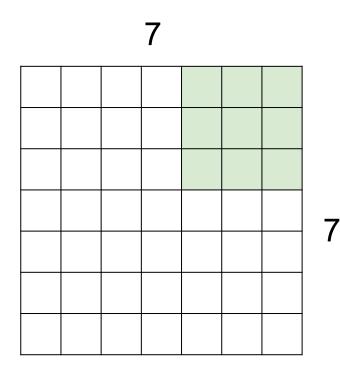




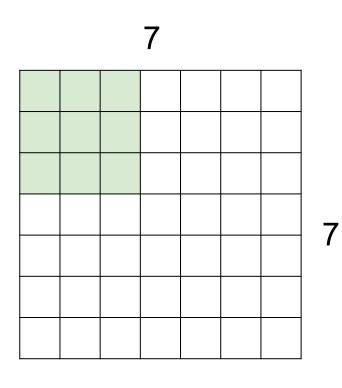
7x7 input (spatially) assume 3x3 filter applied with stride 2



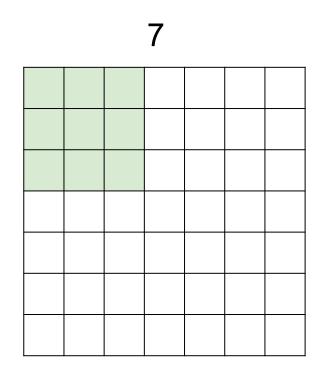
7x7 input (spatially) assume 3x3 filter applied with stride 2



7x7 input (spatially) assume 3x3 filter applied with stride 2 => 3x3 output!



7x7 input (spatially) assume 3x3 filter applied with stride 3?



7x7 input (spatially) assume 3x3 filter applied with stride 3?

doesn't fit! cannot apply 3x3 filter on 7x7 input with stride 3.

N
---

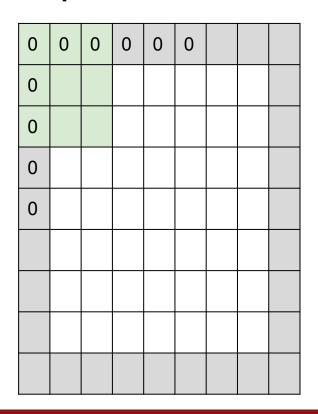
	F		
F			

Output size:

(N - F) / stride + 1

e.g. N = 7, F = 3:  
stride 1 => 
$$(7 - 3)/1 + 1 = 5$$
  
stride 2 =>  $(7 - 3)/2 + 1 = 3$   
stride 3 =>  $(7 - 3)/3 + 1 = 2.33$  :\

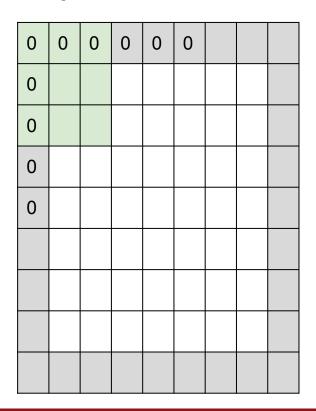
### In practice: Common to zero pad the border



e.g. input 7x7
3x3 filter, applied with stride 1
pad with 1 pixel border => what is the output?

```
(recall:)
(N - F) / stride + 1
```

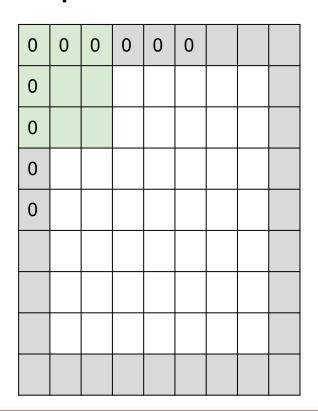
### In practice: Common to zero pad the border



e.g. input 7x7
3x3 filter, applied with stride 1
pad with 1 pixel border => what is the output?

7x7 output!

#### In practice: Common to zero pad the border



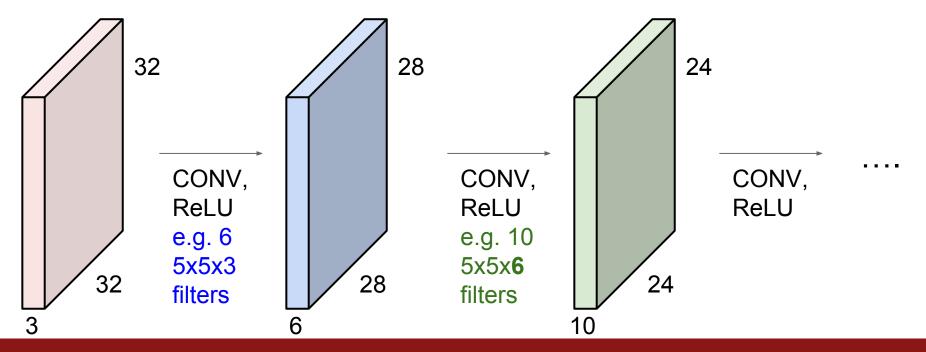
e.g. input 7x7
3x3 filter, applied with stride 1
pad with 1 pixel border => what is the output?

