Lecture 17: Multitasking

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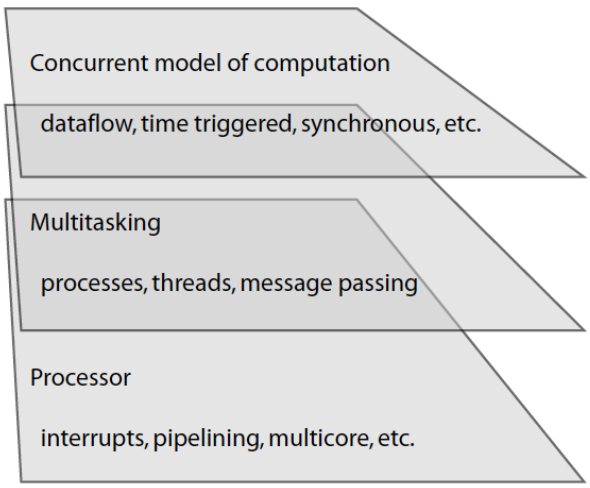
Based on the slides by Edward Lee

Layers of Abstraction for Concurrency in Programs



Definition and Uses

Threads are sequential procedures that share memory.

Uses of concurrency?

- Reacting to *external events* (interrupts)
- *Exception* handling (software interrupts)
- Creating the illusion of *simultaneously running* different programs (multitasking)
- Exploiting *parallelism in the hardware* (e.g. multicore machines).
- Dealing with *real-time constraints*.

Thread Scheduling

Predicting the thread schedule is an iffy proposition.

- Without an OS, multithreading is achieved with interrupts. Timing is determined by external events.
- Generic OSs (Linux, Windows, OSX, …) provide thread libraries (like "pthreads") and provide no fixed guarantees about when threads will execute.
- Real-time operating systems (RTOSs), like FreeRTOS, QNX, VxWorks, RTLinux, support a variety of ways of controlling when threads execute (priorities, preemption policies, deadlines, …).
- Processes are collections of threads with their own memory, not visible to other processes. *Segmentation faults* are attempts to access memory not allocated to the process. Communication between processes must occur via OS facilities (like pipes or files).

Posix Threads (PThreads)

- PThreads is an API (Application Program Interface) implemented by many operating systems, both real-time and not.
  - It is *a library of C procedures*.
- Standardized by the IEEE in 1988 to unify variants of Unix.
  - Subsequently implemented in most other operating systems.
- An alternative is Java, which may use PThreads under the hood, but provides thread constructs as part of the programming language.

Creating and Destroying Threads

```
#include <pthread.h>

void* threadFunction(void* arg) {
    ...
    return pointerToSomething or NULL;
}

int main(void) {
    pthread_t threadID;
    void* exitStatus;
    int value = something;
    pthread_create(&threadID, NULL, threadFunction, &value);
    ...
    pthread_join(threadID, &exitStatus);
    return 0;
}
```

Can pass in pointers to shared variables.

Can return pointer to something.
Do not return a pointer to a local variable!

Create a thread (may or may not start running!)

Becomes arg parameter to threadFunction.
Why is it OK that this is a local variable?

Return only after all threads have terminated.

What's Wrong with This?

```
#include <pthread.h>
#include <stdio.h>
void *myThread() {
 int ret = 42;
 return &ret;
}

int main() {
 pthread_t tid;
 void *status;
 pthread_create(&tid, NULL, myThread, NULL);
 pthread_join(tid, &status);
 printf("%d\n",*(int*)status); return 0;
}
```

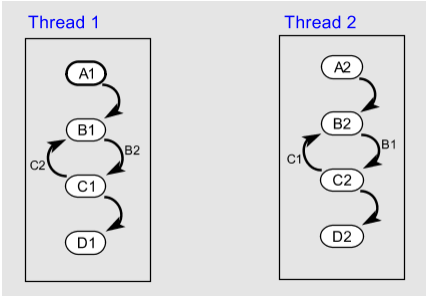
Don't return a pointer to a local variable, which is on the stack.