#### 7x7 output!

in general, common to see CONV layers with stride 1, filters of size FxF, and zero-padding with (F-1)/2. (will preserve size spatially)

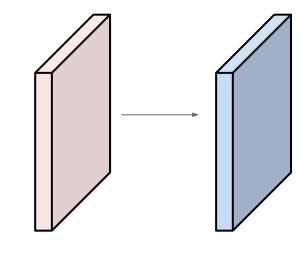
#### Remember back to...

E.g. 32x32 input convolved repeatedly with 5x5 filters shrinks volumes spatially! (32 -> 28 -> 24 ...). Shrinking too fast is not good, doesn't work well.



Fei-Fei Li & Andrej Karpathy & Justin Johnson

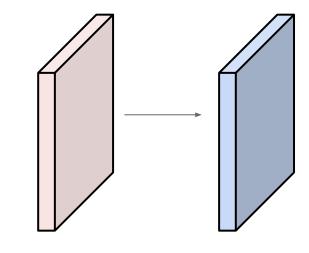
Input volume: **32x32x3**10 5x5 filters with stride 1, pad 2



Output volume size: ?

Input volume: 32x32x3

10 5x5 filters with stride 1, pad 2



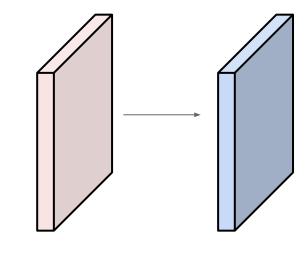
Output volume size:

$$(32+2*2-5)/1+1 = 32$$
 spatially, so

32x32x10

Input volume: 32x32x3

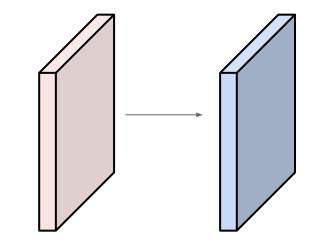
10 5x5 filters with stride 1, pad 2



Number of parameters in this layer?

Input volume: 32x32x3

10 5x5 filters with stride 1, pad 2



Number of parameters in this layer? each filter has 5\*5\*3 + 1 = 76 params

(+1 for bias)

#### Summary. To summarize, the Conv Layer:

- Accepts a volume of size  $W_1 imes H_1 imes D_1$
- · Requires four hyperparameters:
  - Number of filters K,
  - their spatial extent F,
  - the stride S.
  - the amount of zero padding P.
- Produces a volume of size  $W_2 imes H_2 imes D_2$  where:
  - $W_2 = (W_1 F + 2P)/S + 1$
  - $\circ \; H_2 = (H_1 F + 2P)/S + 1$  (i.e. width and height are computed equally by symmetry)
  - $D_2 = K$
- With parameter sharing, it introduces  $F \cdot F \cdot D_1$  weights per filter, for a total of  $(F \cdot F \cdot D_1) \cdot K$  weights and K biases.
- In the output volume, the d-th depth slice (of size  $W_2 \times H_2$ ) is the result of performing a valid convolution of the d-th filter over the input volume with a stride of S, and then offset by d-th bias.

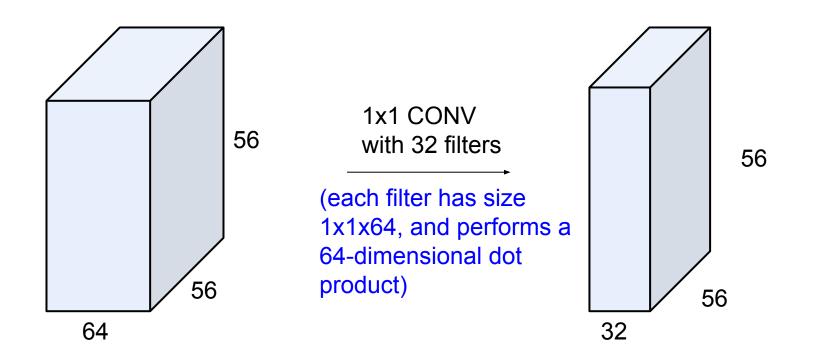
#### Common settings:

Summary. To summarize, the Conv Layer:

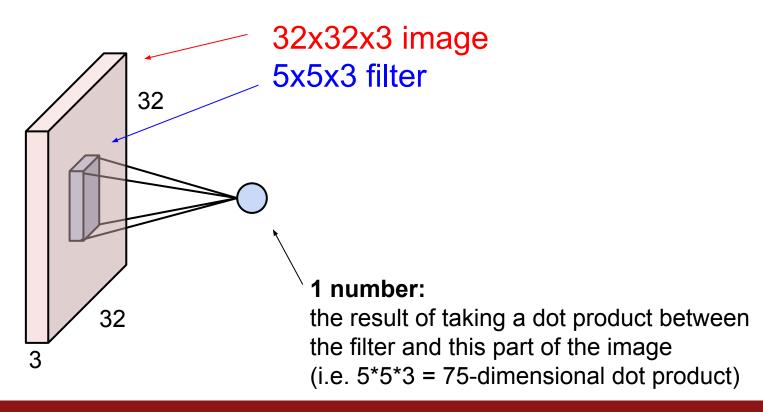
- Accepts a volume of size  $W_1 imes H_1 imes D_1$
- · Requires four hyperparameters:
  - Number of filters K,
  - $\circ$  their spatial extent F,
  - the stride S,
  - $\circ$  the amount of zero padding P.

- K = (powers of 2, e.g. 32, 64, 128, 512)
  - F = 3, S = 1, P = 1
  - F = 5. S = 1. P = 2
  - F = 5, S = 2, P = ? (whatever fits)
  - F = 1, S = 1, P = 0
- Produces a volume of size  $W_2 imes H_2 imes D_2$  where:
  - $W_2 = (W_1 F + 2P)/S + 1$
  - $\circ$   $H_2=(H_1-F+2P)/S+1$  (i.e. width and height are computed equally by symmetry)
  - $D_2 = K$
- With parameter sharing, it introduces  $F \cdot F \cdot D_1$  weights per filter, for a total of  $(F \cdot F \cdot D_1) \cdot K$  weights and K biases.
- In the output volume, the d-th depth slice (of size  $W_2 imes H_2$ ) is the result of performing a valid convolution of the d-th filter over the input volume with a stride of S, and then offset by d-th bias.

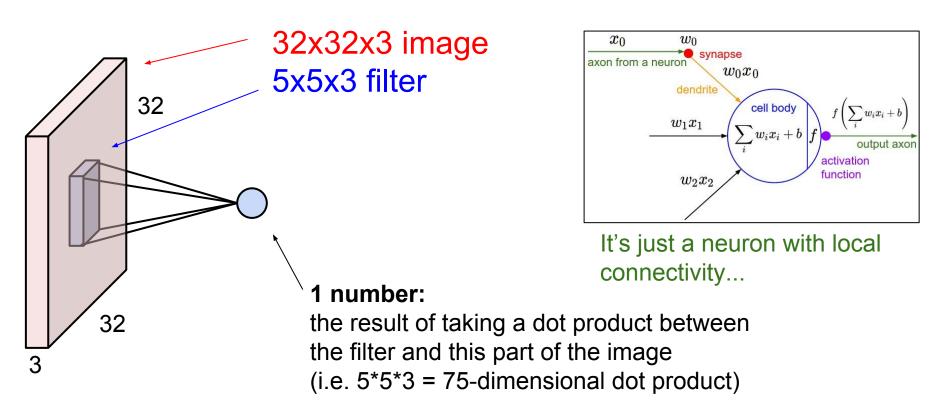
#### (btw, 1x1 convolution layers make perfect sense)



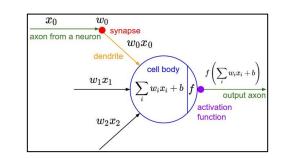
#### The brain/neuron view of CONV Layer