Notes

- Threads can (and often do) share variables
- Threads may or may not begin running immediately after being created.
- A thread may be suspended between any two atomic instructions (typically, assembly instructions, not C statements!) to execute another thread and/or interrupt service routine.
- Threads can often be given priorities, and these may or may not be respected by the thread scheduler.
- Threads may block on semaphores and mutexes (we will do this later in this lecture).

Modeling Threads via Asynchronous Composition of Extended State Machines

States or transitions represent atomic instructions



Interleaving semantics

- Choose one machine, arbitrarily.
- Advance to a next state if guards are satisfied.
- Repeat.

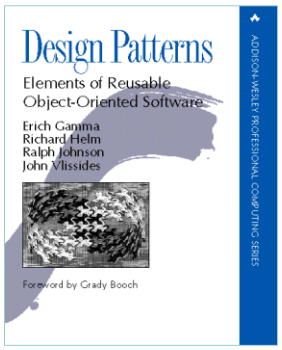
Need to compute reachable states to reason about correctness of the composed system

Can Thread 1 be in C1 at the same time Thread 2 is in C2?

A Scenario

Under Integrated Modular Avionics, software in the aircraft engine continually runs diagnostics and publishes diagnostic data on the local network.

Proper software engineering practice suggests using the observer pattern.

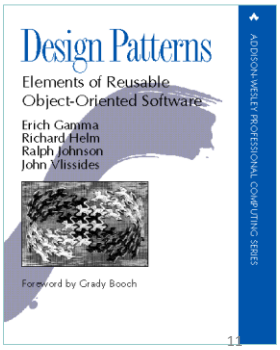


An observer process updates the cockpit display based on notifications from the engine diagnostics.

Typical thread programming problem

"The *Observer pattern* defines a one-to-many dependency between a subject object and any number of observer objects so that when the subject object changes state, all its observer objects are notified and updated automatically."

Design Patterns, Eric Gamma, Richard Helm, Ralph Johnson, John Vlissides (Addison-Wesley, 1995)



Observer Pattern in C

```
// Value that when updated triggers notification of
// registered listeners.
int value;

// List of listeners. A linked list containing
// pointers to notify procedures.
typedef void* notifyProcedure(int);
struct element {…}
typedef struct element elementType;
elementType* head = 0;
elementType* tail = 0;

// Procedure to add a listener to the list.
void addListener(notifyProcedure listener) {…}

// Procedure to update the value
void update(int newValue) {…}

// Procedure to call when notifying
void print(int newValue) {…}
```

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```

```
typedef void* notifyProcedure(int);
struct element {
  notifyProcedure* listener;
  struct element* next;
};
typedef struct element elementType;
elementType* head = 0;
elementType* tail = 0;
```


Observer Pattern in C

```
// Value that
// registered
int value;

// List of lis
// pointers to
typedef void*
struct element
typedef struct
elementType* h
elementType* t

// Procedure t
void addLister

// Procedure t
void update(in

// Procedure to call when notifying
void print(int newValue) {…}
```

```
// Procedure to add a listener to the list.
void addListener(notifyProcedure listener) {
  if (head == 0) {
    head = malloc(sizeof(elementType));
    head->listener = listener;
    head->next = 0;
    tail = head;
  } else {
    tail->next = malloc(sizeof(elementType));
    tail = tail->next;
    tail->listener = listener;
    tail->next = 0;
  }
}
```

Observer Pattern in C

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int value;

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struct element {…}
typedef struct
elementType* h
elementType* t

// Procedure t
void addLister

// Procedure t
void update(in

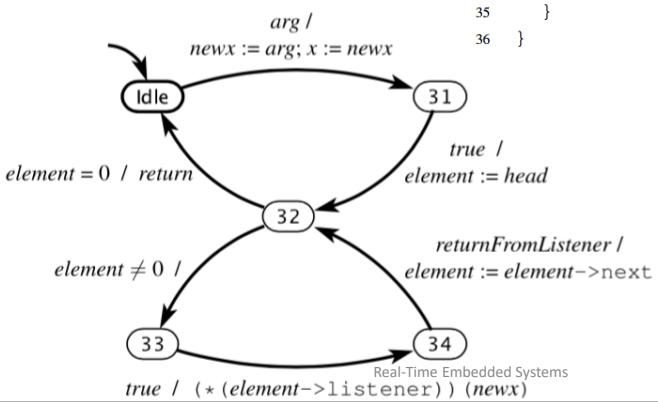
// Procedure t
void print(int
```

```
// Procedure to update the value
void update(int newValue) {
  value = newValue;
  // Notify listeners.
  elementType* element = head;
  while (element != 0) {
    (*(element->listener))(newValue);
    element = element->next;
  }
}
```


Model of the Update Procedure