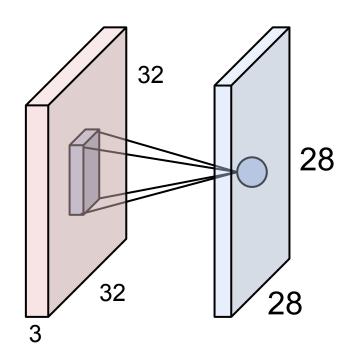


#### The brain/neuron view of CONV Layer



#### The brain/neuron view of CONV Layer



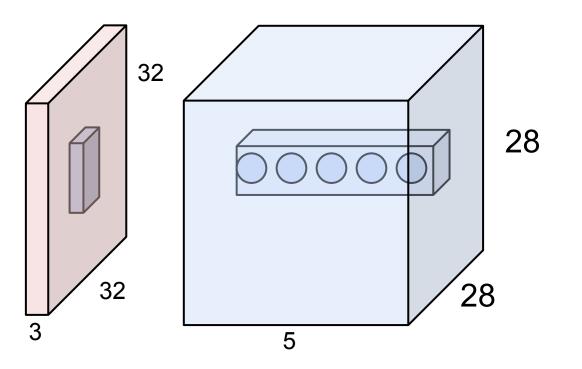


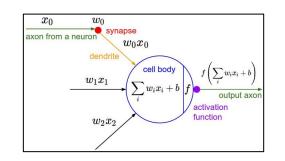
An activation map is a 28x28 sheet of neuron outputs:

- 1. Each is connected to a small region in the input
- 2. All of them share parameters

"5x5 filter" -> "5x5 receptive field for each neuron"

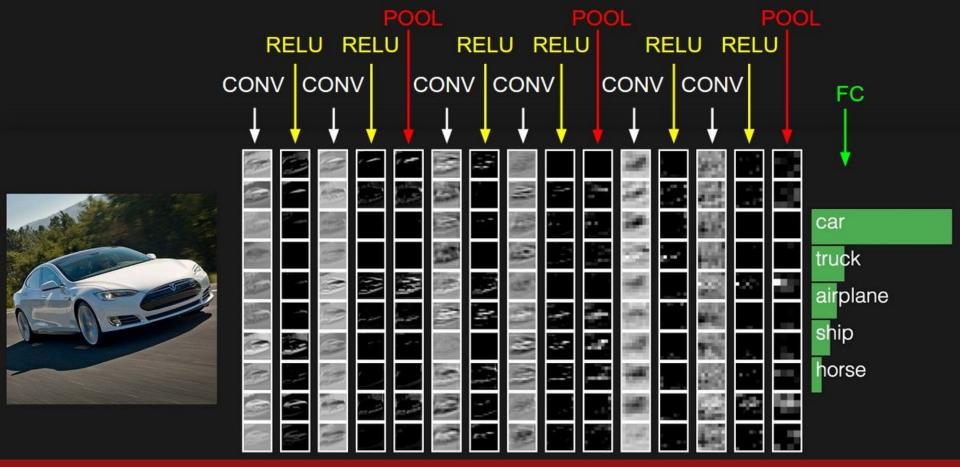
#### The brain/neuron view of CONV Layer





E.g. with 5 filters, CONV layer consists of neurons arranged in a 3D grid (28x28x5)

There will be 5 different neurons all looking at the same region in the input volume



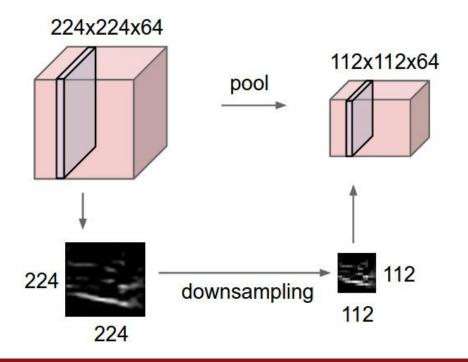
Fei-Fei Li & Andrej Karpathy & Justin Johnson

Lecture 7 - 53

27 Jan 2016

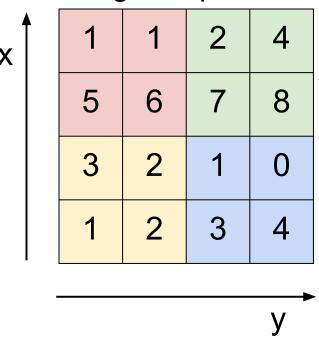
### Pooling layer

- makes the representations smaller and more manageable
- operates over each activation map independently:



#### MAX POOLING

#### Single depth slice



max pool with 2x2 filters and stride 2

6	8
3	4

- Accepts a volume of size  $W_1 imes H_1 imes D_1$
- · Requires three hyperparameters:
  - $\circ$  their spatial extent F,
  - $\circ$  the stride S,
- Produces a volume of size  $W_2 imes H_2 imes D_2$  where:

$$W_2 = (W_1 - F)/S + 1$$

$$H_2 = (H_1 - F)/S + 1$$

$$Oldsymbol{o} D_2 = D_1$$

- Introduces zero parameters since it computes a fixed function of the input
- Note that it is not common to use zero-padding for Pooling layers

#### Common settings:

• Accepts a volume of size 
$$W_1 imes H_1 imes D_1$$

- Requires three hyperparameters:
  - their spatial extent F,
  - the stride S,
- Produces a volume of size  $W_2 imes H_2 imes D_2$  where:

$$W_2 = (W_1 - F)/S + 1$$

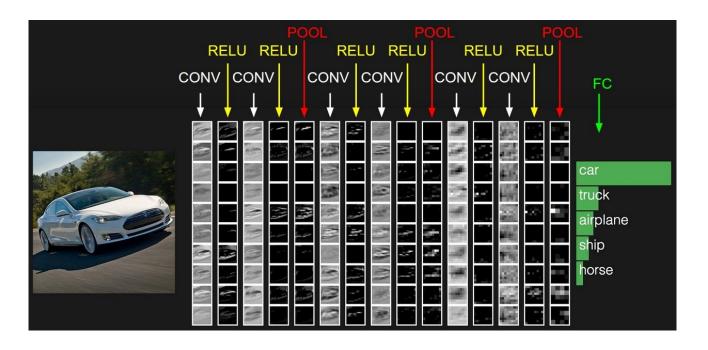
$$H_2 = (H_1 - F)/S + 1$$

$$Oldsymbol{0} Oldsymbol{0} Old$$

- Introduces zero parameters since it computes a fixed function of the input
- · Note that it is not common to use zero-padding for Pooling layers

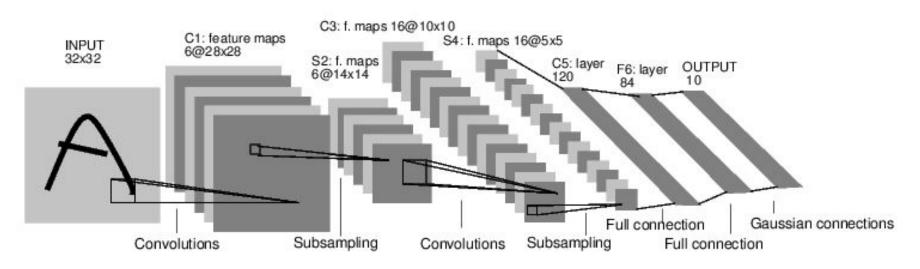
### Fully Connected Layer (FC layer)

 Contains neurons that connect to the entire input volume, as in ordinary Neural Networks



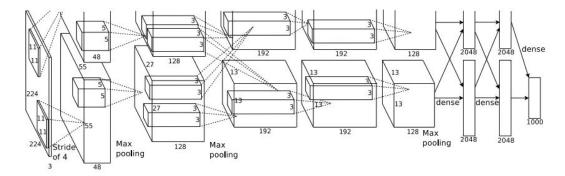
#### Case Study: LeNet-5

[LeCun et al., 1998]



Conv filters were 5x5, applied at stride 1 Subsampling (Pooling) layers were 2x2 applied at stride 2 i.e. architecture is [CONV-POOL-CONV-POOL-CONV-FC]

[Krizhevsky et al. 2012]



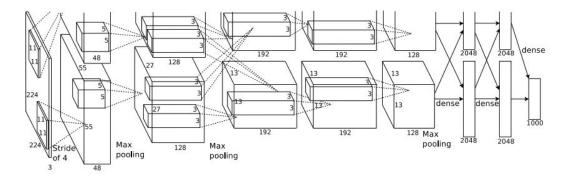
Input: 227x227x3 images

First layer (CONV1): 96 11x11 filters applied at stride 4

=>

Q: what is the output volume size? Hint: (227-11)/4+1 = 55

[Krizhevsky et al. 2012]



Input: 227x227x3 images

First layer (CONV1): 96 11x11 filters applied at stride 4

=>

Output volume [55x55x96]

Q: What is the total number of parameters in this layer?

[Krizhevsky et al. 2012]



Input: 227x227x3 images

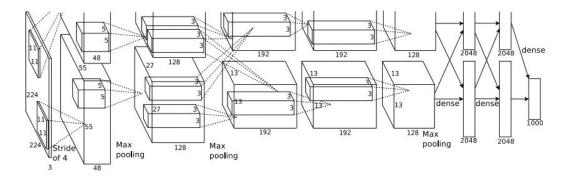
First layer (CONV1): 96 11x11 filters applied at stride 4

=>

Output volume [55x55x96]

Parameters: (11\*11\*3)\*96 = **35K** 

[Krizhevsky et al. 2012]