```
27 // Procedure to update x.
28 void update(int newx) {
29   x = newx;
30   // Notify listeners.
31   element_t* element = head;
32   while (element != 0) {
33     (*(element->listener))(newx);
34     element = element->next;
35   }
36 }
```

inputs: *arg*: int, *returnFromListener*: pure
outputs: *return*: pure
local variables: *newx*: int, *element*: element_t*
global variables: *x*: int, *head*: element_t*



Observer Pattern in C

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elementType* head = 0;
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// Procedure to add a listener to the list.
void addListener(notifyProcedure listener) {…}

// Procedure to update the value
void update(int newValue) {…}

// Procedure to call when notifying
void print(int newValue) {…}
```

Will this work in a multithreaded context?

Will there be unexpected/undesirable behaviors?

What if addListener is called from two threads at the same time?

Using Posix mutexes on the observer pattern in C

```
#include <pthread.h>
...
pthread_mutex_t lock;

void addListener(notify listener) {
  pthread_mutex_lock(&lock);
  ...
  pthread_mutex_unlock(&lock);
}

void update(int newValue) {
  pthread_mutex_lock(&lock);
  value = newValue;
  elementType* element = head;
  while (element != 0) {
    (*(element->listener))(newValue);
    element = element->next;
  }
  pthread_mutex_unlock(&lock);
}

int main(void) {
  pthread_mutex_init(&lock, NULL);
  ...
}
```

However, this carries a significant deadlock risk. The update procedure holds the lock while it calls the notify procedures. If any of those stalls trying to acquire another lock, and the thread holding that lock tries to acquire this lock, deadlock results.

One possible "fix"

```
#include <pthread.h>
...
pthread_mutex_t lock;

void addListener(notify listener) {
  pthread_mutex_lock(&lock);
  ...
  pthread_mutex_unlock(&lock);
}

void update(int newValue) {
  pthread_mutex_lock(&lock);
  value = newValue;
  ... copy the list of listeners ...
  pthread_mutex_unlock(&lock);
  elementType* element = headCopy;
  while (element != 0) {
    (*(element->listener))(newValue);
    element = element->next;
  }
}

int main(void) {
  pthread_mutex_init(&lock, NULL);
  ...
}
```

What is wrong with this?

Notice that if multiple threads call update(), the updates will occur in some order. But there is no assurance that the listeners will be notified in the same order. Listeners may be mislead about the "final" value.

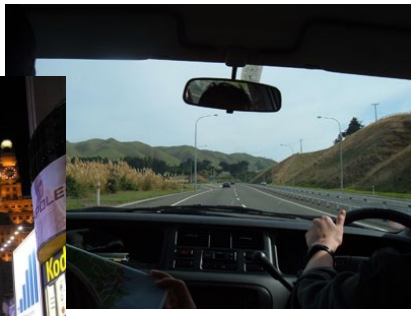
This is a very simple, commonly used design pattern. Perhaps Concurrency is Just Hard…

Sutter and Larus observe:

"Humans are quickly overwhelmed by concurrency and find it much more difficult to reason about concurrent than sequential code. Even careful people miss possible interleavings among even simple collections of partially ordered operations."

H. Sutter and J. Larus. Software and the concurrency revolution. ACM Queue, 3(7), 2005.

If concurrency were intrinsically hard, we would not function well in the physical world



It is not concurrency that is hard…

…It is Threads that are Hard!

Threads are sequential processes that share memory. From the perspective of any thread, the *entire state of the universe can change between any two atomic actions* (itself an ill-defined concept).