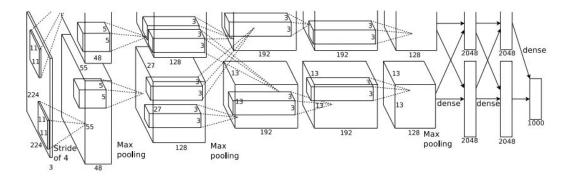


Input: 227x227x3 images After CONV1: 55x55x96

Second layer (POOL1): 3x3 filters applied at stride 2

Q: what is the output volume size? Hint: (55-3)/2+1 = 27

[Krizhevsky et al. 2012]



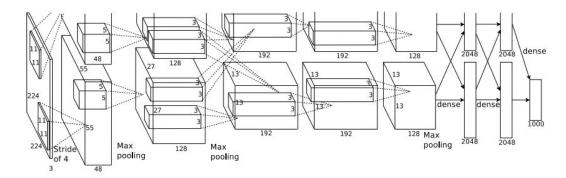
Input: 227x227x3 images After CONV1: 55x55x96

**Second layer** (POOL1): 3x3 filters applied at stride 2

Output volume: 27x27x96

Q: what is the number of parameters in this layer?

[Krizhevsky et al. 2012]



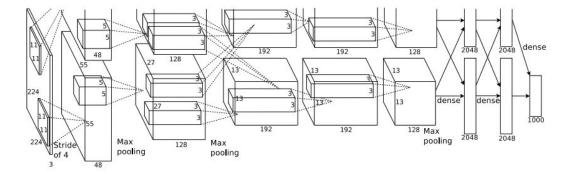
Input: 227x227x3 images After CONV1: 55x55x96

Second layer (POOL1): 3x3 filters applied at stride 2

Output volume: 27x27x96

Parameters: 0!

[Krizhevsky et al. 2012]



Input: 227x227x3 images After CONV1: 55x55x96 After POOL1: 27x27x96

• • •

[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] CONV1: 96 11x11 filters at stride 4, pad 0

[27x27x96] MAX POOL1: 3x3 filters at stride 2

[27x27x96] NORM1: Normalization layer

[27x27x256] CONV2: 256 5x5 filters at stride 1, pad 2

[13x13x256] MAX POOL2: 3x3 filters at stride 2

[13x13x256] NORM2: Normalization layer

[13x13x384] CONV3: 384 3x3 filters at stride 1, pad 1

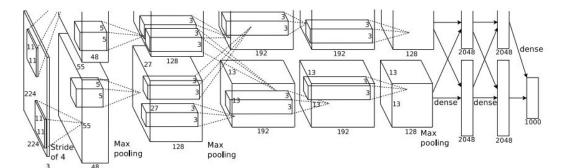
[13x13x384] CONV4: 384 3x3 filters at stride 1, pad 1

[13x13x256] CONV5: 256 3x3 filters at stride 1, pad 1

[6x6x256] MAX POOL3: 3x3 filters at stride 2

[4096] FC6: 4096 neurons [4096] FC7: 4096 neurons

[1000] FC8: 1000 neurons (class scores)



[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] CONV1: 96 11x11 filters at stride 4, pad 0

[27x27x96] MAX POOL1: 3x3 filters at stride 2

[27x27x96] NORM1: Normalization layer

[27x27x256] CONV2: 256 5x5 filters at stride 1, pad 2

[13x13x256] MAX POOL2: 3x3 filters at stride 2

[13x13x256] NORM2: Normalization layer

[13x13x384] CONV3: 384 3x3 filters at stride 1, pad 1

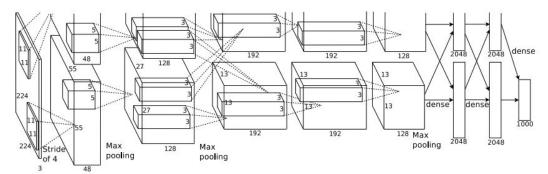
[13x13x384] CONV4: 384 3x3 filters at stride 1, pad 1

[13x13x256] CONV5: 256 3x3 filters at stride 1, pad 1

[6x6x256] MAX POOL3: 3x3 filters at stride 2

[4096] FC6: 4096 neurons [4096] FC7: 4096 neurons

[1000] FC8: 1000 neurons (class scores)



#### **Details/Retrospectives:**

- first use of ReLU
- used Norm layers (not common anymore)
- heavy data augmentation
- dropout 0.5
- batch size 128
- SGD Momentum 0.9
- Learning rate 1e-2, reduced by 10 manually when val accuracy plateaus
- L2 weight decay 5e-4
- 7 CNN ensemble: 18.2% -> 15.4%

#### Case Study: ResNet

[He et al., 2015]

ILSVRC 2015 winner (3.6% top 5 error)

Research

#### MSRA @ ILSVRC & COCO 2015 Competitions

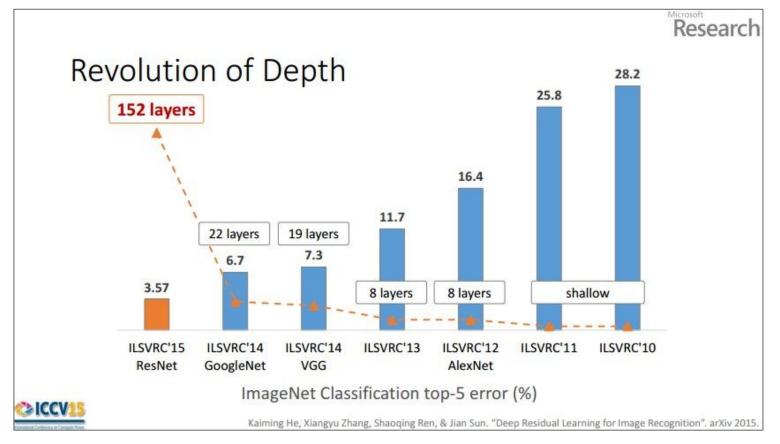
- 1st places in all five main tracks
  - ImageNet Classification: "Ultra-deep" (quote Yann) 152-layer nets
  - ImageNet Detection: 16% better than 2nd
  - ImageNet Localization: 27% better than 2nd
  - COCO Detection: 11% better than 2nd
  - COCO Segmentation: 12% better than 2nd

\*improvements are relative numbers



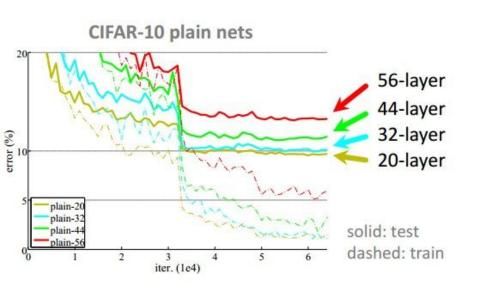
Slide from Kaiming He's recent presentation <a href="https://www.youtube.com/watch?v=1PGLj-uKT1w">https://www.youtube.com/watch?v=1PGLj-uKT1w</a>

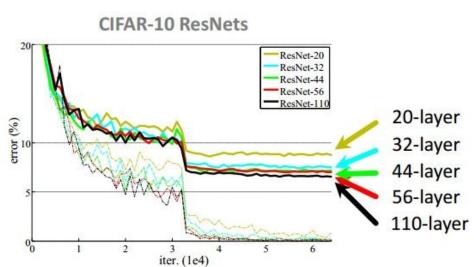
Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. "Deep Residual Learning for Image Recognition". arXiv 2015



(slide from Kaiming He's recent presentation)

# CIFAR-10 experiments

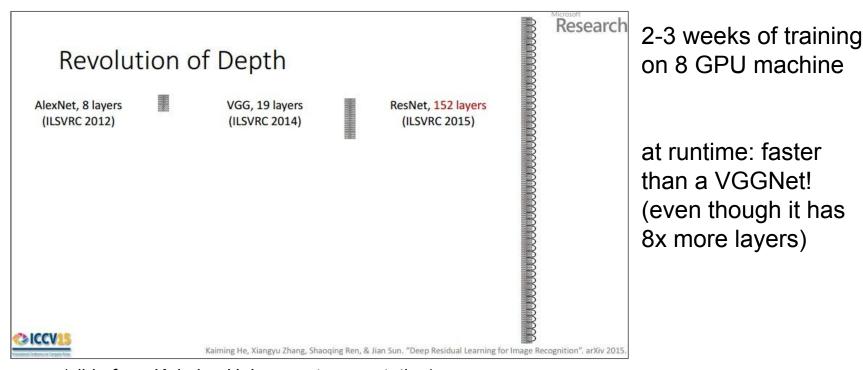




#### Case Study: ResNet

[He et al., 2015]

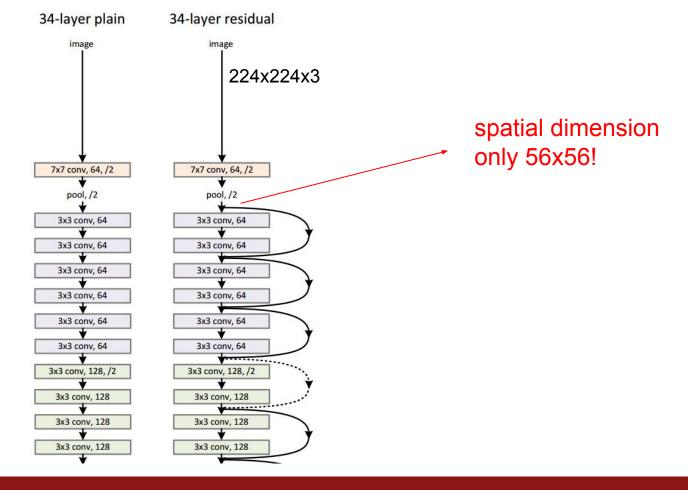
ILSVRC 2015 winner (3.6% top 5 error)