Imagine if the physical world did that…

What it Feels Like to Use Mutexes

Image "borrowed" from an Iomega advertisement for Y2K software and disk drives, Scientific American, September 1999.

Message-passing programs *may* be better

```
1  void* producer(void* arg) {
2      int i;
3      for (i = 0; i < 10; i++) {
4          send(i);
5      }
6      return NULL;
7  }
8  void* consumer(void* arg) {
9      while(1) {
10         printf("received %d\n", get());
11     }
12     return NULL;
13 }
14 int main(void) {
15     pthread_t threadID1, threadID2;
16     void* exitStatus;
17     pthread_create(&threadID1, NULL, producer, NULL);
18     pthread_create(&threadID2, NULL, consumer, NULL);
19     pthread_join(threadID1, &exitStatus);
20     pthread_join(threadID2, &exitStatus);
21     return 0;
22 }
```

But there is still risk of deadlock and unexpected nondeterminism!

Claim

Nontrivial software written with threads, semaphores, and mutexes is incomprehensible to humans.

→ *Need better ways to program concurrent systems (we will see some later in the course)*

→ *Better tools to analyze and reason about concurrency (e.g. model checking)*

Do Threads Have a Sound Foundation?

If the foundation is bad, then we either tolerate brittle designs that are difficult to make work, or we have to rebuild from the foundations.

Note that this whole enterprise is held up by threads

Problems with the Foundations

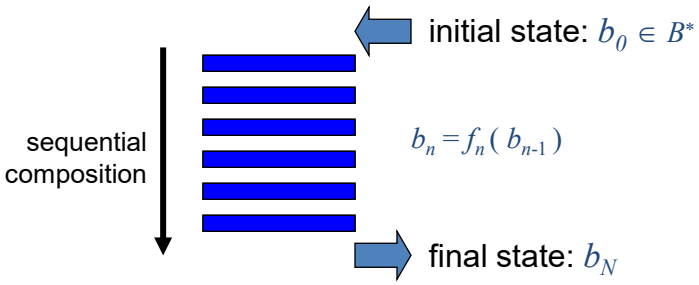
A model of computation:

Bits: $B = \{0, 1\}$
Set of finite sequences of bits: B^*
Computation: $f : B^* \rightarrow B^*$
Composition of computations: $f \bullet f'$
Programs specify compositions of computations

Threads augment this model to admit concurrency.

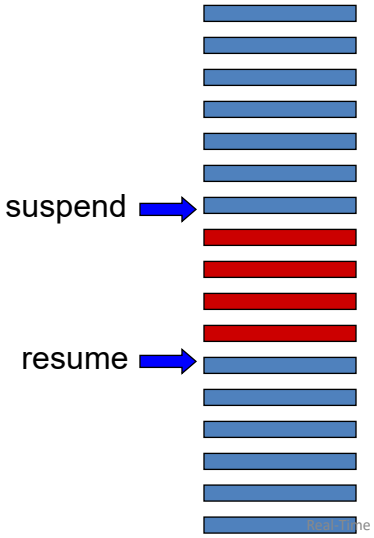
But this model does not admit concurrency gracefully.

Basic Sequential Computation



Formally, composition of computations is function composition.

When There are Threads, Everything Changes



A program no longer computes a function.

$b_n = f_n(b_{n-1})$

another thread can change the state

$b'_n = f_n(b'_{n-1})$

Apparently, programmers find this model appealing because nothing has changed in the *syntax*.

Succinct Problem Statement

Threads are wildly ***nondeterministic****.*

The programmer's job is to prune away the nondeterminism by imposing constraints on execution order (e.g., mutexes) and limiting shared data accesses (e.g., OO design).

Incremental Improvements to Threads

- Object Oriented programming
- Coding rules (Acquire locks in the same order…)
- Libraries (Stapl, Java >= 5.0, …)
- Transactions (Databases, …)
- Patterns (MapReduce, …)
- Formal verification (Model checking, …)
- Enhanced languages (Split-C, Cilk, Guava, …)
- Enhanced mechanisms (Promises, futures, asynchronous atomic callbacks …)

IEEE Computer, May, 2006