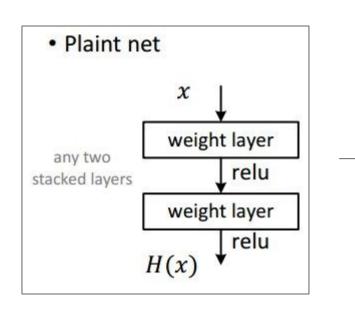
(slide from Kaiming He's recent presentation)

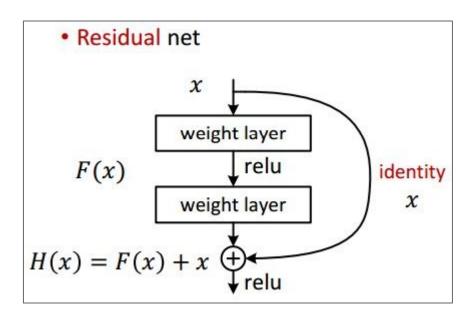
# Case Study: ResNet

[He et al., 2015]



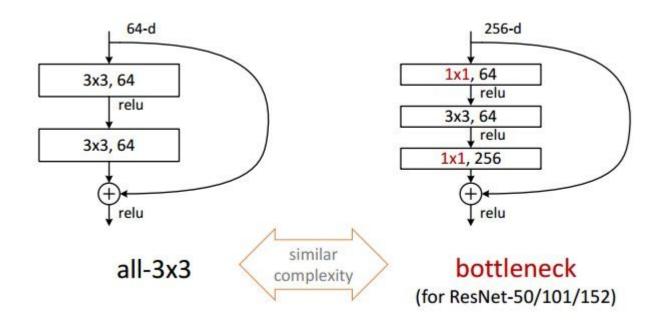
#### Case Study: ResNet [He et al., 2015]





#### Case Study: ResNet

[He et al., 2015]



#### 7x7 conv, 64, /2 pool, /2 3x3 conv, 64 3x3 conv, 64 3x3 conv, 64 3x3 conv, 64 3x3 conv. 128 3x3 conv, 128 3x3 conv. 128 3x3 conv, 256, /2 3x3 conv, 256 3x3 conv, 512, /2 3x3 conv, 512 3x3 conv, 512 3x3 conv, 512 3x3 conv, 512 3x3 conv, 512

avg pool

#### Case Study: ResNet [He et al., 2015]

layer name	output size	18-layer	34-layer	50-layer	101-layer	152-layer	
conv1	112×112	7×7, 64, stride 2					
		3×3 max pool, stride 2					
conv2_x	56×56	$\left[\begin{array}{c}3\times3,64\\3\times3,64\end{array}\right]\times2$	$\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	
conv3_x	28×28	$\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 2$	$\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 8$	
conv4_x	14×14	$\left[\begin{array}{c} 3\times3,256\\ 3\times3,256 \end{array}\right]\times2$	$ \begin{bmatrix} 3 \times 3, 256 \\ 3 \times 3, 256 \end{bmatrix} \times 6 $	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 23$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 3$	
conv5_x	7×7	$\left[\begin{array}{c}3\times3,512\\3\times3,512\end{array}\right]\times2$	$\left[\begin{array}{c} 3\times3,512\\ 3\times3,512 \end{array}\right]\times3$	$ \left[\begin{array}{c} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{array}\right] \times 3 $	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	
	1×1	average pool, 1000-d fc, softmax					
FLO	OPs	$1.8 \times 10^{9}$	$3.6 \times 10^{9}$	$3.8 \times 10^{9}$	$7.6 \times 10^{9}$	11.3×10 <sup>9</sup>	

# Summary

- ConvNets stack CONV,POOL,FC layers
- Trend towards smaller filters and deeper architectures
- Trend towards getting rid of POOL/FC layers (just CONV)
- Typical architectures look like [(CONV-RELU)\*N-POOL?]\*M-(FC-RELU)\*K,SOFTMAX where N is usually up to ~5, M is large, 0 <= K <= 2.
  - but recent advances such as ResNet/GoogLeNet challenge this paradigm